A Type Theoretic Approach to Structural Resolution

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Logic programming and Proof

```
k1 : Connect(x, y), Connect(y, z) => Connect(x, z)
k2 : => Connect(N1, N2)
k3 : => Connect(N2, N3)
```

► Are there any proof of Connect(x, N3) for some x?

Answer 1: (k1 k2 k3) with [N1/x]

Answer 2: k3 with [N2/x]

Logic programming and Proof

Type Class in Functional Language(e.g. Haskell)

```
k1 : Eq(x) => Eq(List(x))
k2 : => Eq(Int)

eq : Eq(x) => x -> x -> Bool

test = eq d [1,3] [1,2, 3]

What is the proof of Eq(List(Int))?

d = (k1 k2) is a proof of Eq(List(Int))!
```

Resolutions

```
k : Stream(y) => Stream(cons(x, y))
Query Stream(cons(x,y))

► SLD-resolution:
{Stream(cons(x,y))} → {Stream(y)} →
{Stream(y2)} → ...
```

Matcher: $\sigma t_1 \equiv t_2$, Unifier: $\sigma t_1 \equiv \sigma t_2$

Resolutions

Resolution by Term matching:

```
\{Stream(cons(x,y))\} \rightarrow \{Stream(y)\}
```

Matcher: $\sigma t_1 \equiv t_2$, Unifier: $\sigma t_1 \equiv \sigma t_2$

Resolutions

```
k : Stream(y) => Stream(cons(x, y))
Query Stream (cons(x,y))
  SLD-resolution:
     \{Stream(cons(x,y))\} \rightsquigarrow \{Stream(y)\} \rightsquigarrow
     \{Stream(v2)\} \rightsquigarrow ...
  Resolution by Term matching:
     \{Stream(cons(x,y))\} \rightarrow \{Stream(y)\}
  Structural Resolution(Matching + Unification):
     \{Stream(cons(x,y))\} \rightarrow \{Stream(y)\}
     \hookrightarrow \{ \text{Stream}(\text{cons}(x1,y1)) \} \rightarrow \{ \text{Stream}(y1) \}
     \hookrightarrow {Stream(cons(x2,y2))} \rightarrow {Stream(y2)}
     \hookrightarrow {Stream(cons(x3, y3))} \rightarrow {Stream(y3)}...
```

Matcher: $\sigma t_1 \equiv t_2$, Unifier: $\sigma t_1 \equiv \sigma t_2$

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Term-matching reduction:

$$\Phi \vdash \{A_1,...,A_i,...,A_n\} \rightarrow_{\kappa} \{A_1,...,\sigma B_1,...,\sigma B_m,...,A_n\},$$
 if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\sigma C \equiv A_i$.

Term-matching reduction:

 $\Phi \vdash \{A_1,...,A_i,...,A_n\} \rightarrow_{\kappa} \{A_1,...,\sigma B_1,...,\sigma B_m,...,A_n\},$ if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\sigma C \equiv A_i$.

Unification reduction:

$$\Phi \vdash \{A_1,...,A_i,...,A_n\} \leadsto_{\kappa,\gamma \cdot \gamma'} \{\gamma A_1,...,\gamma B_1,...,\gamma B_m,...,\gamma A_n\},$$
 if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\gamma C \equiv \gamma A_i$.

Term-matching reduction:

 $\Phi \vdash \{A_1,...,A_i,...,A_n\} \rightarrow_{\kappa} \{A_1,...,\sigma B_1,...,\sigma B_m,...,A_n\},$ if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\sigma C \equiv A_i$.

Unification reduction:

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Substitutional reduction:

 $\Phi \vdash \{A_1,...,A_i,...,A_n\} \hookrightarrow_{\kappa,\gamma \cdot \gamma'} \{\gamma A_1,...,\gamma A_i,...,\gamma A_n\}$, if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\gamma C \equiv \gamma A_i$.

