

## On Realisability Semantics for Intersection Types with Expansion Variables

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**Abstract.** Expansion is a crucial operation for calculating principal typings in intersection type systems. Because the early definitions of expansion were complicated, *E-variables* were introduced in order to make the calculations easier to mechanise and reason about. Recently, *E-variables* have been further simplified and generalised to also allow calculating other type operators than just intersection. There has been much work on semantics for type systems with intersection types, but none whatsoever before our work, on type systems with *E-variables*. In this paper we expose the challenges of building a semantics for *E-variables* and we provide a novel solution. Because it is unclear how to devise a space of meanings for *E-variables*, we develop instead a space of meanings for types that is hierarchical. First, we index each type with a natural number and show that although this intuitively captures the use of *E-variables*, it is difficult to index the universal type  $\omega$  with this hierarchy and it is not possible to obtain completeness of the semantics if more than one *E-variable* is used. We then move to a more complex semantics where each type is associated with a list of natural numbers and establish that both  $\omega$  and an arbitrary number of *E-variables* can be represented without losing any of the desirable properties of a realisability semantics.

**Keywords:** Realisability semantics, expansion variables, intersection types, completeness

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## 1. Introduction

**Intersection types and the expansion mechanism.** Intersection types were developed in the late 1970s to type  $\lambda$ -terms that are untypable with simple types; they do this by providing a kind of finitary type polymorphism where the usages (types) of terms are listed rather than obtained by quantification. They have been useful in reasoning about the semantics of the  $\lambda$ -calculus, and have been investigated for use in static program analysis. *Expansion* was introduced at the end of the 1970s as a crucial procedure for calculating *principal typings* for  $\lambda$ -terms in type systems with intersection types, allowing support for compositional type inference. Coppo, Dezani, and Venneri [7] introduced the operation of *expansion* on *typings* (pairs of a type environment and a result type) for calculating the possible typings of a term when using intersection types. As a simple example, there exists an intersection type system  $S$  where the  $\lambda$ -term  $M = (\lambda x.x(\lambda y.yz))$  can be assigned the typing  $\Phi_1 = \langle \{z \mapsto a\}, (((a \rightarrow b) \rightarrow b) \rightarrow c) \rightarrow c \rangle$ , which happens to be its principal typing in  $S$ . The term  $M$  can also be assigned the typing  $\Phi_2 = \langle s\{z \mapsto a_1 \sqcap a_2\}, (((a_1 \rightarrow b_1) \rightarrow b_1) \sqcap ((a_2 \rightarrow b_2) \rightarrow b_2)) \rightarrow c \rangle$ , and an expansion operation can yield  $\Phi_2$  from  $\Phi_1$ .

**Expansion variables.** Because the early definitions of expansion were complicated, *E-variables* were introduced in order to make the calculations easier to mechanize and reason about. For example, in System E [5], the typing  $\Phi_1$  presented above is replaced by  $\Phi_3 = \langle \{z \mapsto ea\}, ((e((a \rightarrow b) \rightarrow b)) \rightarrow c) \rightarrow c \rangle$ , which differs from  $\Phi_1$  by the insertion of the E-variable  $e$  at two places (in both components of the  $\Phi_3$ ), and  $\Phi_2$  can be obtained from  $\Phi_3$  by substituting for  $e$  the *expansion term*  $E = (a := a_1, b := b_1) \sqcap (a := a_2, b := b_2)$ . Carrier and Wells [6] have surveyed the history of expansion and also E-variables.

**Designing a space of meanings for expansion variables.** In many kinds of semantics, a type  $T$  is interpreted by a second order function  $[T]_\nu$  that takes two parameters, the type  $T$  and also a valuation  $\nu$  that assigns to type variables the same kind of meanings that are assigned to types. To extend this idea to types with E-variables, we need to devise some space of possible meanings for E-variables. Given that a type  $eT$  can be turned by expansion into a new type  $S_1(T) \sqcap S_2(T)$ , where  $S_1$  and  $S_2$  are arbitrary substitutions (which can themselves introduce expansions), and that this can introduce an unbound number of new variables (both E-variables and regular type variables), the situation is complicated. Because it is unclear how to devise a space of meanings for expansions and E-variables, we instead restrict ourselves to E-variables and develop a space of meanings for types that is hierarchical in the sense that we can split it w.r.t. a certain concept of degree. Although this idea is not perfect, it seems to go quite far in giving an intuition for E-variables, namely that each E-variable occurring in a typing associated with a  $\lambda$ -term, acts as a capsule that isolates parts of the  $\lambda$ -term. As future work, we wish to come up with a higher order function that interprets types involving expansion terms by sets of  $\lambda$ -terms. We believe this function would help regarding the substitution mechanism introduced by expansion in terms of  $\lambda$ -expressions.

**Our semantic approach.** The semantic approach we use in the current document is a realisability semantics in the sense that it is derived from Kreisel's modified realisability and its variants, where "a formula  $x$  realizes  $A$ " can be defined in a completely straightforward way: the type of the variable  $x$  is determined by the logical form of  $A$  [25],  $x$  being the code of a function. Our semantics is strongly related to the semantic argument used in reducibility methods as used and developed by Tait [26] and many others after him [23, 22, 13, 12, 14, 15]. Atomic types (e.g., type variables) are interpreted as *saturated* sets of  $\lambda$ -terms, meaning that they are closed under  $\beta$ -expansion (the inverse of  $\beta$ -reduction). Arrow types are interpreted by function spaces (see the semantics provided by Scott in the open problems

published in the proceedings of the Lecture Notes in Computer Science symposium held in 1975 [4]) and intersection types are interpreted by set intersections. Such a realisability semantics allows one to prove *soundness* w.r.t. a type system  $S$ , i.e., the meaning of a type  $T$  contains all closed  $\lambda$ -terms that can be assigned  $T$  in  $S$ . This has been shown useful for characterising the behaviour of typed  $\lambda$ -terms [23]. One also wants to show the converse of soundness which is called *completeness*, i.e., every closed  $\lambda$ -term in the meaning of  $T$  can be assigned  $T$  in  $S$ .

**Completeness results.** Hindley [17, 18, 19] was one of the first to investigate such completeness results for a simple type system and he showed that all the types of that system have the completeness property. He then generalised his completeness proof to an intersection type system [16]. Using his completeness theorem based on saturated sets of  $\lambda$ -terms w.r.t.  $\beta\eta$ -equivalence, Hindley showed that simple types were “realised”<sup>1</sup> by all and only the  $\lambda$ -terms which are typable by these types. Note that Hindley’s completeness theorems were established with the sets of  $\lambda$ -terms saturated by  $\beta\eta$ -equivalence. In the present document, our completeness result depends only on the weaker requirement of  $\beta$ -equivalence, and we have managed to make simpler proofs that avoid needing  $\eta$ -reduction, confluence, or SN (although we do establish both confluence and SN for both  $\beta$  and  $\beta\eta$ ).

**Similar approaches to type interpretation.** Recent works on realisability related to ours include that by Labib-Sami [24], Farkh and Nour [11], and Coquand [9], although none of this work deals with intersection types or E-variables. Similar work on realisability dealing with intersection types includes that by Kamareddine and Nour [21], which gives a sound and complete realisability semantics w.r.t. an intersection type system. This system does not deal with E-variables and is therefore different from the three hierarchical systems presented in this document. The main difference is the hierarchies which did not exist in Kamareddine and Nour’s document [21].

**Towards a semantics of expansion.** Initially, we aimed to give a realisability semantics for a system of expansions proposed by Carlier and Wells [6]. In order to simplify our study, we considered the system with expansion variables but without the expansion rewriting rules (without the expansion mechanism). In essence, this meant that the type syntax was:  $T \in \text{Ty} ::= a \mid \omega \mid T_1 \rightarrow T_2 \mid T_1 \sqcap T_2 \mid eT$  where  $a$  is a type variable ranging over a countably infinite type variable set  $\text{TyVar}$  and  $e$  is an expansion variable ranging over a countably infinite expansion variable set  $\text{ExpVar}$ , and that the typing rules were as follows:

$$\begin{array}{c} \frac{}{x : \langle \{x \mapsto T\} \vdash T \rangle} \text{ (var)} \\ \frac{M : \langle \Gamma \uplus \{x \mapsto T_1\} \vdash T_2 \rangle}{\lambda x.M : \langle \Gamma \vdash T_1 \rightarrow T_2 \rangle} \text{ (abs)} \\ \frac{M : \langle \Gamma_1 \vdash T_1 \rangle \quad M : \langle \Gamma_2 \vdash T_2 \rangle}{M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash T_1 \sqcap T_2 \rangle} \text{ (\sqcap)} \end{array} \qquad \begin{array}{c} \frac{}{M : \langle \emptyset \vdash \omega \rangle} \text{ (\omega)} \\ \frac{M_1 : \langle \Gamma_1 \vdash T_1 \rightarrow T_2 \rangle \quad M_2 : \langle \Gamma_2 \vdash T_1 \rangle}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash T_2 \rangle} \text{ (app)} \\ \frac{M : \langle \Gamma \vdash T \rangle}{M : \langle e\Gamma \vdash eT \rangle} \text{ (e-app)} \end{array}$$

To provide a realisability semantics for this system, we needed to define the interpretation of a type to be a set of terms having this type. For our semantics to be informative on expansion variables, we needed to distinguish between the interpretation of  $T$  and  $eT$ . However, in the typing rule (e-app)

<sup>1</sup>We say that a  $\lambda$ -term  $M$  “realises” a type  $T$  if  $M$  is in  $T$ ’s interpretation. Hindley’s semantics is not a realisability semantics but it bears some resemblance with modified realisability. One of Hindley’s semantics is called “the simple semantics” and is based on the concept of model of the untyped  $\lambda$ -calculus [20]. Our type interpretation is also similar to Hindley’s [16].

presented above, the term  $M$  is unchanged and this poses difficulties. For this reason, we modified slightly the above type system by indexing the terms of the  $\lambda$ -calculus giving us the following syntax of terms:  $M ::= x^i \mid (MN) \mid (\lambda x^i.M)$  (where  $M$  and  $N$  need to satisfy a certain condition before  $(MN)$  is allowed to be a term) and by slightly changing our type rules and in particular rule (e-app):

$$\frac{M : \langle \Gamma \vdash U \rangle}{M^+ : \langle e\Gamma \vdash eU \rangle} \text{ (e-app)}$$

In this new (e-app) rule,  $M^+$  is  $M$  where all the indices are increased by 1. Obviously these indices needed a revision regarding  $\beta$ -reduction and the typing rules in order to preserve the desirable properties of the type system and the realisability semantics. For this, we defined the good terms and the good types and showed that these notions go hand in hand (e.g., good types can only be assigned to good terms).

We developed a realisability semantics where each use of an E-variable in a type corresponds to an index at which evaluation occurs in the  $\lambda$ -terms that are assigned the type. This was an elegant solution that captured the intuition behind E-variables. However, in order for this new type system to behave well, it was necessary to consider  $\lambda I$ -terms only (removing a subterm from  $M$  also removes important information about  $M$  as in the reduction  $(\lambda x.y)M \rightarrow_{\beta} y$  where  $M$  is thrown away). It was also necessary to drop the universal type  $\omega$  completely. This led us to the introduction of the  $\lambda I^{\mathbb{N}}$ -calculus and to our first type system  $\vdash_1$  for which we developed a sound realisability semantics for E-variables.

However, although the first type system  $\vdash_1$  is crucial to understand the intuition behind our indexed calculus, the realisability semantics we proposed was not complete w.r.t.  $\vdash_1$  (subject reduction does not hold either). For this reason, we modified our system  $\vdash_1$  by considering a smaller set of types (where intersections and expansions cannot occur directly to the right of an arrow), and by adding subtyping rules. This new type system  $\vdash_2$  has subject reduction. Our semantics turned out to be sound w.r.t.  $\vdash_2$ . As for completeness, we needed to limit the list of expansion variables to a single element list. This completeness issue for  $\vdash_2$  comes from the fact that the natural numbers as indexes do not allow one to differentiate between the types  $e_1T$  and  $e_2T$  if  $e_1 \neq e_2$ . Again, we were forced to revise our type system. We decided to restrict our  $\lambda$ -terms by indexing them by lists of natural numbers (where each natural number represents a difference expansion variable). We updated the type system  $\vdash_2$  in consequence to obtain the type system  $\vdash_3$  based among other things on the following new (e-app) rule:

$$\frac{M : \langle \Gamma \vdash U \rangle}{M^{+i} : \langle e\Gamma \vdash eU \rangle} \text{ (e-app)}$$

where  $i$  is the natural number associated with the expansion variable  $e$  and where  $M^{+i}$  is  $M$  where all the lists of natural numbers are augmented with  $i$ . This new rule (e-app) allows us to distinguish the interpretations of the types  $e_1T$  and  $e_2T$  when  $e_1 \neq e_2$ . Furthermore, our  $\lambda$ -terms are constructed in such a way that  $K$ -reductions do not limit the information on the reduced terms (as in the  $\lambda I^{\mathbb{N}}$ -calculus,  $\beta$ -reduction is not always allowed, and in addition we impose further restriction on applications and abstractions). In order to obtain completeness in presence of the  $\omega$ -rule, we also consider  $\omega$  indexed by lists. This means that the new calculus becomes rather heavy but this seems unavoidable. It is needed to obtain a complete realisability semantics where an arbitrary (possibly infinite) number of expansion variables is allowed and where  $\omega$  is present. The use of lists complicates matters and hence, needs to be understood in the context of the first semantics where indices are natural numbers rather than lists of natural numbers. In addition to the above, we consider three saturation notions (in line with the literature) illustrating that these notions behave well in our complete realisability semantics.

**Road map.** Sec. 2.1 gives the syntax of the indexed calculi considered in this document: the  $\lambda I^{\mathbb{N}}$ -calculus, which is the  $\lambda I$ -calculus with each variable annotated by a natural number called a *degree* or *index*, and the  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ -calculus which is the full  $\lambda$ -calculus (where K-redexes are allowed) indexed with finite sequences of natural numbers. We show the confluence of  $\beta$ ,  $\beta\eta$  and weak head  $h$ -reduction on our indexed  $\lambda$ -calculi. Sec. 2.2 introduces the syntax and terminology for types used in both indexed calculi. Sec. 2.3 introduces our three intersection type systems with E-variables  $\vdash_i$  for  $i \in \{1, 2, 3\}$ , where in the first one, the syntax of types is not restricted (and hence subject reduction fails) but in the other two it is restricted but then the systems are extended with a subtyping relation. In Sec. 2.4.1 and Sec. 2.4.2 we study the properties of our three type systems including subject reduction and expansion with respect to our various reduction relations  $(\beta, \beta\eta, h)$ . Sec. 3.1 introduces our realisability semantics and show its soundness w.r.t. each of the three considered type systems (and for each reduction relation). Sec. 3.2 discusses the challenges of showing completeness of the realisability semantics designed for the first two systems. We show that completeness does not hold for the first system and that it also does not hold for the second system if more than one expansion variable is used, but does hold for a restriction of this system to one single E-variable. This is already an important step in the study of the semantics of intersection type systems with expansion variables since a single expansion variable can be used many times and can occur nested. Sec. 3.3 establishes the completeness of a given realisability semantics w.r.t.  $\vdash_3$  by introducing a special interpretation. We conclude in Sec. 4 and proofs are presented in an Appendix.

## 2. The $\lambda I^{\mathbb{N}}$ and $\lambda^{\mathcal{L}_{\mathbb{N}}}$ calculi and associated type systems

### 2.1. The syntax of the indexed $\lambda$ -calculi

#### Definition 2.1. (Indices)

We introduce two kinds of indices: natural numbers for our first semantics and sequences of natural numbers for our second semantics. Let  $\mathcal{L}_{\mathbb{N}} = \text{tuple}(\mathbb{N})$ . We let  $I, J$ , range over indices. The metavariables  $I$  and  $J$  will range over  $\mathbb{N}$  when considering the  $\lambda I^{\mathbb{N}}$ -calculus and over  $\mathcal{L}_{\mathbb{N}}$  when considering the  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ -calculus (both these calculus are defined below). Let  $L, K, R$  range over  $\mathcal{L}_{\mathbb{N}}$ . We sometimes write  $\langle n_1, \dots, n_m \rangle$  as  $(n_1, \dots, n_m)$  or as  $(n_i)_{1 \leq i \leq m}$  or as  $(n_i)_m$ . We denote  $\emptyset$  the empty sequence of natural numbers ( $\emptyset$  stands for  $\langle \rangle$ ). Let  $::$  add an element to a sequence:  $j :: (n_1, \dots, n_m) = (j, n_1, \dots, n_m)$ . We sometimes write  $L_1 @ L_2$  as  $L_1 :: L_2$ . We define the relation  $\preceq$  and  $\succeq$  on  $\mathcal{L}_{\mathbb{N}}$  as follows:  $L_1 \preceq L_2$  (or  $L_2 \succeq L_1$ ) iff there exists  $L_3 \in \mathcal{L}_{\mathbb{N}}$  such that  $L_2 = L_1 :: L_3$ .

**Lemma 2.1.**  $\preceq$  is a partial order on  $\mathcal{L}_{\mathbb{N}}$ .

Let  $x, y, z$  range over  $\text{Var}$ , a countable infinite set of term variables (or just variables).

We define below two indexed calculi: the  $\lambda I^{\mathbb{N}}$ -calculus (whose set of terms is  $\mathcal{M}_1$  as well as  $\mathcal{M}_2$  for notational reasons) and the  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ -calculus (whose set of terms is  $\mathcal{M}_3$ ). As obvious, indices in  $\lambda I^{\mathbb{N}}$  are simple but only allow the  $I$ -part of the calculus.

We let  $M, N, P, Q, R$  range over any of  $\mathcal{M}_1, \mathcal{M}_2$ , and  $\mathcal{M}_3$  (we make explicit when a term is taken from either one of these sets). We use  $=$  for syntactic equality. We assume the usual definition of sub-terms and the usual convention for parentheses and their omission (see Barendregt [2] and Krivine [23]). We also consider in this part an extension of the function  $\text{fv}$  that gathers the indexed  $\lambda$ -term variables occurring free in terms (redefined below).

The joinability  $M \diamond N$  of terms  $M$  and  $N$  ensures that in any term in which  $M$  and  $N$  occur, each variable has a unique index (note that it is more accurate to include this as part of the simultaneous inductions in Def. 2.3 and 2.5 defining  $\mathcal{M}_1$ ,  $\mathcal{M}_2$ , and  $\mathcal{M}_3$ , but for clarity, we define it separately here).

**Definition 2.2. (Joinability  $\diamond$ )**

Let  $i \in \{1, 2, 3\}$ .

- Let  $M, N$  be terms of  $\lambda I^{\mathbb{N}}$  (resp.  $\lambda \mathcal{L}^{\mathbb{N}}$ ) and let  $\text{fv}(M)$  and  $\text{fv}(N)$  be the corresponding free variables. We say that  $M$  and  $N$  are joinable and write  $M \diamond N$  iff for all  $x \in \text{Var}$ , if  $x^{L_1} \in \text{fv}(M)$  and  $x^{L_2} \in \text{fv}(N)$  (where  $L_1, L_2 \in \mathbb{N}$  (resp.  $\in \mathcal{L}_{\mathbb{N}}$ )) then  $L_1 = L_2$ .
- If  $\overline{M} \subseteq \mathcal{M}_i$  such that  $\forall M, N \in \overline{M}. M \diamond N$ , we write  $\overline{M}$ .
- If  $\overline{M} \subseteq \mathcal{M}_i$  and  $M \in \mathcal{M}_i$  such that  $\forall N \in \overline{M}. M \diamond N$ , we write  $M \diamond \overline{M}$ .

Now we give the syntax of  $\lambda I^{\mathbb{N}}$ , an indexed version of the  $\lambda I$ -calculus where indices (which range over  $\mathbb{N}$ ) help categorise the *good terms* where the degree of a function is never larger than that of its argument. This amounts to having the full  $\lambda I$ -calculus at each index and creating new  $\lambda I$ -terms through a mixing recipe. Note that one could also define  $\lambda I^{\mathbb{N}}$  by dividing  $\text{Var}$  into a countably infinite number of sets and by defining a bijective function that associates a unique index with each of these sets. We did not choose to do so because we believe explicitly writing down indexes to be clearer.

**Definition 2.3. (The set of terms  $\mathcal{M}_1$  (also called  $\mathcal{M}_2$ ))**

The set of terms  $\mathcal{M}_1, \mathcal{M}_2$  (where  $\mathcal{M}_1 = \mathcal{M}_2$ ), the set of free variables  $\text{fv}(M)$  of  $M \in \mathcal{M}_2$  and the degree  $\text{deg}(M)$  of a term  $M$ , are defined by simultaneous induction:

- If  $x \in \text{Var}$  and  $n \in \mathbb{N}$  then  $x^n \in \mathcal{M}_2$ ,  $\text{fv}(x^n) = \{x^n\}$ , and  $\text{deg}(x^n) = n$ .
- If  $M, N \in \mathcal{M}_2$  such that  $M \diamond N$  (see Def. 2.2) then  $MN \in \mathcal{M}_2$ ,  $\text{fv}(MN) = \text{fv}(M) \cup \text{fv}(N)$  and  $\text{deg}(MN) = \min(\text{deg}(M), \text{deg}(N))$  (where  $\min$  returns the smallest of its arguments).
- If  $M \in \mathcal{M}_2$  and  $x^n \in \text{fv}(M)$  then  $\lambda x^n.M \in \mathcal{M}_2$ ,  $\text{fv}(\lambda x^n.M) = \text{fv}(M) \setminus \{x^n\}$ , and  $\text{deg}(\lambda x^n.M) = \text{deg}(M)$ .

Let  $ix \in \text{IVar}_2 ::= x^n$  and  $\text{IVar}_1 = \text{IVar}_2$ . For each  $n \in \mathbb{N}$ , let  $\mathcal{M}_2^n = \{M \in \mathcal{M}_2 \mid \text{deg}(M) = n\}$ . Note that a subterm of  $M \in \mathcal{M}_2$  is also in  $\mathcal{M}_2$ . Closed terms are defined as usual: let  $\text{closed}(M)$  be true iff  $M$  is closed, i.e., iff  $\text{fv}(M) = \emptyset$ .

Here is now the syntax of good terms in the  $\lambda I^{\mathbb{N}}$ -calculus.

**Definition 2.4. (The set of good terms  $\mathbb{M} \subset \mathcal{M}_2$ )**

1. The set of good terms  $\mathbb{M} \subset \mathcal{M}_2$  is defined by:

- If  $x \in \text{Var}$  and  $n \in \mathbb{N}$  then  $x^n \in \mathbb{M}$ .
- If  $M, N \in \mathbb{M}$ ,  $M \diamond N$ , and  $\text{deg}(M) \leq \text{deg}(N)$  then  $MN \in \mathbb{M}$ .
- If  $M \in \mathbb{M}$  and  $x^n \in \text{fv}(M)$  then  $\lambda x^n.M \in \mathbb{M}$ .

Note that a subterm of  $M \in \mathbb{M}$  is also in  $\mathbb{M}$ .

2. For each  $n \in \mathbb{N}$ , we let  $\mathbb{M}^n = \mathbb{M} \cap \mathcal{M}_2^n$

**Lemma 2.2.** 1.  $(M \in \mathbb{M} \text{ and } x^n \in \text{fv}(M))$  iff  $\lambda x^n.M \in \mathbb{M}$ .

2.  $(M_1, M_2 \in \mathbb{M}, M_1 \diamond M_2 \text{ and } \text{deg}(M_1) \leq \text{deg}(M_2))$  iff  $M_1 M_2 \in \mathbb{M}$ .

Now, we give the syntax of  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ . Note that in  $\mathcal{M}_3$ , an application  $MN$  is only allowed when  $\text{deg}(M) \preceq \text{deg}(N)$ . This restriction did not exist in  $\lambda I^{\mathbb{N}}$  (in  $\mathcal{M}_2$ 's definition). Furthermore, we only allow abstractions of the form  $\lambda x^L.M$  in  $\lambda^{\mathcal{L}_{\mathbb{N}}}$  when  $L \succeq \text{deg}(M)$  (a similar restriction holds in  $\lambda I^{\mathbb{N}}$  since it is a variant of the  $\lambda I$ -calculus). The elegance of  $\lambda I^{\mathbb{N}}$  is the ability to give the syntax of good terms, which is not obvious in  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ .

**Definition 2.5. (The set of terms  $\mathcal{M}_3$ )**

The set of terms  $\mathcal{M}_3$ , the set of free variables  $\text{fv}(M)$  and degree  $\text{deg}(M)$  of  $M \in \mathcal{M}_3$  are defined by simultaneous induction:

- If  $x \in \text{Var}$  and  $L \in \mathcal{L}_{\mathbb{N}}$  then  $x^L \in \mathcal{M}_3$ ,  $\text{fv}(x^L) = \{x^L\}$ , and  $\text{deg}(x^L) = L$ .
- If  $M, N \in \mathcal{M}_3$ ,  $\text{deg}(M) \preceq \text{deg}(N)$ , and  $M \diamond N$  (see Def. 2.2) then  $MN \in \mathcal{M}_3$ ,  $\text{fv}(MN) = \text{fv}(M) \cup \text{fv}(N)$  and  $\text{deg}(MN) = \text{deg}(M)$ .
- If  $x \in \text{Var}$ ,  $M \in \mathcal{M}_3$ , and  $L \succeq \text{deg}(M)$  then  $\lambda x^L.M \in \mathcal{M}_3$ ,  $\text{fv}(\lambda x^L.M) = \text{fv}(M) \setminus \{x^L\}$  and  $\text{deg}(\lambda x^L.M) = \text{deg}(M)$ .

Let  $ix \in \text{IVar}_3 ::= x^L$ . Note that each subterm of  $M \in \mathcal{M}_3$  is also in  $\mathcal{M}_3$ . Closed terms are defined as usual: let  $\text{closed}(M)$  be true iff  $M$  is closed, i.e., iff  $\text{fv}(M) = \emptyset$ .

In our systems, expansions change the degree of a term. Therefore we define functions to increase and decrease indexes in terms (see Def. 2.6 and Def. 2.7). Note that both the increasing and the decreasing functions are well behaved operations with respect to all that matters (free variables, reduction, joinability, substitution, etc.).

**Definition 2.6.** 1. For each  $n \in \mathbb{N}$ , let  $\mathcal{M}_2^{\geq n} = \{M \in \mathcal{M}_2 \mid \text{deg}(M) \geq n\}$  and  $\mathcal{M}_2^{> n} = \mathcal{M}_2^{\geq n+1}$ .

2. We define  $^+$  ( $\in \mathcal{M}_2 \rightarrow \mathcal{M}_2$ ) and  $^-$  ( $\in \mathcal{M}_2^{> 0} \rightarrow \mathcal{M}_2$ ) as follows:

$$\begin{array}{lll} (x^n)^+ = x^{n+1} & (M_1 M_2)^+ = M_1^+ M_2^+ & (\lambda x^n.M)^+ = \lambda x^{n+1}.M^+ \\ (x^n)^- = x^{n-1} & (M_1 M_2)^- = M_1^- M_2^- & (\lambda x^n.M)^- = \lambda x^{n-1}.M^- \end{array}$$

3. Let  $\overline{M} \subseteq \mathcal{M}_2$ . If  $\forall M \in \overline{M}. \text{deg}(M) > 0$ , we write  $\text{deg}(\overline{M}) > 0$ . Also:

$$(\overline{M})^+ = \{M^+ \mid M \in \overline{M}\} \quad \text{If } \text{deg}(\overline{M}) > 0, (\overline{M})^- = \{M^- \mid M \in \overline{M}\}$$

4. We define  $M^{-n}$  by induction on  $\text{deg}(M) \geq n > 0$ . If  $n = 0$  then  $M^{-n} = M$  and if  $n \geq 0$  then  $M^{-(n+1)} = (M^{-n})^-$ .

**Definition 2.7.** Let  $i \in \mathbb{N}$  and  $M \in \mathcal{M}_3$ .

1. For each  $L \in \mathcal{L}_{\mathbb{N}}$ , let:

$$\mathcal{M}_3^L = \{M \in \mathcal{M}_3 \mid \deg(M) = L\} \quad \mathcal{M}_3^{\geq L} = \{M \in \mathcal{M}_3 \mid \deg(M) \succeq L\}$$

2. We define  $M^{+i}$  as follows:

$$(x^L)^{+i} = x^{i::L} \quad (M_1 M_2)^{+i} = M_1^{+i} M_2^{+i} \quad (\lambda x^L.M)^{+i} = \lambda x^{i::L}.M^{+i}$$

3. If  $\deg(M) = i :: L$ , we define  $M^{-i}$  as follows:

$$(x^{i::L})^{-i} = x^L \quad (M_1 M_2)^{-i} = M_1^{-i} M_2^{-i} \quad (\lambda x^{i::L}.M)^{-i} = \lambda x^{L'.M^{-i}}$$

4. Let  $\overline{M} \subseteq \mathcal{M}_3$ . Let  $(\overline{M})^{+i} = \{M^{+i} \mid M \in \overline{M}\}$ .

Note that  $(\overline{M}_1 \cap \overline{M}_2)^{+i} = (\overline{M}_1)^{+i} \cap (\overline{M}_2)^{+i}$ .

**Definition 2.8. (Substitution, alpha conversion, compatibility, reduction)**

- Let  $M, N_1, \dots, N_n$  be terms of  $\lambda I^{\mathbb{N}}$  (resp.  $\lambda \mathcal{L}_{\mathbb{N}}$ ) and  $I_1, \dots, I_n \in \mathbb{N}$  (resp.  $\mathcal{L}_{\mathbb{N}}$ ). The simultaneous substitution  $M[x_1^{I_1} := N_1, \dots, x_n^{I_n} := N_n]$  of  $N_i$  for all free occurrences of  $x_i^{I_i}$  in  $M$ , where  $i \in \{1, \dots, n\}$ , is defined as a partial substitution satisfying these conditions:

- $\diamond \overline{M}$  where  $\overline{M} = \{M\} \cup \{N_i \mid i \in \{1, \dots, n\}\}$ .
- $\forall i \in \{1, \dots, n\}. \deg(N_i) = I_i^2$ .

We sometimes write  $M[x_1^{I_1} := N_1, \dots, x_n^{I_n} := N_n]$  as  $M[(x_i^{I_i} := N_i)_{1 \leq i \leq n}]$  (or simply  $M[(x_i^{I_i} := N_i)_n]$ ).

- In  $\lambda I^{\mathbb{N}}$  (resp.  $\lambda \mathcal{L}_{\mathbb{N}}$ ), we take terms modulo  $\alpha$ -conversion given by:  $\lambda x^I.M = \lambda y^{I'}.(M[x^I := y^{I'}])$  where  $\forall I'. y^{I'} \notin \text{fv}(M)$  (where  $I, I' \in \mathbb{N}$  (resp.  $\mathcal{L}_{\mathbb{N}}$ )).
- Let  $i \in \{1, 2, 3\}$ . We say that a relation on  $\mathcal{M}_i$  is *compatible* iff for all  $M, N, P \in \mathcal{M}_i$ :
  - (iabs): If  $M \text{ rel } N$  and  $\lambda x^I.M, \lambda x^I.N \in \mathcal{M}_i$  then  $(\lambda x^I.M) \text{ rel } (\lambda x^I.N)$ .
  - (iapp<sub>1</sub>): If  $M \text{ rel } N$  and  $MP, NP \in \mathcal{M}_i$  then  $MP \text{ rel } NP$ .
  - (iapp<sub>2</sub>): If  $M \text{ rel } N$ , and  $PM, PN \in \mathcal{M}_i$  then  $PM \text{ rel } PN$ .
- Let  $i \in \{1, 2, 3\}$ . The reduction relation  $\rightarrow_{\beta}$  on  $\mathcal{M}_i$  is defined as the least compatible relation closed under the rule:  $(\lambda x^I.M)N \rightarrow_{\beta} M[x^I := N]$  if  $\deg(N) = I$ .
- Let  $i \in \{1, 2, 3\}$ . The reduction relation  $\rightarrow_{\eta}$  on  $\mathcal{M}_i$  is defined as the least compatible relation closed under the rule:  $\lambda x^I.Mx^I \rightarrow_{\eta} M$  if  $x^I \notin \text{fv}(M)$ .
- Let  $i \in \{1, 2, 3\}$ . The weak head reduction  $\rightarrow_h$  on  $\mathcal{M}_i$  is defined as the least relation closed by rule (iapp<sub>2</sub>) presented above and also by the following rule:  $(\lambda x^I.M)N \rightarrow_h M[x^I := N]$  if  $\deg(N) = I$ .

<sup>2</sup>We can prove the following lemma: if  $\overline{M} = \{M\} \cup \{N_j \mid j \in \{1, \dots, n\}\}$  then we have  $(\diamond \overline{M})$  and  $\forall j \in \{1, \dots, n\}. \deg(N_j) = I_j$  iff  $M[x_1^{I_1} := N_1, \dots, x_n^{I_n} := N_n] \in \mathcal{M}_i$  where  $i \in \{1, 2, 3\}$ .



- Let  $\rightarrow_{\beta\eta} = \rightarrow_{\beta} \cup \rightarrow_{\eta}$ .
- For a reduction relation  $\rightarrow_r$ , we denote by  $\rightarrow_r^*$  the reflexive (w.r.t.  $\mathcal{M}_i$ ) and transitive closure of  $\rightarrow_r$ . We denote by  $\simeq_r$  the equivalence relation induced by  $\rightarrow_r^*$  (symmetric closure).

The next theorem states that reductions do not introduce new free variables and preserve the degree of a term.

**Theorem 2.1.** Let  $i \in \{1, 2, 3\}$ ,  $M \in \mathcal{M}_i$ , and  $r \in \{\beta, \beta\eta, h\}$ .

1. If  $M \rightarrow_{\eta}^* N$  then  $\text{fv}(N) = \text{fv}(M)$  and  $\text{deg}(M) = \text{deg}(N)$ .
2. If  $i = 3$  and  $M \rightarrow_r^* N$  then  $\text{fv}(N) \subseteq \text{fv}(M)$  and  $\text{deg}(M) = \text{deg}(N)$ .
3. If  $i \neq 3$  and  $M \rightarrow_{\beta}^* N$  then  $\text{fv}(M) = \text{fv}(N)$ ,  $\text{deg}(M) = \text{deg}(N)$ , and  $M \in \mathbb{M}$  iff  $N \in \mathbb{M}$ .

**Proof:**

1. By induction on  $M \rightarrow_{\eta}^* N$ . 2. Case  $r = \beta$ , by induction on  $M \rightarrow_{\beta}^* N$ . Case  $r = \beta\eta$ , by the  $\beta$  and  $\eta$  cases. Case  $r = h$ , by the  $\beta$  case. 3. By induction on  $M \rightarrow_{\beta}^* N$ .  $\square$

Normal forms are defined as usual.

**Definition 2.9. (Normal forms)**

Let  $i \in \{1, 2, 3\}$  and  $r \in \{\beta, \beta\eta, h\}$ .

- $M \in \mathcal{M}_i$  is in  $r$ -normal form if there is no  $N \in \mathcal{M}_i$  such that  $M \rightarrow_r N$ .
- $M \in \mathcal{M}_i$  is  $r$ -normalising if there is an  $N \in \mathcal{M}_i$  such that  $M \rightarrow_r^* N$  and  $N$  is in  $r$ -normal.

Finally, the indexed lambda calculi are confluent w.r.t.  $\beta$ -,  $\beta\eta$ - and  $h$ -reductions:

**Theorem 2.2. (Confluence)**

Let  $i \in \{1, 2, 3\}$ ,  $M, M_1, M_2 \in \mathcal{M}_i$ , and  $r \in \{\beta, \beta\eta, h\}$ .

1. If  $M \rightarrow_r^* M_1$  and  $M \rightarrow_r^* M_2$  then there is  $M' \in \mathcal{M}_i$  such that  $M_1 \rightarrow_r^* M'$  and  $M_2 \rightarrow_r^* M'$ .
2.  $M_1 \simeq_r M_2$  iff there is a term  $M \in \mathcal{M}_i$  such that  $M_1 \rightarrow_r^* M$  and  $M_2 \rightarrow_r^* M$ .

**Proof:**

We establish the confluence using the parallel reduction method. Full details can be found in the Appendix..  $\square$

## 2.2. The types of the indexed calculi

Let us start by defining type variables and expansion variables.

**Definition 2.10. (Type variables and expansion variables)**

We assume that  $a, b$  range over a countably infinite set of type variables  $\text{TyVar}$ , and that  $e$  ranges over a countably infinite set of expansion variables  $\text{ExpVar} = \{e_0, e_1, \dots\}$ .

With each expansion variable we associate a unique natural number which is the subscript of the expansion variable. Instead of explicitly naming the elements in  $\text{ExpVar}$ , we could also have considered a bijective function from expansion variables to natural numbers in order to associate a unique natural number with each expansion variable. We have decided not to do so for clarity reason. Our solution avoids defining an extra function.

For  $\lambda I^{\mathbb{N}}$ , we study two type systems (none of which has the  $\omega$ -type). In the first, there are no restrictions on where intersection types and expansion variables occur (see set  $\text{ITy}_1$  defined below). In the second, intersections and expansions cannot occur directly to the right of an arrow (see set  $\text{ITy}_2$  defined below).

**Definition 2.11. (Types, good types and degree of a type for  $\lambda I^{\mathbb{N}}$ )**

- The type set  $\text{ITy}_1$  is defined as follows:

$$T, U, V, W \in \text{ITy}_1 ::= a \mid U_1 \rightarrow U_2 \mid U_1 \sqcap U_2 \mid eU$$

The type sets  $\text{Ty}_2$  and  $\text{ITy}_2$  are defined as follows (note that  $\text{Ty}_2 \subseteq \text{ITy}_2 \subseteq \text{ITy}_1$ ):

$$\begin{aligned} T &\in \text{Ty}_2 & ::= & a \mid U \rightarrow T \\ U, V, W &\in \text{ITy}_2 & ::= & U_1 \sqcap U_2 \mid eU \mid T \end{aligned}$$

- We define a function  $\text{deg} (\in \text{ITy}_1 \rightarrow \mathbb{N})$  by (hence  $\text{deg}$  is also defined on  $\text{ITy}_2$ ):

$$\begin{aligned} \text{deg}(a) &= 0 & \text{deg}(U \rightarrow T) &= \min(\text{deg}(U), \text{deg}(T)) \\ \text{deg}(eU) &= \text{deg}(U) + 1 & \text{deg}(U \sqcap V) &= \min(\text{deg}(U), \text{deg}(V)) \end{aligned}$$

- We define the set  $\text{GITy}$  which is the set of good  $\text{ITy}_1$  types as follow (this also defines the set of good  $\text{ITy}_2$  types:  $\text{GITy} \cap \text{ITy}_2$ ):

$$\begin{aligned} a \in \text{TyVar} & \Rightarrow a \in \text{GITy} \\ U \in \text{GITy} \quad \wedge \quad e \in \text{ExpVar} & \Rightarrow eU \in \text{GITy} \\ U, T \in \text{GITy} \quad \wedge \quad \text{deg}(U) \geq \text{deg}(T) & \Rightarrow U \rightarrow T \in \text{GITy} \\ U, V \in \text{GITy} \quad \wedge \quad \text{deg}(U) = \text{deg}(V) & \Rightarrow U \sqcap V \in \text{GITy} \end{aligned}$$

When  $U \in \text{GITy}$ , we sometimes say that  $U$  is good.

Let  $n \leq m$ . Let  $\vec{e}_{i(n:m)}U$  or  $\vec{e}_L U$  where  $L = (i_n, \dots, i_m)$  denote  $e_{i_n} \dots e_{i_m} U$ . Also, let  $\vec{e}_{i(n:m),j} U$  denote  $e_{\langle n,j \rangle} \dots e_{\langle m,j \rangle} U$ . We consider the application of an expansion variable to a type ( $eU$ ) to have higher precedence than  $\sqcap$  which itself has higher precedence than  $\rightarrow$ . In all our type systems, we quotient types by taking  $\sqcap$  to be commutative (i.e.,  $U_1 \sqcap U_2 = U_2 \sqcap U_1$ ), associative (i.e.,  $U_1 \sqcap (U_2 \sqcap U_3) = (U_1 \sqcap U_2) \sqcap U_3$ ) and idempotent (i.e.,  $U \sqcap U = U$ ), by assuming the distributivity of expansion variables over  $\sqcap$  (i.e.,  $e(U_1 \sqcap U_2) = eU_1 \sqcap eU_2$ ). We denote  $U_n \sqcap \dots \sqcap U_m$  by  $\sqcap_{i=n}^m U$  (when  $n \leq m$ ).

The next lemma states when arrow, intersection and applications of expansion variables to types are good.

**Lemma 2.3.** 1. On  $\text{ITy}_1$  (hence on  $\text{ITy}_2$ ), we have the following:

- (a)  $(U, T \in \text{GITy and } \deg(U) \geq \deg(T)) \text{ iff } U \rightarrow T \in \text{GITy.}$
- (b)  $(U, V \in \text{GITy and } \deg(U) = \deg(V)) \text{ iff } U \sqcap V \in \text{GITy.}$
- (c)  $U \in \text{GITy iff } eU \in \text{GITy.}$

2. On  $\text{ITy}_2$ , we have in addition the following:

- (a) If  $T \in \text{Ty}_2$  then  $\deg(T) = 0$ .
- (b) If  $\deg(U) = n$  then  $U$  is of the form  $\prod_{i=1}^m \vec{e}_{j(1:n),i} V_i$  such that  $m \geq 1$  and  $\exists i \in \{1, \dots, m\}. V_i \in \text{Ty}_2$ .
- (c) If  $U \in \text{GITy}$  and  $\deg(U) = n$  then  $U$  is of the form  $\prod_{i=1}^m \vec{e}_{j(1:n),i} T_i$  such that  $m \geq 1$  and  $\forall i \in \{1, \dots, m\}. T_i \in \text{Ty}_2 \cap \text{GITy}$ .
- (d)  $U, T \in \text{GITy iff } U \rightarrow T \in \text{GITy (in } \text{ITy}_2 \text{ and } \text{ITy}_3).$

For  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ , we study a type system (with the universal type  $\omega$ ). In this type system, in order to get subject reduction and hence completeness, intersections and expansions cannot occur directly to the right of an arrow (see  $\text{ITy}_3$  below). Note that the type sets  $\text{ITy}_3$  and  $\text{Ty}_3$  defined below are far more restricted than the type sets considered for the  $\lambda^{\mathbb{N}}$ -calculus and that we do not have the luxury of giving a separate syntax for good types. Note also that the definitions of degrees and types are simultaneous (unlike for  $\text{ITy}_2$  and  $\text{Ty}_2$  where types were defined without any reference to degrees).

**Definition 2.12. (Types and degrees of types for  $\lambda^{\mathcal{L}_{\mathbb{N}}}$ )**

- We define the two sets of types  $\text{Ty}_3$  and  $\text{ITy}_3$  such that  $\text{Ty}_3 \subseteq \text{ITy}_3$ , and a function  $\deg (\in \text{ITy}_3 \rightarrow \mathcal{L}_{\mathbb{N}})$  by simultaneous induction as follows:
  - If  $a \in \text{TyVar}$  then  $a \in \text{Ty}_3$  and  $\deg(a) = \emptyset$ .
  - If  $U \in \text{ITy}_3$  and  $T \in \text{Ty}_3$  then  $U \rightarrow T \in \text{Ty}_3$  and  $\deg(U \rightarrow T) = \emptyset$ .
  - If  $L \in \mathcal{L}_{\mathbb{N}}$  then  $\omega^L \in \text{ITy}_3$  and  $\deg(\omega^L) = L$ .
  - If  $U_1, U_2 \in \text{ITy}_3$  and  $\deg(U_1) = \deg(U_2)$  then  $U_1 \sqcap U_2 \in \text{ITy}_3$  and  $\deg(U_1 \sqcap U_2) = \deg(U_1) = \deg(U_2)$ .
  - $U \in \text{ITy}_3$  and  $e_i \in \text{ExpVar}$  then  $e_i U \in \text{ITy}_3$  and  $\deg(e_i U) = i :: \deg(U)$ .

Note that  $\deg$  uses the subscript of expansion variables in order to keep track of the expansion variables contributing to the degree of a type.

- We let  $T$  range over  $\text{Ty}_3$ , and  $U, V, W$  range over  $\text{ITy}_3$ . We quotient types further by having  $\omega^L$  as a neutral (i.e.,  $\omega^L \sqcap U = U$ ). We also assume that for all  $i \geq 0$  and  $L \in \mathcal{L}_{\mathbb{N}}$ ,  $e_i \omega^L = \omega^{i::L}$ .

All our type systems use the following definition (of course within the corresponding calculus, with the corresponding indices and types):

**Definition 2.13. (Environments and typings)**

- Let  $k \in \{1, 2, 3\}$ . We define the three sets of type environments  $\text{TyEnv}_1, \text{TyEnv}_2,$  and  $\text{TyEnv}_3$  as follows:  $\Gamma, \Delta \in \text{TyEnv}_k = \text{Var}_k \rightarrow \text{ITy}_k$ . When writing environments, we sometimes write  $x : y$  instead of  $x \mapsto y$ . We sometimes write  $\{x_1^{I_1} \mapsto U_1, \dots, x_n^{I_n} \mapsto U_n\}$  as  $x_1^{I_1} : U_1, \dots, x_n^{I_n} : U_n$  or as  $(x_i^{I_i} : U_i)_n$ . We sometimes write  $()$  for the empty environment  $\emptyset$ . If  $\text{dj}(\text{dom}(\Gamma_1), \text{dom}(\Gamma_2))$ , we write  $\Gamma_1, \Gamma_2$  for  $\Gamma_1 \cup \Gamma_2$ .

- We say that  $\Gamma_1$  and  $\Gamma_2$  are joinable and write  $\Gamma_1 \diamond \Gamma_2$  iff  $(\forall x^{I_1} \in \text{dom}(\Gamma_1). x^{I_2} \in \text{dom}(\Gamma_2) \Rightarrow I_1 = I_2)$ .
- We say that  $\Gamma$  is OK and write  $\text{ok}(\Gamma)$  iff  $\forall x^I \in \text{dom}(\Gamma). \text{deg}(\Gamma(x^I)) = I$ .
- Let  $\Gamma_1 = \Gamma'_1 \uplus \Gamma''_1$  and  $\Gamma_2 = \Gamma'_2 \uplus \Gamma''_2$  such that  $\text{dj}(\text{dom}(\Gamma'_1), \text{dom}(\Gamma'_2)), \text{dom}(\Gamma'_1) = \text{dom}(\Gamma'_2)$ , and  $\forall x^I \in \text{dom}(\Gamma'_1). \text{deg}(\Gamma'_1(x^I)) = \text{deg}(\Gamma'_2(x^I))$ . We denote  $\Gamma_1 \sqcap \Gamma_2$  the type environment  $\{x^I \mapsto \Gamma'_1(x^I) \sqcap \Gamma'_2(x^I) \mid x^I \in \text{dom}(\Gamma'_1)\} \cup \Gamma''_1 \cup \Gamma''_2$ . Note that  $\text{dom}(\Gamma_1 \sqcap \Gamma_2) = \text{dom}(\Gamma_1) \cup \text{dom}(\Gamma_2)$  and that, on environments,  $\sqcap$  is commutative, associative and idempotent.
- In  $\lambda I^{\mathbb{N}}$  (i.e., on  $\text{TyEnv}_1$  and  $\text{TyEnv}_2$ ), we define the set of good type environments as follows:  $\text{GTyEnv} = \{\Gamma \mid \forall x^I \in \text{dom}(\Gamma). \Gamma(x^I) \in \text{GITy}\}$ . If  $\Gamma = (x_i^{n_i} : U_i)_m$  then let  $\text{deg}(\Gamma) = \min(n_1, \dots, n_m, \text{deg}(U_1), \dots, \text{deg}(U_m))$ . Let  $e\Gamma = \{x^{n+1} \mapsto e\Gamma(x^n) \mid x^n \in \text{dom}(\Gamma)\}$ . So  $e(\Gamma_1 \sqcap \Gamma_2) = e\Gamma_1 \sqcap e\Gamma_2$ .
- In  $\lambda^{\mathcal{L}\mathbb{N}}$  (i.e., on  $\text{TyEnv}_3$ ), if  $M \in \mathcal{M}_3$  and  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  then let  $\text{env}_M^\emptyset$  be the type environment  $(x_i^{L_i} : \omega^{L_i})_n$ . For all  $e_j \in \text{ExpVar}$ , let  $e_j\Gamma = \{x^{j::L} \mapsto e_j\Gamma(x^L) \mid x^L \in \text{dom}(\Gamma)\}$ . Note that  $e(\Gamma_1 \sqcap \Gamma_2) = e\Gamma_1 \sqcap e\Gamma_2$ . If  $\Gamma = (x_i^{L_i} : U_i)_n$  and  $s = \{L \mid \forall i \in \{1, \dots, n\}. L \preceq L_i \wedge L \preceq \text{deg}(U_i)\}$  then  $\text{deg}(\Gamma) = L$  such that  $L \in s$  and  $\forall L' \in s. L' \preceq L$ .

As we did for terms, we decrease the indexes of types and environments.

**Definition 2.14. (Degree decreasing in  $\lambda I^{\mathbb{N}}$ )**

- If  $\text{deg}(U) > 0$  then we inductively define the type  $U^-$  as follows:

$$(U_1 \sqcap U_2)^- = U_1^- \sqcap U_2^- \quad (eU)^- = U$$

If  $\text{deg}(U) \geq n$  then we inductively define the type  $U^{-n}$  as follows:

$$U^{-0} = U \quad U^{-(n+1)} = (U^{-n})^-$$

- If  $\text{deg}(\Gamma) > 0$  then let  $\Gamma^- = \{x^{n-1} \mapsto \Gamma(x^n)^- \mid x^n \in \text{dom}(\Gamma)\}$ .

If  $\text{deg}(\Gamma) \geq n$  then we inductively define the type  $\Gamma^{-n}$  as follows:

$$\Gamma^{-0} = \Gamma \quad \Gamma^{-(n+1)} = (\Gamma^{-n})^-.$$

**Definition 2.15. (Degree decreasing in  $\lambda^{\mathcal{L}\mathbb{N}}$ )**

1. If  $\text{deg}(U) \succeq L$  then  $U^{-L}$  is inductively defined as follows:

$$U^{-\emptyset} = U \quad (U_1 \sqcap U_2)^{-i::L'} = U_1^{-i::L'} \sqcap U_2^{-i::L'} \quad (e_i U)^{-i::L'} = U^{-L'}$$

We write  $U^{-i}$  instead of  $U^{-(i)}$ .

2. If  $\Gamma = (x_i^{L_i} : U_i)_m$  and  $\text{deg}(\Gamma) \succeq L$  then by definition  $\forall i \in \{1, \dots, m\}. L_i = L :: L'_i \wedge L \preceq \text{deg}(U_i)$ , and we define  $\Gamma^{-L} = (x_i^{L'_i} : U_i^{-L})_m$ . We write  $\Gamma^{-i}$  instead of  $\Gamma^{-(i)}$ .

**Figure 1** Typing rules / Subtyping rules for  $\vdash_1$  and  $\vdash_2$ 

Let  $i \in \{1, 2\}$ . In  $\vdash_1$ ,  $U$  and  $T$  range over  $\text{ITy}_1$ . In  $\vdash_2$ ,  $U$  ranges over  $\text{ITy}_2$  and  $T$  ranges only over  $\text{Ty}_2$ .

$$\begin{array}{c}
\frac{T \in \text{GITy} \quad \text{deg}(T) = n}{x^n : \langle (x^n : T) \vdash_1 T \rangle} \text{ (ax)} \quad \frac{T \in \text{GITy}}{x^0 : \langle (x^0 : T) \vdash_2 T \rangle} \text{ (ax)} \quad \frac{M : \langle \Gamma, (x^n : U) \vdash_i T \rangle}{\lambda x^n. M : \langle \Gamma \vdash_i U \rightarrow T \rangle} \text{ (}\rightarrow_1\text{)} \\
\\
\frac{M_1 : \langle \Gamma_1 \vdash_i U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_i U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i T \rangle} \text{ (}\rightarrow_E\text{)} \quad \frac{M : \langle \Gamma \vdash_i U \rangle}{M^+ : \langle e\Gamma \vdash_i eU \rangle} \text{ (exp)} \\
\\
\frac{M : \langle \Gamma_1 \vdash_i U_1 \rangle \quad M : \langle \Gamma_2 \vdash_i U_2 \rangle}{M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i U_1 \sqcap U_2 \rangle} \text{ (}\sqcap_1\text{)} \quad \frac{M : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{M : \langle \Gamma' \vdash_2 U' \rangle} \text{ (}\sqsubseteq\text{)}
\end{array}$$

The following relation  $\sqsubseteq$  is defined on  $\text{ITy}_2$ ,  $\text{TyEnv}_2$ , and  $\text{Typing}_2$ :

$$\begin{array}{c}
\frac{}{\Psi \sqsubseteq \Psi} \text{ (ref)} \quad \frac{\Psi_1 \sqsubseteq \Psi_2 \quad \Psi_2 \sqsubseteq \Psi_3}{\Psi_1 \sqsubseteq \Psi_3} \text{ (tr)} \quad \frac{U_2 \in \text{GITy} \quad \text{deg}(U_1) = \text{deg}(U_2)}{U_1 \sqcap U_2 \sqsubseteq U_1} \text{ (}\sqcap_E\text{)} \\
\\
\frac{U_1 \sqsubseteq V_1 \quad U_2 \sqsubseteq V_2}{U_1 \sqcap U_2 \sqsubseteq V_1 \sqcap V_2} \text{ (}\sqcap\text{)} \quad \frac{U_2 \sqsubseteq U_1 \quad T_1 \sqsubseteq T_2}{U_1 \rightarrow T_1 \sqsubseteq U_2 \rightarrow T_2} \text{ (}\rightarrow\text{)} \quad \frac{U_1 \sqsubseteq U_2}{eU_1 \sqsubseteq eU_2} \text{ (}\sqsubseteq_{\text{exp}}\text{)} \\
\\
\frac{U_1 \sqsubseteq U_2 \quad y^n \notin \text{dom}(\Gamma)}{\Gamma, (y^n : U_1) \sqsubseteq \Gamma, (y^n : U_2)} \text{ (}\sqsubseteq_c\text{)} \quad \frac{U_1 \sqsubseteq U_2 \quad \Gamma_2 \sqsubseteq \Gamma_1}{\Gamma_1 \vdash_2 U_1 \sqsubseteq \Gamma_2 \vdash_2 U_2} \text{ (}\sqsubseteq_{\diamond}\text{)}
\end{array}$$

### 2.3. The type systems $\vdash_1$ and $\vdash_2$ for $\lambda I^{\mathbb{N}}$ and $\vdash_3$ for $\lambda^{\mathcal{L}\mathbb{N}}$

In this section we introduce our three type systems  $\vdash_i$  for  $i \in \{1, 2, 3\}$ , our intersection type systems with expansion variables. The system  $\vdash_1$  uses the  $\text{ITy}_1$  types and the  $\text{TyEnv}_1$  type environments, and is for  $\lambda I^{\mathbb{N}}$ . The system  $\vdash_2$  uses the  $\text{ITy}_2$  types and the  $\text{TyEnv}_2$  type environments, and is for  $\lambda I^{\mathbb{N}}$ . The system  $\vdash_3$  uses the  $\text{ITy}_3$  types and the  $\text{TyEnv}_3$  type environments, and is for  $\lambda^{\mathcal{L}\mathbb{N}}$ . In  $\vdash_1$ , types are not restricted and subject reduction (SR) fails. In  $\vdash_2$ , the syntax of types is restricted (see  $\text{ITy}_2$ 's definition), and in order to guarantee SR for this type system (and hence completeness later on), we introduce a subtyping relation which allows intersection type elimination (which does not hold in the first type system). In  $\vdash_3$ , the syntax of types is restricted further (see  $\text{ITy}_3$ 's definition) so that completeness holds with an arbitrary number of expansion variables.

#### Definition 2.16. (The type systems)

Let  $i \in \{1, 2, 3\}$ . The type system  $\vdash_i$  uses the set  $\text{ITy}_i$  of Def. 2.11 (for  $i \in \{1, 2\}$ ) and 2.12 (for  $i = 3$ ). The typing rules of  $\vdash_1$  and  $\vdash_2$  are given on the left of Fig. 1<sup>3</sup>. In  $\vdash_1$ ,  $U$  and  $T$  range over  $\text{ITy}_1$ , and  $\Gamma$  range over  $\text{TyEnv}_1$ . In  $\vdash_2$ ,  $U$  range over  $\text{ITy}_2$ ,  $T$  range over  $\text{Ty}_2$ , and  $\Gamma$  range over  $\text{TyEnv}_1$ . The typing rules of  $\vdash_3$  are given on the left of Fig. 2. In both figures, the last clause makes use of a subtyping relation  $\sqsubseteq$  which is defined on  $\text{ITy}_2$  in Fig. 1 and on  $\text{ITy}_3$  in Fig. 2. These subtyping relations are extended to type environments and typings (defined below).

<sup>3</sup>The type system  $\vdash_1$  is the smallest relation closed by the rules presented on the left of Fig. 1 (and similarly for  $\vdash_2$ ).

**Figure 2** Typing rules / Subtyping rules for  $\vdash_3$  $U$  ranges over  $\text{ITy}_3$  and  $T \text{ Ty}_3$ .

$$\begin{array}{c}
\frac{}{x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle} \text{ (ax)} \qquad \frac{}{M : \langle \text{env}_M^\circ \vdash_3 \omega^{\text{deg}(M)} \rangle} \text{ (\omega)} \\
\\
\frac{M : \langle \Gamma, (x^L : U) \vdash_3 T \rangle}{\lambda x^L. M : \langle \Gamma \vdash_3 U \rightarrow T \rangle} \text{ (\rightarrow_1)} \qquad \frac{M : \langle \Gamma \vdash_3 T \rangle \quad x^L \notin \text{dom}(\Gamma)}{\lambda x^L. M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle} \text{ (\rightarrow'_1)} \\
\\
\frac{M_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_3 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle} \text{ (\rightarrow_E)} \qquad \frac{M : \langle \Gamma \vdash_3 U \rangle}{M^{+j} : \langle \mathbf{e}_j \Gamma \vdash_3 \mathbf{e}_j U \rangle} \text{ (exp)} \\
\\
\frac{M : \langle \Gamma \vdash_3 U_1 \rangle \quad M : \langle \Gamma \vdash_3 U_2 \rangle}{M : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle} \text{ (\sqcap_1)} \qquad \frac{M : \langle \Gamma \vdash_3 U \rangle \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{M : \langle \Gamma' \vdash_3 U' \rangle} \text{ (\sqsubseteq)}
\end{array}$$

The following relation  $\sqsubseteq$  is defined on  $\text{ITy}_3$ ,  $\text{TyEnv}_3$ , and  $\text{Typing}_3$ .

$$\begin{array}{c}
\frac{}{\Psi \sqsubseteq \Psi} \text{ (ref)} \qquad \frac{\Psi_1 \sqsubseteq \Psi_2 \quad \Psi_2 \sqsubseteq \Psi_3}{\Psi_1 \sqsubseteq \Psi_3} \text{ (tr)} \qquad \frac{\text{deg}(U_1) = \text{deg}(U_2)}{U_1 \sqcap U_2 \sqsubseteq U_1} \text{ (\sqcap_E)} \\
\\
\frac{U_1 \sqsubseteq V_1 \quad U_2 \sqsubseteq V_2 \quad \text{deg}(U_1) = \text{deg}(U_2)}{U_1 \sqcap U_2 \sqsubseteq V_1 \sqcap V_2} \text{ (\sqcap)} \qquad \frac{U_2 \sqsubseteq U_1 \quad T_1 \sqsubseteq T_2}{U_1 \rightarrow T_1 \sqsubseteq U_2 \rightarrow T_2} \text{ (\rightarrow)} \\
\\
\frac{U_1 \sqsubseteq U_2}{eU_1 \sqsubseteq eU_2} \text{ (\sqsubseteq_{exp})} \qquad \frac{U_1 \sqsubseteq U_2 \quad y^L \notin \text{dom}(\Gamma)}{\Gamma, y^L : U_1 \sqsubseteq \Gamma, y^L : U_2} \text{ (\sqsubseteq_c)} \qquad \frac{U_1 \sqsubseteq U_2 \quad \Gamma_2 \sqsubseteq \Gamma_1}{\Gamma_1 \vdash_3 U_1 \sqsubseteq \Gamma_2 \vdash_3 U_2} \text{ (\sqsubseteq_{\langle})}
\end{array}$$

We define the three typing sets  $\text{Typing}_1$ ,  $\text{Typing}_2$ , and  $\text{Typing}_3$  as follows:  $\Phi \in \text{Typing}_i ::= \Gamma \vdash_i U$ , where  $\Gamma \in \text{TyEnv}_i$  and  $U \in \text{ITy}_i$ .

Let  $\text{Sorts} = \cup_{i=1}^3 \{\text{Typing}_i, \text{TyEnv}_i, \text{ITy}_i\}$  and let  $\Psi$  range over  $\cup_{s \in \text{Sorts}} s$ .

We say that  $\Gamma$  is  $\vdash_i$ -legal if there exist  $M, U$  such that  $M : \langle \Gamma \vdash_i U \rangle$ .

Let  $j \in \{1, 2\}$ . Let  $\text{GTyEnv} = \{\Gamma \vdash_j U \mid \Gamma \in \text{GTyEnv} \wedge U \in \text{GITy}\}$ . If  $\Phi \in \text{GTyEnv}$  then we say that  $\Phi$  is good. Let  $\text{deg}(\Gamma \vdash_j U) = \min(\text{deg}(\Gamma), \text{deg}(U))$ .

If  $s = \{L \mid L \preceq \text{deg}(\Gamma) \wedge L \preceq \text{deg}(U)\}$  then  $\text{deg}(\Gamma \vdash_3 U) = L$  such that  $L \in s$  and  $\forall L' \in s. L' \preceq L$ .

To illustrate how our indexed type system works, we give an example:

**Example 2.1.** Let  $L_1 = (3) \preceq L_2 = (3, 2) \preceq L_3 = (3, 2, 1) \preceq L_4 = (3, 2, 1, 0)$  and let  $a, b, c, d \in \text{TyVar}$ . Consider  $M, M', U$  as follows:

$$M = \lambda x^{L_2}. \lambda y^{L_1}. (y^{L_1} (x^{L_2} \lambda u^{L_3}. \lambda v^{L_4}. (u^{L_3} (v^{L_4} v^{L_4})))) \in \mathcal{M}_3$$

$$M' = \lambda x^2. \lambda y^1. (y^1 (x^2 \lambda u^3. \lambda v^4. (u^3 (v^4 v^4)))) \in \mathcal{M}_2$$

$$U = \mathbf{e}_3(\mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d) \rightarrow (((\mathbf{e}_2 d \rightarrow a) \sqcap b) \rightarrow a)) \in \text{ITy}_2 \sqcap \text{ITy}_3$$

One can check that  $M : \langle () \vdash_3 U \rangle$  and  $M' : \langle () \vdash_2 U \rangle$ . We simply give some steps in the derivation of  $M : \langle () \vdash_3 U \rangle$  (note that the derivation of  $M' : \langle () \vdash_2 U \rangle$  only differs from the derivation of  $M : \langle () \vdash_3 U \rangle$  by replacing everywhere  $\vdash_3$  by  $\vdash_2$  and any list  $(n_1, \dots, n_k)$  by  $k$  for any  $k \geq 0$ ):

- $v^\circ v^\circ : \langle v^\circ : a \sqcap (a \rightarrow b) \vdash_3 b \rangle$
- $v^{(0)} v^{(0)} : \langle v^{(0)} : \mathbf{e}_0(a \sqcap (a \rightarrow b)) \vdash_3 \mathbf{e}_0 b \rangle$
- $u^\circ : \langle u^\circ : \mathbf{e}_0 b \rightarrow c \vdash_3 \mathbf{e}_0 b \rightarrow c \rangle$
- $u^\circ(v^{(0)} v^{(0)}) : \langle u^\circ : \mathbf{e}_0 b \rightarrow c, v^{(0)} : \mathbf{e}_0(a \sqcap (a \rightarrow b)) \vdash_3 c \rangle$
- $\lambda v^{(0)}. u^\circ(v^{(0)} v^{(0)}) : \langle u^\circ : \mathbf{e}_0 b \rightarrow c \vdash_3 \mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c \rangle$
- $\lambda u^\circ. \lambda v^{(0)}. u^\circ(v^{(0)} v^{(0)}) : \langle () \vdash_3 (\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c) \rangle$
- $\lambda u^{(1)}. \lambda v^{(1,0)}. u^{(1)}(v^{(1,0)} v^{(1,0)}) : \langle () \vdash_3 \mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rangle$
- $x^\circ : \langle x^\circ : \mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d \vdash_3 \mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d \rangle$
- $x^\circ(\lambda u^{(1)}. \lambda v^{(1,0)}. u^{(1)}(v^{(1,0)} v^{(1,0)})) : \langle x^\circ : \mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d \vdash_3 d \rangle$
- $x^{(2)}(\lambda u^{(2,1)}. \lambda v^{(2,1,0)}. u^{(2,1)}(v^{(2,1,0)} v^{(2,1,0)}))$   
 $: \langle x^{(2)} : \mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d) \vdash_3 \mathbf{e}_2 d \rangle$
- $y^\circ(x^{(2)}(\lambda u^{(2,1)}. \lambda v^{(2,1,0)}. u^{(2,1)}(v^{(2,1,0)} v^{(2,1,0)})))$   
 $: \langle x^{(2)} : \mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d), y^\circ : (\mathbf{e}_2 d \rightarrow a) \sqcap b \vdash_3 a \rangle$
- $\lambda y^\circ.(y^\circ(x^{(2)}(\lambda u^{(2,1)}. \lambda v^{(2,1,0)}. u^{(2,1)}(v^{(2,1,0)} v^{(2,1,0)}))))$   
 $: \langle x^{(2)} : \mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d) \vdash_3 ((\mathbf{e}_2 d \rightarrow a) \sqcap b) \rightarrow a \rangle$
- $\lambda x^{(2)}. \lambda y^\circ.(y^\circ(x^{(2)}(\lambda u^{(2,1)}. \lambda v^{(2,1,0)}. u^{(2,1)}(v^{(2,1,0)} v^{(2,1,0)}))))$   
 $: \langle () \vdash_3 \mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d) \rightarrow (((\mathbf{e}_2 d \rightarrow a) \sqcap b) \rightarrow a) \rangle$
- $\lambda x^{L_2}. \lambda y^{L_1}. (y^{L_1}(x^{L_2}(\lambda u^{L_3}. \lambda v^{L_4}. u^{L_3}(v^{L_4} v^{L_4}))))$   
 $: \langle () \vdash_3 \mathbf{e}_3(\mathbf{e}_2(\mathbf{e}_1((\mathbf{e}_0 b \rightarrow c) \rightarrow (\mathbf{e}_0(a \sqcap (a \rightarrow b)) \rightarrow c)) \rightarrow d) \rightarrow (((\mathbf{e}_2 d \rightarrow a) \sqcap b) \rightarrow a)) \rangle$

Let us now define our decreasing functions on the  $\text{Typing}_2$ .

**Definition 2.17.** 1. If  $U \in \text{ITy}_2$  and  $\Gamma \in \text{TyEnv}_2$  such that  $\text{deg}(\Gamma) > 0$  and  $\text{deg}(U) > 0$  then we let  $(\Gamma \vdash_2 U)^- = \Gamma^- \vdash_2 U^-$ .

2. If  $U \in \text{ITy}_3$  and  $\Gamma \in \text{TyEnv}_3$  such that  $\text{deg}(\Gamma) \succeq L$  and  $\text{deg}(U) \succeq L$  then we let  $(\Gamma \vdash_3 U)^{-L} = \Gamma^{-L} \vdash_3 U^{-L}$ .

Next we show how ordering propagates to environments and relates degrees:

**Lemma 2.4.** 1. If  $\Gamma \sqsubseteq \Gamma'$ ,  $U \sqsubseteq U'$ , and  $x^I \notin \text{dom}(\Gamma)$  then  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$  and  $\Gamma, (x^I : U) \sqsubseteq \Gamma', (x^I : U')$ .

2.  $\Gamma \sqsubseteq \Gamma'$  iff  $\Gamma = (x_i^{I_i} : U_i)_n$ ,  $\Gamma' = (x_i^{I_i} : U'_i)_n$  and  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U'_i$ .

3. Let  $j \in \{2, 3\}$ .  $\Gamma \vdash_j U \sqsubseteq \Gamma' \vdash_j U'$  iff  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ .

4. If  $U_1 \sqsubseteq U_2$  then  $\text{deg}(U_1) = \text{deg}(U_2)$  and  $U_1 \in \text{GITy} \Leftrightarrow U_2 \in \text{GITy}$ .

5. If  $\Gamma_1 \sqsubseteq \Gamma_2$  then  $\deg(\Gamma_1) = \deg(\Gamma_2)$ .
6. Let  $j \in \{2, 3\}$ . The relation  $\sqsubseteq$  is well defined on  $\text{ITy}_j \times \text{ITy}_j$ , on  $\text{TyEnv}_j \times \text{TyEnv}_j$ , and on  $\text{Typing}_j \times \text{Typing}_j$ .
7. If  $\Gamma_1, \Gamma_2 \in \text{TyEnv}_2$  and  $\Gamma_1 \sqsubseteq \Gamma_2$  then  $\Gamma_1 \in \text{GTyEnv} \Leftrightarrow \Gamma_2 \in \text{GTyEnv}$

**Proof:**

We prove 1. and 2. by induction on the derivation  $\Gamma \sqsubseteq \Gamma'$ . We prove 3. by induction on the derivation  $\Gamma \vdash_j U \sqsubseteq \Gamma' \vdash_j U'$ . We prove 4. by induction on the derivation  $U_1 \sqsubseteq U_2$ . We prove 5. by induction on the derivation  $\Gamma_1 \sqsubseteq \Gamma_2$ . We prove 6. by induction on a subtyping derivation. We prove 7. by induction on the derivation of  $\Gamma_1 \sqsubseteq \Gamma_2$ .  $\square$

The next theorem states that typings are well defined, that within a typing, degrees are well behaved and that we do not allow weakening.

**Theorem 2.3.** Let  $j \in \{1, 2, 3\}$ . We have:

1.  $\vdash_j$  is well defined on  $\mathcal{M}_j \times \text{TyEnv}_j \times \text{ITy}_j$ .
2. Let  $M : \langle \Gamma \vdash_j U \rangle$ .
  - (a)  $\deg(M) = \deg(U)$ ,  $\text{ok}(\Gamma)$ , and  $\text{dom}(\Gamma) = \text{fv}(M)$ .
  - (b) If  $j \neq 3$  then  $U \in \text{GITy}$ ,  $M \in \mathbb{M}$ ,  $\Gamma \in \text{GTyEnv}$ , and  $\deg(\Gamma) \geq \deg(M)$ .
  - (c) If  $j = 3$  then  $\deg(\Gamma) \succeq \deg(U)$ .
  - (d) If  $j = 2$  and  $\deg(U) \geq k$  then  $M^{-k} : \langle \Gamma^{-k} \vdash_2 U^{-k} \rangle$ .
  - (e) If  $j = 3$  and  $\deg(U) \succeq K$  then  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U^{-K} \rangle$ .

**Proof:**

We prove 1. and 2. by induction on the derivation  $M : \langle \Gamma \vdash_j U \rangle$ .  $\square$

Let us now present admissible typing (and subtyping) rules.

**Remark 2.1.** 1. The rule 
$$\frac{M : \langle \Gamma_1 \vdash_3 U_1 \rangle \quad M : \langle \Gamma_2 \vdash_3 U_2 \rangle}{M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U_1 \sqcap U_2 \rangle} (\sqcap'_1)$$
 is admissible

2. The rule 
$$\frac{U \in \text{GITy} \quad \deg(U) = n}{x^n : \langle (x^n : U) \vdash_2 U \rangle} (\text{ax}')$$
 is admissible

3. The rule 
$$\frac{}{x^{\deg(U)} : \langle (x^{\deg(U)} : U) \vdash_3 U \rangle} (\text{ax}'')$$
 is admissible

4. The rule 
$$\frac{}{U \sqsubseteq \omega^{\deg(U)}} (\omega')$$
 is admissible

Let us now present some results concerning the  $\omega$  type and joinability.

**Lemma 2.5.** 1. If  $M : \langle \Gamma \vdash_3 U \rangle$  then  $\Gamma \sqsubseteq \text{env}_M^\circ$



2. If  $\text{dom}(\Gamma) = \text{fv}(M)$  and  $\text{ok}(\Gamma)$  then  $M : \langle \Gamma \vdash_3 \omega^{\text{deg}(M)} \rangle$ .
3. If  $i \in \{1, 2, 3\}$ ,  $M_1 : \langle \Gamma_1 \vdash_i U_1 \rangle$  and  $M_2 : \langle \Gamma_2 \vdash_i U_2 \rangle$  then  $\Gamma_1 \diamond \Gamma_2 \Leftrightarrow M_1 \diamond M_2$ .

**Proof:**

1. Let  $\Gamma = (x_i^{L_i} : U_i)_n$  where  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  by Theorem 2.3.2a. By Remark 2.1.4,  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq \omega^{\text{deg}(U_i)}$ . By Theorem 2.3.2a,  $\text{ok}(\Gamma)$  and therefore  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = L_i$ . Finally, by Lemma 2.4.2,  $\Gamma \sqsubseteq \text{env}_M^\emptyset$ .
2. Let  $\Gamma = (x_i^{L_i} : U_i)_n$ . Then by hypotheses  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  and  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = L_i$ . By Remark 2.1.4,  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq \omega^{L_i}$ . By Lemma 2.4.2,  $\Gamma \sqsubseteq \text{env}_M^\emptyset = (x^{L_i} : \omega^{L_i})_n$ . Since by rule  $(\omega)$ ,  $M : \langle \text{env}_M^\emptyset \vdash_3 \omega^{\text{deg}(M)} \rangle$ , we have by rules  $(\sqsubseteq)$  and  $(\sqsubseteq_\emptyset)$ ,  $M : \langle \Gamma \vdash_3 \omega^{\text{deg}(M)} \rangle$ .
3.  $\Leftarrow$ ) Let  $x^{I_1} \in \text{dom}(\Gamma_1)$  and  $x^{I_2} \in \text{dom}(\Gamma_2)$  then by Theorem 2.3.2a,  $x^{I_1} \in \text{fv}(M_1)$  and  $x^{I_2} \in \text{fv}(M_2)$ . Because  $M_1 \diamond M_2$ , then  $I_1 = I_2$  and therefore  $\Gamma_1 \diamond \Gamma_2$ .  $\Rightarrow$ ) Let  $x^{I_1} \in \text{fv}(M_1)$  and  $x^{I_2} \in \text{fv}(M_2)$  then by Theorem 2.3.2a,  $x^{I_1} \in \text{dom}(\Gamma_1)$  and  $x^{I_2} \in \text{dom}(\Gamma_2)$ . Because  $\Gamma_1 \diamond \Gamma_2$ , then  $I_1 = I_2$  and therefore  $M_1 \diamond M_2$ .