Term-matching reduction:

 $\Phi \vdash \{A_1,...,A_i,...,A_n\} \rightarrow_{\kappa} \{A_1,...,\sigma B_1,...,\sigma B_m,...,A_n\},$ if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\sigma C \equiv A_i$.

Unification reduction:

 $\Phi \vdash \{A_1, ..., A_i, ..., A_n\} \leadsto_{\kappa, \gamma \cdot \gamma'} \{\gamma A_1, ..., \gamma B_1, ..., \gamma B_m, ..., \gamma A_n\},$ if there exists $\kappa : B_1, ..., B_m \Rightarrow C \in \Phi$ such that $\gamma C \equiv \gamma A_i$.

Substitutional reduction:

 $\Phi \vdash \{A_1,...,A_i,...,A_n\} \hookrightarrow_{\kappa,\gamma\cdot\gamma'} \{\gamma A_1,...,\gamma A_i,...,\gamma A_n\}$, if there exists $\kappa : B_1,...,B_m \Rightarrow C \in \Phi$ such that $\gamma C \equiv \gamma A_i$.

▶ LP-TM:
$$(\Phi, \rightarrow)$$

LP-Unif: (Φ, \rightsquigarrow)
LP-Struct: $(\Phi, \rightarrow^{\mu} \cdot \hookrightarrow^{1})$

LP-Unif and LP-Struct

Question 1. What is the relation between LP-Unif and LP-Struct?

Again, the graph example

```
k1 : Connect(x, y), Connect(y, z) => Connect(x, z)
k2 : => Connect(N1, N2)
k3 : => Connect(N2, N3)
```

- Connect (N1, N3) in LP-Unif has a finite path.
- For LP-Struct:

```
\begin{split} &\left\{\text{Connect}\left(\text{N1, N3}\right)\right\} \rightarrow_{\kappa_1,[N_1/x,N_3/z]} \\ &\left\{\text{Connect}\left(\text{N1, y}\right),\; \text{Connect}\left(\text{y, N3}\right)\right\} \rightarrow_{\kappa_1,[N_1/x,y/z]} \\ &\left\{\text{Connect}\left(\text{N1, y1}\right),\; \text{Connect}\left(\text{y1, y}\right),\; \text{Connect}\left(\text{y, N3}\right)\right\} \rightarrow_{\kappa_1} \dots \end{split}
```

A Notion of Productivity

- We say a logic program is productive if → is terminating
- ▶ Productive programs allow finite observation, e.g. stream $\{Stream(cons(x,y))\}\rightarrow \{Stream(y)\}$ $\hookrightarrow \{Stream(cons(x1,y1))\}\rightarrow \{Stream(y1)\}$ $\hookrightarrow \{Stream(cons(x2,y2))\}\rightarrow \{Stream(y2)\}$ $\hookrightarrow \{Stream(cons(x3,y3))\}\rightarrow \{Stream(y3)\}...$
- There are nonproductive programs, e.g. graph

LP-Unif and LP-Struct

Question 2. Given \rightarrow is terminating, what is the relation between LP-Unif and LP-Struct?

- ▶ k1 : => P(c)
 k2 : Q(x) => P(x)
- ▶ LP-Unif: $P(x) \rightsquigarrow \emptyset$
- ▶ LP-Struct: $P(x) \rightarrow Q(x)$

Reflecting proofs into formulas

```
k1 : Connect(x, y, u1), Connect(y, z, u2)

=> Connect(x, z, k1(u1, u2))

k2 : => Connect(N1, N2, k2)

k3 : => Connect(N2, N3, k3)

LP-Struct:

{Connect(N1, N3, u)} \hookrightarrow {Connect(N1, N3, k1(u1, u2))} \rightarrow {Connect(N1, y, u1), Connect(y, N3, u2)} \hookrightarrow {Connect(N1, N2, k2), Connect(N2, N3, u2)} \rightarrow {Connect(N2, N3, k3)} \rightarrow \emptyset
```

Final Answer: [k1(k2, k3)/u]

```
k1 : \Rightarrow P(c, k1)
k2 : Q(x, u1) \Rightarrow P(x, k2(u1))
LP-Struct: P(x, u) \hookrightarrow P(c, k1) \rightarrow \emptyset
```

Question 3: How to justify the realizatibility transformation?