□

## 2.4. Subject reduction and expansion properties of our type systems

### 2.4.1. Subject reduction and expansion properties for $\vdash_1$ and $\vdash_2$

Now we list the generation lemmas for  $\vdash_1$  and  $\vdash_2$  (for proofs see Appendix).

#### Lemma 2.6. (Generation for $\vdash_1$ )

1. If  $x^n : \langle \Gamma \vdash_1 T \rangle$  then  $\Gamma = (x^n : T)$ .
2. If  $\lambda x^n. M : \langle \Gamma \vdash_1 T_1 \rightarrow T_2 \rangle$  then  $M : \langle \Gamma, x^n : T_1 \vdash_1 T_2 \rangle$ .
3. If  $MN : \langle \Gamma \vdash_1 T \rangle$  and  $\text{deg}(T) = m$  then  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ ,  $T = \prod_{i=1}^n \vec{e}_{j(1:m),i} T_i$ ,  $n \geq 1$ ,  $M : \langle \Gamma_1 \vdash_1 \prod_{i=1}^n \vec{e}_{j(1:m),i} (T'_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma_2 \vdash_1 \prod_{i=1}^n \vec{e}_{j(1:m),i} T'_i \rangle$ .

#### Lemma 2.7. (Generation for $\vdash_2$ )

1. If  $x^n : \langle \Gamma \vdash_2 U \rangle$  then  $\Gamma = (x^n : V)$  where  $V \sqsubseteq U$ .
2. If  $\lambda x^n. M : \langle \Gamma \vdash_2 U \rangle$  and  $\text{deg}(U) = m$  then  $U = \prod_{i=1}^k \vec{e}_{j(1:m),i} (V_i \rightarrow T_i)$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ .
3. If  $MN : \langle \Gamma \vdash_2 U \rangle$  and  $\text{deg}(U) = m$  then  $U = \prod_{i=1}^k \vec{e}_{j(1:m),i} T_i$  where  $k \geq 1$ ,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ ,  $M : \langle \Gamma_1 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} (U_i \rightarrow T_i) \rangle$ , and  $N : \langle \Gamma_2 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} U_i \rangle$ .

We also show that no  $\beta$ -redexes are blocked in a typable term.

#### Remark 2.2. (No $\beta$ -redexes are blocked in typable terms)

Let  $i \in \{1, 2\}$  and  $M : \langle \Gamma \vdash_i U \rangle$ . If  $(\lambda x^n. M_1)M_2$  is a subterm of  $M$  then  $\text{deg}(M_2) = n$  and hence  $(\lambda x^n. M_1)M_2 \rightarrow_\beta M_1[x^n := M_2]$ .

**Lemma 2.8. (Substitution for  $\vdash_2$ )**

If  $M : \langle \Gamma, x^I : U \vdash_2 V \rangle$ ,  $N : \langle \Delta \vdash_2 U \rangle$  and  $M \diamond N$  then  $M[x^I := N] : \langle \Gamma \sqcap \Delta \vdash_2 V \rangle$ .

**Proof:**

By induction on the derivation  $M : \langle \Gamma, x^I : U \vdash_2 V \rangle$ . □

**Lemma 2.9. (Substitution and Subject  $\beta$ -reduction fails for  $\vdash_1$ )**

Let  $a, b, c$  be different type variables. We have:

1.  $(\lambda x^0 . x^0 x^0)(y^0 z^0) \rightarrow_\beta (y^0 z^0)(y^0 z^0)$ .
2.  $x^0 x^0 : \langle x^0 : (a \rightarrow c) \sqcap a \vdash_1 c \rangle$ .
3.  $(\lambda x^0 . x^0 x^0)(y^0 z^0) : \langle y^0 : b \rightarrow ((a \rightarrow c) \sqcap a), z^0 : b \vdash_1 c \rangle$ .
4. It is not possible that  $(y^0 z^0)(y^0 z^0) : \langle y^0 : b \rightarrow ((a \rightarrow c) \sqcap a), z^0 : b \vdash_1 c \rangle$ .

Hence, the substitution and subject  $\beta$ -reduction lemmas fail for  $\vdash_1$ .

**Proof:**

1., 2., and 3. are easy.

For 4., assume  $(y^0 z^0)(y^0 z^0) : \langle y^0 : b \rightarrow ((a \rightarrow c) \sqcap a), z^0 : b \vdash_1 c \rangle$ . By Lemma 2.6.3 twice, Theorem 2.3 and Lemma 2.6.1:

- $y^0 z^0 : \langle y^0 : b \rightarrow ((a \rightarrow c) \sqcap a), z^0 : b \vdash_1 \sqcap_{i=1}^n (T_i \rightarrow c) \rangle$  and  $n \geq 1$ .
- $y^0 : \langle y^0 : b \rightarrow ((a \rightarrow c) \sqcap a) \vdash_1 \sqcap_{i=1}^n T_i \rightarrow T_i \rightarrow c \rangle$ .
- $\sqcap_{i=1}^n T_i \rightarrow T_i \rightarrow c = b \rightarrow ((a \rightarrow c) \sqcap a)$ .

Hence, for some  $i \in \{1, \dots, n\}$ ,  $b = T_i$  and  $T_i \rightarrow c = (a \rightarrow c) \sqcap a$  which is absurd. □

Nevertheless, we show that  $\beta$  subject reduction and expansion hold in  $\vdash_2$ . This will be used in the proof of completeness (more specifically in Lemma 3.6 which is the basis of the completeness Theorem 3.1).

**Lemma 2.10. (Subject reduction and expansion for  $\vdash_2$  w.r.t.  $\beta$ )**

1. If  $M : \langle \Gamma \vdash_2 U \rangle$  and  $M \rightarrow_\beta^* N$  then  $N : \langle \Gamma \vdash_2 U \rangle$ .
2. If  $N : \langle \Gamma \vdash_2 U \rangle$  and  $M \rightarrow_\beta^* N$  then  $M : \langle \Gamma \vdash_2 U \rangle$ .

**2.4.2. Subject reduction and expansion properties for  $\vdash_3$** 

Now we list the generation lemmas for  $\vdash_3$  (for proofs see Appendix).

**Lemma 2.11. (Generation for  $\vdash_3$ )**

1. If  $x^L : \langle \Gamma \vdash_3 U \rangle$  then  $\Gamma = (x^L : V)$  and  $V \sqsubseteq U$ .
2. If  $\lambda x^L . M : \langle \Gamma \vdash_3 U \rangle$ ,  $x^L \in \text{fv}(M)$  and  $\text{deg}(U) = K$  then  $U = \omega^K$  or  $U = \sqcap_{i=1}^p \vec{\epsilon}_K(V_i \rightarrow T_i)$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $M : \langle \Gamma, x^L : \vec{\epsilon}_K V_i \vdash_3 \vec{\epsilon}_K T_i \rangle$ .

3. If  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rangle$ ,  $x^L \notin \text{fv}(M)$  and  $\text{deg}(U) = K$  then  $U = \omega^K$  or  $U = \prod_{i=1}^p \vec{\epsilon}_K(V_i \rightarrow T_i)$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. M : \langle \Gamma \vdash_3 \vec{\epsilon}_K T_i \rangle$ .
4. If  $Mx^L : \langle \Gamma, (x^L : U) \vdash_3 T \rangle$  and  $x^L \notin \text{fv}(M)$ , then  $M : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .

**Proof:**

1. By induction on the derivation  $x^L : \langle \Gamma \vdash_3 U \rangle$ .
2. By induction on the derivation  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rangle$ .
3. Same proof as that of 2.
4. By induction on the derivation  $Mx^L : \langle \Gamma, x^L : U \vdash_3 T \rangle$ .  $\square$

**Lemma 2.12. (Substitution for  $\vdash_3$ )**

If  $M : \langle \Gamma, x^L : U \vdash_3 V \rangle$ ,  $N : \langle \Delta \vdash_3 U \rangle$  and  $M \diamond N$  then  $M[x^L := N] : \langle \Gamma \sqcap \Delta \vdash_3 V \rangle$ .

**Proof:**

By induction on the derivation  $M : \langle \Gamma, x^L : U \vdash_3 V \rangle$ .  $\square$

Since  $\vdash_3$  does not allow weakening, we need the next definition since when a term is reduced, it may lose some of its free variables and hence will need to be typed in a smaller environment.

**Definition 2.18.** Let  $\Gamma \upharpoonright_s$  stand for  $s \triangleleft \Gamma$ . We write  $\Gamma \upharpoonright_M$  instead of  $\Gamma \upharpoonright_{\text{fv}(M)}$ .

Now we are ready to prove the main result of this section:

**Theorem 2.4. (Subject reduction for  $\vdash_3$ )**

If  $M : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_{\beta\eta}^* N$  then  $N : \langle \Gamma \upharpoonright_N \vdash_3 U \rangle$ .

**Proof:**

By induction on the reduction  $M \rightarrow_{\beta\eta}^* N$ .  $\square$

**Corollary 2.1.** 1. If  $M : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_{\beta}^* N$  then  $N : \langle \Gamma \upharpoonright_N \vdash_3 U \rangle$ .

2. If  $M : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_h^* N$  then  $N : \langle \Gamma \upharpoonright_N \vdash_3 U \rangle$ .

The next lemma is needed for expansion.

**Lemma 2.13.** If  $M[x^L := N] : \langle \Gamma \vdash_3 U \rangle$ ,  $\text{deg}(N) = L$ ,  $x^L \in \text{fv}(M)$ , and  $M \diamond N$  then there exist a type  $V$  and two type environments  $\Gamma_1, \Gamma_2$  such that  $\text{deg}(V) = L$ ,  $M : \langle \Gamma_1, x^L : V \vdash_3 U \rangle$ ,  $N : \langle \Gamma_2 \vdash_3 V \rangle$ , and  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ .

**Proof:**

By induction on the derivation  $M[x^L := N] : \langle \Gamma \vdash_3 U \rangle$ .  $\square$

Since more free variables might appear in the  $\beta$ -expansion of a term, the next definition gives a possible enlargement of an environment.

**Definition 2.19.** Let  $m \geq n$ ,  $\Gamma = (x_i^{L_i} : U_i)_n$  and  $X = \{x_1^{L_1}, \dots, x_m^{L_m}\}$ . We write  $\Gamma \uparrow^X$  for  $x_1^{L_1} : U_1, \dots, x_n^{L_n} : U_n, x_{n+1}^{L_{n+1}} : \omega^{L_{n+1}}, \dots, x_m^{L_m} : \omega^{L_m}$ . If  $\text{dom}(\Gamma) \subseteq \text{fv}(M)$ , we write  $\Gamma \uparrow^M$  instead of  $\Gamma \uparrow^{\text{fv}(M)}$ .

We are now ready to establish that subject  $\beta$ -expansion holds in  $\vdash_3$  (Theorem. 2.5) and that subject  $\eta$ -expansion fails (Lemma 2.14).

**Theorem 2.5. (Subject  $\beta$ -expansion holds in  $\vdash_3$ )**

If  $N : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_\beta^* N$  then  $M : \langle \Gamma \uparrow^M \vdash_3 U \rangle$ .

**Proof:**

By induction on the length of the derivation  $M \rightarrow_\beta^* N$  using the fact that if  $\text{fv}(P) \subseteq \text{fv}(Q)$  then  $(\Gamma \uparrow^P) \uparrow^Q = \Gamma \uparrow^Q$ .  $\square$

**Corollary 2.2.** If  $N : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_h^* N$  then  $M : \langle \Gamma \uparrow^M \vdash_3 U \rangle$ .

**Lemma 2.14. (Subject  $\eta$ -expansion fails in  $\vdash_3$ )**

Let  $a$  be a type variable and let  $x \neq y$ . We have:

1.  $\lambda y^\circ. \lambda x^\circ. y^\circ x^\circ \rightarrow_\eta \lambda y^\circ. y^\circ$ .
2.  $\lambda y^\circ. y^\circ : \langle () \vdash_3 a \rightarrow a \rangle$ .
3. It is not possible that:  $\lambda y^\circ. \lambda x^\circ. y^\circ x^\circ : \langle () \vdash_3 a \rightarrow a \rangle$ . Hence, subject  $\eta$ -expansion fails in  $\vdash_3$ .

**Proof:**

1. and 2. are easy. For 3., assume  $\lambda y^\circ. \lambda x^\circ. y^\circ x^\circ : \langle () \vdash_3 a \rightarrow a \rangle$ . By Lemma 2.11.2,  $\lambda x^\circ. y^\circ x^\circ : \langle (y : a) \vdash_3 a \rangle$ . Again, by Lemma 2.11.2,  $a = \omega^\circ$  or there exists  $n \geq 1$  such that  $a = \prod_{i=1}^n (U_i \rightarrow T_i)$ , absurd.  $\square$

### 3. Realisability semantics and their completeness

#### 3.1. Realisability

Crucial to a realisability semantics is the notion of a saturated set:

**Definition 3.1. (Saturated sets)**

Let  $i \in \{1, 2, 3\}$  and  $\overline{M}, \overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_i$ .

1. Let  $\overline{M}_1 \rightsquigarrow \overline{M}_2 = \{M \in \mathcal{M}_i \mid \forall N \in \overline{M}_1. M \diamond N \Rightarrow MN \in \overline{M}_2\}$ .
2. Let  $\overline{M}_1 \wr \overline{M}_2$  iff  $\forall M \in \overline{M}_1 \rightsquigarrow \overline{M}_2. \exists N \in \overline{M}_1. M \diamond N$ .
3. For  $r \in \{\beta, \beta\eta, h\}$ , let  $\text{SAT}^r = \{\overline{M} \subseteq \mathcal{M}_i \mid (M \rightarrow_r^* N \wedge N \in \overline{M}) \Rightarrow M \in \overline{M}\}$ . If  $\overline{M} \in \text{SAT}^r$  then we say that  $\overline{M}$  is  $r$ -saturated.

Saturation is closed under intersection, lifting and arrows:

**Lemma 3.1.** Let  $i \in \{1, 2, 3\}$ ,  $r \in \{\beta, \beta\eta, h\}$ , and  $\overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_i$ .

1. If  $\overline{M}_1, \overline{M}_2$  are  $r$ -saturated sets then  $\overline{M}_1 \cap \overline{M}_2$  is  $r$ -saturated.
2. If  $\overline{M}_1 \subseteq \mathcal{M}_2$  is  $r$ -saturated then  $\overline{M}_1^+$  is  $r$ -saturated.

3. If  $\overline{M}_1 \subseteq \mathcal{M}_3$  is  $r$ -saturated then  $\overline{M}_1^{+i}$  is  $r$ -saturated.
4. If  $\overline{M}_2$  is  $r$ -saturated then  $\overline{M}_1 \rightsquigarrow \overline{M}_2$  is  $r$ -saturated.
5. If  $\overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_2$  then  $(\overline{M}_1 \rightsquigarrow \overline{M}_2)^+ \subseteq \overline{M}_1^+ \rightsquigarrow \overline{M}_2^+$ .
6. If  $\overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_3$  then  $(\overline{M}_1 \rightsquigarrow \overline{M}_2)^{+i} \subseteq \overline{M}_1^{+i} \rightsquigarrow \overline{M}_2^{+i}$ .
7. Let  $\overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_2$ . If  $\overline{M}_1^+ \wr \overline{M}_2^+$ , then  $\overline{M}_1^+ \rightsquigarrow \overline{M}_2^+ \subseteq (\overline{M}_1 \rightsquigarrow \overline{M}_2)^+$ .
8. Let  $\overline{M}_1, \overline{M}_2 \subseteq \mathcal{M}_3$ . If  $\overline{M}_1^{+i} \wr \overline{M}_2^{+i}$ , then  $\overline{M}_1^{+i} \rightsquigarrow \overline{M}_2^{+i} \subseteq (\overline{M}_1 \rightsquigarrow \overline{M}_2)^{+i}$ .
9. For every  $n \in \mathbb{N}$ , the set  $\mathbb{M}^n$  is  $r$ -saturated.

The interpretations and meanings of types are crucial to a realisability semantics:

**Definition 3.2. (Interpretations and meaning of types)**

Let  $\text{Var} = \text{Var}_1 \cup \text{Var}_2$  such that  $\text{dj}(\text{Var}_1, \text{Var}_2)$  and  $\text{Var}_1, \text{Var}_2$  are both countably infinite. Let  $i \in \{1, 2, 3\}$ .

1. Let  $x \in \text{Var}_i$  and  $I$  an index. We define the following family of sets:

$$\text{VAR}_x^I = \{M \in \mathcal{M}_i \mid \exists N_1, \dots, N_n \in \mathcal{M}_i. M = x^I N_1 \dots N_n\}.$$

2. In  $\lambda I^{\mathbb{N}}$ , let  $r = \beta$  and  $I_0 = 0$ . In  $\lambda^{\mathcal{L}\mathbb{N}}$ , let  $r \in \{\beta, \beta\eta, h\}$  and  $I_0 = \emptyset$ .

- (a) An  $r_i$ -interpretation  $\mathcal{I}$  is a function in  $\text{TyVar} \rightarrow \mathbb{P}(\mathcal{M}_i^{I_0})$  such that for all  $a \in \text{TyVar}$ :

$$\mathcal{I}(a) \in \text{SAT}^r \quad \forall x \in \text{Var}_1. \text{VAR}_x^{I_0} \subseteq \mathcal{I}(a) \quad \text{In } \lambda I^{\mathbb{N}}, \mathcal{I}(a) \subseteq \mathbb{M}^0$$

- (b) We extend  $\mathcal{I}$  to  $\text{ITy}_1$  in case of  $\lambda I^{\mathbb{N}}$  and to  $\text{ITy}_3$  in case of  $\lambda^{\mathcal{L}\mathbb{N}}$  as follows:

$$\begin{array}{lll} \text{In } \lambda I^{\mathbb{N}} \text{ and } \lambda^{\mathcal{L}\mathbb{N}}: & \mathcal{I}(U_1 \sqcap U_2) = \mathcal{I}(U_1) \cap \mathcal{I}(U_2) & \mathcal{I}(U \rightarrow T) = \mathcal{I}(U) \rightsquigarrow \mathcal{I}(T) \\ \text{In } \lambda I^{\mathbb{N}}: & \mathcal{I}(eU) = \mathcal{I}(U)^+ & \\ \text{In } \lambda^{\mathcal{L}\mathbb{N}}: & \mathcal{I}(e_i U) = \mathcal{I}(U)^{+i} & \mathcal{I}(\omega^L) = \mathcal{M}_3^L \end{array}$$

Let  $\text{Interp}^{r_i} = \{\mathcal{I} \mid \mathcal{I} \text{ is a } r_i\text{-interpretation}\}^4$ .

- (c) Let  $U \in \text{ITy}_i$ . We define  $[U]_{r_i}$ , the  $r_i$ -interpretation of  $U$  as follows:

$$[U]_{r_i} = \{M \in \mathcal{M}_i \mid \text{closed}(M) \wedge M \in \bigcap_{\mathcal{I} \in \text{Interp}^{r_i}} \mathcal{I}(U)\}$$

Because  $\cap$  is commutative, associative, idempotent,  $(\overline{M}_1 \cap \overline{M}_2)^+ = \overline{M}_1^+ \cap \overline{M}_2^+$  in  $\lambda I^{\mathbb{N}}$ ,  $(\overline{M}_1 \cap \overline{M}_2)^{+i} = \overline{M}_1^{+i} \cap \overline{M}_2^{+i}$  in  $\lambda^{\mathcal{L}\mathbb{N}}$ , and  $\mathcal{I}$  is well defined.

Type interpretations are saturated and interpretations of good types contain only good terms.

**Lemma 3.2.** Let  $r \in \{\beta, \beta\eta, h\}$ . Let  $i \in \{1, 2, 3\}$ .

1. (a) For all  $U \in \text{ITy}_i$  and  $\mathcal{I} \in \text{Interp}^{r_i}$ , we have  $\mathcal{I}(U) \in \text{SAT}^r$ .

<sup>4</sup>We effectively define five interpretation sets  $\text{Interp}^{\beta_1}$ ,  $\text{Interp}^{\beta_2}$ ,  $\text{Interp}^{\beta_3}$ ,  $\text{Interp}^{\beta\eta_3}$ , and  $\text{Interp}^{h_3}$

(b) If  $\deg(U) = L$  and  $\mathcal{I} \in \text{Interp}^{r_3}$  then  $\forall x \in \text{Var}_1. \text{VAR}_x^L \subseteq \mathcal{I}(U) \subseteq \mathcal{M}_3^L$ .

(c) On  $\text{ITy}_1$  (hence also on  $\text{ITy}_2$ ), if  $U \in \text{GITy}$ ,  $\deg(U) = n$ , and  $\mathcal{I} \in \text{Interp}^{r_2}$  then  $\forall x \in \text{Var}_1. x^n \in \text{VAR}_x^n \subseteq \mathcal{I}(U) \subseteq \mathbb{M}^n$ .

2. Let  $i \in \{2, 3\}$ . If  $\mathcal{I} \in \text{Interp}^{r_i}$  and  $U \sqsubseteq V$  then  $\mathcal{I}(U) \subseteq \mathcal{I}(V)$ .

**Proof:**

1a . By induction on  $U$  using Lemma 3.1. 1b. By induction on  $U$ . 1c. By definition,  $x^n \in \text{VAR}_x^n$ . We prove  $\text{VAR}_x^n \subseteq \mathcal{I}(U) \subseteq \mathbb{M}^n$  by induction on  $U \in \text{GITy}$ . 2. By induction of the derivation  $U \sqsubseteq V$ .  $\square$

**Corollary 3.1. (Meanings of good types consist of good terms)**

On  $\text{ITy}_1$  (hence also on  $\text{ITy}_2$ ), if  $U \in \text{GITy}$  such that  $\deg(U) = n$  then  $[U]_{\beta_2} \subseteq \mathbb{M}^n$ .

**Proof:**

By Lemma 3.2.1c, for any interpretation  $\mathcal{I} \in \text{Interp}^{\beta_2}$ ,  $\mathcal{I}(U) \subseteq \mathbb{M}^n$ .  $\square$

**Lemma 3.3. (Soundness of  $\vdash_1, \vdash_2$ , and  $\vdash_3$ )**

Let  $i \in \{1, 2, 3\}$ ,  $r \in \{\beta, \beta\eta, h\}$ ,  $\mathcal{I} \in \text{Interp}^{r_i}$ . If  $M : \langle (x_j^{I_j} : U_j)_n \vdash_i U \rangle$ ,  $\forall j \in \{1, \dots, n\}. N_j \in \mathcal{I}(U_j)$ , and  $\diamond\{M, N_1, \dots, N_n\}$  then  $M[(x_j^{I_j} := N_j)_n] \in \mathcal{I}(U)$ .

**Proof:**

By induction on the derivation  $M : \langle (x_j^{I_j} : U_j)_n \vdash_i U \rangle$ .  $\square$

**Corollary 3.2.** Let  $r \in \{\beta, \beta\eta, h\}$  and  $i \in \{1, 2, 3\}$ . If  $M : \langle () \vdash_i U \rangle$  then  $M \in [U]_{r_i}$ .

**Proof:**

By Lemma 3.3,  $M \in \mathcal{I}(U)$  for any  $\mathcal{I} \in \text{Interp}^{r_i}$ . By Theorem 2.3,  $\text{fv}(M) = \text{dom}(\langle () \rangle) = \emptyset$  and hence  $M$  is closed. Therefore,  $M \in [U]_{r_i}$ .  $\square$

**Lemma 3.4. (The meaning of types is closed under type operations)**

Let  $r \in \{\beta, \beta\eta, h\}$  and  $j \in \{1, 2, 3\}$ . The following hold:

1.  $[e_i U]_{r_3} = [U]_{r_3}^{+i}$  and if  $j \neq 3$  then  $[eU]_{r_j} = [U]_{r_j}^+$ .
2.  $[U \sqcap V]_{r_j} = [U]_{r_j} \cap [V]_{r_j}$ .
3. If  $U \rightarrow T \in \text{ITy}_3$  then  $\forall \mathcal{I} \in \text{Interp}^{r_3}. \mathcal{I}(U) \wr \mathcal{I}(T)$ .
4. If  $U \rightarrow T \in \text{GITy}$  then  $\forall \mathcal{I} \in \text{Interp}^{\beta_2}. \mathcal{I}(U) \wr \mathcal{I}(T)$ .
5. On  $\text{ITy}_1$  only (since  $eU \rightarrow eT \notin \text{ITy}_2$ ), we have: if  $U \rightarrow T \in \text{GITy}$  then  $[e(U \rightarrow T)]_{\beta_2} = [eU \rightarrow eT]_{\beta_2}$ .

**Proof:**

1. and 2. are easy.

3. Let  $\deg(U) = L$ ,  $M \in \mathcal{I}(U) \rightsquigarrow \mathcal{I}(T)$  and  $x \in \text{Var}_1$  such that  $\forall K. x^K \notin \text{fv}(M)$ , hence  $M \diamond x^L$  and by Lemma 3.2,  $x^L \in \mathcal{I}(U)$ .

4. Let  $\deg(U) = n$  and  $M \in \mathcal{I}(U) \rightsquigarrow \mathcal{I}(T)$ . Take  $x \in \text{Var}_1$  such that  $\forall p. x^p \notin \text{fv}(M)$ . Hence,  $M \diamond x^n$ . By Lemma 2.3,  $U \in \text{GITy}$  and by Lemma 3.2,  $x^n \in \mathcal{I}(U)$ .
5. Since  $U \rightarrow T \in \text{GITy}$  then, by Lemma 2.3,  $U, T \in \text{GITy}$  and  $\deg(U) \geq \deg(T)$ . Again by Lemma 2.3,  $eU, eT \in \text{GITy}$ ,  $\deg(eU) \geq \deg(eT)$  and  $eU \rightarrow eT \in \text{GITy}$ . Hence by 4.,  $\mathcal{I}(U)^+ \wr \mathcal{I}(T)^+$ . Thus, by Lemma 3.1.5 and Lemma 3.1.7,  $\forall \mathcal{I} \in \text{Interp}^{\beta_2}. \mathcal{I}(e(U \rightarrow T)) = \mathcal{I}(eU \rightarrow eT)$ .  $\square$

Let us now put the realisability semantics in use.

**Example 3.1.** Let  $\mathbf{a}$  and  $\mathbf{b}$  be two distinct type variables in  $\text{TyVar}$ . We define:

- $\text{id}_0 = \mathbf{a} \rightarrow \mathbf{a}$  and  $\text{id}_1 = e_1(\text{id}_0)$ .
- $\mathbf{d} = (\mathbf{a} \sqcap (\mathbf{a} \rightarrow \mathbf{b})) \rightarrow \mathbf{b}$ .
- $\text{nat}_0 = (\mathbf{a} \rightarrow \mathbf{a}) \rightarrow (\mathbf{a} \rightarrow \mathbf{a})$ ,  $\text{nat}_1 = e_1(\text{nat}_0)$ , and  $\text{nat}'_0 = (e_1 \mathbf{a} \rightarrow \mathbf{a}) \rightarrow (e_1 \mathbf{a} \rightarrow \mathbf{a})$ .

Moreover, if  $M, N$  are terms and  $n \in \mathbb{N}$ , we define  $(M)^n N$  by induction on  $n$  as follows:  $(M)^0 N = N$  and  $(M)^{m+1} N = M((M)^m N)$ .

We now illustrate our realisability semantics by providing the meaning of the types defined above:

1.  $[(\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a}]_{\beta_1} = \{M \in \mathbb{M}^0 \mid M \rightarrow_{\beta}^* \lambda y^0. y^0\}$ .
2. It is not possible that  $\lambda y^0. y^0 : \langle () \vdash_1 (\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a} \rangle$ .
3.  $\lambda y^0. y^0 : \langle () \vdash_2 (\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a} \rangle$ .
4.  $[\text{id}_0]_{\beta_3} = \{M \in \mathcal{M}_3^{\circ} \mid \text{closed}(M) \wedge M \rightarrow_{\beta}^* \lambda y^{\circ}. y^{\circ}\}$ .
5.  $[\text{id}_1]_{\beta_3} = \{M \in \mathcal{M}_3^{(1)} \mid \text{closed}(M) \wedge M \rightarrow_{\beta}^* \lambda y^{(1)}. y^{(1)}\}$ .
6.  $[\mathbf{d}]_{\beta_3} = \{M \in \mathcal{M}_3^{\circ} \mid \text{closed}(M) \wedge M \rightarrow_{\beta}^* \lambda y^{\circ}. y^{\circ} y^{\circ}\}$ .
7.  $[\text{nat}_0]_{\beta_3} = \{M \in \mathcal{M}_3^{\circ} \mid \text{closed}(M) \wedge (M \rightarrow_{\beta}^* \lambda f^{\circ}. f^{\circ} \vee (n \geq 1 \wedge M \rightarrow_{\beta}^* \lambda f^{\circ}. \lambda y^{\circ}. (f^{\circ})^n y^{\circ}))\}$ .
8.  $[\text{nat}_1]_{\beta_3} = \{M \in \mathcal{M}_3^{(1)} \mid \text{closed}(M) \wedge (M \rightarrow_{\beta}^* \lambda f^{(1)}. f^{(1)} \vee (n \geq 1 \wedge M \rightarrow_{\beta}^* \lambda f^{(1)}. \lambda x^{(1)}. (f^{(1)})^n y^{(1)}))\}$ .
9.  $[\text{nat}'_0]_{\beta_3} = \{M \in \mathcal{M}_3^{\circ} \mid \text{closed}(M) \wedge (M \rightarrow_{\beta}^* \lambda f^{\circ}. f^{\circ} \vee M \rightarrow_{\beta}^* \lambda f^{\circ}. \lambda y^{(1)}. f^{\circ} y^{(1)})\}$ .

### 3.2. Completeness challenges in $\lambda I^{\mathbb{N}}$

In this document we consider two realisability semantics of types involving E-variables. These semantics are based on a hierarchy of types and terms. Considering how expansions can introduce new substitutions, new expansions and an unbound number of new variables (type variables and E-variables), it was decided to use a hierarchy on types and terms to give meanings to expansions to represent the encapsulation of types by E-variables. An obvious (and naive) approach is to label types and terms with natural numbers. This is the hierarchy we used in  $\lambda I^{\mathbb{N}}$ . When assigning meanings to types, we ensured that each

use of an E-variable in a typing simply changes the indexes of types and terms in the typing and that each E-variable acted as a kind of capsule that isolates parts of the analysed  $\lambda$ -term in a typing. This captured the intuition behind E-variables. However, there are two issues w.r.t. this indexing: it imposes that the type  $\omega$  should have all possible indexes (which is impossible<sup>5</sup> and hence we eliminated  $\omega$  from the type systems for  $\mathcal{M}_2$ ) and it implies that the realisability semantics can only be complete when a single E-variable is used (as we will see in this section). In order to understand the challenges of the semantics of E-variables with  $\omega$  and the idea behind the hierarchy, we first studied two representative intersection type systems for the  $\lambda I$ -calculus. The restriction to  $\lambda I$  (where in every  $(\lambda x.M)$  the variable  $x$  must occur free in  $M$ ) was motivated by not supporting the  $\omega$  type while preserving the intuitive indexes made of single natural numbers. For  $\vdash_1$ , the first of these type systems, we showed that subject reduction and hence completeness do not hold.

### 3.2.1. Completeness for $\vdash_1$ fails

#### Remark 3.1. (Failure of completeness for $\vdash_1$ )

Items 1., 2., and 3. of Example 3.1 show that we can not have a completeness result (a converse of the soundness Lemma 3.3 for closed terms) for  $\vdash_1$ . To type the term  $\lambda y^0.y^0$  by the type  $(a \sqcap b) \rightarrow a$ , we need an elimination rule for  $\sqcap$  which we do not have in  $\vdash_1$ .

Note that failure of completeness for  $\vdash_1$  is related to the failure of its subject reduction. So, one might think that since  $\vdash_2$ , the second type system for  $\lambda I^{\mathbb{N}}$ , has subject reduction, its semantics is complete. This is not entirely true.

### 3.2.2. Completeness for $\vdash_2$ fails with more than one E-variable

#### Remark 3.2. (Failure of completeness for $\vdash_2$ if more than one E-variable are used)

Let  $a$  be a type variable,  $e_1$  and  $e_2$  be two distinct expansion variable, and  $\text{nat}_0'' = (e_1 a \rightarrow a) \rightarrow (e_2 a \rightarrow a)$ . Then:

1.  $\lambda f^0.f^0 \in [\text{nat}_0'']_{\beta_2}$ .
2. it is not possible that  $\lambda f^0.f^0 : \langle () \vdash_2 \text{nat}_0'' \rangle$ .

Hence  $\lambda f^0.f^0 \in [\text{nat}_0'']_{\beta_2}$  but  $\lambda f^0.f^0$  is not typable by  $\text{nat}_0''$  and we do not have completeness in the presence of more than one expansion variable.

However, we will see that we have completeness for  $\vdash_2$  if only one expansion variable is used.

### 3.2.3. Completeness for $\vdash_2$ with only one E-variable

The problem shown in remark 3.2 comes from the fact that the realisability semantics designed for  $\vdash_2$  identifies all expansion variables. In order to give a completeness theorem for  $\vdash_2$  we will, in what follows, restrict our system to only one expansion variable. In the rest of this section, we assume that the set  $\text{ExpVar}$  contains only one expansion variable  $e_1$ .

<sup>5</sup>Let us assume that that our type language contains the  $\omega$  type annotated with integers, i.e., of the form  $\omega^n$ , then we would need  $e_1 \omega^n = \omega^{n+1}$  and  $e_2 \omega^n = \omega^{n+1}$ , and finally we would have  $e_1 \omega^n = e_2 \omega^n$ .



The need of one single expansion variable is clear in item 2. of Lemma 3.5 which would fail if we use more than one expansion variable. For example, if  $e_1 \neq e_2$  then  $(e_1 a)^- = a = (e_2 a)^-$  but  $e_1 a \neq e_2 a$ . This lemma is crucial for the rest of this section and hence, a single expansion variable is also crucial.

**Lemma 3.5.** Let  $U, V \in \text{ITy}_2$  and  $\deg(U) = \deg(V) > 0$ .

1.  $e_1 U^- = U$ .
2. If  $U^- = V^-$  then  $U = V$ .

**Proof:**

1. is by induction on  $U$ . 2. goes as follows: if  $U^- = V^-$  then  $e_1 U^- = e_1 V^-$  and by 1.,  $U = V$ .  $\square$

Despite the difference in the number of considered expansion variables in the completeness proof presented in the current section and the one of Sec. 3.3, both proofs share some similarities. We still write these two proofs independently to illustrate the method and especially since the proof in the current section is far simpler. Furthermore, in the current section we only show the completeness of our semantics w.r.t.  $\beta$ -reduction.

The first step of the proof is to divide  $\{y^n \mid y \in \text{Var}_2\}$  into disjoint subset amongst types of order  $n$ .

**Definition 3.3.** Let  $U \in \text{ITy}_2$ . We define the set of variables  $\text{DVar}_U$  by induction on  $\deg(U)$ . If  $\deg(U) = 0$  then  $\text{DVar}_U$  is an infinite set  $\{y^0 \mid y \in \text{Var}_2\}$  such that if  $U \neq V$  and  $\deg(U) = \deg(V) = 0$  then  $\text{dj}(\text{DVar}_U, \text{DVar}_V)$ . If  $\deg(U) = n + 1$  then  $\text{DVar}_U = \{y^{n+1} \mid y^n \in \text{DVar}_{U^-}\}$ .

Our partition of  $\text{Var}_2$  allows useful infinite sets containing type environments that will play a crucial role in one particular type interpretation. These sets and environments are given in the next definition.

**Definition 3.4.** • Let  $\text{IPreEnv}^n = \{(y^n, U) \mid U \in \text{ITy}_2 \wedge \deg(U) = n \wedge y^n \in \text{DVar}_U\}$  and  $\text{BPreEnv}^n = \bigcup_{m \geq n} \text{IPreEnv}^m$  (where ‘‘I’’ stands for ‘‘index’’ and ‘‘B’’ stands for ‘‘bound’’). Note that  $\text{IPreEnv}^n$  and  $\text{BPreEnv}^n$  are not type environments because they are not functions.

- If  $M \in \mathcal{M}_2$  and  $U \in \text{ITy}_2$  then we write  $M : \langle \text{BPreEnv}^n \vdash_2 U \rangle$  iff there is a type environment  $\Gamma \subseteq \text{BPreEnv}^n$  where  $M : \langle \Gamma \vdash_2 U \rangle$ .

Now, for every  $n$ , we define the set of the good terms of order  $n$  which contain some free variable  $x^i$  where  $x \in \text{Var}_1$  and  $i \geq n$ .

**Definition 3.5.** Let  $\text{OPEN}^n = \{M \in \mathbb{M}^n \mid x^i \in \text{fv}(M) \wedge x \in \text{Var}_1 \wedge i \geq n\}$ .

Obviously, if  $x \in \text{Var}_1$  then  $\text{VAR}_x^n \subseteq \text{OPEN}^n$ .

Here is the crucial  $\beta_2$ -interpretation  $\mathbb{I}$  for the proof of completeness:

**Definition 3.6.** Let  $\mathbb{I}$  be the  $\beta_2$ -interpretation defined as follows: for all type variables  $a$ ,  $\mathbb{I}(a) = \text{OPEN}^0 \cup \{M \in \mathcal{M}_2^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 a \rangle\}$ .