Use a Type System

 Girard's observation on atomic intuitionistic sequent calculus

$$\underline{\underline{A} \vdash D \quad \underline{B}, D \vdash C}_{\underline{A}, \underline{B} \vdash C} \ cut \quad \underline{\underline{B} \vdash C}_{\underline{\sigma}\underline{B} \vdash \sigma C} \ subst \quad \underline{\underline{B} \vdash A} \ axiom$$

- $\vdash Q$?
- Internalized "⊢" as "⇒"

$$\frac{(\kappa : \forall \underline{x}.F) \in \Phi}{\kappa : \forall \underline{x}.F} \text{ axiom} \qquad \frac{e : F}{e : \forall \underline{x}.F} \text{ gen}$$

$$\frac{e : \forall \underline{x}.F}{e : [t/x]F} \text{ inst} \qquad \frac{e_1 : \underline{A} \Rightarrow D \quad e_2 : \underline{B}, D \Rightarrow C}{\lambda a.\lambda b.(e_2 \ b) \ (e_1 \ a) : \underline{A}, \underline{B} \Rightarrow C} \text{ cut}$$

Some Results

- ▶ Soundness of LP-Unif If $\Phi \vdash \{A\} \leadsto_{\gamma}^* \emptyset$, then there exists a proof $e : \Rightarrow \gamma A$ given axioms Φ .
- ▶ Soundness of LP-TM If $\Phi \vdash \{A\} \rightarrow^* \emptyset$, then there exists a proof $e : \Rightarrow A$ given axioms Φ .

New! Completeness for LP-Unif

If there exists a proof $e:\Rightarrow A$ given axioms Φ , then $\Phi \vdash \{A\} \leadsto^*_{\gamma} \emptyset$ for some γ .

Realizability transformation *F* on normal proofs

- ► $F(\kappa : A_1, ..., A_m \Rightarrow B) :=$ $\kappa : A_1[y_1], ..., A_m[y_m] \Rightarrow B[f_{\kappa}(y_1, ..., y_m)]$
- $F(\lambda \underline{a}.n : A_1, ..., A_m \Rightarrow B) :=$ $\lambda \underline{a}.n : A_1[y_1], ..., A_m[y_m] \Rightarrow B[\llbracket n \rrbracket_{[y/\underline{a}]}]$

For $A \equiv P(\underline{x})$, we write $A[y] \equiv P(\underline{x}, y)$. Similarly, $A[t] \equiv P(\underline{x}, t)$

- ► Preserve Provability $\Phi \vdash n : A \Rightarrow B$ implies $F(\Phi) \vdash F(n : A \Rightarrow B)$
- ▶ Preserve Behavior of LP-Unif $\Phi \vdash \{A\} \leadsto^* \emptyset$ iff $F(\Phi) \vdash \{A[y]\} \leadsto^* \emptyset$
- ▶ Operational Equivalence of LP-Unif and LP-Struct $F(\Phi) \vdash \{A[v]\} \rightsquigarrow^* \emptyset$ iff $F(\Phi) \vdash \{A[v]\}(\rightarrow^{\mu} \cdot \hookrightarrow^1)^*\emptyset$.
- Helps to identify productive and non-overlapping programs

Summary and Future Work

- We define a type system to model LP-TM, LP-Unif and LP-Struct
- We formalize realizability transformation and show it preserves the proof content
- We show that LP-Unif and LP-Struct are operationally equivalent after the tranformation
- Future work: towards analyzing type class inference in Haskell
- Thank you!