The function  $\mathbb{I}$  is indeed a  $\beta_2$ -interpretation and the interpretation of a type of order  $n$  contains the good terms of order  $n$  which are typable in the special environments which are parts of the infinite sets of definition 3.4:

- Lemma 3.6.** 1.  $\mathbb{I}$  is a  $\beta_2$ -interpretation, i.e., for all  $a \in \text{TyVar}$ ,  $\mathbb{I}(a)$  is  $\beta$ -saturated and  $\forall x \in \text{Var}_1$ ,  $\text{VAR}_x^0 \subseteq \mathbb{I}(a) \subseteq \mathbb{M}^0$ .
2. If  $U \in \text{ITy}_2 \cap \text{GITy}$  and  $\text{deg}(U) = n$  then  $\mathbb{I}(U) = \text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U \rangle\}$ .

**Proof:**

We prove 1. by first showing that  $\mathbb{I}(a)$  is saturated: if  $M \rightarrow_\beta^* N$  then if  $N \in \text{OPEN}^0$  we prove that  $M \in \text{OPEN}^0$  and if  $N \in \{M \in \mathcal{M}_2^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 a \rangle\}$  then  $M \in \{M \in \mathcal{M}_2^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 a \rangle\}$ . We then show  $\forall x \in \text{Var}_1$ .  $\text{VAR}_x^0 \subseteq \mathbb{I}(a) \subseteq \mathbb{M}^0$ . We prove 2. by induction on  $U \in \text{GITy}$ .  $\square$

$\mathbb{I}$  is used to prove completeness (for the proof see Appendix).

**Theorem 3.1. (Completeness)**

Let  $U \in \text{ITy}_2 \cap \text{GITy}$  such that  $\text{deg}(U) = n$ . The following hold:

1.  $[U]_{\beta_2} = \{M \in \mathbb{M}^n \mid M : \langle () \vdash_2 U \rangle\}$ .
2.  $[U]_{\beta_2}$  is stable by reduction: if  $M \in [U]_{\beta_2}$  and  $M \rightarrow_\beta^* N$  then  $N \in [U]_{\beta_2}$ .
3.  $[U]_{\beta_2}$  is stable by expansion: if  $N \in [U]_{\beta_2}$  and  $M \rightarrow_\beta^* N$  then  $M \in [U]_{\beta_2}$ .

**Proof:**

The first item follows by Lemmas 3.6 and 3.3. We obtain the second item using subject reduction and the third one using subject expansion.  $\square$

**3.3. Completeness for  $\lambda^{\mathcal{L}_\mathbb{N}}$**

Having understood the challenges of E-variables and the difficulty of representing the type  $\omega$  using natural numbers as indices for the hierarchy, we moved to the presentation of indices as sequences of natural numbers and we provided our third type system  $\vdash_3$ . We developed a realisability semantics where we allow the full  $\lambda$ -calculus (i.e., where K-redexes are allowed) indexed with lists of natural numbers, an arbitrary (possibly infinite) number of expansion variables and where  $\omega$  is present, and we showed its soundness. Now, we show its completeness.

We need the following partition of the set of indexed variables  $\{y^L \mid y \in \text{Var}_2\}$ .

**Definition 3.7.** • Let  $\text{ITy}_3^L = \{U \in \text{ITy}_3 \mid \text{deg}(U) = L\}$  and  $\text{Var}^L = \{x^L \mid x \in \text{Var}_2\}$ .

- We inductively define, for every  $U \in \text{ITy}_3$ , a set of variables  $\text{DVar}_U$  as follows:
  - If  $\text{deg}(U) = \emptyset$  then:
    - \*  $\text{DVar}_U$  is an infinite set of indexed variables of degree  $\emptyset$ .
    - \* If  $U \neq V$  and  $\text{deg}(U) = \text{deg}(V) = \emptyset$  then  $\text{dj}(\text{DVar}_U, \text{DVar}_V)$ .
    - \*  $\bigcup_{U \in \text{ITy}_3^\emptyset} \text{DVar}_U = \text{Var}^\emptyset$ .
  - If  $\text{deg}(U) = i :: L$  then  $\text{DVar}_U = \{y^{i::L} \mid y^L \in \text{DVar}_{U-i}\}$ .

Therefore, if  $\text{deg}(U) = L$  then  $\text{DVar}_U = \{y^L \mid y^\emptyset \in \text{DVar}_{U-L}\}$ .

Let us now provide some simple results concerning the  $DVar_U$  sets:

- Lemma 3.7.**
1. If  $\deg(U) \succeq L$ ,  $\deg(V) \succeq L$ , and  $U^{-L} = V^{-L}$  then  $U = V$ .
  2. If  $\deg(U) = L$  then  $DVar_U$  is an infinite subset of  $Var^L$ .
  3. If  $U \neq V$  and  $\deg(U) = \deg(V) = L$  then  $\text{dj}(DVar_U, DVar_V)$ .
  4.  $\bigcup_{U \in ITy_3^L} DVar_U = Var^L$ .
  5. If  $y^L \in DVar_U$  then  $y^{i::L} \in DVar_{e_i U}$ .
  6. If  $y^{i::L} \in DVar_U$  then  $y^L \in DVar_{U^{-i}}$ .

**Proof:**

1. goes as follows: if  $L = (n_i)_m$  then we have  $U = e_{n_1} \dots e_{n_m} U'$  and  $V = e_{n_1} \dots e_{n_m} V'$ ; then  $U^{-L} = U'$ ,  $V^{-L} = V'$  and  $U' = V'$ ; thus  $U = V$ . 2., 3. and 4. are by induction on  $L$  and using 1. We obtain 5. because  $(e_i U)^{-i} = U$ . 6. is by definition.  $\square$

The set  $Var_2$  as defined above allows us to give in the next definition useful infinite sets containing type environments that will play a crucial role in one particular type interpretation.

**Definition 3.8.** • Let  $L \in \mathcal{L}_{\mathbb{N}}$ . We denote  $I\text{PreEnv}^L = \{(y^L, U) \mid U \in ITy_3^L \wedge y^L \in DVar_U\}$  and  $B\text{PreEnv}^L = \bigcup_{K \succeq L} I\text{PreEnv}^K$ . Note that  $I\text{PreEnv}^L$  and  $B\text{PreEnv}^L$  are not type environments because they are not functions.

• Let  $L \in \mathcal{L}_{\mathbb{N}}$ ,  $M \in \mathcal{M}_3$  and  $U \in ITy_3$ , we write:

- $M : \langle B\text{PreEnv}^L \vdash_3 U \rangle$  iff there exists a type environment  $\Gamma \subseteq B\text{PreEnv}^L$  such that  $M : \langle \Gamma \vdash_3 U \rangle$ .
- $M : \langle B\text{PreEnv}^L \vdash_3^* U \rangle$  iff  $M \rightarrow_{\beta\eta}^* N$  and  $N : \langle B\text{PreEnv}^L \vdash_3 U \rangle$ .

Let us now provide some results concerning the  $B\text{PreEnv}^L$  sets:

- Lemma 3.8.**
1. If  $\Gamma \subseteq B\text{PreEnv}^L$  then  $\text{ok}(\Gamma)$ .
  2. If  $\Gamma \subseteq B\text{PreEnv}^L$  then  $e_i \Gamma \subseteq B\text{PreEnv}^{i::L}$ .
  3. If  $\Gamma \subseteq B\text{PreEnv}^{i::L}$  then  $\Gamma^{-i} \subseteq B\text{PreEnv}^L$ .
  4. If  $\Gamma_1 \subseteq B\text{PreEnv}^L$ ,  $\Gamma_2 \subseteq B\text{PreEnv}^K$ , and  $L \preceq K$  then  $\Gamma_1 \cap \Gamma_2 \subseteq B\text{PreEnv}^L$ .

**Proof:**

1. is by definition. 2. and 3. are by Lemma 3.7. 4. First, by 1.,  $\Gamma_1 \cap \Gamma_2$  is well defined. Also,  $B\text{PreEnv}^K \subseteq B\text{PreEnv}^L$ . Let  $(\Gamma_1 \cap \Gamma_2)(x^{L'}) = U_1 \cap U_2$  where  $\Gamma_1(x^{L'}) = U_1$  and  $\Gamma_2(x^{L'}) = U_2$ , then  $\deg(U_1) = \deg(U_2) = L'$  and  $x^{L'} \in DVar_{U_1} \cap DVar_{U_2}$ . Hence, by Lemma 3.7.3,  $U_1 = U_2$  and  $\Gamma_1 \cap \Gamma_2 = \Gamma_1 \cup \Gamma_2 \subseteq B\text{PreEnv}^L$ .  $\square$

For every  $L \in \mathcal{L}_{\mathbb{N}}$ , we define the set of terms of degree  $L$  which contain some free variable  $x^K$  where  $x \in \text{Var}_1$  and  $K \succeq L$ .

**Definition 3.9.** For every  $L \in \mathcal{L}_{\mathbb{N}}$ , let  $\text{OPEN}^L = \{M \in \mathcal{M}_3^L \mid x^K \in \text{fv}(M) \wedge x \in \text{Var}_1 \wedge K \succeq L\}$ . It is easy to see that, for every  $L \in \mathcal{L}_{\mathbb{N}}$  and  $x \in \text{Var}_1$ ,  $\text{VAR}_x^L \subseteq \text{OPEN}^L$ .

Let us now provide some results on the  $\text{OPEN}^L$  sets:

**Lemma 3.9.** 1.  $(\text{OPEN}^L)^{+i} = \text{OPEN}^{i::L}$ .

2. If  $y \in \text{Var}_2$  and  $My^K \in \text{OPEN}^L$  then  $M \in \text{OPEN}^L$ .

3. If  $M \in \text{OPEN}^L$ ,  $M \diamond N$ , and  $L \preceq K = \text{deg}(N)$  then  $MN \in \text{OPEN}^L$ .

4. If  $\text{deg}(M) = L$ ,  $L \preceq K$ ,  $M \diamond N$ , and  $N \in \text{OPEN}^K$  then  $MN \in \text{OPEN}^L$ .

**Proof:**

Easy using Def. 3.9. □

The crucial interpretation  $\mathbb{I}$  (the three interpretations  $\mathbb{I}_{\beta\eta}$ ,  $\mathbb{I}_{\beta}$ , and  $\mathbb{I}_h$  for our three reduction relations) used in the completeness proof is given as follows:

**Definition 3.10.** 1. Let  $\mathbb{I}_{\beta\eta}$  be the  $\beta\eta_3$ -interpretation defined by: for all type variables  $a$ ,  $\mathbb{I}_{\beta\eta}(a) = \text{OPEN}^{\circ} \cup \{M \in \mathcal{M}_3^{\circ} \mid M : \langle \text{BPreEnv}^{\circ} \vdash_3^* a \rangle\}$ .

2. Let  $\mathbb{I}_{\beta}$  be the  $\beta_3$ -interpretation defined by: for all type variables  $a$ ,  $\mathbb{I}_{\beta}(a) = \text{OPEN}^{\circ} \cup \{M \in \mathcal{M}_3^{\circ} \mid M : \langle \text{BPreEnv}^{\circ} \vdash_3 a \rangle\}$ .

3. Let  $\mathbb{I}_h$  be the  $h_3$ -interpretation defined by: for all type variables  $a$ ,  $\mathbb{I}_h(a) = \text{OPEN}^{\circ} \cup \{M \in \mathcal{M}_3^{\circ} \mid M : \langle \text{BPreEnv}^{\circ} \vdash_3 a \rangle\}$ .

The next crucial lemma shows that  $\mathbb{I}$  (the three functions  $\mathbb{I}_{\beta\eta}$ ,  $\mathbb{I}_{\beta}$ , and  $\mathbb{I}_h$ ) is an interpretation and that the interpretation of a type of order  $L$  contains terms of order  $L$  which are typable in these special environments which are parts of the infinite sets of Def. 3.8.

**Lemma 3.10.** Let  $r \in \{\beta\eta, \beta, h\}$  and  $r' \in \{\beta, h\}$ .

1. If  $\mathbb{I}_r \in \text{Interp}^{r_3}$  and  $a \in \text{TyVar}$  then  $\mathbb{I}_r(a) \in \text{SAT}^r$  and  $\forall x \in \text{Var}_1$ .  $\text{VAR}_x^{\circ} \subseteq \mathbb{I}_r(a)$ .

2. If  $U \in \text{ITy}_3$  and  $\text{deg}(U) = L$  then  $\mathbb{I}_{\beta\eta}(U) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U \rangle\}$ .

3. If  $U \in \text{ITy}_3$  and  $\text{deg}(U) = L$  then  $\mathbb{I}_{r'}(U) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$ .

**Proof:**

We prove the first item by first showing that  $\mathbb{I}_r(a)$  is saturated: if  $M \rightarrow_r^* N$  then if  $N \in \text{OPEN}^{\circ}$  we prove that  $M \in \text{OPEN}^{\circ}$  and if  $N \in \{M \in \mathcal{M}_3^{\circ} \mid M : \langle \text{BPreEnv}^{\circ} \vdash_3^* a \rangle\}$  then  $M \in \{M \in \mathcal{M}_3^{\circ} \mid M : \langle \text{BPreEnv}^{\circ} \vdash_3^* a \rangle\}$ . We then show that for all  $x \in \text{Var}_1$ ,  $\text{VAR}_x^{\circ} \subseteq \text{OPEN}^{\circ} \subseteq \mathbb{I}_r(a)$ . We prove the second and third items by induction on  $U$ . □

Now, we use this crucial  $\mathbb{I}$  to establish completeness of our semantics.

**Theorem 3.2. (Completeness of  $\vdash_3$ )**

Let  $U \in \text{ITy}_3$  such that  $\text{deg}(U) = L$ .

1.  $[U]_{\beta\eta_3} = \{M \in \mathcal{M}_3^L \mid \text{closed}(M) \wedge M \rightarrow_{\beta\eta}^* N \wedge N : \langle () \vdash_3 U \rangle\}$ .
2.  $[U]_{\beta_3} = [U]_{h_3} = \{M \in \mathcal{M}_3^L \mid M : \langle () \vdash_3 U \rangle\}$ .
3.  $[U]_{\beta\eta_3}$  is stable by reduction: if  $M \in [U]_{\beta\eta_3}$  and  $M \rightarrow_{\beta\eta} N$  then  $N \in [U]_{\beta\eta_3}$ .

**Proof:**

1. Let  $M \in [U]_{\beta\eta_3}$ . Then  $M$  is closed and  $M \in \mathbb{I}_{\beta\eta}(U)$ . By Lemma 3.10.2,  $M \in \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$ . Since  $M$  is closed,  $M \notin \text{OPEN}^L$ . Hence,  $M \in \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$  and so,  $M \rightarrow_{\beta\eta}^* N$  and  $N : \langle \Gamma \vdash_3 U \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^L$ . By Theorem 2.1.2,  $N$  is closed and, by Lemma 2.3.2a,  $N : \langle () \vdash_3 U \rangle$ .

Conversely, take  $M$  closed such that  $M \rightarrow_{\beta}^* N$  and  $N : \langle () \vdash_3 U \rangle$ . Let  $\mathcal{I} \in \text{Interp}^{\beta_3}$ . By Lemma 3.3,  $N \in \mathcal{I}(U)$ . By Lemma 3.2.1,  $\mathcal{I}(U)$  is  $\beta\eta$ -saturated. Hence,  $M \in \mathcal{I}(U)$ . Thus  $M \in [U]_{\beta\eta_3}$ .

2. Let  $M \in [U]_{\beta_3}$ . Then  $M$  is closed and  $M \in \mathbb{I}_{\beta}(U)$ . By Lemma 3.10.3,  $M \in \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$ . Since  $M$  is closed,  $M \notin \text{OPEN}^L$ . Hence,  $M \in \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$  and so,  $M : \langle \Gamma \vdash_3 U \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^L$ . By Lemma 2.3.2a,  $N : \langle () \vdash_3 U \rangle$ .

Conversely, take  $M$  such that  $M : \langle () \vdash_3 U \rangle$ . By Lemma 2.3.2a,  $M$  is closed. Let  $\mathcal{I} \in \text{Interp}^{\beta_3}$ . By Lemma 3.3,  $M \in \mathcal{I}(U)$ . Thus  $M \in [U]_{\beta_3}$ .

It is easy to see that  $[U]_{\beta_3} = [U]_{h_3}$ .

3. Let  $M \in [U]_{\beta\eta_3}$  and  $M \rightarrow_{\beta\eta} N$ . By 1.,  $M$  is closed,  $M \rightarrow_{\beta\eta}^* P$ , and  $P : \langle () \vdash_3 U \rangle$ . By confluence Theorem 2.2, there is  $Q$  such that  $P \rightarrow_{\beta\eta}^* Q$  and  $N \rightarrow_{\beta\eta}^* Q$ . By subject reduction Theorem 2.4,  $Q : \langle () \vdash_3 U \rangle$ . By Theorem 2.1.2,  $N$  is closed and, by 1.,  $N \in [U]_{\beta\eta_3}$ . □

## 4. Conclusion and future work

Expansion may be viewed to work like a multi-layered simultaneous substitution. Moreover, expansion is a crucial part of a procedure for calculating principal typings and helps support compositional type inference. Because the early definitions of expansion were complicated, expansion variables (E-variables) were introduced to simplify and mechanize expansion. The aim of this document is to give a complete semantics for intersection type systems with expansion variables.

We studied first the  $\lambda I^{\mathbb{N}}$ -calculus, an indexed version of the  $\lambda I$ -calculus. This indexed version was typed using first a basic intersection type system with expansion variables but without an intersection

elimination rule, and then using an intersection type system with expansion variables and an elimination rule.

We gave a realisability semantics for both type systems showing that the first type system is not complete in the sense that there are types whose semantics is not the set of  $\lambda I^{\mathbb{N}}$ -terms having this type. In particular, we showed that  $\lambda y^0.y^0$  is in the semantics of  $(a \sqcap b) \rightarrow a$  but that it is not possible to give  $\lambda y^0.y^0$  the type  $(a \sqcap b) \rightarrow a$  in the type system  $\vdash_1$  (see Example 3.1 in Ch. 3.1). The main reason for the failure of completeness in the first system is associated with the failure of the subject reduction property for this first type system. Hence, we moved to the second system which we showed to have the desirable properties of subject reduction and expansion and strong normalisation. However, for this second system, we showed again that completeness fails if we use more than one expansion variable but that completeness succeeds if we restrict the system to a single expansion variable.

In order to overcome the problems of completeness, we changed our realisability semantics from one which uses natural numbers as indices to one that uses lists of natural numbers as indices. The new semantics is more complex and we lose the elegance of the first (especially in being able to define the good terms and good types). However, we consider a third type system for this new indexed calculus and we show that it has all the desirable properties of a type system and it handles all of the  $\lambda$ -calculus (not simply the  $\lambda I$ -calculus). We also show that this second semantics is complete when any number (including infinite) of expansion variables is used w.r.t. our third type system. As far as we know, our work constitutes the first study of a realisability semantics of intersection type systems with E-variables and of the difficulties involved.

Note that a restricted version (restricted to normalised types<sup>6</sup>), which we call RCDV, of the well known CDV intersection type system, both systems introduced by Coppo, Dezani and Venneri [7, 8] and recalled by Van Bakel [1], can be embedded in our type system  $\vdash_3$  without making use of expansion variables (a more detailed remark can be found in Appendix. C). We can then restrict the range of our interpretations (see Def. 3.2) from  $\mathcal{M}_3$  to the “space of meaning”  $\mathcal{M}_3^{\circledast}$  (see Def. 2.7) which is then the only necessary set because expansion variables are not used and therefore they do not allow one to change the index of terms. Unfortunately, we do not believe that it would be possible to embed RCDV in our system such that we would make use of the expansion variables “as much as possible” (everywhere where an expansion might be needed). For example, if  $M : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle$  is derivable from  $M : \langle \Gamma \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma \vdash_3 U_2 \rangle$  by the intersection introduction rule and we apply the expansion introduction rule to each of the branches of the derivation then we obtain the two following typing judgements:  $M^{+i} : \langle e_i \Gamma \vdash_3 e_i U \rangle$  and  $M^{+j} : \langle e_j \Gamma \vdash_3 e_j U \rangle$ . If we use two different expansion variables ( $i \neq j$ ) then, given these two new typing judgements, we cannot use the intersection introduction rule because  $e_i U \sqcap e_j U$  is not a  $\text{ITy}_3$  type ( $\text{deg}(e_i U) = i :: \text{deg}(U) \neq j :: \text{deg}(U) = \text{deg}(e_j U)$ ). This might be overcome by considering trees instead of lists as indices in our semantics. We let the investigation of such a system to future work.

In the present document we are not interested in a denotational semantics of the presented calculus. We are neither interested in an extensional  $\lambda$ -model interpreting the terms of the untyped  $\lambda$ -calculus. Instead, we are interested in building a realisability semantics by defining sets of realisers (programs satisfying the requirements of some specification) of types. We believe such a model would help highlighting the relation between terms of the untyped  $\lambda$ -calculus and types involving expansion variables w.r.t. a type system. Moreover, interpreting types in a model helps understanding the meaning of types

<sup>6</sup>Normalised types are types strongly related to normalisable (typable) terms.

(w.r.t. the model) which are defined as purely syntactic forms and are clearly used as meaningful expressions. For example, the integer type (whatever its notation is) is always used as the type of each integer. An arrow type expresses functionality. In that way, models based on  $\lambda$ -models have been built for intersection type systems [16, 3, 10]. In these models, intersection types were interpreted by set-theoretical intersections of meanings. Even though E-variables have been introduced to give a simple formalisation of the expansion mechanism, i.e., as syntactic objects, we are interested in the meaning of such syntactic objects. We are particularly interested in answering a number of questions such as:

1. Can we find a second order function, whose range is the set of  $\lambda$ -terms, and which interprets types involving any kind of expansions (any expansion term and not just expansion variables)?
2. How can we characterise the realisers of a type involving expansion terms?
3. How can the relation between terms and types involving expansion terms be described w.r.t. a type system?
4. How can we extend models such as the one given in Kamareddine and Nour [21] to a type system with expansion?

These questions have not yet been answered. We leave their investigation for future work.

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## A. The $\lambda I^{\mathbb{N}}$ and $\lambda^{\mathcal{L}_{\mathbb{N}}}$ calculi and associated type systems (Sec. 2)

### A.1. The syntax of the indexed $\lambda$ -calculi (Sec. 2.1)

**Proof:**

[Proof of Lemma 2.1] We want to prove that on  $\mathcal{L}_{\mathbb{N}}$ ,  $\preceq$  is reflexive, transitive, and antisymmetric. Let us prove that  $\preceq$  is reflexive w.r.t.  $\mathcal{L}_{\mathbb{N}}$ . Let  $L \in \mathcal{L}_{\mathbb{N}}$ . By definition  $L \preceq L$  because  $L = L :: \circ$ . Let us prove that  $\preceq$  is transitive. Let  $L_1 \preceq L_2$  and  $L_2 \preceq L_3$ . By definition there exist  $L_4$  and  $L_5$  such that  $L_2 = L_1 :: L_4$  and  $L_3 = L_2 :: L_5$ . Therefore  $L_3 = (L_1 :: L_4) :: L_5 = L_1 :: (L_4 :: L_5)$  (it is also easy to check that  $\preceq$  is associative). Let us prove that  $\preceq$  is antisymmetric. Assume  $L_1 \preceq L_2$  and  $L_2 \preceq L_1$ . By definition there exist  $L_3$  and  $L_4$  such that  $L_2 = L_1 :: L_3$  and  $L_1 = L_2 :: L_4$ . Therefore  $L_1 = L_1 :: L_3 :: L_4$ . Which means that  $L_3 = L_4 = \circ$ .  $\square$

**Proof:**

[Proof of Lemma 2.2]  $\Rightarrow$ ) By definition.  $\Leftarrow$ ) Each of 1. and 2. is by cases on the derivation  $\lambda x^n.M \in \mathbb{M}$  respectively  $M_1 M_2 \in \mathbb{M}$ .  $\square$

**Lemma A.1.** Let  $i \in \{1, 2, 3\}$ .

1. On  $\mathcal{M}_i$ ,  $\diamond$  is reflexive and symmetric but not transitive.
2. (a) Let  $M, (N_1 N_2) \in \mathcal{M}_i$ . We have  $M \diamond \{N_1, N_2\}$  iff  $M \diamond (N_1 N_2)$ .  
 (b) Let  $M, \lambda x^I.N \in \mathcal{M}_i$  such that  $\forall I'. x^{I'} \notin \text{fv}(M)$ . We have  $M \diamond N$  iff  $M \diamond (\lambda x^I.N)$ .  
 (c) Let  $M, N[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_i$  and  $\overline{M} = \{N\} \cup \{N_i \mid i \in \{1, \dots, p\}\} \subset \mathcal{M}_i$ . If  $M \diamond \overline{M}$  then  $M \diamond N[(x_i^{I_i} := N_i)_p]$ .
3. Let  $M_1[(x_i^{I_i} := N_i)_p], M_2[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_i$  and  $\overline{M} = \{M_1, M_2\} \cup \{N_i \mid i \in \{1, \dots, p\}\}$ . If  $\diamond \overline{M}$  then  $M_1[(x_i^{I_i} := N_i)_p] \diamond M_2[(x_i^{I_i} := N_i)_p]$ .
4. Let  $M \in \mathcal{M}_i$  and  $\{I_1, \dots, I_n\} = \{I \mid x^I \text{ occurs in } M\}$ . If  $i \in \{1, 2\}$  then  $\text{deg}(M) = \min(I_1, \dots, I_n)$ . If  $i = 3$  then  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(M) \preceq I_i$ .
5. Let  $\overline{M} = \{M\} \cup \{N_i \mid 1 \leq i \leq p\} \subset \mathcal{M}_i$ . We have:
  - (a)  $(\diamond \overline{M} \text{ and } \forall j \in \{1, \dots, p\}. \text{deg}(N_j) = I_j)$  iff  $M[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_i$ .
  - (b) If  $\diamond \overline{M}$  and  $\forall j \in \{1, \dots, p\}. \text{deg}(N_j) = I_j$ , then  $\text{deg}(M[(x_i^{I_i} := N_i)_p]) = \text{deg}(M)$ .
6. Let  $M, N, P \in \mathcal{M}_i$ . If  $\diamond \{M, N, P\}$ ,  $\text{deg}(N) = I$ ,  $\text{deg}(P) = J$  and  $x^I \notin \text{fv}(P) \cup \{y^J\}$  then  $M[x^I := N][y^J := P] = M[y^J := P][x^I := N[y^J := P]]$ .
7. Let  $M, N, P \in \mathcal{M}_i$ . If  $M \diamond P$  and  $\text{fv}(M) = \text{fv}(N)$  then  $N \diamond P$ .
8. Let  $i \in \{1, 2\}$  and  $M, N \in \mathcal{M}_i$  where  $\text{deg}(N) = n$  and  $x^n \in \text{fv}(M)$ . We have:  $M[x^n := N] \in \mathbb{M}$  iff  $M, N \in \mathbb{M}$  and  $M \diamond N$ .

**Proof:**

[Proof of Lemma A.1]

1. For reflexivity, we show by induction on  $M \in \mathcal{M}_i$  that if  $x^I, x^J \in \text{fv}(M)$  then  $I = J$ . Symmetry is by definition of  $\diamond$ . For failure of transitivity take  $z^1, y^2$  and  $z^2$  for the case  $i \in \{1, 2\}$  and  $z^\emptyset, y^{(1)}$  and  $z^{(1)}$  for the case  $i = 3$ .
2. 2a. Let  $M, (N_1N_2) \in \mathcal{M}_i$ . Let  $M \diamond \{N_1, N_2\}$ . Assume  $x^{I_1} \in \text{fv}(M)$  and  $x^{I_2} \in \text{fv}(N_1N_2)$ . Then  $x^{I_2} \in \text{fv}(N_1)$  or  $x^{I_2} \in \text{fv}(N_2)$ . In either case, by hypothesis and definition of  $\diamond$ ,  $I_1 = I_2$ . Therefore  $M \diamond N_1N_2$ . Let  $M \diamond N_1N_2$ . Assume  $x^{I_1} \in \text{fv}(M)$  and  $x^{I_2} \in \text{fv}(N_1)$ . Then by definition of  $\diamond$ ,  $I_1 = I_2$ . Assume  $x^{I_1} \in \text{fv}(M)$  and  $x^{I_2} \in \text{fv}(N_2)$  then by definition of  $\diamond$ ,  $I_1 = I_2$ . Therefore  $M \diamond \{N_1, N_2\}$ .  
 2b. Let  $M, \lambda x^I.N \in \mathcal{M}_i$  such that  $\forall I'. x^{I'} \notin \text{fv}(M)$ . Let  $M \diamond N$ . Assume  $y^{I_1} \in \text{fv}(M)$  and  $y^{I_2} \in \text{fv}(\lambda x^I.N)$ . Then  $y^{I_2} \in \text{fv}(N) \setminus \{x^I\} \subseteq \text{fv}(N)$ . By definition of  $\diamond$ ,  $I_1 = I_2$ . Therefore  $M \diamond \lambda x^I.N$ . Let  $M \diamond \lambda x^I.N$ . Assume  $y^{I_1} \in \text{fv}(M)$  and  $y^{I_2} \in \text{fv}(N)$ . Because  $\forall I'. x^{I'} \notin \text{fv}(M)$  and  $y^{I_1} \in \text{fv}(M)$  then  $x \neq y$ . Therefore  $y^{I_2} \in \text{fv}(\lambda x^I.N)$ . By hypothesis and definition of  $\diamond$ ,  $I_1 = I_2$ . Therefore  $M \diamond N$ .  
 2c. Let  $M, N[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_i, \overline{M} = \{N\} \cup \{N_i \mid i \in \{1, \dots, p\}\} \subset \mathcal{M}_i$ , and  $M \diamond \overline{M}$ . Assume  $y^{I_1} \in \text{fv}(M)$  and  $y^{I_2} \in \text{fv}(N[(x_i^{I_i} := N_i)_p])$ . Therefore  $y^{I_2} \in \text{fv}(N)$  or  $y^{I_2} \in \text{fv}(N_i)$  for a  $i \in \{1, \dots, p\}$ . In either case, by hypothesis and definition of  $\diamond$ ,  $I_1 = I_2$ . Therefore  $M \diamond N[(x_i^{I_i} := N_i)_p]$ .
3. By 2c,  $M_1 \diamond M_2[(x_i^{I_i} := N_i)_p]$  and  $N_j \diamond M_2[(x_i^{I_i} := N_i)_p] \forall 1 \leq j \leq p$ , and, by 2c again and by 1,  $M_1[(x_i^{I_i} := N_i)_p] \diamond M_2[(x_i^{I_i} := N_i)_p]$ .
4. By induction on  $M$ .
5. Direction  $\Leftarrow$ ) of 5a. is by definition of substitution because substitution is only defined on such conditions.

We prove direction  $\Rightarrow$ ) of 5a. and 5b. by induction on  $M$ . Let  $i \in \{1, 2\}$ .

- Let  $M = y^I$ . If there exists  $j \in \{1, \dots, p\}$  such that  $y^I = x^{I_j}$  then  $M[(x_i^{I_i} := N_i)_p] = N_j \in \mathcal{M}_i$ . Also  $\text{deg}(M[(x_i^{I_i} := N_i)_p]) = \text{deg}(N_j) = I_j = I = \text{deg}(M)$ . If there is no  $j \in \{1, \dots, p\}$  such that  $y^I = x^{I_j}$  then  $M[(x_i^{I_i} := N_i)_p] = M \in \mathcal{M}_i$ . Also  $\text{deg}(M[(x_i^{I_i} := N_i)_p]) = \text{deg}(M)$ .
- Let  $M = \lambda y^I.M_1$  such that  $y^I \in \text{fv}(M_1)$  and  $\forall I'. \forall j \in \{1, \dots, p\}. y^{I'} \notin \text{fv}(N_j) \cup \{x_j^{I_j}\}$ . By 2b.,  $\diamond\{M_1\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ . By IH,  $M_1[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_2$  and  $\text{deg}(M_1[(x_i^{I_i} := N_i)_p]) = \text{deg}(M_1)$ . Therefore,  $M[(x_i^{I_i} := N_i)_p] = \lambda y^I.M_1[(x_i^{I_i} := N_i)_p] \in \mathcal{M}_2$  because  $y^I \in \text{fv}(M_1[(x_i^{I_i} := N_i)_p])$ . Also,  $\text{deg}(M[(x_i^{I_i} := N_i)_p]) = \text{deg}(M_1[(x_i^{I_i} := N_i)_p]) = \text{deg}(M_1) = \text{deg}(M)$ .
- Let  $M = M_1M_2$  such that  $M_1 \diamond M_2$ . By 2a.,  $\diamond\{M_1, M_2\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ . Let  $P_1 = M_1[(x_i^{I_i} := N_i)_p]$  and  $P_2 = M_2[(x_i^{I_i} := N_i)_p]$ . By IH,  $P_1 \in \mathcal{M}_2, P_2 \in \mathcal{M}_2$ ,  $\text{deg}(P_1) = \text{deg}(M_1)$ , and  $\text{deg}(P_2) = \text{deg}(M_2)$ . By 3.,  $P_1 \diamond P_2$ . Therefore,  $M[(x_i^{I_i} := N_i)_p] = P_1P_2 \in \mathcal{M}_2$ . Finally, one obtains  $\text{deg}(M[(x_i^{I_i} := N_i)_p]) = \min(P_1, P_2) = \min(\text{deg}(M_1), \text{deg}(M_2)) = \text{deg}(M)$ .

The proof for  $i = 3$  is similar

6. By induction on  $M$  using 2c. and 5a.

7. If  $x^I \in \text{fv}(N) = \text{fv}(M)$  and  $x^J \in \text{fv}(P)$  then since  $M \diamond P$ ,  $I = J$ .

8. By induction on  $M$ .

- By definition of substitution,  $x^n[x^n := N] \in \mathbb{M}$  iff  $x^n, N \in \mathbb{M}$  and  $x^n \diamond N$ .
- Let  $M = \lambda y^m.M'$  such that  $\forall m'. y^{m'} \notin \text{fv}(N) \cup \{x^n\}$ . Then  $(\lambda y^m.M')[x^n := N] \in \mathbb{M} \Rightarrow \lambda y^m.M'[x^n := N] \in \mathbb{M}$  and  $y^m \in \text{fv}(M') \setminus \text{fv}(N)$  (since  $\lambda y^m.M' \in \mathcal{M}_1$ )  $\Rightarrow$  Lemma 2.2  $M'[x^n := N] \in \mathbb{M}$ ,  $y^m \in \text{fv}(M'[x^n := N])$  and  $y^m \in \text{fv}(M') \setminus \text{fv}(N) \Leftrightarrow$  by IH  $M', N \in \mathbb{M}$ ,  $M' \diamond N$ ,  $y^m \in \text{fv}(M'[x^n := N])$  and  $y^m \in \text{fv}(M') \setminus \text{fv}(N) \Leftrightarrow$  by 2b and Lemma 2.2  $\lambda y^m.M', N \in \mathbb{M}$  and  $\lambda y^m.M' \diamond N$ .
- Let  $M = M_1M_2$ . Note that  $M_1 \diamond M_2$ . Then  $(M_1M_2)[x^n := N] \in \mathbb{M} \Leftrightarrow M_1[x^n := N]M_2[x^n := N] \in \mathbb{M}$  and  $\diamond\{M_1, M_2, N\}$  (because  $(M_1M_2)[x^n := N] \in \mathcal{M}_1$ )  $\Leftrightarrow$  by 5b and Lemma 2.2  $M_1[x^n := N], M_2[x^n := N] \in \mathbb{M}$ ,  $M_1[x^n := N] \diamond M_2[x^n := N]$ ,  $\diamond\{M_1, M_2, N\}$  and  $\text{deg}(M_1) = \text{deg}(M_1[x^n := N]) \leq \text{deg}(M_2[x^n := N]) = \text{deg}(M_2) \Leftrightarrow$  by IH  $M_1, M_2, N \in \mathbb{M}$ ,  $\diamond\{M_1, M_2, N\}$  and  $\text{deg}(M_1) \leq \text{deg}(M_2) \Leftrightarrow$  by 2a and Lemma 2.2  $M_1M_2, N \in \mathbb{M}$  and  $(M_1M_2) \diamond N$ .

□

**Proof:**

[Proof of Theorem 2.1] We only prove 2. Let  $M \in \mathcal{M}_2$ . First we prove that if  $M \rightarrow_\beta N$  then  $\text{fv}(M) = \text{fv}(N)$ ,  $\text{deg}(M) = \text{deg}(N)$ , and  $M \in \mathbb{M}$  iff  $N \in \mathbb{M}$ . We prove this result by induction on the derivation  $M \rightarrow_\beta N$  and the by case on the last rule of the derivation. We only prove the case  $M = (\lambda x^n.M_1)M_2$  and  $N = M_1[x^n := M_2]$  such that  $\forall m. x^m \in \text{fv}(M_2)$  and  $\text{deg}(M_2) = n$  (derivation of  $M \rightarrow_\beta N$  is of length 1). Because  $M \in \mathcal{M}_2$  then  $x^n \in \text{fv}(M_1)$  and  $(\lambda x^n.M_1) \diamond M_2$ . One obtains that  $\text{fv}(M) = (\text{fv}(M_1) \setminus \{x^n\}) \cup \text{fv}(M_2) = \text{fv}(N)$  because  $x^n \in \text{fv}(M_1)$ . Also  $\text{deg}(M) = \min(\text{deg}(\lambda x^n.M_1), \text{deg}(M_2)) = \min(\text{deg}(M_1), n)$ . By Lemma A.1.4, because  $x^n \in \text{fv}(M_1)$  and  $\text{deg}(x^n) = n$  then  $\text{deg}(M_1) \leq n = \text{deg}(M_2)$ . By Lemma A.1.2b,  $M_1 \diamond M_2$ . Therefore  $\text{deg}(M) = \text{deg}(M_1)$  and by Lemma A.1.5b,  $\text{deg}(N) = \text{deg}(M_1) = \text{deg}(M)$ . Let us now prove that  $M \in \mathbb{M} \Leftrightarrow N \in \mathbb{M}$ . This result is easily obtained using Lemma A.1.8. □

**Lemma A.2.** Let  $i \in \{1, 2, 3\}$ ,  $\rightarrow \in \{\rightarrow, \rightarrow^*\}$ ,  $r \in \{\beta, \beta\eta, h\}$ ,  $p \geq 0$  and  $M, N, P, N_1, \dots, N_p \in \mathcal{M}_i$ .

1. If  $M \rightarrow_r N$ ,  $P \rightarrow_r Q$ , and  $M \diamond P$  then  $N \diamond Q$ .
2. If  $M \rightarrow_r N$ ,  $M \diamond P$ , and  $\text{deg}(P) = I$  then  $M[x^I := P] \rightarrow_r N[x^I := P]$ .
3. If  $N \rightarrow_r P$ ,  $M \diamond N$ , and  $\text{deg}(N) = I$  then  $M[x^I := N] \rightarrow_r^* M[x^I := P]$ .
4. If  $M \rightarrow_r^* N$ ,  $P \rightarrow_r^* P'$ ,  $M \diamond P$ , and  $\text{deg}(P) = I$  then  $M[x^I := P] \rightarrow_r^* N[x^I := P']$ .

**Proof:**

[Proof of Lemma A.2]

1. The result is obtained because by Lemma 2.1,  $\text{fv}(N) \subseteq \text{fv}(M)$  and  $\text{fv}(Q) \subseteq \text{fv}(P)$ .

2. Note that, by Lemma 1,  $N \diamond P$ . Case  $\rightarrow_r$  is by induction on  $M$  using Lemmas A.1.5b and A.1.6. Case  $\rightarrow_r^*$  is by induction on the length of  $M \rightarrow_r^* N$  using the result for case  $\rightarrow_r$ .
3. Note that, by Lemma 1,  $M \diamond P$  and by Lemma 2.1,  $\deg(P) = \deg(N) = I$ . Case  $\rightarrow_r$  is by induction on  $M$ . Case  $\rightarrow_r^*$  is by induction on the length of  $M \rightarrow_r^* N$  using the result for case  $\rightarrow_r$ .
4. Use 2. and 3.

□

The next lemma shows that the lifting of a term to higher or lower degrees, is a well behaved operation with respect to all that matters (free variables, reduction, joinability, substitution, etc.).

**Lemma A.3.** Let  $p \geq 0$ ,  $i \in \{1, 2\}$  and  $M, N, N_1, N_2, \dots, N_p \in \mathcal{M}_i$ .

1. (a)  $\deg(M^+) = \deg(M) + 1$ ,  $(M^+)^- = M$  and  $x^n \in \text{fv}(M^+)$  iff  $x^{n-1} \in \text{fv}(M)$ .  
 (b) If  $\deg(M) > 0$  then  $M^- \in \mathcal{M}_i$ ,  $\deg(M^-) = \deg(M) - 1$ ,  $(M^-)^+ = M$  and  $(x^n \in \text{fv}(M^-) \Leftrightarrow x^{n+1} \in \text{fv}(M))$ .  
 (c) Let  $\overline{M} \subset \mathcal{M}_i$ . Then,  
     i.  $\diamond \overline{M}$  iff  $\diamond \overline{M}^+$ .  
     ii. If  $\deg(\overline{M}) > 0$  then  $\diamond \overline{M}$  iff  $\diamond \overline{M}^-$ .  
     iii.  $M \in \overline{M}^+$  iff  $(M^- \in \overline{M} \text{ and } \deg(M) > 0)$ .  
 (d)  $M \in \mathbb{M}$  iff  $M^+ \in \mathbb{M} \cap \mathcal{M}_i$ .  
 (e) If  $\deg(M) > 0$  then  $M \in \mathbb{M}$  iff  $M^- \in \mathbb{M}$ .
2. Let  $\overline{M} = \{M\} \cup \{N_i \mid i \in \{1, \dots, p\}\} \subset \mathcal{M}_i$ . If  $\diamond \overline{M}$  then  $(M[(x_i^{n_i} := N_i)_p])^+ = M^+[(x_i^{n_i+1} := N_i^+)_p]$ .
3. If  $\deg(M), \deg(N) > 0$ , and  $M \diamond N$  then  $(M[x^{n+1} := N])^- = M^-[x^n := N^-]$ .

**Proof:**

[Proof of Lemma A.3]

1. 1a. and 1b. are by induction on  $M$ . For 1(c)i. use 1a. For 1(c)ii. use 1b. As to 1(c)iii., if  $M \in \overline{M}^+$  then  $M = P^+$  where  $P \in \overline{M}$  and by 1a.,  $\deg(M) = \deg(P) + 1 > 0$  and  $M^- = (P^+)^- = P$ . Hence,  $M^- \in \overline{M}$  and  $\deg(M) > 0$ . On the other hand, if  $M^- \in \overline{M}$  and  $\deg(M) > 0$  then by 1b.,  $M = P^+$  and  $(M^-)^+ = M \in \overline{M}^+$ . 1d. is by induction on  $M$  using 1a., 1(c)i. and Lemma 2.2. Finally, for 1e., by 1b. and 1d.,  $M = (M^-)^+ \in \mathbb{M} \Leftrightarrow M^- \in \mathbb{M}$ .
2. By induction on  $M$  (by 1(c)i. and Lemma A.1.5, we have  $M[(x_i^{n_i} := N_i)_p] \in \mathcal{M}_i$  and  $M^+[(x_i^{n_i+1} := N_i^+)_p] \in \mathcal{M}_i$ ).
3. By induction on  $M$  (by 1(c)ii. and Lemma A.1.5, we have  $M[x^{n+1} := N] \in \mathcal{M}_i$  and  $M^-[x^n := N^-] \in \mathcal{M}_i$ ).

□

**Lemma A.4.** Let  $r \in \{\eta, \beta\eta\}$ ,  $\rightarrow \in \{\rightarrow, \rightarrow^*\}$ ,  $p \geq 0$ ,  $i \in \{1, 2\}$  and  $M, N \in \mathcal{M}_i$ .

1. If  $M \rightarrow_r N$  then  $M^+ \rightarrow_r N^+$ .
2. If  $\deg(M) > 0$  and  $M \rightarrow_r N$  then  $M^- \rightarrow_r N^-$ .
3. If  $M \rightarrow_r N^+$  then  $M^- \rightarrow_r N$ .
4. If  $M^+ \rightarrow_r N$  then  $M \rightarrow_r N^-$ .

**Proof:**

[Proof of Lemma A.4]

1. The case  $r \in \{\eta\}$  and  $\rightarrow = \rightarrow$  is by induction on  $M \rightarrow_r N$  using Lemma A.5, for case  $\rightarrow_{\beta\eta}$  use the results for  $\rightarrow_{\beta}$  (Lemma A.5) and  $\rightarrow_{\eta}$ , case  $\rightarrow_r^*$  is by induction on the length of  $M \rightarrow_r^* N$  using the result for case  $\rightarrow_r$ .
2. Similar to 1.
3. By Lemma 2.1.2, Lemma A.5 and 2 above,  $M^- \rightarrow N$ .
4. Similar to 3.

□

**Lemma A.5.** Let  $\rightarrow \in \{\rightarrow_{\beta}, \rightarrow_{\eta}, \rightarrow_{\beta\eta}, \rightarrow_h, \rightarrow_{\beta}^*, \rightarrow_{\eta}^*, \rightarrow_{\beta\eta}^*, \rightarrow_h^*\}$ ,  $i \geq 0$ ,  $p \geq 0$  and  $M, N, N_1, \dots, N_p \in \mathcal{M}_3$ . We have:

1.  $M^{+i} \in \mathcal{M}_3$  and  $\deg(M^{+i}) = i :: \deg(M)$  and  $x^K$  occurs in  $M^{+i}$  iff  $K = i :: L$  and  $x^L$  occurs in  $M$ .
2.  $M \diamond N$  iff  $M^{+i} \diamond N^{+i}$ .
3. Let  $\overline{M} \subseteq \mathcal{M}_3$  then  $\diamond \overline{M}$  iff  $\diamond \overline{M}^{+i}$ .
4.  $(M^{+i})^{-i} = M$ .
5. If  $\diamond\{M\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$  and  $\forall j \in \{1, \dots, p\}. \deg(N_j) = L_j$  then  $(M[(x_j^{L_j} := N_j)_p])^{+i} = M^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$ .
6. If  $M \rightarrow N$  then  $M^{+i} \rightarrow N^{+i}$ .
7. If  $\deg(M) = i :: L$  then:
  - (a)  $M = P^{+i}$  for some  $P \in \mathcal{M}_3$ ,  $\deg(M^{-i}) = L$  and  $(M^{-i})^{+i} = M$ .
  - (b) If  $\forall j \in \{1, \dots, p\}. \deg(N_j) = i :: K_j$  and  $\diamond\{M\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$  then  $(M[(x_j^{i::K_j} := N_j)_p])^{-i} = M^{-i}[(x_j^{K_j} := N_j^{-i})_p]$ .
  - (c) If  $M \rightarrow N$  then  $M^{-i} \rightarrow N^{-i}$ .
8. If  $M \rightarrow N^{+i}$  then there is  $P \in \mathcal{M}_3$  such that  $M = P^{+i}$  and  $P \rightarrow N$ .
9. If  $M^{+i} \rightarrow N$  then there is  $P \in \mathcal{M}_3$  such that  $N = P^{+i}$  and  $M \rightarrow P$ .

**Proof:**

[Proof of Lemma A.5]

1. We only prove the lemma by induction on  $M$ :

- If  $M = x^L$  then  $M^{+i} = x^{i::L} \in \mathcal{M}_3$  and  $\deg(x^{i::L}) = i :: L = i :: \deg(x^L)$ .
- If  $M = \lambda x^L.M_1$  then  $M_1 \in \mathcal{M}_3$ ,  $L \succeq \deg(M_1)$  and  $M^{+i} = \lambda x^{i::L}.M_1^{+i}$ . By IH,  $M_1^{+i} \in \mathcal{M}_3$  and  $\deg(M_1^{+i}) = i :: \deg(M_1)$  and  $x^K$  occurs in  $M_1^{+i}$  iff  $K = i :: K'$  and  $y^{K'}$  occurs in  $M_1$ . So  $i :: L \succeq i :: \deg(M_1) = \deg(M_1^{+i})$ . Hence,  $\lambda x^{i::L}.M_1^{+i} \in \mathcal{M}_3$ . Moreover,  $\deg(M^{+i}) = \deg(M_1^{+i}) = i :: \deg(M_1) = i :: \deg(M)$ . If  $y^K$  occurs in  $M^{+i}$  then either  $y^K = x^{i::L}$ , so it is done because  $x^L$  occurs in  $M$ . Or  $y^K$  occurs in  $M_1^{+i}$ . By IH,  $K = i :: K'$  and  $y^{K'}$  occurs in  $M_1$ . So  $y^{K'}$  occurs in  $M$ . If  $y^K$  occurs in  $M$  then either  $y^K = x^L$  and then  $y^{i::K}$  occurs in  $M^{+i}$ . Or  $y^K$  occurs in  $M_1$ . Then by IH,  $y^{i::K}$  occurs in  $M_1^{+i}$ . So,  $y^{i::K}$  occurs in  $M^{+i}$ .
- If  $M = M_1M_2$  then  $M_1, M_2 \in \mathcal{M}_3$ ,  $\deg(M_1) \preceq \deg(M_2)$ ,  $M_1 \diamond M_2$  and  $M^{+i} = M_1^{+i}M_2^{+i}$ . By IH,  $M_1^{+i}, M_2^{+i} \in \mathcal{M}_3$ ,  $\deg(M_1^{+i}) = i :: \deg(M_1)$ ,  $\deg(M_2^{+i}) = i :: \deg(M_2)$ ,  $y^K$  occurs in  $M_1^{+i}$  iff  $K = i :: K'$  and  $y^{K'}$  occurs in  $M_1$ , and  $y^K$  occurs in  $M_2^{+i}$  iff  $K = i :: K'$  and  $y^{K'}$  occurs in  $M_2$ . Let  $x^L \in \text{fv}(M_1^{+i})$  and  $x^K \in \text{fv}(M_2^{+i})$  then, using IH,  $L = i :: L'$ ,  $K = i :: K'$ ,  $x^{L'}$  occurs in  $M_1$  and  $x^{K'}$  occurs in  $M_2$ . Using  $M_1 \diamond M_2$ , we obtain  $L' = K'$ , so  $L = K$ . Hence,  $M_1^{+i} \diamond M_2^{+i}$ . Because  $\deg(M_1) \preceq \deg(M_2)$  then  $\deg(M_1^{+i}) = i :: \deg(M_1) \preceq i :: \deg(M_2) = \deg(M_2^{+i})$ . So,  $M^{+i} \in \mathcal{M}_3$ . Moreover,  $\deg(M^{+i}) = \deg(M_1^{+i}) = i :: \deg(M_1) = i :: \deg(M)$ . If  $x^L$  occurs in  $M^{+i}$  then either  $x^L$  occurs in  $M_1^{+i}$  and using IH,  $L = i :: L'$  and  $x^{L'}$  occurs in  $M_1$ , so  $x^{L'}$  occurs in  $M$ . Or  $x^L$  occurs in  $M_2^{+i}$  and using IH,  $L = i :: L'$  and  $x^{L'}$  occurs in  $M_2$ , so  $x^{L'}$  occurs in  $M$ . If  $x^L$  occurs in  $M$  then either  $x^L$  occurs in  $M_1$  so by IH  $x^{i::L}$  occurs in  $M_1^{+i}$ , hence  $x^{i::L}$  occurs in  $M^{+i}$ . Or  $x^L$  occurs in  $M_2$  so by IH  $x^{i::L}$  occurs in  $M_2^{+i}$ , hence  $x^{i::L}$  occurs in  $M^{+i}$ .

2. Assume  $M \diamond N$ . Let  $x^L \in \text{fv}(M^{+i})$  and  $x^K \in \text{fv}(N^{+i})$  then by Lemma A.5.1,  $L = i :: L'$ ,  $K = i :: K'$ ,  $x^{L'} \in \text{fv}(M)$  and  $x^{K'} \in \text{fv}(N)$ . Using  $M \diamond N$  we obtain  $K' = L'$  and so  $K = L$ .Assume  $M^{+i} \diamond N^{+i}$ . Let  $x^L \in \text{fv}(M)$  and  $x^K \in \text{fv}(N)$  then by Lemma A.5.1,  $x^{i::L} \in \text{fv}(M^{+i})$  and  $x^{i::K} \in \text{fv}(N^{+i})$ . Using  $M^{+i} \diamond N^{+i}$  we obtain  $i :: K = i :: L$  and so  $K = L$ .3. Let  $\overline{M} \subseteq \mathcal{M}_3$ .Assume  $\diamond \overline{M}$ . Let  $M, N \in \overline{M}^{+i}$ . Then by definition,  $M = P^{+i}$  and  $N = Q^{+i}$  such that  $P, Q \in \overline{M}$ . Because by hypothesis  $P \diamond Q$  then by Lemma A.5.2,  $M \diamond N$ .Assume  $\diamond \overline{M}^{+i}$ . Let  $M, N \in \overline{M}$  then  $M^{+i}, N^{+i} \in \overline{M}^{+i}$ . Because by hypothesis  $M^{+i} \diamond N^{+i}$  then by Lemma A.5.2,  $M \diamond N$ .4. By Lemma A.5.1,  $M^{+i} \in \mathcal{M}_3$  and  $\deg(M^{+i}) = i :: \deg(M)$ . We prove the lemma by induction on  $M$ .

- Let  $M = x^L$  then  $M^{+i} = x^{i::L}$  and  $(M^{+i})^{-i} = x^L$ .
- Let  $M = \lambda x^L.M_1$  such that  $M_1 \in \mathcal{M}_3$  and  $L \succeq \deg(M_1)$ . Then,  $(M^{+i})^{-i} = (\lambda x^{i::L}.M_1^{+i})^{-i} = \lambda x^L.(M_1^{+i})^{-i} \stackrel{\text{IH}}{=} \lambda x^L.M_1$ .

- Let  $M = M_1M_2$  such that  $M_1, M_2 \in \mathcal{M}_3$ ,  $M_1 \diamond M_2$  and  $\deg(M_1) \preceq \deg(M_2)$ . Then,  $(M^{+i})^{-i} = (M_1^{+i}M_2^{+i})^{-i} = (M_1^{+i})^{-i}(M_2^{+i})^{-i} \stackrel{\text{IH}}{=} M_1M_2$ .
5. By 3,  $\diamond\{M^{+i}\} \cup \{N_j^{+i} \mid j \in \{1, \dots, p\}\}$ . By 1. and Lemma A.1.5a,  $M[(x_j^{L_j} := N_j)_p]$  and  $M^{+i}[(x_j^{i::L_j} := N_j^{+i})_p] \in \mathcal{M}_3$ . By induction on  $M$ :
- Let  $M = y^K$ . If  $\forall j \in \{1, \dots, p\}$ .  $y^K \neq x_j^{L_j}$  then  $y^K[(x_j^{L_j} := N_j)_p] = y^K$ . Hence  $(y^K[(x_j^{L_j} := N_j)_p])^{+i} = y^{i::K} = y^{i::K}[(x_j^{i::L_j} := N_j^{+i})_p]$ . If  $\exists j \in \{1, \dots, p\}$ .  $y^K = x_j^{L_j}$  then  $y^K[(x_j^{L_j} := N_j)_p] = N_j$ . Hence  $(y^K[(x_j^{L_j} := N_j)_p])^{+i} = N_j^{+i} = y^{i::K}[(x_j^{i::L_j} := N_j^{+i})_p]$ .
  - Let  $M = \lambda y^K.M_1$  such that  $\forall K'. \forall j \in \{1, \dots, p\}$ .  $y^{K'} \notin \text{fv}(N_j) \cup \{x_j^{L_j}\}$ . Then  $M[(x_j^{L_j} := N_j)_p] = \lambda y^K.M[(x_j^{L_j} := N_j)_p]$ . By Lemma A.1.2b,  $\diamond\{M_1\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ , and by IH,  $(M_1[(x_j^{L_j} := N_j)_p])^{+i} = M_1^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$ . Hence,  $(M[(x_j^{L_j} := N_j)_p])^{+i} = \lambda y^{i::K}.(M_1[(x_j^{L_j} := N_j)_p])^{+i} = \lambda y^{i::K}.M_1^{+i}[(x_j^{i::L_j} := N_j^{+i})_p] = (\lambda y^K.M_1)^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$ .
  - Let  $M = M_1M_2$ .  $M[(x_j^{L_j} := N_j)_p] = M_1[(x_j^{L_j} := N_j)_p]M_2[(x_j^{L_j} := N_j)_p]$ . By Lemma A.1.2a,  $\diamond\{M_1\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$  and  $\diamond\{M_2\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ . By IH,  $(M_1[(x_j^{L_j} := N_j)_p])^{+i} = M_1^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$  and  $(M_2[(x_j^{L_j} := N_j)_p])^{+i} = M_2^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$ . Hence  $(M[(x_j^{L_j} := N_j)_p])^{+i} = (M_1[(x_j^{L_j} := N_j)_p])^{+i}(M_2[(x_j^{L_j} := N_j)_p])^{+i} = M_1^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]M_2^{+i}[(x_j^{i::L_j} := N_j^{+i})_p] = M^{+i}[(x_j^{i::L_j} := N_j^{+i})_p]$ .
6. By Lemma A.5.1, if  $M, N \in \mathcal{M}_3$  then  $M^{+i}, N^{+i} \in \mathcal{M}_3$ .
- Let  $\rightarrow$  be  $\rightarrow_\beta$ . By induction on  $M \rightarrow_\beta N$ .
    - Let  $M = (\lambda x^L.M_1)M_2 \rightarrow_\beta M_1[x^L := M_2] = N$  where  $\deg(M_2) = L$ . By Lemma A.5.1,  $\deg(M_2^{+i}) = i :: L$ . Therefore  $M^{+i} = (\lambda x^{i::L}.M_1^{+i})M_2^{+i} \rightarrow_\beta M_1^{+i}[x^{i::L} := M_2^{+i}] = (M_1[x^L := M_2])^{+i}$ .
    - Let  $M = \lambda x^L.M_1 \rightarrow_\beta \lambda x^L.N_1 = N$  such that  $M_1 \rightarrow_\beta N_1$ . By IH,  $M_1^{+i} \rightarrow_\beta N_1^{+i}$ , hence  $M^{+i} = \lambda x^{i::L}.M_1^{+i} \rightarrow_\beta \lambda x^{i::L}.N_1^{+i} = N^{+i}$ .
    - Let  $M = M_1M_2 \rightarrow_\beta N_1M_2 = N$  such that  $M_1 \rightarrow_\beta N_1$ . By IH,  $M_1^{+i} \rightarrow_\beta N_1^{+i}$ , hence  $M^{+i} = M_1^{+i}M_2^{+i} \rightarrow_\beta N_1^{+i}M_2^{+i} = N^{+i}$ .
    - Let  $M = M_1M_2 \rightarrow_\beta M_1N_2 = N$  such that  $M_2 \rightarrow_\beta N_2$ . By IH,  $M_2^{+i} \rightarrow_\beta N_2^{+i}$ , hence  $M^{+i} = M_1^{+i}M_2^{+i} \rightarrow_\beta M_1^{+i}N_2^{+i} = N^{+i}$ .
  - Let  $\rightarrow$  be  $\rightarrow_\beta^*$ . By induction on  $\rightarrow_\beta^*$  using  $\rightarrow_\beta$ .
  - Let  $\rightarrow$  be  $\rightarrow_\eta$ . We only do the base case. The inductive cases are as for  $\rightarrow_\beta$ . Let  $M = \lambda x^L.Nx^L \rightarrow_\eta N$  where  $x^L \notin \text{fv}(N)$ . By Lemma A.5.1,  $x^{i::L} \notin \text{fv}(N^{+i})$  Then  $M^{+i} = \lambda x^{i::L}.N^{+i}x^{i::L} \rightarrow_\eta N^{+i}$ .
  - Let  $\rightarrow$  be  $\rightarrow_\eta^*$ . By induction on  $\rightarrow_\eta^*$  using  $\rightarrow_\eta$ .
  - Let  $\rightarrow$  be  $\rightarrow_{\beta\eta}$ ,  $\rightarrow_{\beta\eta}$ ,  $\rightarrow_h$  or  $\rightarrow_h^*$ . By the previous items.

7. (a) By induction on  $M$ :

- Let  $M = y^{i::L}$  then  $y^L \in \mathcal{M}_3$  and  $\deg((y^{i::L})^{-i}) = \deg(y^L) = L$  and  $((y^{i::L})^{-i})^{+i} = y^{i::L}$ .
  - Let  $M = \lambda y^K.M_1$  such that  $M_1 \in \mathcal{M}_3$  and  $K \succeq \deg(M_1)$ . Because  $\deg(M_1) = \deg(M) = i :: L$ , by IH,  $M_1 = P^{+i}$  for some  $P \in \mathcal{M}_3$ ,  $\deg(M_1^{-i}) = L$  and  $(M_1^{-i})^{+i} = M_1$ . Because  $K \succeq i :: L$  then  $K = i :: L :: K'$  for some  $K'$ . Let  $Q = \lambda y^{L::K'}.P$ . By Lemma A.5.4,  $P = (P^{+i})^{-i} = M_1^{-i}$  then  $\deg(P) = L$ . Because  $L \preceq L :: K'$  then  $Q \in \mathcal{M}_3$  and  $Q^{+i} = M$ . Moreover, using Lemma A.5.4,  $\deg(M^{-i}) = \deg(Q) = \deg(P) = L$  and  $(M^{-i})^{+i} = P^{+i} = M$ .
  - Let  $M = M_1M_2$  such that  $M_1, M_2 \in \mathcal{M}_3$ ,  $M_1 \diamond M_2$  and  $\deg(M_1) \preceq \deg(M_2)$ . Then  $\deg(M) = \deg(M_1) \preceq \deg(M_2)$ , so  $\deg(M_2) = i :: L :: L'$  for some  $L'$ . By IH  $M_1 = P_1^{+i}$  for some  $P_1 \in \mathcal{M}_3$ ,  $\deg(M_1^{-i}) = L$  and  $(M_1^{-i})^{+i} = M_1$ . Again by IH,  $M_2 = P_2^{+i}$  for some  $P_2 \in \mathcal{M}_3$ ,  $\deg(M_2^{-i}) = L :: L'$  and  $(M_2^{-i})^{+i} = M_2$ . If  $y^{K_1} \in \text{fv}(P_1)$  and  $y^{K_2} \in \text{fv}(P_2)$  then by Lemma A.5.1,  $K'_1 = i :: K_1$ ,  $K'_2 = i :: K_2$ ,  $x^{K'_1} \in \text{fv}(M_1)$  and  $x^{K'_2} \in \text{fv}(M_2)$ . Thus  $K'_1 = K'_2$ , so  $K_1 = K_2$  and  $P_1 \diamond P_2$ . Because  $\deg(P_1) = \deg(M_1^{-i}) = L \preceq L :: L' = \deg(M_2^{-i}) = \deg(P_2)$  then  $Q = P_1P_2 \in \mathcal{M}_3$  and  $Q^{+i} = (P_1P_2)^{+i} = P_1^{+i}P_2^{+i} = M$ . Moreover, by Lemma A.5.4  $\deg(M^{-i}) = \deg(Q) = \deg(P_1) = L$  and  $(M^{-i})^{+i} = Q^{+i} = M$ .
- (b) By the previous item, there exist  $M', N'_1, \dots, N'_n \in \mathcal{M}_3$  such that  $M = M'^{+i}$  and  $\forall j \in \{1, \dots, p\}$ .  $N_j = N'_j^{+i}$ . By Lemma A.5.3,  $\diamond\{M'\} \cup \{N'_j \mid j \in \{1, \dots, p\}\}$ . By Lemma A.5.4,  $M^{-i} = M'$  and  $\forall j \in \{1, \dots, p\}$ .  $N_j^{-i} = N'_j$ . So,  $\diamond\{M^{-i}\} \cup \{N_j^{-i} \mid j \in \{1, \dots, p\}\}$ . By Lemma A.1.5a,  $M[(x_j^{i::K_j} := N_j)_p]$ ,  $M^{-i}[(x_j^{K_j} := N_j^{-i})_p] \in \mathcal{M}_3$  and  $\deg(M[(x_j^{i::K_j} := N_j)_p]) = \deg(M) = i :: L$ . We prove the result by induction on  $M$ :
- Let  $M = y^{i::L}$ . If  $(\forall j \in \{1, \dots, p\}). y^{i::L} \neq x_j^{i::K_j}$  then  $y^{i::L}[(x_j^{i::K_j} := N_j)_p] = y^{i::L}$ . Hence  $(y^{i::L}[(x_j^{i::K_j} := N_j)_p])^{-i} = y^L = y^L[(x_j^{K_j} := N_j^{-i})_p]$ . If  $\exists 1 \leq j \leq p, y^{i::L} = x_j^{i::K_j}$  then  $y^{i::L}[(x_j^{i::K_j} := N_j)_p] = N_j$ . Hence  $(y^{i::L}[(x_j^{i::K_j} := N_j)_p])^{-i} = N_j^{-i} = y^L[(x_j^{K_j} := N_j^{-i})_p]$ .
  - Let  $M = \lambda y^K.M_1$  such that  $M_1 \in \mathcal{M}_3$ ,  $K \succeq \deg(M_1)$ , and  $\forall K'. \forall j \in \{1, \dots, p\}. y^{K'} \notin \text{fv}(N_j) \cup \{x_j^{i::K_j}\}$ . Then,  $M[(x_j^{i::K_j} := N_j)_p] = \lambda y^K.M_1[(x_j^{i::K_j} := N_j)_p]$ . By Lemma A.1.2b,  $\diamond\{M_1\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ . By definition  $\deg(M) = \deg(M_1)$ . By IH,  $(M_1[(x_j^{i::K_j} := N_j)_p])^{-i} = M_1^{-i}[(x_j^{K_j} := N_j^{-i})_p]$ . Because  $\deg(M_1) = i :: L \preceq K$  then  $K = i :: L :: K'$  for some  $K'$ . Hence,  $(M[(x_j^{i::K_j} := N_j)_p])^{-i} = \lambda y^{L::K'}.(M_1[(x_j^{i::K_j} := N_j)_p])^{-i} = \lambda y^{L::K'}.M_1^{-i}[(x_j^{K_j} := N_j^{-i})_p] = (\lambda y^K.M_1)^{-i}[(x_j^{K_j} := N_j^{-i})_p]$ .
  - Let  $M = M_1M_2$  such that  $M_1, M_2 \in \mathcal{M}_3$ ,  $M_1 \diamond M_2$  and  $\deg(M_1) \preceq \deg(M_2)$ . Let  $P_1 = M_1[(x_j^{i::K_j} := N_j)_p]$  and  $P_2 = M_2[(x_j^{i::K_j} := N_j)_p]$ . Then,  $M[(x_j^{i::K_j} := N_j)_p] = P_1P_2$ . By Lemma A.1.2a,  $\diamond\{M_1\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$  and  $\diamond\{M_2\} \cup \{N_j \mid j \in \{1, \dots, p\}\}$ . By definition  $\deg(M) = \deg(M_1) \preceq \deg(M_2)$ . Therefore  $\deg(M_2) = i :: L :: L'$  for some  $L'$ . By IH,  $P_1^{-i} = M_1^{-i}[(x_j^{K_j} := N_j^{-i})_p]$  and  $P_2^{-i} =$



$M_2^{-i}[(x_j^{K_j} := N_j^{-i})_p]$ . Finally,  $(M[(x_j^{i::K_j} := N_j)_p])^{-i} = P_1^{-i}P_2^{-i} = M_1^{-i}[(x_j^{K_j} := N_j^{-i})_p]M_2^{-i}[(x_j^{K_j} := N_j^{-i})_p] = M^{-i}[(x_j^{K_j} := N_j^{-i})_p]$ .

(c) Using Lemma A.5.4, Lemma 2.1 and the first item, we prove that  $M^{-i}, N^{-i} \in \mathcal{M}_3$ .

- Let  $\rightarrow$  be  $\rightarrow_\beta$ . By induction on  $M \rightarrow_\beta N$ .
  - Let  $M = (\lambda x^K.M_1)M_2 \rightarrow_\beta M_1[x^K := M_2] = N$  where  $\deg(M_2) = K$ . Because  $M \in \mathcal{M}_3$  then  $M_1 \in \mathcal{M}_3$ . Because  $i :: L = \deg(M) = \deg(M_1) \preceq K$  then  $K = i :: L :: K'$ . By Lemma A.5.7,  $\deg(M_2^{-i}) = L :: K'$ . Hence,  $M^{-i} = (\lambda x^{L::K'}.M_1^{-i})M_2^{-i} \rightarrow_\beta M_1^{-i}[x^{L::K'} := M_2^{-i}] = (M_1[x^K := M_2])^{-i}$ .
  - Let  $M = \lambda x^K.M_1 \rightarrow_\beta \lambda x^K.N_1 = N$  such that  $M_1 \rightarrow_\beta N_1$ . Because  $M \in \mathcal{M}_3$ ,  $M_1 \in \mathcal{M}_3$  and  $K \succeq \deg(M_1)$ . By definition  $\deg(M) = \deg(M_1)$ . Because  $i :: L = \deg(M_1) \preceq K$ ,  $K = i :: L :: K'$  for some  $K'$ . By IH,  $M_1^{-i} \rightarrow_\beta N_1^{-i}$ , hence  $M^{-i} = \lambda x^{L::K'}.M_1^{-i} \rightarrow_\beta \lambda x^{L::K'}.N_1^{-i} = N^{-i}$ .
  - Let  $M = M_1M_2 \rightarrow_\beta N_1M_2 = N$  such that  $M_1 \rightarrow_\beta N_1$ . Because  $M \in \mathcal{M}_3$  then  $M_1 \in \mathcal{M}_3$ . By definition  $\deg(M) = \deg(M_1) = i :: L$ . By IH,  $M_1^{-i} \rightarrow_\beta N_1^{-i}$ , hence  $M^{-i} = M_1^{-i}M_2^{-i} \rightarrow_\beta N_1^{-i}M_2^{-i} = N^{-i}$ .
  - Let  $M = M_1M_2 \rightarrow_\beta M_1N_2 = N$  such that  $M_2 \rightarrow_\beta N_2$ . Because  $M \in \mathcal{M}_3$  then  $M_2 \in \mathcal{M}_3$ . By definition  $\deg(M_2) \succeq \deg(M_1) = \deg(M) = i :: L$ . So  $\deg(M_2) = i :: L :: L'$  for some  $L'$ . By IH,  $M_2^{-i} \rightarrow_\beta N_2^{-i}$ , hence  $M^{-i} = M_1^{-i}M_2^{-i} \rightarrow_\beta M_1^{-i}N_2^{-i} = N^{-i}$ .
- Let  $\rightarrow$  be  $\rightarrow_\beta^*$ . By induction on  $\rightarrow_\beta^*$  using  $\rightarrow_\beta$ .
- Let  $\rightarrow$  be  $\rightarrow_\eta$ . We only do the base case. The inductive cases are as for  $\rightarrow_\beta$ . Let  $M = \lambda x^K.Nx^K \rightarrow_\eta N$  where  $x^K \notin \text{fv}(N)$ . Because  $i :: L = \deg(M) = \deg(N) \preceq K$  then  $K = i :: L :: K'$  for some  $K'$ . By Lemma A.5.7,  $N = N'^{+i}$  for some  $N' \in \mathcal{M}_3$ . By Lemma A.5.7,  $N' = N^{-i}$ . By Lemma A.5.1,  $x^{L::K'} \notin \text{fv}(N^{-i})$ . Then  $M^{-i} = \lambda x^{L::K'}.N^{-i}x^{L::K'} \rightarrow_\eta N^{-i}$ .
- Let  $\rightarrow$  be  $\rightarrow_\eta^*$ . By induction on  $\rightarrow_\eta^*$  using  $\rightarrow_\eta$ .
- Let  $\rightarrow$  be  $\rightarrow_{\beta\eta}, \rightarrow_{\beta\eta}, \rightarrow_h$  or  $\rightarrow_h^*$ . By the previous items.

8. By 1.,  $\deg(N^{+i}) = i :: \deg(N)$ . By Lemma 2.1,  $\deg(M) = \deg(N^{+i})$ . By 7.,  $M = M'^{+i}$  such that  $M' \in \mathcal{M}_3$ . By 4.,  $M' = (M'^{+i})^{-i} = M^{-i}$ . By 7.,  $M^{-i} \rightarrow (N^{+i})^{-i}$ . By 4.,  $(N^{+i})^{-i} = N$ .

9. By 1.,  $\deg(M^{+i}) = i :: \deg(M)$ . By Lemma 2.1,  $\deg(M^{+i}) = \deg(N)$ . By 7.,  $N = N'^{+i}$  such that  $N' \in \mathcal{M}_3$ . By 4.,  $M = (M^{+i})^{-i}$ . By 7.,  $(M^{+i})^{-i} \rightarrow N^{-i}$ . By 4.,  $N^{-i} = (N'^{+i})^{-i} = N'$ . □

## A.2. Confluence of $\rightarrow_\beta^*$ and $\rightarrow_{\beta\eta}^*$

In this section we establish the confluence of  $\rightarrow_\beta^*$  and  $\rightarrow_{\beta\eta}^*$  using the standard parallel reduction method.

**Definition A.1.** Let  $r \in \{\beta, \beta\eta\}$ . We define the binary relation  $\overset{r}{\rightarrow}$  on  $\mathcal{M}_i$ , where  $i \in \{1, 2, 3\}$ , by:

(PR1)  $M \overset{r}{\rightarrow} M$

(PR2) If  $M \xrightarrow{\rho_\gamma} M'$  and  $\lambda x^I.M, \lambda x^I.M' \in \mathcal{M}_i$  then  $\lambda x^I.M \xrightarrow{\rho_\gamma} \lambda x^I.M'$ .

(PR3) If  $M \xrightarrow{\rho_\gamma} M', N \xrightarrow{\rho_\gamma} N'$  and  $MN, M'N' \in \mathcal{M}_i$  then  $MN \xrightarrow{\rho_\gamma} M'N'$

(PR4) If  $M \xrightarrow{\rho_\gamma} M', N \xrightarrow{\rho_\gamma} N'$  and  $(\lambda x^I.M)N, M'[x^I := N'] \in \mathcal{M}_i$  then  $(\lambda x^I.M)N \xrightarrow{\rho_\gamma} M'[x^I := N']$

(PR5) If  $M \xrightarrow{\rho_{\beta\eta}} M', x^I \notin \text{fv}(M)$  and  $\lambda x^I.Mx^I \in \mathcal{M}_i$  then  $\lambda x^I.Mx^I \xrightarrow{\rho_{\beta\eta}} M'$

We denote the transitive closure of  $\xrightarrow{\rho_\gamma}$  by  $\xrightarrow{\rho_r}$ . When  $M \xrightarrow{\rho_\gamma} N$  (resp.  $M \xrightarrow{\rho_r} N$ ), we can also write  $N \xleftarrow{\rho_r} M$  (resp.  $N \xleftarrow{\rho_r} M$ ). If  $rel, rel' \in \{\xrightarrow{\rho_\gamma}, \xrightarrow{\rho_r}, \xleftarrow{\rho_r}, \xleftarrow{\rho_\gamma}\}$ , we write  $M_1 rel M_2 rel' M_3$  instead of  $M_1 rel M_2$  and  $M_2 rel' M_3$ .

We now prove the relation between  $\rightarrow_r$  for  $r \in \{\beta, \beta\eta\}$  and  $\xrightarrow{\rho_\gamma}$ .

**Lemma A.6.** Let  $r \in \{\beta, \beta\eta\}$ ,  $i \in \{1, 2, 3\}$  and  $M \in \mathcal{M}_i$ .

1. If  $M \rightarrow_r M'$  then  $M \xrightarrow{\rho_\gamma} M'$ .
2. If  $M \xrightarrow{\rho_\gamma} M'$  then  $M' \in \mathcal{M}_i$ ,  $M \rightarrow_r^* M'$ ,  $\text{fv}(M') \subseteq \text{fv}(M)$ ,  $\text{deg}(M) = \text{deg}(M')$  and if  $i \in \{1, 2\}$ ,  $\text{fv}(M') = \text{fv}(M)$ .
3. If  $M \xrightarrow{\rho_\gamma} M', N \xrightarrow{\rho_\gamma} N'$  and  $M \diamond N$  then  $M' \diamond N'$ .

**Proof:**

[Proof of Lemma A.6]

1. By induction on the derivation of  $M \rightarrow_r M'$  and then by case on the last rule used in the derivation. We prove the case where  $M = (\lambda x^I.M_1)M_2 \rightarrow_\beta M_1[x^I := M_2] = M'$ . such that  $\text{deg}(M_2) = I$  and  $\forall I'. x^{I'} \notin \text{fv}(M_2)$ . By definition  $M \in \mathcal{M}_i$  and  $M_1, M_2 \in \mathcal{M}_i$ . By Lemma A.1.1 and Lemma A.1.2,  $M_1 \diamond M_2$ . By Lemma A.1.5a,  $M' \in \mathcal{M}_i$ . Using rules (PR1) and (PR4)
2. By induction on the derivation of  $M \xrightarrow{\rho_\gamma} M'$  using Lemmas 2.1 and A.2.4.
3.  $M' \diamond N'$  since by 2.,  $\text{fv}(M') \subseteq \text{fv}(M)$  and  $\text{fv}(N') \subseteq \text{fv}(N)$  and  $M \diamond N$ .

□

**Lemma A.7.** Let  $r \in \{\beta, \beta\eta\}$ ,  $i \in \{1, 2, 3\}$ ,  $M, N \in \mathcal{M}_i$ ,  $N \xrightarrow{\rho_\gamma} N'$ ,  $\text{deg}(N) = I$ , and  $M \diamond N$ . We have:

1.  $M[x^I := N] \xrightarrow{\rho_\gamma} M[x^I := N']$ .
2. If  $M \xrightarrow{\rho_\gamma} M'$  then  $M[x^I := N] \xrightarrow{\rho_\gamma} M'[x^I := N']$ .

**Proof:**

[Proof of Lemma A.7] By Lemma A.6.2,  $\text{deg}(N') = \text{deg}(N) = I$  and  $\text{fv}(N') \subseteq \text{fv}(N)$ , and by Lemma A.6.3,  $M \diamond N'$ .

1. By Lemma A.1.5a,  $M[x^I := N], M[x^I := N'] \in \mathcal{M}_i$ .  
Let  $i \in \{1, 2\}$ . By induction on  $M$ :

- Let  $M = y^n$ . If  $x^I = y^n$  then  $M[x^I := N] = N \xrightarrow{\rho_r} N' = M[x^I := N']$ . If  $x^I \neq y^n$  then  $M[x^I := N] = M \xrightarrow{\rho_r} M = M[x^I := N']$ .
- Let  $M = \lambda y^n.M_1$  such that  $y^n \in \text{fv}(M_1)$  and  $\forall m. y^m \notin \text{fv}(N)$ . By Lemma A.1.2b,  $M_1 \diamond N$ . By IH,  $M_1[x^I := N] \xrightarrow{\rho_r} M_1[x^I := N']$ . Hence,  $M[x^I := N] = \lambda y^n.M_1[x^I := N] \xrightarrow{\rho_r} \lambda y^n.M_1[x^I := N'] = M[x^I := N']$
- Let  $M = M_1M_2$  such that  $M_1 \diamond M_2$ . By Lemma A.1.2a,  $\{M_1, M_2\} \diamond N$ . By IH  $M_1[x^I := N] \xrightarrow{\rho_r} M_1[x^I := N']$  and  $M_2[x^I := N] \xrightarrow{\rho_r} M_2[x^I := N']$ . Hence,  $M[x^I := N] = M_1[x^I := N]M_2[x^I := N] \xrightarrow{\rho_r} M_1[x^I := N']M_2[x^I := N'] = M[x^I := N']$

The proof for  $i = 3$  is similar.

2. By Lemma A.6.3,  $M' \diamond N'$ . By induction on  $M \xrightarrow{\rho_r} M'$  using 1., Lemmas A.1.2, A.1.3, A.1.5a, and A.6.3. We only consider one interesting case where  $(\lambda y^J.M_1)M_2 \xrightarrow{\rho_\beta} M'_1[y^J := M'_2]$ ,  $M_1 \xrightarrow{\rho_\beta} M'_1$ ,  $M_2 \xrightarrow{\rho_\beta} M'_2$ ,  $(\lambda y^J.M_1)M_2, M'_1[y^J := M'_2] \in \mathcal{M}_i$ , and  $\forall J'. y^{J'} \notin \text{fv}(N) \cup \{x^I\} \cup \text{fv}(M_2)$ . Because  $(\lambda y^J.M_1)M_2 \in \mathcal{M}_i$ , by definition,  $M_1, M_2 \in \mathcal{M}_i$ . By Lemma A.6.2,  $M'_1, M'_2 \in \mathcal{M}_i$ . By Lemma A.1.5a,  $M'_1 \diamond M'_2$  and  $\text{deg}(M'_2) = J$ . By Lemma A.1.2,  $M_1 \diamond N$  and  $M_2 \diamond N$ . By Lemma A.6.3,  $M'_1 \diamond N$  and  $M'_2 \diamond N$ . By Lemma A.6.3,  $M'_1 \diamond N'$  and  $M'_2 \diamond N'$ . By Lemma A.6.2,  $\text{deg}(N') = I$ . By Lemma A.1.5a,  $M_1[x^I := N], M_2[x^I := N], M'_1[x^I := N'], M'_2[x^I := N'] \in \mathcal{M}_i$ . By Lemma A.1.2,  $M_1 \diamond M_2$ . By Lemma A.1.3,  $M_1[x^I := N] \diamond M_2[x^I := N]$  and  $M'_1[x^I := N'] \diamond M'_2[x^I := N']$ . By Lemma A.1.5b,  $\text{deg}(M_1[x^I := N]) = \text{deg}(M_1)$ ,  $\text{deg}(M_2[x^I := N]) = \text{deg}(M_2)$ , and  $\text{deg}(M'_2[x^I := N']) = \text{deg}(M'_2) = J$ . By Lemma A.1.5a,  $M'_1[x^I := N'] [y^J := M'_2[x^I := N']] \in \mathcal{M}_i$ . Therefore  $\lambda y^J.M_1[x^I := N] \in \mathcal{M}_i$ . By Lemma A.1.2,  $(\lambda y^J.M_1[x^I := N]) \diamond M_2[x^I := N]$ . Therefore  $(\lambda y^J.M_1[x^I := N])M_2[x^I := N] \in \mathcal{M}_i$ . By Lemma A.1.6,  $M'_1[x^I := N'] [y^J := M'_2[x^I := N']] = M'_1[y^J := M'_2][x^I := N']$ . Hence,  $(\lambda y^J.M_1[x^I := N])M_2[x^I := N] \xrightarrow{\rho_\beta} M'_1[x^I := N'] [y^J := M'_2[x^I := N']]$  and so,  $((\lambda y^J.M_1)M_2)[x^I := N] \xrightarrow{\rho_\beta} M'_1[y^J := M'_2][x^I := N']$ . □

**Lemma A.8.** Let  $r \in \{\beta, \beta\eta\}$ ,  $i \in \{1, 2, 3\}$  and  $M \in \mathcal{M}_i$ .

1. If  $M = x^I \xrightarrow{\rho_r} N$  then  $N = x^I$ .
2. If  $M = \lambda x^I.P \xrightarrow{\rho_\beta} N$  then  $N = \lambda x^I.P'$  where  $P \xrightarrow{\rho_\beta} P'$ .
3. If  $M = \lambda x^I.P \xrightarrow{\rho_{\beta\eta}} N$  then one of the following holds:
  - $N = \lambda x^I.P'$  where  $P \xrightarrow{\rho_{\beta\eta}} P'$ .
  - $P = P'x^I$  where  $x^I \notin \text{fv}(P')$  and  $P' \xrightarrow{\rho_{\beta\eta}} N$ .
4. If  $M = PQ \xrightarrow{\rho_r} N$  then one of the following holds:
  - $N = P'Q', P \xrightarrow{\rho_r} P', Q \xrightarrow{\rho_r} Q', P \diamond Q$ , and  $P' \diamond Q'$ .
  - $P = \lambda x^I.P', N = P''[x^I := Q']$ ,  $\text{deg}(Q) = \text{deg}(Q') = I$ ,  $P' \xrightarrow{\rho_r} P'', Q \xrightarrow{\rho_r} Q', P' \diamond Q$  and  $P'' \diamond Q'$ .

**Proof:**

[Proof of Lemma A.8] 1. By induction on the derivation of  $x^I \xrightarrow{\rho_r} N$ .

2. By induction on the derivation of  $\lambda x^I . P \xrightarrow{\rho_\beta} N$  using Lemma A.6.2.

3. By induction on the derivation of  $\lambda x^I . P \xrightarrow{\rho_{\beta\eta}} N$  using Lemma A.6.2.

4. By induction on the derivation of  $PQ \xrightarrow{\rho_r} N$  using Lemma A.6.2 and A.6.3.  $\square$

**Lemma A.9.** Let  $r \in \{\beta, \beta\eta\}$ ,  $i \in \{1, 2, 3\}$  and  $M, M_1, M_2 \in \mathcal{M}_i$ .

1. If  $M_2 \xleftarrow{\rho_r} M \xrightarrow{\rho_r} M_1$  then there exists  $M' \in \mathcal{M}_i$  such that  $M_2 \xrightarrow{\rho_r} M' \xleftarrow{\rho_r} M_1$ .
2. If  $M_2 \xleftarrow{\rho_r} M \xrightarrow{\rho_r} M_1$  then there exists  $M' \in \mathcal{M}_i$  such that  $M_2 \xrightarrow{\rho_r} M' \xleftarrow{\rho_r} M_1$ .

**Proof:**

[Proof of Lemma A.9] 1. Both cases ( $r = \beta$  and  $r = \beta\eta$ ) are by induction on  $M$ . We only do the  $\beta\eta$  case making discriminate use of Lemma A.8.

- If  $M = x^I$ , by Lemma A.8,  $M_1 = M_2 = x^I$ . Take  $M' = x^I$ .
- If  $N_2 P_2 \xleftarrow{\rho_{\beta\eta}} N P \xrightarrow{\rho_{\beta\eta}} N_1 P_1$  where  $N_2 \xleftarrow{\rho_{\beta\eta}} N \xrightarrow{\rho_{\beta\eta}} N_1$  and  $P_2 \xleftarrow{\rho_{\beta\eta}} P \xrightarrow{\rho_{\beta\eta}} P_1$ . Then, by IH,  $\exists N', P' \in \mathcal{M}_i$  such that  $N_2 \xrightarrow{\rho_{\beta\eta}} N' \xleftarrow{\rho_{\beta\eta}} N_1$  and  $P_2 \xrightarrow{\rho_{\beta\eta}} P' \xleftarrow{\rho_{\beta\eta}} P_1$ . By definition,  $N_1 \diamond P_1$ . By Lemma A.6.2,  $\deg(N_1) = \deg(N')$  and  $\deg(P_1) = \deg(P')$ . By Lemma A.6.3,  $N' \diamond P'$ . If  $i \in \{1, 2\}$  then  $N' P' \in \mathcal{M}_i$ . If  $i = 3$  then  $\deg(N_1) \leq \deg(P_1)$ , so  $\deg(N') \leq \deg(P')$  and  $N' P' \in \mathcal{M}_i$ . Hence,  $N_2 P_2 \xrightarrow{\rho_{\beta\eta}} N' P' \xleftarrow{\rho_{\beta\eta}} N_1 P_1$ .
- If  $P_1[x^I := Q_1] \xleftarrow{\rho_{\beta\eta}} (\lambda x^I . P) Q \xrightarrow{\rho_{\beta\eta}} P_2[x^I := Q_2]$  where  $P_1 \xleftarrow{\rho_{\beta\eta}} P \xrightarrow{\rho_{\beta\eta}} P_2$  and  $Q_1 \xleftarrow{\rho_{\beta\eta}} Q \xrightarrow{\rho_{\beta\eta}} Q_2$ . Then, by IH,  $\exists P', Q' \in \mathcal{M}_i$  such that  $P_1 \xrightarrow{\rho_{\beta\eta}} P' \xleftarrow{\rho_{\beta\eta}} P_2$  and  $Q_1 \xrightarrow{\rho_{\beta\eta}} Q' \xleftarrow{\rho_{\beta\eta}} Q_2$ . By Lemma A.1.5a,  $\deg(Q_1) = \deg(Q_2) = I$ ,  $P_1 \diamond Q_1$  and  $P_2 \diamond Q_2$ . Hence, by Lemma A.7.2,  $P_1[x^I := Q_1] \xrightarrow{\rho_{\beta\eta}} P'[x^I := Q'] \xleftarrow{\rho_{\beta\eta}} P_2[x^I := Q_2]$ .
- If  $(\lambda x^I . P_1) Q_1 \xleftarrow{\rho_{\beta\eta}} (\lambda x^I . P) Q \xrightarrow{\rho_{\beta\eta}} P_2[x^I := Q_2]$  where  $P \xrightarrow{\rho_{\beta\eta}} P_1$ ,  $P \xrightarrow{\rho_{\beta\eta}} P_2$ ,  $Q_1 \xleftarrow{\rho_{\beta\eta}} Q \xrightarrow{\rho_{\beta\eta}} Q_2$  and  $\forall I'. x^{I'} \notin \text{fv}(Q)$ . By IH,  $\exists P', Q' \in \mathcal{M}_i$  such that  $P_1 \xrightarrow{\rho_{\beta\eta}} P' \xleftarrow{\rho_{\beta\eta}} P_2$  and  $Q_1 \xrightarrow{\rho_{\beta\eta}} Q' \xleftarrow{\rho_{\beta\eta}} Q_2$ . By Lemma A.1.1 and Lemma A.1.2b,  $P \diamond Q$ . By Lemma A.6.3,  $P' \diamond Q'$ . By Lemma A.1.5a,  $\deg(Q_2) = I$  and  $P_2 \diamond Q_2$ . By Lemma A.6.2,  $\deg(Q') = I$ . By Lemma A.1.5a,  $P'[x^I := Q'] \in \mathcal{M}_i$ . Hence,  $(\lambda x^I . P_1) Q_1 \xrightarrow{\rho_{\beta\eta}} P'[x^I := Q']$  and by Lemma A.7.2,  $P_2[x^I := Q_2] \xrightarrow{\rho_{\beta\eta}} P'[x^I := Q']$ .
- If  $P_1 Q_1 \xleftarrow{\rho_{\beta\eta}} (\lambda x^I . P x^I) Q \xrightarrow{\rho_{\beta\eta}} P_2[x^I := Q_2]$  where  $P \xrightarrow{\rho_{\beta\eta}} P_1$ ,  $P x^I \xrightarrow{\rho_{\beta\eta}} P_2$ ,  $Q_1 \xleftarrow{\rho_{\beta\eta}} Q \xrightarrow{\rho_{\beta\eta}} Q_2$ , and  $\forall I'. x^{I'} \notin \text{fv}(Q) \cup \text{fv}(P)$ . By Lemma A.1.5a,  $\deg(Q_2) = I$ . By Lemma A.6.2,  $\deg(Q_1) = I$ . By Lemma A.1.1 and Lemma A.1.2,  $\diamond\{P, x^I, Q\}$ . By Lemma A.6.3,  $\diamond\{P_1, x^I, Q_1\}$ . By Lemma A.6.2,  $\deg(P) = \deg(P_1)$  and  $x^I \notin \text{fv}(P_1)$ . If  $i \in \{1, 2\}$  then  $P_1 x^I \in \mathcal{M}_i$ . If  $i = 3$  then  $\deg(P) \leq I$ , so  $\deg(P_1) \leq I$  and  $P x^I \in \mathcal{M}_i$ . Hence  $P x^I \diamond Q$  and by Lemma A.1.5a,  $P_1 Q_1 = (P_1 x^I)[x^I := Q_1] \in \mathcal{M}_i$ . Moreover,  $P x^I \xrightarrow{\rho_{\beta\eta}} P_1 x^I$  and we conclude as in the third item.
- If  $\lambda x^I . N_2 \xleftarrow{\rho_{\beta\eta}} \lambda x^I . N \xrightarrow{\rho_{\beta\eta}} \lambda x^I . N_1$  where  $N_2 \xleftarrow{\rho_{\beta\eta}} N \xrightarrow{\rho_{\beta\eta}} N_1$ . By IH, there is  $N' \in \mathcal{M}_i$  such that  $N_2 \xrightarrow{\rho_{\beta\eta}} N' \xleftarrow{\rho_{\beta\eta}} N_1$ . If  $i \in \{1, 2\}$  then  $x^I \in \text{fv}(N_1)$ , so by Lemma A.6.2,  $x^I \in \text{fv}(N)$ , hence

$\lambda x^I.N' \in \mathcal{M}_i$ . If  $i = 3$  then by Lemma A.6.2,  $I \succeq \deg(N_1) = \deg(N')$ , so  $\lambda x^I.N' \in \mathcal{M}_i$ . Hence,  $\lambda x^n.N_2 \xrightarrow{\rho_{\beta\eta}} \lambda x^n.N' \xleftarrow{\rho_{\beta\eta}} \lambda x^n.N_1$ .

- If  $M_1 \xleftarrow{\rho_{\beta\eta}} \lambda x^I.Px^I \xrightarrow{\rho_{\beta\eta}} M_2$  where  $M_1 \xleftarrow{\rho_{\beta\eta}} P \xrightarrow{\rho_{\beta\eta}} M_2$ . By IH, there is  $M' \in \mathcal{M}_i$  such that  $M_2 \xrightarrow{\rho_{\beta\eta}} M' \xleftarrow{\rho_{\beta\eta}} M_1$ .
- If  $M_1 \xleftarrow{\rho_{\beta\eta}} \lambda x^I.Px^I \xrightarrow{\rho_{\beta\eta}} \lambda x^I.P'$ , where  $P \xrightarrow{\rho_{\beta\eta}} M_1$ ,  $Px^I \xrightarrow{\rho_{\beta\eta}} P'$  and  $x^I \notin \text{fv}(P)$ . By the  $\diamond$  property, for all  $J$ ,  $x^J \notin \text{fv}(P)$ . By Lemma A.8:
  - Either  $P' = P''x^I$  and  $P \xrightarrow{\rho_{\beta\eta}} P''$ . By IH, there is  $M' \in \mathcal{M}_i$  such that  $P'' \xrightarrow{\rho_{\beta\eta}} M' \xleftarrow{\rho_{\beta\eta}} M_1$ . By Lemma A.6.2,  $x^I \notin \text{fv}(P'')$  and  $\deg(P'') \leq n$ . Hence,  $M_2 = \lambda x^I.P''x^I \xrightarrow{\rho_{\beta\eta}} M' \xleftarrow{\rho_{\beta\eta}} M_1$ .
  - Or  $P = \lambda y^I.P''$  and  $P' = P'''[y^I := x^I]$  such that  $P'' \xrightarrow{\rho_{\beta\eta}} P'''$  and where  $x \neq y$ . If  $i \in \{1, 2\}$  then  $y^I \in \text{fv}(P'')$ , so by Lemma A.6.2,  $y^I \in \text{fv}(P''')$  and  $\lambda y^I.M''' \in \mathcal{M}_i$ . If  $i = 3$  then by Lemma A.6.2,  $\deg(P''') = \deg(P'') \preceq I$  and for all  $J$ ,  $x^J \notin \text{fv}(P''')$ . So  $\lambda y^I.M''' \in \mathcal{M}_i$ . Hence,  $P = \lambda y^I.P'' \xrightarrow{\rho_{\beta\eta}} \lambda y^I.P'''$ . Moreover,  $\lambda x^I.P' = \lambda x^I.P'''[y^I := x^I] = \lambda y^I.P'''$ . We conclude using as in the sixth item.

2. First show by induction on  $M \xrightarrow{\rho_r} M_1$  (and using 1.) that if  $M_2 \xleftarrow{\rho_r} M \xrightarrow{\rho_r} M_1$  then there is  $M' \in \mathcal{M}_i$  such that  $M_2 \xrightarrow{\rho_r} M' \xleftarrow{\rho_r} M_1$ . Then use this to show 2. by induction on  $M \xrightarrow{\rho_r} M_2$ .  $\square$

**Proof:**

[Proof of Theorem 2.2]

1. By Lemma A.9.2,  $\xrightarrow{\rho_r}$  is confluent. By Lemma A.6.1 and A.6.2,  $M \xrightarrow{\rho_r} N$  iff  $M \rightarrow_r^* N$ . Then  $\rightarrow_r^*$  is confluent.
2.  $\Leftarrow$ ) is by definition of  $\simeq_\beta$ .  $\Rightarrow$ ) is by induction on  $M_1 \simeq_\beta M_2$  using 1.  $\square$

### A.3. The types of the indexed calculi (Sec. 2.2)

**Proof:**

[Proof of Lemma 2.3]

1. The  $\Rightarrow$ ) directions are by definition, and the  $\Leftarrow$ ) directions are by induction on the derivations of  $U \rightarrow T \in \text{GITy}$  for 1a., of  $U \sqcap V \in \text{GITy}$  for 1b., and of  $eU \in \text{GITy}$  for 1c.
2. 2a. By induction on  $T$ .  
2b. By induction on  $U$ .
  - \* Let  $U = U_1 \sqcap U_2$  such that  $U_1, U_2 \in \text{ITy}_2$ . Because  $\sqcap$  is commutative, let  $\deg(U_1) = n$  and  $\deg(U_2) = n'$  such that  $n' \geq n$ . By IH,  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n),i} V_i$  and  $U_2 = \prod_{i=m+1}^{m+m'} \vec{e}_{j(1:n'),i} V_i$  such that  $m, m' \geq 1$ ,  $\exists i \in \{1, \dots, m\}$ .  $V_i \in \text{Ty}_2$ , and  $\exists i \in \{m+1, \dots, m+m'\}$ .  $V_i \in \text{Ty}_2$ . Let  $\forall i \in \{1, \dots, m\}$ .  $V'_i = V_i$ . Let  $\forall i \in \{m+1, \dots, m+m'\}$ .  $V'_i = \vec{e}_{j(n+1:n'),i} V_i$ . Therefore  $U_1 \sqcap U_2 = \prod_{i=1}^{m+m'} \vec{e}_{j(1:n),i} V'_i$  and  $m + m' \geq 1$ .

- \* Let  $U = eU_1$  such that  $U_1 \in \text{ITy}_2$ . Then  $\deg(U) = n = n' + 1 = \deg(U_1) + 1$  By IH,  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n'),i} V_i$  such that  $m \geq 1$  and  $\exists i \in \{1, \dots, m\}$ .  $V_i \in \text{Ty}_2$ . Therefore  $U = \prod_{i=1}^m e\vec{e}_{j(1:n'),i} V_i$ .
- \* The case  $U \in \text{Ty}_2$  is trivial.

2c. By induction on  $U$ .

- \* Let  $U = U_1 \sqcap U_2$  then by 1b.,  $U_1, U_2 \in \text{GITy}$  and  $\deg(U_1) = \deg(U_2)$ . By IH,  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n),i} V_i$  and  $U_2 = \prod_{i=m+1}^{m+m'} \vec{e}_{j(1:n),i} V_i$  such that  $m, m' \geq 1$  and  $\forall i \in \{1, \dots, m'\}$ .  $V_i \in \text{Ty}_2 \cap \text{GITy}$ . Therefore  $U_1 \sqcap U_2 = \prod_{i=1}^{m+m'} \vec{e}_{j(1:n),i} V_i$ .
- \* Let  $U = eU_1$  then by 1c.,  $U_1 \in \text{GITy}$ . Also  $\deg(U) = n = n' + 1 = \deg(U_1) + 1$  By IH,  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n'),i} V_i$  such that  $m \geq 1$  and  $\forall i \in \{1, \dots, m\}$ .  $V_i \in \text{Ty}_2 \cap \text{GITy}$ . Therefore  $U = \prod_{i=1}^m e\vec{e}_{j(1:n'),i} V_i$ .
- \* The cases  $U = U_1 \rightarrow T$  and  $U = a$  are trivial.

2d.  $\Leftarrow$ ) By 1.  $\Rightarrow$ ) By 2.,  $\deg(U) \geq 0 = \deg(T)$ . Hence, by 1.,  $U \rightarrow T \in \text{GITy}$ . □

#### A.4. The type systems $\vdash_1$ and $\vdash_2$ for $\lambda I^{\mathbb{N}}$ and $\vdash_3$ for $\lambda \mathcal{L}^{\mathbb{N}}$ (Sec. 2.3)

**Proof:**

[Proof of Lemma 2.4]

1. By induction on the derivation  $\Gamma \sqsubseteq \Gamma'$  and then by case on the last rule of the derivation.
  - Let  $\Gamma = \Gamma'$  using rule (ref) then use rule ( $\sqsubseteq_c$ ).
  - Let  $\Gamma \sqsubseteq \Gamma'$  be derived from  $\Gamma \sqsubseteq \Gamma''$  and  $\Gamma'' \sqsubseteq \Gamma'$  using rule (tr). By IH,  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$  and  $\Gamma, (x^I : U) \sqsubseteq \Gamma'', (x^I : U')$ . Therefore  $x^I \notin \text{dom}(\Gamma')$ . Again by IH,  $\text{dom}(\Gamma'') = \text{dom}(\Gamma')$  and  $\Gamma'', (x^I : U') \sqsubseteq \Gamma', (x^I : U')$ . Therefore, using rule (tr),  $\Gamma, (x^I : U) \sqsubseteq \Gamma', (x^I : U')$ . Also,  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$ .
  - Let  $\Gamma = \Gamma_1, (y^{I'} : U_1) \sqsubseteq \Gamma_1, (y^{I'} : U_2) = \Gamma'$  be derived from  $U_1 \sqsubseteq U_2$  and  $y^{I'} \notin \text{dom}(\Gamma_1)$  using rule ( $\sqsubseteq_c$ ). Therefore  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$  Using rule ( $\sqsubseteq_c$ ),  $\Gamma, (x^I : U) = \Gamma_1, (y^{I'} : U_1), (x^I : U) \sqsubseteq \Gamma_1, (y^{I'} : U_1), (x^I : U')$ . Using rule ( $\sqsubseteq_c$ ) again,  $\Gamma_1, (y^{I'} : U_1), (x^I : U') \sqsubseteq \Gamma_1, (y^{I'} : U_2), (x^I : U') = \Gamma', (x^I : U')$ . Therefore using rule (tr),  $\Gamma \sqsubseteq \Gamma'$ .
2. We prove the direction  $\Rightarrow$ ) by induction on the size of the derivation  $\Gamma \sqsubseteq \Gamma'$  and then by case on the last rule of the derivation.
  - Let  $\Gamma = \Gamma'$  using rule (ref) then we are done because  $\Gamma = (x_i^{I_i} : U_i)_n$  and by rule (ref),  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U_i$ .
  - Let  $\Gamma \sqsubseteq \Gamma'$  be derived from  $\Gamma \sqsubseteq \Gamma''$  and  $\Gamma'' \sqsubseteq \Gamma'$  using rule (tr). By IH,  $\Gamma = (x_i^{I_i} : U_i)_n$ ,  $\Gamma'' = (x_i^{I_i} : U_i'')_n$ , and  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U_i''$ . By IH again  $\Gamma'' = (x_i^{I_i} : U_i'')_n$ ,  $\Gamma' = (x_i^{I_i} : U_i')_n$ , and  $\forall i \in \{1, \dots, n\}$ .  $U_i'' \sqsubseteq U_i'$ . Therefore, using rule (tr),  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U_i'$ .
  - Let  $\Gamma, (x^I : U_1) \sqsubseteq \Gamma, (x^I : U_2)$  be derived from  $U_1 \sqsubseteq U_2$  and  $x^I \notin \text{dom}(\Gamma)$  using rule ( $\sqsubseteq_c$ ) and we are done.

We prove the direction  $\Leftarrow$ ) by induction on  $n$ . If  $n = 0$  then it is done. Let  $\Gamma = \Gamma_1, (x^{I_n} : U_n)$ ,  $\Gamma' = \Gamma'_1, (x^{I_n} : U_n)$  and  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U'_i$ , such that  $\Gamma_1 = (x^{I_i} : U_i)_m$  and  $\Gamma'_1 = (x^{I_i} : U'_i)_m$ . By IH,  $\Gamma_1 \sqsubseteq \Gamma'_1$ . By 1.,  $\Gamma \sqsubseteq \Gamma'$ .

3. First we prove the direction  $\Rightarrow$ ) by induction on the derivation of  $\Gamma \vdash_j U \sqsubseteq \Gamma' \vdash_j U'$  and the by case on the last rule of the derivation.

- Let  $\Gamma \vdash_j U = \Gamma' \vdash_j U'$  using rule (ref) then it is done because  $\Gamma = \Gamma'$  and  $U = U'$  and by rule (ref),  $\Gamma \sqsubseteq \Gamma$  and  $U \sqsubseteq U$ .
- Let  $\Gamma \vdash_j U \sqsubseteq \Gamma' \vdash_j U'$  be derived from  $\Gamma \vdash_j U \sqsubseteq \Gamma'' \vdash_j U''$  and  $\Gamma'' \vdash_j U'' \sqsubseteq \Gamma' \vdash_j U'$  using rule (tr). By IH,  $\Gamma \sqsubseteq \Gamma''$ ,  $\Gamma'' \sqsubseteq \Gamma'$ ,  $U \sqsubseteq U''$ , and  $U'' \sqsubseteq U'$ . Therefore using rule (tr),  $\Gamma \sqsubseteq \Gamma'$  and  $U \sqsubseteq U'$ .
- Let  $\Gamma \vdash_j U \sqsubseteq \Gamma' \vdash_j U'$  using rule ( $\sqsubseteq_{\emptyset}$ ) then we are done using the premises.

The direction  $\Leftarrow$ ) is obtained using rule ( $\sqsubseteq_{\emptyset}$ ).

4. We prove this result by induction on the derivation of  $U_1 \sqsubseteq U_2$  and then by case on the last rule of the derivation.

- Case (ref) is trivial.
- Let  $U_1 \sqsubseteq U_2$  be derived from  $U_1 \sqsubseteq U$  and  $U \sqsubseteq U_2$  using rule (tr). By IH,  $\deg(U_1) = \deg(U) = \deg(U_2)$  and  $(U_1 \in \text{GITy} \text{ iff } U \in \text{GITy} \text{ iff } U_2 \in \text{GITy})$ .
- Let  $U_1 = U_2 \sqcap U \sqsubseteq U_2$  be derived from  $\deg(U_2) = \deg(U)$  (and  $U \in \text{GITy}$  in  $\text{ITy}_2$ ) using rule ( $\sqcap_{\text{E}}$ ). Then  $\deg(U_1) = \deg(U_2) = \deg(U)$ . Let  $j = 2$ . Using Lemma 2.3.1b,  $U_1 \in \text{GITy} \text{ iff } U_2 \in \text{GITy}$ .
- Let  $U_1 = U'_1 \sqcap U''_1 \sqsubseteq U'_2 \sqcap U''_2 = U_2$  be derived from  $U'_1 \sqsubseteq U'_2$  and  $U''_1 \sqsubseteq U''_2$  (and  $\deg(U'_1) = \deg(U''_1)$  in  $\text{ITy}_3$ ) using rule ( $\sqcap$ ). By IH,  $\deg(U'_1) = \deg(U'_2)$ ,  $\deg(U''_1) = \deg(U''_2)$ ,  $U'_1 \in \text{GITy} \text{ iff } U'_2 \in \text{GITy}$ , and  $U''_1 \in \text{GITy} \text{ iff } U''_2 \in \text{GITy}$ . In  $\text{ITy}_2$ ,  $\deg(U_1) = \min(\deg(U'_1), \deg(U''_1)) = \min(\deg(U'_2), \deg(U''_2)) = \deg(U_2)$ . Also, using Lemma 2.3.1b, we prove  $U_1 \in \text{GITy} \text{ iff } U_2 \in \text{GITy}$ . In  $\text{ITy}_3$ ,  $\deg(U'_2) = \deg(U'_1) = \deg(U''_1) = \deg(U''_2)$  and  $\deg(U_1) = \deg(U'_1) = \deg(U'_2) = \deg(U_2)$ .
- Let  $U_1 = U'_1 \rightarrow T_1 \sqsubseteq U'_2 \rightarrow T_2 = U_2$  be derived from  $U'_2 \sqsubseteq U'_1$  and  $T_1 \sqsubseteq T_2$  using rule ( $\rightarrow$ ). By IH,  $\deg(U'_1) = \deg(U'_2)$ ,  $\deg(T_1) = \deg(T_2)$ ,  $U'_1 \in \text{GITy} \text{ iff } U'_2 \in \text{GITy}$ , and  $T_1 \in \text{GITy} \text{ iff } T_2 \in \text{GITy}$ . In  $\text{ITy}_2$ ,  $\deg(U_1) = \min(\deg(U'_1), \deg(T_1)) = \min(\deg(U'_2), \deg(T_2)) = \deg(U_2)$ . Also, using Lemma 2.3.1a, we prove  $U_1 \in \text{GITy} \text{ iff } U_2 \in \text{GITy}$ . In  $\text{ITy}_3$ ,  $\deg(U_1) = \emptyset = \deg(U_2)$ .
- Let  $U_1 = eU'_1 \sqsubseteq eU'_2 = U_2$  be derived from  $U'_1 \sqsubseteq U'_2$  using rule ( $\sqsubseteq_{\text{exp}}$ ). By IH,  $\deg(U'_1) = \deg(U'_2)$  and  $U'_1 \in \text{GITy} \text{ iff } U'_2 \in \text{GITy}$ . In  $\text{ITy}_2$ ,  $\deg(U_1) = \deg(U'_1) + 1 = \deg(U'_2) + 1 = \deg(U_2)$ . Also using Lemma 2.3.1c, we prove  $U_1 \in \text{GITy} \text{ iff } U_2 \in \text{GITy}$ . In  $\text{ITy}_3$ ,  $\deg(U_1) = i :: \deg(U'_1) = i :: \deg(U'_2) = \deg(U_2)$ .

5. We prove this result by induction on the derivation of  $\Gamma_1 \sqsubseteq \Gamma_2$  and then by case on the last rule of the derivation.

- Case (ref) is trivial.

- Let  $\Gamma_1 \sqsubseteq \Gamma_2$  be derived from  $\Gamma_1 \sqsubseteq \Gamma$  and  $\Gamma \sqsubseteq \Gamma_2$  using rule (tr). By IH,  $\deg(\Gamma_1) = \deg(\Gamma) = \deg(\Gamma_2)$ .
  - Let  $\Gamma_1 = \Gamma, (x^I : U_1) \sqsubseteq \Gamma, (x^I : U_2) = \Gamma_2$  such that  $x^I \notin \text{fv}(\Gamma)$  be derived from  $U_1 \sqsubseteq U_2$  using rule ( $\sqsubseteq_c$ ). We conclude using 5.
6. This result is proved by a simple induction on a derivation of the form  $\Psi_1 \sqsubseteq \Psi_2$  and then by case on the last rule used in the derivation.
- The most interesting case is in  $\text{ITy}_3$ , if  $U_1 = U'_1 \sqcap U''_1 \sqsubseteq U'_2 \sqcap U''_2 = U_2$  derived from  $U'_1 \sqsubseteq U'_2$ ,  $U''_1 \sqsubseteq U''_2$ , and  $\deg(U'_1) = \deg(U''_1)$  using rule ( $\sqcap$ ). To prove that  $U'_2 \sqcap U''_2 \in \text{ITy}_3$  we need to prove that  $\deg(U'_2) = \deg(U''_2)$ . This is obtained using 4.
7. We prove this result by induction on the derivation of  $\Gamma_1 \sqsubseteq \Gamma_2$  and then by case on the last rule of the derivation.
- If  $\Gamma_1 = \Gamma_2$  is derived using rule (ref) then we are done.
  - Let  $\Gamma_1 \sqsubseteq \Gamma_2$  be derived from  $\Gamma_1 \sqsubseteq \Gamma$  and  $\Gamma \sqsubseteq \Gamma_2$  using rule (tr). By IH,  $\Gamma_1 \in \text{GTyEnv} \Leftrightarrow \Gamma \in \text{GTyEnv} \Leftrightarrow \Gamma_2 \in \text{GTyEnv}$ .
  - Let  $\Gamma_1 = \Gamma, (y^n : U_1) \sqsubseteq \Gamma, (y^n : U_2) = \Gamma_2$  such that  $y^n \notin \text{dom}(\Gamma)$  be derived from  $U_1 \sqsubseteq U_2$  using rule ( $\sqsubseteq_c$ ). If  $\Gamma_1 \in \text{GTyEnv}$  then  $\Gamma \in \text{GTyEnv}$  and  $U_1 \in \text{GITy}$ . By 4.,  $U_2 \in \text{GITy}$  and therefore  $\Gamma_2 \in \text{GTyEnv}$ . This other direction is similar.

□

**Lemma A.10.** In the relevant context ( $\text{ITy}_2, \text{Ty}_2, \text{TyEnv}_2$  or  $\text{Typing}_2$ ), we have:

1. If  $U \sqsubseteq V \sqcap a$  then  $U = U' \sqcap a$ .
2. Let  $U_1 \sqsubseteq U_2$ .
  - (a) If  $U_2 \in \text{GITy}$  and  $\deg(U_2) = n$  then  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n),i}^{T_i}$  and  $U_2 = \prod_{i=1}^{m'} \vec{e}_{j(1:n),i}^{T'_i}$ , such that  $m, m' \geq 1, \forall i \in \{1, \dots, m\}. T_i \in \text{Ty}_2, \forall i \in \{1, \dots, m'\}. T'_i \in \text{Ty}_2$  and  $\forall i \in \{1, \dots, m'\}. \exists k \in \{1, \dots, m\}. \vec{e}_{j(1:n),k} = \vec{e}_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$ .
  - (b) Let  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n),i}^{(V_i \rightarrow T_i)}$  and  $U_2 = \prod_{i=1}^p \vec{e}_{j(1:n),i}^{(V'_i \rightarrow T'_i)}$ . If  $U_1 \in \text{GITy}$  and  $\deg(U_1) = n$  then  $\forall i \in \{1, \dots, m\}. \forall k \in \{1, \dots, p\}. n_i = m_k = n$  and  $\forall k \in \{1, \dots, p\}. \exists i \in \{1, \dots, m\}. \vec{e}_{j(1:n),i} = \vec{e}_{j(1:n),k} \wedge V'_i \sqsubseteq V_i \wedge T_i \sqsubseteq T'_k$ .
3. If  $eU \sqsubseteq V$  then  $V = eU'$  where  $U \sqsubseteq U'$ .
4. If  $U \rightarrow T \sqsubseteq V$  and  $U \rightarrow T \in \text{GITy}$  then  $V = \prod_{i=1}^p (U_i \rightarrow T_i)$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. U_i \sqsubseteq U \wedge T \sqsubseteq T_i$ .
5. If  $\prod_{i=1}^m \vec{e}_{j(1:n),i}^{(V_i \rightarrow T_i)} \sqsubseteq V$  where  $V \in \text{GITy}$ ,  $\deg(V) = n$  and  $m \geq 1$  then  $\forall i \in \{1, \dots, m\}. n_i = n$  and  $V = \prod_{i=1}^p \vec{e}_{j(1:n),i}^{(V'_i \rightarrow T'_i)}$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. \exists k \in \{1, \dots, m\}. \vec{e}_{j(1:n),k} = \vec{e}_{j(1:n),i} \wedge V'_i \sqsubseteq V_k \wedge T_k \sqsubseteq T'_i$ .
6. If  $\Psi_1 \sqsubseteq \Psi_2$  then  $\deg(\Psi_1) = \deg(\Psi_2)$  and  $\Psi_1$  is good iff  $\Psi_2$  is good.



7. If  $U \sqsubseteq U'_1 \sqcap U'_2$  then  $U = U_1 \sqcap U_2$  where  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ .
8. If  $\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2$  then  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  where  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .

**Proof:**

[Proof of Lemma A.10]

1. By induction on  $U \sqsubseteq V \sqcap a$ .
2. By induction on the derivation of  $U_1 \sqsubseteq U_2$  using Lemmas 2.3.
  - 2a. By induction on the derivation of  $U_1 \sqsubseteq U_2$  and then by case on the last rule of the derivation.
    - \* Case (ref). The result is trivial using Lemma 2.3.2c.
    - \* Case (tr). There exists  $U_3$  such that  $U_1 \sqsubseteq U_3$  and  $U_3 \sqsubseteq U_2$ . By Lemma 2.4.4,  $U_1, U_3 \in \text{GITy}$  and  $\deg(U_1) = \deg(U_2) = \deg(U_3) = n$ . By IH,  $U_3 = \prod_{i=1}^{m_3} \vec{e}'_{j(1:n),i} T''_i$ ,  $U_2 = \prod_{i=1}^{m_2} \vec{e}'_{j(1:n),i} T'_i$ , where  $m_2, m_3 \geq 1$ ,  $\forall i \in \{1, \dots, m_3\}$ .  $T''_i \in \text{Ty}_2$ ,  $\forall i \in \{1, \dots, m_2\}$ .  $T'_i \in \text{Ty}_2$  and  $\forall i \in \{1, \dots, m_2\}$ .  $\exists k \in \{1, \dots, m_3\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$ . By IH again,  $U_1 = \prod_{i=1}^{m_1} \vec{e}'_{j(1:n),i} T_i$  where  $m_1 \geq 1$ ,  $\forall i \in \{1, \dots, m_1\}$ .  $T_i \in \text{Ty}_2$  and  $\forall i \in \{1, \dots, m_3\}$ .  $\exists k \in \{1, \dots, m_1\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T''_i$ . Therefore  $\forall i \in \{1, \dots, m_2\}$ .  $\exists k \in \{1, \dots, m_1\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$  using rule (tr).
    - \* Case ( $\sqcap_E$ ). There exists  $U_3 \in \text{GITy} \cap \text{ITy}_2$  such that  $U_1 = U_2 \sqcap U_3$  and  $\deg(U_3) = \deg(U_2)$ . Therefore, by Lemma 2.3.2c.  $U_2 = \prod_{i=1}^m \vec{e}'_{j(1:n),i} T_i$  such that  $m \geq 1$  and  $\forall i \in \{1, \dots, m\}$ .  $T_i \in \text{Ty}_2$  and  $U_3 = \prod_{i=m+1}^{m+m'} \vec{e}'_{j(1:n),i} T_i$  such that  $m' \geq 1$  and  $\forall i \in \{m+1, \dots, m+m'\}$ .  $T_i \in \text{Ty}_2$ . Finally, we have  $U_1 = U_2 \sqcap U_3 = \prod_{i=1}^{m+m'} \vec{e}'_{j(1:n),i} T_i$  such that  $m+m' \geq 1$  and  $\forall i \in \{1, \dots, m+m'\}$ .  $T_i \in \text{Ty}_2$ , and trivially we have that  $\forall i \in \{1, \dots, m\}$ .  $\exists k \in \{1, \dots, m+m'\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T_i$  by picking  $k = i$  for each  $i$ .
    - \* Case ( $\sqcap$ ). Then,  $U_1 = U'_1 \sqcap U''_1$ ,  $U_2 = U'_2 \sqcap U''_2$ ,  $U'_1 \sqsubseteq U'_2$ , and  $U''_1 \sqsubseteq U''_2$ . By Lemma 2.3.2c,  $U_2 = \prod_{i=1}^m \vec{e}'_{j(1:n),i} T'_i$  such that  $m \geq 1$  and  $\forall i \in \{1, \dots, m\}$ .  $T'_i \in \text{Ty}_2$ . By Lemma 2.3.1b and Lemma 2.4.4,  $U_1, U'_2, U''_2, U'_1, U''_1 \in \text{GITy}$  and  $\deg(U_2) = \deg(U_1) = \deg(U'_2) = \deg(U''_2) = \deg(U'_1) = \deg(U''_1) = n$ . Because  $\sqcap$  is commutative, let us choose that  $m = m_1 + m_2$ ,  $U'_2 = \prod_{i=1}^{m_1} \vec{e}'_{j(1:n),i} T'_i$ , and  $U''_2 = \prod_{i=m_1+1}^{m_1+m_2} \vec{e}'_{j(1:n),i} T'_i$ . We have that  $m_1, m_2 \geq 1$ . By IH, we obtain  $U'_1 = \prod_{i=1}^{m'_1} \vec{e}'_{j(1:n),i} T'_i$  and  $U''_1 = \prod_{i=m'_1+1}^{m'_1+m'_2} \vec{e}'_{j(1:n),i} T'_i$  such that  $m'_1, m'_2 \geq 1$ ,  $\forall i \in \{1, \dots, m'_1 + m'_2\}$ .  $T'_i \in \text{Ty}_2$ ,  $\forall i \in \{1, \dots, m_1\}$ .  $\exists k \in \{1, \dots, m'_1\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$  and  $\forall i \in \{m_1 + 1, \dots, m_1 + m_2\}$ .  $\exists k \in \{m'_1 + 1, \dots, m'_1 + m'_2\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$ . Therefore  $U_1 = U'_1 \sqcap U''_1 = \prod_{i=1}^{m'_1+m'_2} \vec{e}'_{j(1:n),i} T'_i$ . Finally, one obtains that  $\forall i \in \{1, \dots, m_1 + m_2\}$ .  $\exists k \in \{1, \dots, m'_1 + m'_2\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$ .
    - \* Case ( $\rightarrow$ ) is trivial.
    - \* Case ( $\sqsubseteq_{\text{exp}}$ ). There exists  $U'_1$  and  $U'_2$  such that  $U_1 = eU'_1$ ,  $U_2 = eU'_2$  and  $U'_1 \sqsubseteq U'_2$ . By Lemma 2.3.1c,  $U'_2 \in \text{GITy}$ . Also,  $\deg(U_2) = n = n' + 1$  where  $\deg(U'_2) = n'$ . By

IH, we obtain  $U'_1 = \prod_{i=1}^m \vec{e}_{j(1:n'),i} T_i$ ,  $U'_2 = \prod_{i=1}^{m'} \vec{e}'_{j(1:n'),i} T'_i$ , such that  $m, m' \geq 1$ ,  $\forall i \in \{1, \dots, m\}$ .  $T_i \in \text{Ty}_2$ ,  $\forall i \in \{1, \dots, m'\}$ .  $T'_i \in \text{Ty}_2$ , and also  $\forall i \in \{1, \dots, m'\}$ .  $\exists k \in \{1, \dots, m\}$ .  $\vec{e}_{j(1:n'),k} = \vec{e}'_{j(1:n'),i} \wedge T_k \sqsubseteq T'_i$ . Therefore,  $U_1 = eU'_1 = \prod_{i=1}^m e\vec{e}_{j(1:n'),i} T_i$ ,  $U'_2 = \prod_{i=1}^{m'} e\vec{e}'_{j(1:n'),i} T'_i$ , and  $\forall i \in \{1, \dots, m'\}$ .  $\exists k \in \{1, \dots, m\}$ .  $e\vec{e}_{j(1:n'),k} = e\vec{e}'_{j(1:n'),i} \wedge T_k \sqsubseteq T'_i$ .

$$\frac{\prod_{i=1}^m \vec{e}_{j(1:n_i),i} (V_i \rightarrow T_i) \sqsubseteq V \quad V \sqsubseteq \prod_{i=1}^p \vec{e}'_{j(1:m_i),i} (V'_i \rightarrow T'_i)}{\prod_{i=1}^m \vec{e}_{j(1:n_i),i} (V_i \rightarrow T_i) \sqsubseteq \prod_{i=1}^p \vec{e}'_{j(1:m_i),i} (V'_i \rightarrow T'_i)}$$

2b. We do case (tr):  $\prod_{i=1}^m \vec{e}_{j(1:n_i),i} (V_i \rightarrow T_i) \sqsubseteq \prod_{i=1}^p \vec{e}'_{j(1:m_i),i} (V'_i \rightarrow T'_i)$ .

By Lemma 2.4.4,  $V \in \text{GITy}$  and  $\deg(V) = n$ . By 2a., we have  $\forall i \in \{1, \dots, m\}$ .  $n_i = n$  and  $V = \prod_{i=1}^q \vec{e}''_{j(1:n),i} T''_i$  where  $q \geq 1$ ,  $\forall i \in \{1, \dots, q\}$ .  $T''_i \in \text{Ty}_2$ , and  $\forall i \in \{1, \dots, q\}$ .  $\exists k \in \{1, \dots, m\}$ .  $\vec{e}''_{j(1:n),i} = \vec{e}_{j(1:n),k} \wedge V_k \rightarrow T_k \sqsubseteq T''_i$ . If  $T''_i = a$  then, by 1.,  $V_i \rightarrow T_i = V' \sqcap a$ . Absurd. Hence,  $\forall i \in \{1, \dots, q\}$ .  $T''_i = W_i \rightarrow T'''_i$  and  $V = \prod_{i=1}^q \vec{e}''_{j(1:n),i} (W_i \rightarrow T'''_i)$ . By IH,  $\forall k \in \{1, \dots, q\}$ .  $\exists i \in \{1, \dots, m\}$ .  $\vec{e}_{j(1:n),i} = \vec{e}''_{j(1:n),k} \wedge W_k \sqsubseteq V_i \wedge T_i \sqsubseteq T'''_k$ . Again by IH,  $\forall i \in \{1, \dots, p\}$ .  $m_j = m$  and  $\forall k \in \{1, \dots, p\}$ .  $\exists i \in \{1, \dots, q\}$ .  $\vec{e}''_{j(1:n),i} = \vec{e}''_{j(1:n),k} \wedge V'_k \sqsubseteq W_i \wedge T'''_i \sqsubseteq T'_k$ . Hence,  $\forall k \in \{1, \dots, p\}$ .  $\exists i \in \{1, \dots, m\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}_{j(1:n),i} \wedge V'_k \sqsubseteq V_i \wedge T_i \sqsubseteq T'_k$ .

3. By induction on  $eU \sqsubseteq V$ .

4. By 2a.,  $V = \prod_{i=1}^p T'_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $U \rightarrow T \sqsubseteq T'_i$ . If  $T'_i = a$  then, by 1.,  $U \rightarrow T = U' \sqcap a$ . Absurd. Hence,  $T'_i = U_i \rightarrow T_i$ . Hence, by 2b.,  $\forall i \in \{1, \dots, p\}$ .  $U_i \sqsubseteq U \wedge T \sqsubseteq T_i$ .

5. By 2a.,  $\forall i \in \{1, \dots, m\}$ .  $n_i = n$  and  $V = \prod_{i=1}^p \vec{e}'_{j(1:n),i} T''_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $\exists k \in \{1, \dots, m\}$ .  $\vec{e}'_{j(1:n),k} = \vec{e}'_{j(1:n),i} \wedge V_k \rightarrow T_k \sqsubseteq T''_i$ . Let  $i \in \{1, \dots, p\}$ . If  $T''_i = a$  then, by 1.,  $V_k \rightarrow T_k = U' \sqcap a$ . Absurd. Hence,  $T''_i = V'_i \rightarrow T'_i$ . Finally, By 4.,  $V'_i \sqsubseteq V_k$  and  $T_{j_i} \sqsubseteq T'_i$ .

6. Using previous items and Lemmas 2.4.4 and 2.4.7.

7. By induction on  $U \sqsubseteq U'_1 \sqcap U'_2$ .

– Case (ref): Let  $\overline{U'_1 \sqcap U'_2} \sqsubseteq \overline{U'_1} \sqcap \overline{U'_2}$ .

By rule (ref),  $U'_1 \sqsubseteq U'_1$  and  $U'_2 \sqsubseteq U'_2$ .

$$\frac{U \sqsubseteq U'' \quad U'' \sqsubseteq U'_1 \sqcap U'_2}{U \sqsubseteq U'_1 \sqcap U'_2}$$

– Case (tr): Let  $\frac{U \sqsubseteq U'_1 \sqcap U'_2}{U \sqsubseteq U'_1 \sqcap U'_2}$ .

By IH,  $U'' = U'_1 \sqcap U'_2$  such that  $U''_1 \sqsubseteq U'_1$  and  $U''_2 \sqsubseteq U'_2$ . Again by IH,  $U = U_1 \sqcap U_2$  such that  $U_1 \sqsubseteq U''_1$  and  $U_2 \sqsubseteq U''_2$ . So by rule (tr),  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ .

$$\frac{U \in \text{GITy} \quad \deg(U'_1 \sqcap U'_2) = \deg(U)}{(U'_1 \sqcap U'_2) \sqcap U \sqsubseteq U'_1 \sqcap U'_2}$$

– Case ( $\sqcap_E$ ): Let  $\frac{(U'_1 \sqcap U'_2) \sqcap U \sqsubseteq U'_1 \sqcap U'_2}{(U'_1 \sqcap U'_2) \sqcap U \sqsubseteq U'_1 \sqcap U'_2}$ .

By rule (ref),  $U'_1 \sqsubseteq U'_1$  and  $U'_2 \sqsubseteq U'_2$ . Moreover:

\* If  $\deg(U) = \deg(U'_1 \sqcap U'_2) = \deg(U'_1)$  then by rule ( $\sqcap_E$ ),  $U'_1 \sqcap U \sqsubseteq U'_1$ . We are done.

\* If  $\deg(U) = \deg(U'_1 \sqcap U'_2) = \deg(U'_2)$  then by rule ( $\sqcap_E$ ),  $U'_2 \sqcap U \sqsubseteq U'_2$ . We are done.

– Case ( $\sqcap$ ): Let  $\frac{U_1 \sqsubseteq U'_1 \quad U_2 \sqsubseteq U'_2}{U_1 \sqcap U_2 \sqsubseteq U'_1 \sqcap U'_2}$ .

Then we are done.

– Case ( $\sqsubseteq_{\text{exp}}$ ): Let  $\frac{U \sqsubseteq U'_1 \sqcap U'_2}{eU \sqsubseteq eU'_1 \sqcap eU'_2}$ .

By IH,  $U = U_1 \sqcap U_2$  such that  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ . So,  $eU = eU_1 \sqcap eU_2$  and by rule ( $\sqsubseteq_{\text{exp}}$ ),  $eU_1 \sqsubseteq eU'_1$  and  $eU_2 \sqsubseteq eU'_2$ .

8. By induction on  $\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2$ .

– Case (ref): Let  $\frac{}{\Gamma'_1 \sqcap \Gamma'_2 \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}$ .  
By rule (ref),  $\Gamma'_1 \sqsubseteq \Gamma'_1$  and  $\Gamma'_2 \sqsubseteq \Gamma'_2$ .

– Case (tr): Let  $\frac{\Gamma \sqsubseteq \Gamma'' \quad \Gamma'' \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}{\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}$ .

By IH,  $\Gamma'' = \Gamma''_1 \sqcap \Gamma''_2$  such that  $\Gamma''_1 \sqsubseteq \Gamma'_1$  and  $\Gamma''_2 \sqsubseteq \Gamma'_2$ . Again by IH,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  such that  $\Gamma_1 \sqsubseteq \Gamma''_1$  and  $\Gamma_2 \sqsubseteq \Gamma''_2$ . So by rule (tr),  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .

– Case ( $\sqsubseteq_c$ ): Let  $\frac{U_1 \sqsubseteq U_2}{\Gamma, (y^n : U_1) \sqsubseteq \Gamma, (y^n : U_2)}$  where  $\Gamma, (y^n : U_2) = \Gamma'_1 \sqcap \Gamma'_2$ .

\* If  $\Gamma'_1 = \Gamma''_1, (y^n : U_2)$  and  $\Gamma'_2 = \Gamma''_2, (y^n : U_2)$  such that  $U_2 = U'_2 \sqcap U''_2$  then by 7,  $U_1 = U'_1 \sqcap U''_1$  such that  $U'_1 \sqsubseteq U'_2$  and  $U''_1 \sqsubseteq U''_2$ . Hence  $\Gamma = \Gamma''_1 \sqcap \Gamma''_2$  and  $\Gamma, (y^n : U_1) = \Gamma_1 \sqcap \Gamma_2$  where  $\Gamma_1 = \Gamma''_1, (y^n : U_1)$  and  $\Gamma_2 = \Gamma''_2, (y^n : U_1)$  such that  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$  by rule ( $\sqsubseteq_c$ ).

\* If  $y^n \notin \text{dom}(\Gamma'_1)$  then  $\Gamma = \Gamma'_1 \sqcap \Gamma''_2$  where  $\Gamma''_2, (y^n : U_2) = \Gamma'_2$ . Hence,  $\Gamma, (y^n : U_1) = \Gamma'_1 \sqcap \Gamma_2$  where  $\Gamma_2 = \Gamma''_2, (y^n : U_1)$ . By rules (ref) and ( $\sqsubseteq_c$ ),  $\Gamma'_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .

\* If  $y^n \notin \text{dom}(\Gamma'_2)$  then similar to the above case.

□

**Lemma A.11.** In the relevant context ( $\text{ITy}_3, \text{Ty}_3, \text{TyEnv}_3$  or  $\text{Typing}_3$ ), we have:

1. If  $T \in \text{Ty}_3$  then  $\text{deg}(T) = \emptyset$ .
2. Let  $U \in \text{ITy}_3$ . If  $\text{deg}(U) = L = (n_i)_m$  then  $U = \omega^L$  or  $U = \vec{e}_L \sqcap_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. T_i \in \text{Ty}_3$ .
3. Let  $U_1, U_2 \in \text{ITy}_3$  and  $U_1 \sqsubseteq U_2$ .
  - (a) If  $U_1 = \omega^K$  then  $U_2 = \omega^K$ .
  - (b) If  $U_1 = \vec{e}_K U$  then  $U_2 = \vec{e}_K U'$  and  $U \sqsubseteq U'$ .
  - (c) If  $U_2 = \vec{e}_K U$  then  $U_1 = \vec{e}_K U'$  and  $U \sqsubseteq U'$ .
  - (d) If  $U_1 = \sqcap_{i=1}^p \vec{e}_K(U_i \rightarrow T_i)$  where  $p \geq 1$  then  $U_2 = \omega^K$  or  $U_2 = \sqcap_{j=1}^q \vec{e}_K(U'_j \rightarrow T'_j)$  where  $q \geq 1$  and  $\forall j \in \{1, \dots, q\}. \exists i \in \{1, \dots, p\}. U'_j \sqsubseteq U_i \wedge T_i \sqsubseteq T'_j$ .
4. If  $U \in \text{ITy}_3$  and  $U \sqsubseteq U'_1 \sqcap U'_2$  then  $U = U_1 \sqcap U_2$  where  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ .

5. If  $\Gamma \in \text{TyEnv}_3$  and  $\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2$  then  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  where  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .

**Proof:**

[Proof of Lemma A.11]

1. By definition.

2. By induction on  $U$ .

- If  $U = a$  ( $\text{deg}(U) = \emptyset$ ), nothing to prove.
- If  $U = V \rightarrow T$  ( $\text{deg}(U) = \emptyset$ ), nothing to prove.
- If  $U = \omega^L$ , nothing to prove.
- If  $U = U_1 \sqcap U_2$  ( $\text{deg}(U) = \text{deg}(U_1) = \text{deg}(U_2) = L$ ), by IH we have four cases:
  - If  $U_1 = U_2 = \omega^L$  then  $U = \omega^L$ .
  - If  $U_1 = \omega^L$  and  $U_2 = \vec{e}_L \sqcap_{i=1}^k T_i$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}. T_i \in \text{Ty}_3$  then  $U = U_2$  (since  $\omega^L$  is a neutral).
  - If  $U_2 = \omega^L$  and  $U_1 = \vec{e}_L \sqcap_{i=1}^k T_i$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}. T_i \in \text{Ty}_3$  then  $U = U_1$  (since  $\omega^L$  is a neutral).
  - If  $U_1 = \vec{e}_L \sqcap_{i=1}^p T_i$  and  $U_2 = \vec{e}_L \sqcap_{i=p+1}^{p+q} T_i$  where  $p, q \geq 1, \forall i \in \{1, \dots, p+q\}. T_i \in \text{Ty}_3$  then  $U = \vec{e}_L \sqcap_{i=1}^{p+q} T_i$ .
- If  $U = e_{n_1} V$  ( $L = \text{deg}(U) = n_1 :: \text{deg}(V) = n_1 :: K$ ), by IH we have two cases:
  - If  $V = \omega^K, U = e_{n_1} \omega^K = \omega^L$ .
  - If  $V = \vec{e}_K \sqcap_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. T_i \in \text{Ty}_3$  then  $U = \vec{e}_L \sqcap_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. T_i \in \text{Ty}_3$ .

3. 3a. By induction on  $U_1 \sqsubseteq U_2$ .

3b. By induction on  $K$ . We do the induction step. Let  $U_1 = e_i U$ . By induction on  $e_i U \sqsubseteq U_2$  we obtain  $U_2 = e_i U'$  and  $U \sqsubseteq U'$ .

3c. Same proof as in the previous item.

3d. By induction on the derivation of  $U_1 \sqsubseteq U_2$  and then by case on the last rule of the derivation:

- By rule (ref),  $U_1 = U_2$ .

$$\frac{\sqcap_{i=1}^p \vec{e}_K(U_i \rightarrow T_i) \sqsubseteq U \quad U \sqsubseteq U_2}{\sqcap_{i=1}^p \vec{e}_K(U_i \rightarrow T_i) \sqsubseteq U_2}$$

- Case (tr): Let  $\sqcap_{i=1}^p \vec{e}_K(U_i \rightarrow T_i) \sqsubseteq U_2$ .  
By IH, either  $U = \omega^K$  and then by 3a., we obtain  $U_2 = \omega^K$ . Or  $U = \sqcap_{j=1}^q \vec{e}_K(U'_j \rightarrow T'_j)$  such that  $q \geq 1$  and  $\forall j \in \{1, \dots, q\}. \exists i \in \{1, \dots, p\}. U'_j \sqsubseteq U_i \wedge T_i \sqsubseteq T'_j$ . Then by IH again,  $U_2 = \omega^K$  or  $U_2 = \sqcap_{k=1}^r \vec{e}_K(U''_k \rightarrow T''_k)$  where  $r \geq 1$  and  $\forall k \in \{1, \dots, r\}. \exists j \in \{1, \dots, q\}. U''_k \sqsubseteq U'_j \wedge T'_j \sqsubseteq T''_k$ . Finally, using rule (tr), we obtain  $\forall k \in \{1, \dots, r\}. \exists i \in \{1, \dots, p\}. U''_k \sqsubseteq U_i \wedge T_i \sqsubseteq T''_k$ .
- By rule ( $\sqcap_E$ ),  $U_2 = \omega^K$  or  $U_2 = \sqcap_{j=1}^q \vec{e}_K(U'_j \rightarrow T'_j)$  where  $q \in \{1, \dots, p\}$  and  $\forall j \in \{1, \dots, q\}. \exists i \in \{1, \dots, p\}. U_i = U'_j \wedge T_i = T'_j$ .
- Case ( $\sqcap$ ) is by IH.

- Case ( $\rightarrow$ ) is trivial.

$$\frac{\prod_{i=1}^p \vec{e}_L(U_i \rightarrow T_i) \sqsubseteq U_2}{}$$

- Case ( $\sqsubseteq_{\text{exp}}$ ): Let  $\prod_{i=1}^p \vec{e}_K(U_i \rightarrow T_i) \sqsubseteq e_i U_2$  where  $K = i :: L$ .  
By IH,  $U_2 = \omega^L$  and so  $e_i U_2 = \omega^K$  or  $U_2 = \prod_{j=1}^q \vec{e}_L(U'_j \rightarrow T'_j)$  so  $e_i U_2 = \prod_{j=1}^q \vec{e}_K(U'_j \rightarrow T'_j)$   
where  $q \geq 1$  and  $\forall j \in \{1, \dots, q\}. \exists i \in \{1, \dots, p\}. U'_j \sqsubseteq U_i \wedge T_i \sqsubseteq T'_j$ .

4. By induction on  $U \sqsubseteq U'_1 \sqcap U'_2$ .

- Case (ref): Let  $\overline{U'_1 \sqcap U'_2 \sqsubseteq U'_1 \sqcap U'_2}$ . By rule (ref),  $U'_1 \sqsubseteq U'_1$  and  $U'_2 \sqsubseteq U'_2$ .

$$\frac{U \sqsubseteq U'' \quad U'' \sqsubseteq U'_1 \sqcap U'_2}{U \sqsubseteq U'_1 \sqcap U'_2}$$

- Case (tr): Let  $\frac{U \sqsubseteq U'_1 \sqcap U'_2}{}$ .

By IH,  $U'' = U'_1 \sqcap U'_2$  such that  $U'_1 \sqsubseteq U'_1$  and  $U'_2 \sqsubseteq U'_2$ . Again by IH,  $U = U_1 \sqcap U_2$  such that  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ .

So by rule (tr),  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ .

- Case ( $\sqcap_E$ ): Let  $\overline{(U'_1 \sqcap U'_2) \sqcap U \sqsubseteq U'_1 \sqcap U'_2}$ .

By rule (ref),  $U'_1 \sqsubseteq U'_1$  and  $U'_2 \sqsubseteq U'_2$ . Moreover  $\deg(U) = \deg(U'_1 \sqcap U'_2) = \deg(U'_1)$  then by rule ( $\sqcap_E$ ),  $U'_1 \sqcap U \sqsubseteq U'_1$ .

$$\frac{U_1 \sqsubseteq U'_1 \quad U_2 \sqsubseteq U'_2}{U_1 \sqcap U_2 \sqsubseteq U'_1 \sqcap U'_2}$$

- Case ( $\sqcap$ ): Let  $U_1 \sqcap U_2 \sqsubseteq U'_1 \sqcap U'_2$ .

Then we are done.

$$\frac{V_2 \sqsubseteq V_1 \quad T_1 \sqsubseteq T_2}{V_1 \rightarrow T_1 \sqsubseteq V_2 \rightarrow T_2}$$

- Case ( $\rightarrow$ ): Let  $V_1 \rightarrow T_1 \sqsubseteq V_2 \rightarrow T_2$ .

Then  $U'_1 = U'_2 = V_2 \rightarrow T_2$  and  $U = U_1 \sqcap U_2$  such that  $U_1 = U_2 = V_1 \rightarrow T_1$  and we are done.

$$\frac{U \sqsubseteq U'_1 \sqcap U'_2}{}$$

- Case ( $\sqsubseteq_{\text{exp}}$ ): Let  $eU \sqsubseteq eU'_1 \sqcap eU'_2$ .

Then by IH  $U = U_1 \sqcap U_2$  such that  $U_1 \sqsubseteq U'_1$  and  $U_2 \sqsubseteq U'_2$ . So,  $eU = eU_1 \sqcap eU_2$  and by rule ( $\sqsubseteq_{\text{exp}}$ ),  $eU_1 \sqsubseteq eU'_1$  and  $eU_2 \sqsubseteq eU'_2$ .

5. By induction on  $\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2$ .

- Case (ref): Let  $\overline{\Gamma'_1 \sqcap \Gamma'_2 \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}$ .

By rule (ref),  $\Gamma'_1 \sqsubseteq \Gamma'_1$  and  $\Gamma'_2 \sqsubseteq \Gamma'_2$ .

$$\frac{\Gamma \sqsubseteq \Gamma'' \quad \Gamma'' \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}{\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}$$

- Case (tr): Let  $\frac{\Gamma \sqsubseteq \Gamma'_1 \sqcap \Gamma'_2}{}$ .

By IH,  $\Gamma'' = \Gamma'_1 \sqcap \Gamma'_2$  such that  $\Gamma'_1 \sqsubseteq \Gamma'_1$  and  $\Gamma'_2 \sqsubseteq \Gamma'_2$ . Again by IH,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  such that  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ . So by rule (tr),  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .

$$\frac{U_1 \sqsubseteq U_2}{}$$

- Case ( $\sqsubseteq_c$ ): Let  $\overline{\Gamma, (y^L : U_1) \sqsubseteq \Gamma, (y^L : U_2)}$  where  $\Gamma, (y^L : U_2) = \Gamma'_1 \sqcap \Gamma'_2$ .

- If  $\Gamma'_1 = \Gamma''_1, (y^L : U'_2)$  and  $\Gamma'_2 = \Gamma''_2, (y^L : U''_2)$  such that  $U_2 = U'_2 \sqcap U''_2$  then by 4,  $U_1 = U'_1 \sqcap U''_1$  such that  $U'_1 \sqsubseteq U'_2$  and  $U''_1 \sqsubseteq U''_2$ . Hence  $\Gamma = \Gamma''_1 \sqcap \Gamma''_2$  and  $\Gamma, (y^L : U_1) = \Gamma_1 \sqcap \Gamma_2$  where  $\Gamma_1 = \Gamma''_1, (y^L : U'_1)$  and  $\Gamma_2 = \Gamma''_2, (y^L : U''_1)$  such that  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$  by rule ( $\sqsubseteq_c$ ).

- If  $y^L \notin \text{dom}(\Gamma'_1)$  then  $\Gamma = \Gamma'_1 \sqcap \Gamma''_2$  where  $\Gamma''_2, (y^L : U_2) = \Gamma'_2$ . Hence,  $\Gamma, (y^L : U_1) = \Gamma'_1 \sqcap \Gamma_2$  where  $\Gamma_2 = \Gamma''_2, (y^L : U_1)$ . By rule (ref) and ( $\sqsubseteq_c$ ),  $\Gamma'_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ .
- If  $y^L \notin \text{dom}(\Gamma'_2)$  then similar to the above case.

□

**Lemma A.12.** Let  $j \in \{1, 2, 3\}$ ,  $\Gamma, \Gamma_1, \Gamma_2 \in \text{TyEnv}_j$  and  $U, U_1, U_2 \in \text{ITy}_j$ .

1. Let  $\text{ok}(\Gamma)$ ,  $\text{ok}(\Gamma_1)$ , and  $\text{ok}(\Gamma_2)$ 
  - (a)  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_j$  and  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ .
  - (b) If  $j \in \{1, 2\}$  and  $\Gamma_1, \Gamma_2 \in \text{GTyEnv}$  then  $\Gamma_1 \sqcap \Gamma_2 \in \text{GTyEnv}$ .
  - (c)  $e\Gamma \in \text{TyEnv}_j$  and  $\text{ok}(e\Gamma)$ .
  - (d) If  $j \in \{1, 2\}$  and  $\Gamma \in \text{GTyEnv}$  then  $e\Gamma \in \text{GTyEnv}$ .
  - (e) If  $j = 2$ ,  $\text{dom}(\Gamma_1) = \text{dom}(\Gamma_2)$  and  $\Gamma_1, \Gamma_2 \in \text{GTyEnv}$  then  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_1$ .
2.
  - (a) If  $((j = 2$  and  $\text{deg}(U) \geq I$ ) or  $(j = 3$  and  $\text{deg}(U) \succeq I))$  then  $U^{-I} \in \text{ITy}_j$ .
  - (b) If  $((j = 2$  and  $\text{deg}(\Gamma) \geq I$ ) or  $(j = 3$  and  $\text{deg}(\Gamma) \succeq I))$  then  $\Gamma^{-I} \in \text{TyEnv}_j$ .
3. Let  $j \in \{2, 3\}$ ,  $\Gamma_1 \sqsubseteq \Gamma_2$ , and  $U_1 \sqsubseteq U_2$ .
  - (a)  $\text{ok}(\Gamma_1) \Leftrightarrow \text{ok}(\Gamma_2)$ .
  - (b) If  $((j = 2$  and  $U_1 \in \text{GITy}$  and  $\text{deg}(U_1) \geq I$ ) or  $(j = 3$  and  $\text{deg}(U_1) \succeq I))$  then  $U_1^{-I} \sqsubseteq U_2^{-I}$ .
  - (c) If  $((j = 2$  and  $\Gamma_1 \in \text{GTyEnv}$  and  $\text{deg}(\Gamma_1) \geq I$ ) or  $(j = 3$  and  $\text{deg}(\Gamma_1) \succeq I))$  then  $\Gamma_1^{-I} \sqsubseteq \Gamma_2^{-I}$ .
4. Let  $j \in \{2, 3\}$  and  $\Gamma_1 \diamond \Gamma_2$ . If  $((j = 2$ ,  $\text{deg}(\Gamma_1) \geq I$ , and  $\text{deg}(\Gamma_2) \geq I$ ) or  $(j = 3$ ,  $\text{deg}(\Gamma_1) \succeq I$ , and  $\text{deg}(\Gamma_2) \succeq I))$  then  $\Gamma_1^{-I} \diamond \Gamma_2^{-I}$ .
5.  $\text{ok}(\text{env}_M^\emptyset)$ .

**Proof:**

[Proof of Lemma A.12]

1. Let  $\Gamma_1 = (x_i^{I_i} : U_i) \uplus \Gamma'_1$  and  $\Gamma_2 = (x_i^{I_i} : U_i) \uplus \Gamma'_2$  such that  $\text{dj}(\text{dom}(\Gamma'_1), \text{dom}(\Gamma'_2))$ . Because  $\text{ok}(\Gamma_1)$  and  $\text{ok}(\Gamma_2)$  then  $\text{ok}(\Gamma'_1)$ ,  $\text{ok}(\Gamma'_2)$ , and  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = I_i = \text{deg}(U'_i)$ . Therefore,  $\Gamma_1 \sqcap \Gamma_2 = \{x_i^{I_i} \mapsto U_i \sqcap U'_i \mid i \in \{1, \dots, n\}\} \cup \Gamma'_1 \cup \Gamma'_2$ .
  - 1a. In the case  $j \in \{1, 2\}$ , we have  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqcap U'_i \in \text{ITy}_j$  therefore  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_j$ . In the case  $j = 3$ , we use the fact that  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = \text{deg}(U'_i)$  to obtain  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqcap U'_i \in \text{ITy}_3$ , and finally,  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_3$ . Because  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = I_i = \text{deg}(U'_i)$  then we obtain  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i \sqcap U'_i) = I_i$ . Therefore  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ .
  - 1b. Because  $\Gamma_1, \Gamma_2 \in \text{GTyEnv}$  then by definition  $\Gamma'_1, \Gamma'_2 \in \text{GTyEnv}$  and  $\forall i \in \{1, \dots, n\}$ .  $U_i, U'_i \in \text{GITy}$ . Therefore  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqcap U'_i \in \text{GITy}$ . Finally, we obtain  $\Gamma_1 \sqcap \Gamma_2 \in \text{GTyEnv}$ .

- 1c. Let  $\Gamma = (x_i^{I_i} : U_i)_n$ . By hypothesis,  $\forall i \in \{1, \dots, n\}$ .  $\deg(U_i) = I_i$ . Let  $j \in \{1, 2\}$ . We have  $e\Gamma = (x_i^{I_i+1} : eU_i)_n \in \text{TyEnv}_j$ . So  $\forall i \in \{1, \dots, n\}$ .  $\deg(eU_i) = \deg(U_i) + 1 = I_i + 1$ . Let  $j = 3$  and  $e = e_k$ . We have  $e_k\Gamma = (x_i^{k::I_i} : e_kU_i)_n \in \text{TyEnv}_j$ . So,  $\forall i \in \{1, \dots, n\}$ .  $\deg(e_kU_i) = k :: \deg(U_i) = k :: I_i$ .
- 1d. Let  $\Gamma = (x_i^{I_i} : U_i)_n$ . Because  $\Gamma \in \text{GTyEnv}$  then  $\forall i \in \{1, \dots, n\}$ .  $U_i \in \text{GITy}$ . Because  $e\Gamma = (x_i^{I_i} : eU_i)_n$ . Therefore,  $\forall i \in \{1, \dots, n\}$ .  $eU_i \in \text{GITy}$  and  $e\Gamma \in \text{GTyEnv}$ .
- 1e. Let  $\Gamma_1 = (x_i^{n_i} : U_i)_n$  and  $\Gamma_2 = (x_i^{n_i} : V_i)_n$ . By definition, we have  $\forall i \in \{1, \dots, n\}$ .  $\deg(U_i) = n_i = \deg(V_i) \wedge U_i, V_i \in \text{GITy}$ . Therefore, using rule  $(\Pi_E)$   $\forall i \in \{1, \dots, n\}$ .  $U_i \sqcap V_i \sqsubseteq U_i$ . We have  $\Gamma_1 \sqcap \Gamma_2 = (x_i^{n_i} : U_i \sqcap V_i)_n$ . Hence, by Lemma 2.4.2,  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_1$ .
2. 2a. Let  $j = 2$  and  $m = \deg(U) \geq I = n$ . By Lemma 2.3.2b,  $U$  is of the form  $\prod_{i=1}^k \vec{e}_{j(1:m),i} V_i$  such that  $k \geq 1$  and  $\exists i \in \{1, \dots, k\}$ .  $V_i \in \text{Ty}_2$ . Therefore  $U^{-n} = \prod_{i=1}^k \vec{e}_{j(n:m),i} V_i \in \text{ITy}_2$ . Let  $j = 3$  and  $K = \deg(U) \succeq I = L$ . Therefore  $K = L :: L'$ . By Lemma A.11.2:
- \* Either  $U = \omega^K$ . Therefore,  $U^{-L} = \omega^{L'} \in \text{ITy}_3$ .
  - \* Or  $U = \vec{e}_K \prod_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $T_i \in \text{Ty}_3$ . Therefore,  $U^{-L} = \vec{e}_{L'} \prod_{i=1}^p T_i \in \text{ITy}_3$ .
- 2b. Let  $j = 2$ ,  $m = \deg(\Gamma) \geq I = n$ , and  $\Gamma = (x_i^{n_i} : U_i)_p$ . Therefore  $\forall i \in \{1, \dots, p\}$ .  $n_i \geq m \wedge \deg(U_i) \geq m$  and  $\Gamma^{-n} = (x_i^{n_i-n} : U_i^{-n})_p$ . Using 2a., we obtain  $\Gamma^{-n} \in \text{TyEnv}_2$ . Let  $j = 3$ ,  $K = \deg(\Gamma) \succeq I = L$ , and  $\Gamma = (x_i^{L_i} : U_i)_p$ . Therefore  $\forall i \in \{1, \dots, p\}$ .  $L_i \succeq K \succeq L \wedge L_i = L :: L'_i \wedge \deg(U_i) \succeq K \succeq L$  and  $\Gamma^{-L} = (x_i^{L'_i} : U_i^{-L})_p$ . Using 2a., we obtain  $\Gamma^{-L} \in \text{TyEnv}_3$ .
3. 3a. By Lemma 2.4.2,  $\Gamma_1 = (x_i^{I_i} : U_i)_n$  and  $\Gamma_2 = (x_i^{I_i} : U'_i)_n$  and  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U'_i$ . By Lemma 2.4.4,  $\forall i \in \{1, \dots, n\}$ .  $\deg(U_i) = \deg(U'_i)$ . Assume  $\text{ok}(\Gamma_1)$  then  $\forall i \in \{1, \dots, n\}$ .  $I_i = \deg(U_i) = \deg(U'_i)$ , and so  $\text{ok}(\Gamma_2)$ . Assume  $\text{ok}(\Gamma_2)$  then  $\forall i \in \{1, \dots, n\}$ .  $I_i = \deg(U'_i) = \deg(U_i)$ , and so  $\text{ok}(\Gamma_1)$ .
- 3b. Let  $j = 2$ . Let  $\deg(U_1) = n$ . By Lemma 2.4.4,  $\deg(U_1) = \deg(U_2) = n$  and  $U_1, U_2 \in \text{GITy}$ . Using Lemma A.10.2a we obtain  $U_1 = \prod_{i=1}^m \vec{e}_{j(1:n),i} T_i$ ,  $U_2 = \prod_{i=1}^{m'} \vec{e}_{j(1:n),i} T'_i$ , where  $m, m' \geq 1$ ,  $\forall i \in \{1, \dots, m\}$ .  $T_i \in \text{Ty}_2$ ,  $\forall i \in \{1, \dots, m'\}$ .  $T'_i \in \text{Ty}_2$  and  $\forall i \in \{1, \dots, m'\}$ .  $\exists k \in \{1, \dots, m\}$ .  $\vec{e}_{j(1:n),k} = \vec{e}_{j(1:n),i} \wedge T_k \sqsubseteq T'_i$ . Because  $k = I \leq n$  then  $U_1^{-k} = \prod_{i=1}^m \vec{e}_{j(k+1:n),i} T_i$  and  $U_2^{-k} = \prod_{i=1}^{m'} \vec{e}_{j(k+1:n),i} T'_i$ . Because  $U_1 \in \text{GITy}$  then by Lemma 2.3.1, one can prove that  $\forall i \in \{1, \dots, m\}$ .  $T_i \in \text{GITy}$ . Therefore using rules  $(\sqsubseteq_{\text{exp}})$  and  $(\Pi_E)$ , one can prove  $U_1^{-I} \sqsubseteq U_2^{-I}$ . Let  $j = 3$ . Let  $I = K$ . Let  $\deg(U_1) = L = K :: K'$ . By Lemma A.11.2:
- If  $U_1 = \omega^L$  then by Lemma A.11.3a,  $U_2 = \omega^L$  and by rule (ref),  $U_1^{-K} = \omega^{K'} \sqsubseteq \omega^{K'} = U_2^{-K}$ .
  - If  $U_1 = \vec{e}_L \prod_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $T_i \in \text{Ty}_3$ , then by Lemma A.11.3b,  $U_2 = \vec{e}_L V$  and  $\prod_{i=1}^p T_i \sqsubseteq V$ . Hence, by rule  $(\sqsubseteq_{\text{exp}})$ ,  $U_1^{-K} = \vec{e}_{K'} \prod_{i=1}^p T_i \sqsubseteq \vec{e}_{K'} V = U_2^{-K}$ .
- 3c. By Lemma 2.4.2,  $\Gamma_1 = (x_i^{I_i} : U_i)_n$ ,  $\Gamma_2 = (x_i^{I_i} : U'_i)_n$ , and  $\forall i \in \{1, \dots, n\}$ .  $U_i \sqsubseteq U'_i$ . If  $j = 2$  then because  $\deg(\Gamma_1) \geq I = k$  and  $\Gamma_1 \in \text{GTyEnv}$ , by definition we have  $\forall i \in$

$\{1, \dots, n\}. \deg(U_i) \geq k \wedge U_i \in \text{GITy}$ . If  $j = 3$  then because  $\deg(\Gamma_1) \succeq I = K$ , by definition we have  $\forall i \in \{1, \dots, n\}. \deg(U_i) \succeq K$ . In both cases, by 3b.,  $\forall i \in \{1, \dots, n\}. U_i^{-K} \sqsubseteq U_i'^{-I}$  and by Lemma 2.4.2,  $\Gamma_1^{-I} \sqsubseteq \Gamma_2^{-I}$ .

4. Let  $x^{I_1} \in \text{dom}(\Gamma_1^{-I})$  and  $x^{I_2} \in \text{dom}(\Gamma_2^{-I})$ .  
 If  $j = 2$  then  $x^{I+I_1} \in \text{dom}(\Gamma_1)$  and  $x^{I+I_2} \in \text{dom}(\Gamma_2)$ , hence  $I + I_1 = I + I_2$  and so  $I_1 = I_2$ .  
 If  $j = 3$  then  $x^{I::I_1} \in \text{dom}(\Gamma_1)$  and  $x^{I::I_2} \in \text{dom}(\Gamma_2)$ , hence  $I :: I_1 = I :: I_2$  and so  $I_1 = I_2$ .
5. By definition, if  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  then  $\text{env}_M^\emptyset = (x_i^{L_i} : \omega^{L_i})_n$  and by definition,  $\forall i \in \{1, \dots, n\}. \deg(\omega^{L_i}) = L_i$ .

□

**Proof:**

[Proof of Theorem 2.3] We prove 1. and 2. simultaneously. We prove the results by induction on the derivation  $M : \langle \Gamma \vdash_j U \rangle$  and then by case on the last rule of the derivation.

First let us deal with the case where  $i \in \{1, 2\}$ .

- Let  $x^n : \langle (x^n : T) \vdash_1 T \rangle$  such that  $T \in \text{GITy}$  and  $\deg(T) = n$  be derived using rule (ax) (for system  $\vdash_1$ ). We have  $\deg(x^n) = n = \deg(T)$ . By definition  $x^n \in \mathbb{M}$ .
- Let  $x^0 : \langle (x^0 : T) \vdash_2 T \rangle$  such that  $T \in \text{GITy}$  using rule (ax) (for system  $\vdash_2$ ). We have  $\deg(x^0) = 0 = \deg(T)$  using Lemma 2.3.2a. By definition  $x^0 \in \mathbb{M}$ .
- Let  $\lambda x^n.M : \langle \Gamma \vdash_i U \rightarrow T \rangle$  be derived from  $M : \langle \Gamma, (x^n : U) \vdash_i T \rangle$  using rule ( $\rightarrow$ ) and where  $\Gamma = (x_i^{L_i} : U_i)_n$ . By IH,  $M \in \mathcal{M}_i \cap \mathbb{M}$ ,  $\Gamma, (x^n : U) \in \text{TyEnv}_i \cap \text{GTyEnv}$ ,  $T \in \text{ITy}_i \cap \text{GITy}$ ,  $\deg(U) \geq \deg(M) = \deg(T)$ ,  $\text{ok}(\Gamma)$ ,  $\deg(U) = n$ ,  $\deg(\Gamma) \geq \deg(M)$ , and  $\text{dom}(\Gamma, (x^n : U)) = \text{fv}(M)$ . Therefore  $x^n \in \text{fv}(M)$  and we obtain  $\lambda x^n.M \in \mathcal{M}_i \cap \mathbb{M}$ . If  $i = 2$  then  $T \in \text{Ty}_2$ . Because  $U \in \text{GITy}$ , we obtain  $U \rightarrow T \in \text{ITy}_i \cap \text{GITy}$ . If  $i = 2$  then  $U \rightarrow T \in \text{Ty}_2$ . Also,  $\Gamma \in \text{TyEnv}_i \cap \text{GTyEnv}$ . By Lemma 2.3.2a, if  $i = 2$  then  $\deg(U \rightarrow T) = \deg(T) = 0$ . We have  $\deg(U \rightarrow T) = \deg(T) = \deg(M) = \deg(\lambda x^n.M)$ . Because  $\text{dom}(\Gamma, (x^n : U)) = \text{fv}(M)$  then  $\text{dom}(\Gamma) = \text{fv}(\lambda x^n.M)$ .
- Let  $M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i T \rangle$  be derived from  $M_1 : \langle \Gamma_1 \vdash_i U \rightarrow T \rangle$ ,  $M_2 : \langle \Gamma_2 \vdash_i U \rangle$ , and  $\Gamma_1 \diamond \Gamma_2$  using rule ( $\rightarrow_E$ ). By IH,  $M_1, M_2 \in \mathcal{M}_i \cap \mathbb{M}$ ,  $\Gamma_1, \Gamma_2 \in \text{TyEnv}_i \cap \text{GTyEnv}$ ,  $U \rightarrow T, U \in \text{ITy}_i \cap \text{GITy}$ ,  $\deg(\Gamma_1) \geq \deg(M_1) = \deg(U \rightarrow T)$ ,  $\deg(\Gamma_2) \geq \deg(M_2) = \deg(U)$ ,  $\text{ok}(\Gamma_1)$ ,  $\text{ok}(\Gamma_2)$ ,  $\text{dom}(\Gamma_1) = \text{fv}(M_1)$ , and  $\text{dom}(\Gamma_2) = \text{fv}(M_2)$ . By Lemma 2.3.1a,  $T \in \text{ITy}_2 \cap \text{GITy}$ . If  $i = 2$  then  $U \rightarrow T, T \in \text{Ty}_2$  and therefore by Lemma 2.3.2a,  $\deg(U \rightarrow T) = \deg(T) = 0$ . Because  $\Gamma_1 \diamond \Gamma_2$ ,  $\text{dom}(\Gamma_1) = \text{fv}(M_1)$ , and  $\text{dom}(\Gamma_2) = \text{fv}(M_2)$  then  $M_1 \diamond M_2$ . Also,  $\deg(M_1) = \deg(U \rightarrow T) \leq \deg(U) = \deg(M_2)$ . Therefore  $M_1 M_2 \in \mathcal{M}_i \cap \mathbb{M}$ . Because  $\deg(T) \leq \deg(U)$ , we obtain  $\deg(M_1 M_2) = \deg(M_1) = \deg(U \rightarrow T) = \deg(T)$ . By Lemma A.12,  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_i \cap \text{GTyEnv}$  and  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ . Because  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ , then  $\deg(\Gamma_1 \sqcap \Gamma_2) = \min(\deg(\Gamma_1), \deg(\Gamma_2)) \geq \min(\deg(M_1), \deg(M_2)) = \deg(M_1 M_2)$ . Finally,  $\text{dom}(\Gamma_1 \sqcap \Gamma_2) = \text{dom}(\Gamma_1) \cup \text{dom}(\Gamma_2) = \text{fv}(M_1) \cup \text{fv}(M_2) = \text{fv}(M_1 M_2)$ .
- Let  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i U_1 \sqcap U_2 \rangle$  be derived from  $M : \langle \Gamma_1 \vdash_i U_1 \rangle$  and  $M : \langle \Gamma_2 \vdash_i U_2 \rangle$  using rule ( $\sqcap$ ). By IH,  $M \in \mathcal{M}_i \cap \mathbb{M}$ ,  $\Gamma_1, \Gamma_2 \in \text{TyEnv}_i \cap \text{GTyEnv}$ ,  $U_1, U_2 \in \text{ITy}_i \cap \text{GITy}$ ,  $\deg(\Gamma_1) \geq \deg(M) =$



$\deg(U_1), \deg(\Gamma_2) \geq \deg(M) = \deg(U_2)$ ,  $\text{ok}(\Gamma_1), \text{ok}(\Gamma_2)$ ,  $\text{dom}(\Gamma_1) = \text{fv}(M) = \text{dom}(\Gamma_2)$ , and if  $i = 2$  and  $\deg(U_1) = \deg(U_2) \geq k$  then  $M^{-k} : \langle \Gamma_1^{-k} \vdash_2 U_1^{-k} \rangle$  and  $M^{-k} : \langle \Gamma_2^{-k} \vdash_2 U_2^{-k} \rangle$ . By Lemma A.12,  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_i \cap \text{GTyEnv}$  and  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ . Because  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ , then  $\deg(\Gamma_1 \sqcap \Gamma_2) = \min(\deg(\Gamma_1), \deg(\Gamma_2)) \geq \deg(M)$ . Because  $\deg(U_1) = \deg(U_2)$  then  $U_1 \sqcap U_2 \in \text{ITy}_i \cap \text{GITy}$ . We have  $\deg(M) = \deg(U_1) = \deg(U_2) = \deg(U_1 \sqcap U_2)$ . Also,  $\text{dom}(\Gamma_1 \sqcap \Gamma_2) = \text{dom}(\Gamma_1) \cup \text{dom}(\Gamma_2) = \text{fv}(M)$ . Finally, let  $i = 2$  and  $k \in \{0, \dots, \deg(M)\}$  ( $\deg(M) = \deg(U_1 \sqcap U_2)$ ). We want to prove that  $M^{-k} : \langle \Gamma_1 \sqcap \Gamma_2^{-k} \vdash_2 U_1 \sqcap U_2^{-k} \rangle$ . By IH,  $M^{-k} : \langle \Gamma_1^{-k} \vdash_2 U_1^{-k} \rangle$  and  $M^{-k} : \langle \Gamma_2^{-k} \vdash_2 U_2^{-k} \rangle$ . Therefore using rule  $(\sqcap)$ ,  $M^{-k} : \langle \Gamma_1^{-k} \sqcap \Gamma_2^{-k} \vdash_2 U_1^{-k} \sqcap U_2^{-k} \rangle$ , and we have  $\Gamma_1^{-k} \sqcap \Gamma_2^{-k} = \Gamma_1 \sqcap \Gamma_2^{-k}$  and  $U_1^{-k} \sqcap U_2^{-k} = U_1 \sqcap U_2^{-k}$ .

- Let  $M^+ : \langle e\Gamma \vdash_i eU \rangle$  be derived from  $M : \langle \Gamma \vdash_i U \rangle$  using rule  $(\text{exp})$ . By IH,  $M \in \mathcal{M}_i \cap \mathbb{M}$ ,  $\Gamma \in \text{TyEnv}_i \cap \text{GTyEnv}$ ,  $U \in \text{ITy}_i \cap \text{GITy}$ ,  $\deg(\Gamma) \geq \deg(M) = \deg(U)$ ,  $\text{ok}(\Gamma)$ ,  $\text{dom}(\Gamma) = \text{fv}(M)$ , and if  $i = 2$  and  $\deg(U) \geq k$  then  $M^{-k} : \langle \Gamma^{-k} \vdash_2 U^{-k} \rangle$ . By Lemma A.3.1d,  $M \in \mathcal{M}_i \cap \mathbb{M}$ . By Lemma A.12,  $e\Gamma \in \text{TyEnv}_i \cap \text{GTyEnv}$  and  $\text{ok}(e\Gamma)$ . By Lemma 2.3.1c,  $eU \in \text{ITy}_i \cap \text{GITy}$ . Also, using Lemma A.3.1a,  $\deg(M^+) = \deg(M) + 1 = \deg(U) + 1 = \deg(eU)$  and  $\deg(e\Gamma) = \deg(\Gamma) + 1 \geq \deg(M) + 1 = \deg(M^+)$ . Let  $\Gamma = (x_j^{n_j} : U_j)_n$  then  $e\Gamma = (x_j^{n_j+1} : eU_j)_n$ . Therefore  $\text{fv}(M) = \{x_j^{n_j} \mid j \in \{1, \dots, n\}\}$   $\text{dom}(e\Gamma) = \{x_j^{n_j+1} \mid 1 \in \{1, \dots, n\}\} = \text{fv}(M^+)$  using Lemma A.3.1a. Finally, let  $i = 2$  and  $k \in \{0, \dots, \deg(eU)\}$ . Therefore  $k \in \{0, \dots, \deg(U) + 1\}$ . If  $k = 0$  then we are done. If  $k = k' + 1$  such that  $k' \in \{0, \dots, \deg(U)\}$  then  $(M^+)^{-k} = (M^+)^{-k'+1} = M^{-k'}$  using Lemma A.3.1a,  $(e\Gamma)^{-k} = (e\Gamma)^{-k'+1} = \Gamma^{-k'}$ , and  $(eU)^{-k} = (eU)^{-k'+1} = U^{-k'}$ . Because  $k' \in \{0, \dots, \deg(U)\}$  and by IH, we obtain  $(M^+)^{-k} : \langle (e\Gamma)^{-k} \vdash_2 (eU)^{-k} \rangle$ .
- Let  $M : \langle \Gamma' \vdash_2 U' \rangle$  be derived from  $M : \langle \Gamma \vdash_2 U \rangle$  and  $\Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'$  using rule  $(\sqsubseteq)$ . By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ . By IH,  $M \in \mathcal{M}_2 \cap \mathbb{M}$ ,  $\Gamma \in \text{TyEnv}_2 \cap \text{GTyEnv}$ ,  $U \in \text{ITy}_2 \cap \text{GITy}$ ,  $\deg(\Gamma) \geq \deg(M) = \deg(U)$ ,  $\text{ok}(\Gamma)$ ,  $\text{dom}(\Gamma) = \text{fv}(M)$  and if  $\deg(U) \geq k$  then  $M^{-k} : \langle \Gamma^{-k} \vdash_2 U^{-k} \rangle$ . By Lemma 2.4,  $\Gamma' \in \text{TyEnv}_2 \cap \text{GTyEnv}$ ,  $U' \in \text{ITy}_2 \cap \text{GITy}$ ,  $\deg(\Gamma') = \deg(\Gamma) \geq \deg(M) = \deg(U) = \deg(U')$ , and  $\text{dom}(\Gamma') = \text{dom}(\Gamma) = \text{fv}(M)$ . By Lemma A.12.3a,  $\text{ok}(\Gamma')$ . Let  $k \in \{0, \dots, \deg(U')\}$  then because  $\deg(U') = \deg(U)$  by IH,  $M^{-k} : \langle \Gamma^{-k} \vdash_2 U^{-k} \rangle$ . By Lemmas A.12.3b and A.12.3c,  $\Gamma'^{-k} \sqsubseteq \Gamma^{-k}$  and  $U^{-k} \sqsubseteq U'^{-k}$ . By Lemma 2.4.3,  $\Gamma^{-k} \vdash_2 U^{-k} \sqsubseteq \Gamma'^{-k} \vdash_2 U'^{-k}$ . By Rule  $(\sqsubseteq)$ ,  $M^{-k} : \langle \Gamma'^{-k} \vdash_2 U'^{-k} \rangle$ .

We now deal with the case where  $i = 3$ .

- Let  $x^\emptyset : \langle (x^\emptyset : T) \vdash_3 T \rangle$  be derived using rule  $(\text{ax})$  (for system  $\vdash_3$ ). By Lemma A.11.1 we have  $\deg(x^\emptyset) = \emptyset = \deg(T)$ .
- Let  $M : \langle \text{env}_M^\emptyset \vdash_3 \omega^{\deg(M)} \rangle$  be derived using rule  $(\omega)$ . By definition  $M \in \mathcal{M}_3$ ,  $\omega^{\deg(M)} \in \text{ITy}_3$ , and  $\text{dom}(\text{env}_M^\emptyset) = \text{fv}(M)$ . It is easy to check that  $\text{env}_M^\emptyset \in \text{TyEnv}_3$ . We have  $\deg(M) = \deg(\omega^{\deg(M)})$ . By Lemma A.12.5,  $\text{ok}(\text{env}_M^\emptyset)$ . Let  $\text{env}_M^\emptyset = (x_i^{L_i} : \omega^{L_i})_n$  By Lemma A.1.4,  $\forall i \in \{1, \dots, n\}$ .  $\deg(M) \preceq L_i$ . Therefore, by definition of  $\deg(\text{env}_M^\emptyset) \succeq \deg(M)$ . Finally, let  $\deg(M) \succeq K$ . We want to prove  $M^{-K} : \langle (\text{env}_M^\emptyset)^{-K} \vdash_3 (\omega^{\deg(M)})^{-K} \rangle$ . We have  $\deg(M) = K :: K'$  for some  $K'$ . By Lemma A.5,  $M^{-K} \in \mathcal{M}_3$ ,  $\deg(M^{-K}) = K'$ ,  $\forall i \in \{1, \dots, n\}$ .  $L_i = K :: L'_i$ , and  $\text{fv}(M^{-K}) = \{x_i^{L'_1}, \dots, x_i^{L'_n}\}$ . We have  $(\text{env}_M^\emptyset)^{-K} = (x_i^{L'_i} : \omega^{L'_i})_n = \text{env}_{M^{-K}}^\emptyset$ .

We also have  $(\omega^{\deg(M)})^{-K} = (\omega^{K::K'})^{-K} = \omega^{K'} = \omega^{\deg(M^{-K})}$ . Therefore, using rule  $(\omega)$ ,  $M^{-K} : \langle \text{env}_{M^{-K}}^\emptyset \vdash_3 \omega^{\deg(M^{-K})} \rangle$ .

- Let  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rightarrow T \rangle$  be derived from  $M : \langle \Gamma, (x^L : U) \vdash_3 T \rangle$  using rule  $(\rightarrow_1)$  and where  $\Gamma = (x_i^{L_i} : U_i)_n$ . By IH,  $M \in \mathcal{M}_3$ ,  $\Gamma, (x^L : U) \in \text{TyEnv}_3$ ,  $T \in \text{ITy}_3$ ,  $\deg(U) \succeq \deg(M) = \deg(T)$ ,  $\text{ok}(\Gamma)$ ,  $\deg(U) = L$ ,  $\deg(\Gamma) \succeq \deg(T)$ , and  $\text{dom}(\Gamma, (x^L : U)) = \text{fv}(M)$ . Therefore  $x^L \in \text{fv}(M)$ . By hypothesis  $T \in \text{Ty}_3$ . By Lemma A.11.1, we have  $\deg(M) = \deg(T) = \emptyset$ . Therefore  $\lambda x^L.M \in \mathcal{M}_3$ . Because  $\Gamma, (x^L : U) \in \text{TyEnv}_3$ , we have  $\Gamma \in \text{TyEnv}_3$  and  $U \in \text{ITy}_3$ . We obtain  $U \rightarrow T \in \text{ITy}_3$ . We have  $\deg(U \rightarrow T) = \emptyset = \deg(M) = \deg(\lambda x^L.M)$ . Because  $\text{dom}(\Gamma, (x^L : U)) = \text{fv}(M)$  then  $\text{dom}(\Gamma) = \text{fv}(\lambda x^L.M)$ . Finally,  $\deg(\Gamma) \succeq \deg(T) = \deg(U \rightarrow T)$ .
- Let  $\lambda x^L.M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle$  such that  $x^L \notin \text{dom}(\Gamma)$  be derived from  $M : \langle \Gamma \vdash_3 T \rangle$  using rule  $(\rightarrow'_1)$  and where  $\Gamma = (x_i^{L_i} : U_i)_n$ . By IH,  $M \in \mathcal{M}_3$ ,  $\Gamma \in \text{TyEnv}_3$ ,  $T \in \text{ITy}_3$ ,  $\deg(\Gamma) \succeq \deg(T) = \deg(M)$ ,  $\text{ok}(\Gamma)$ , and  $\text{dom}(\Gamma) = \text{fv}(M)$ . Therefore  $x^L \notin \text{fv}(M)$ . By hypothesis  $T \in \text{Ty}_3$ . By Lemma A.11.1, we have  $\deg(M) = \deg(T) = \emptyset$ . Therefore  $\lambda x^L.M \in \mathcal{M}_3$ . We have  $\omega^L \rightarrow T \in \text{ITy}_3$ . We have  $\deg(\omega^L \rightarrow T) = \emptyset = \deg(M) = \deg(\lambda x^L.M)$ . Because  $\text{dom}(\Gamma) = \text{fv}(M)$  and  $x^L \notin \text{fv}(M)$ , we obtain  $\text{dom}(\Gamma) = \text{fv}(\lambda x^L.M)$ . Finally,  $\deg(\Gamma) \succeq \deg(T) = \deg(\omega^L \rightarrow T)$ .
- Let  $M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$  be derived from  $M_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle$ ,  $M_2 : \langle \Gamma_2 \vdash_3 U \rangle$ , and  $\Gamma_1 \diamond \Gamma_2$  using rule  $(\rightarrow_E)$ . By IH,  $M_1, M_2 \in \mathcal{M}_3$ ,  $\Gamma_1, \Gamma_2 \in \text{TyEnv}_3$ ,  $U \rightarrow T, U \in \text{ITy}_3$ ,  $\deg(\Gamma_1) \succeq \deg(M_1) = \deg(U \rightarrow T)$ ,  $\deg(\Gamma_2) \succeq \deg(M_2) = \deg(U)$ ,  $\text{ok}(\Gamma_1)$ ,  $\text{ok}(\Gamma_2)$ ,  $\text{dom}(\Gamma_1) = \text{fv}(M_1)$ , and  $\text{dom}(\Gamma_2) = \text{fv}(M_2)$ . By hypothesis  $U \rightarrow T \in \text{Ty}_3$  and therefore  $T \in \text{Ty}_3$ . By Lemma A.11.1, we have  $\deg(M_1) = \deg(M_1 \rightarrow M_2) = \deg(T) = \emptyset$ . Because  $\Gamma_1 \diamond \Gamma_2$ ,  $\text{dom}(\Gamma_1) = \text{fv}(M_1)$ , and  $\text{dom}(\Gamma_2) = \text{fv}(M_2)$  then  $M_1 \diamond M_2$ . Therefore  $M_1 M_2 \in \mathcal{M}_3$ . We have  $\deg(M_1 M_2) = \deg(M_1) = \emptyset = \deg(T)$ . By Lemma A.12,  $\Gamma_1 \sqcap \Gamma_2 \in \text{TyEnv}_3$  and  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ . We trivially have  $\deg(\Gamma_1 \sqcap \Gamma_2) \succeq \deg(T) = \emptyset$ . Finally,  $\text{dom}(\Gamma_1 \sqcap \Gamma_2) = \text{dom}(\Gamma_1) \cup \text{dom}(\Gamma_2) = \text{fv}(M_1) \cup \text{fv}(M_2) = \text{fv}(M_1 M_2)$ .
- Let  $M : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle$  be derived from  $M : \langle \Gamma \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma \vdash_3 U_2 \rangle$  using rule  $(\sqcap_1)$ . By IH,  $M \in \mathcal{M}_3$ ,  $\Gamma \in \text{TyEnv}_3$ ,  $U_1, U_2 \in \text{ITy}_3$ ,  $\deg(M) = \deg(U_1)$ ,  $\deg(M) = \deg(U_2)$ ,  $\deg(\Gamma) \succeq \deg(M)$ ,  $\text{ok}(\Gamma)$ ,  $\text{dom}(\Gamma) = \text{fv}(M)$ , and if  $\deg(U_1) = \deg(U_2) \succeq K$  then  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_1^{-K} \rangle$  and  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_2^{-K} \rangle$ . Because  $\deg(U_1) = \deg(U_2)$  then  $U_1 \sqcap U_2 \in \text{ITy}_3$ . We have  $\deg(M) = \deg(U_1) = \deg(U_2) = \deg(U_1 \sqcap U_2)$ . Finally, let  $\deg(U_1 \sqcap U_2) \succeq K$ . Therefore  $\deg(M) = \deg(U_1 \sqcap U_2) \succeq K$ . We want to prove that  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_1 \sqcap U_2^{-K} \rangle$ . By IH,  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_1^{-K} \rangle$  and  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_2^{-K} \rangle$ . Therefore using rule  $(\sqcap_1)$ ,  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U_1^{-K} \sqcap U_2^{-K} \rangle$ , and we have  $U_1^{-K} \sqcap U_2^{-K} = U_1 \sqcap U_2^{-K}$ .
- Let  $M^{+j} : \langle e_j \Gamma \vdash_3 e_j U \rangle$  be derived from  $M : \langle \Gamma \vdash_3 U \rangle$  using rule  $(\text{exp})$ . By IH,  $M \in \mathcal{M}_3$ ,  $\Gamma \in \text{TyEnv}_3$ ,  $U \in \text{ITy}_3$ ,  $\deg(\Gamma) \succeq \deg(M) = \deg(U)$ ,  $\text{ok}(\Gamma)$ ,  $\text{dom}(\Gamma) = \text{fv}(M)$ , and if  $\deg(U) \succeq K$  then  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U^{-K} \rangle$ . By Lemma A.5.1,  $M^{+j} \in \mathcal{M}_3$ . By Lemma A.12,  $e_j \Gamma \in \text{TyEnv}_3$  and  $\text{ok}(e_j \Gamma)$ . By definition  $e_j U \in \text{ITy}_3$ . Also, By Lemma A.5.1,  $\deg(M^{+j}) = j :: \deg(M) = j :: \deg(U) = \deg(e_j U)$ . Let  $\Gamma = (x_i^{L_i} : U_i)_n$ . Because  $\text{ok}(\Gamma)$ ,  $\forall i \in \{1, \dots, n\}$ .  $L_i = \deg(U_i)$ . Therefore  $e_j \Gamma = (x_i^{j::L_i} : e_j U_i)_n$ . Because  $\deg(\Gamma) \succeq \deg(U)$  then  $\deg(\Gamma) = L$  and  $\forall i \in \{1, \dots, n\}$ .  $L_i \succeq L$ . Therefore  $\forall i \in \{1, \dots, n\}$ .  $j :: L_i \succeq j :: L$ . We then have  $\deg(e_j \Gamma) \succeq j :: L \succeq j :: \deg(U) = \deg(e_j U)$ . Also,  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  and so  $\text{dom}(e_j \Gamma) =$

$\{x_1^{j::L_1}, \dots, x_n^{j::L_n}\} = \text{fv}(M^{+j})$  using Lemma A.5.1. Finally, let  $\text{deg}(e_j U) = j :: \text{deg}(U) \succeq K$ . If  $K = \emptyset$  then we are done. Otherwise  $K = j :: K'$  for some  $K'$  such that  $\text{deg}(U) \succeq K'$ . We have  $(M^{+j})^{-K} = (M^{+j})^{-j::K'} = M^{-K'}$  using Lemma A.5.4,  $(e_j \Gamma)^{-K} = (e_j \Gamma)^{-j::K'} = \Gamma^{-K'}$ , and  $(e_j U)^{-K} = (e_j U)^{-j::K'} = U^{-K'}$ . Because  $\text{deg}(U) \succeq K'$  and by IH, we obtain  $(M^{+j})^{-K} : \langle (e_j \Gamma)^{-K} \vdash_3 (e_j U)^{-K} \rangle$ .

- Let  $M : \langle \Gamma' \vdash_3 U' \rangle$  be derived from  $M : \langle \Gamma \vdash_3 U \rangle$  and  $\Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'$  using rule ( $\sqsubseteq$ ). By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ . By IH,  $M \in \mathcal{M}_3$ ,  $\Gamma \in \text{TyEnv}_3$ ,  $U \in \text{ITy}_3$ ,  $\text{deg}(\Gamma) \succeq \text{deg}(M) = \text{deg}(U)$ ,  $\text{ok}(\Gamma)$ ,  $\text{dom}(\Gamma) = \text{fv}(M)$  and if  $\text{deg}(U) \succeq K$  then  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U^{-K} \rangle$ . By Lemma 2.4,  $\Gamma' \in \text{TyEnv}_3$ ,  $U' \in \text{ITy}_3$ ,  $\text{deg}(\Gamma') = \text{deg}(\Gamma) \succeq \text{deg}(M) = \text{deg}(U) = \text{deg}(U')$ , and  $\text{dom}(\Gamma') = \text{dom}(\Gamma) = \text{fv}(M)$ . By Lemma A.12.3a,  $\text{ok}(\Gamma')$ . Let  $\text{deg}(U') \succeq K$  then because  $\text{deg}(U') = \text{deg}(U)$  by IH,  $M^{-K} : \langle \Gamma^{-K} \vdash_3 U^{-K} \rangle$ . By Lemmas A.12.3b and A.12.3c,  $\Gamma^{-K} \sqsubseteq \Gamma'^{-K}$  and  $U^{-K} \sqsubseteq U'^{-K}$ . By Lemma 2.4.3,  $\Gamma^{-K} \vdash_3 U^{-K} \sqsubseteq \Gamma'^{-K} \vdash_3 U'^{-K}$ . By Rule ( $\sqsubseteq$ ),  $M^{-K} : \langle \Gamma'^{-K} \vdash_3 U'^{-K} \rangle$ . □

**Proof:**

[Proof of Remark 2.1]

1. Let  $M : \langle \Gamma_1 \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma_2 \vdash_3 U_2 \rangle$ . By Theorem 2.3.2a,  $\text{dom}(\Gamma_1) = \text{dom}(\Gamma_2)$ . Let  $\Gamma_1 = (x_i^{I_i} : V_i)_n$  and  $\Gamma_2 = (x_i^{I_i} : V_i')_n$ . By Theorem 2.3.2,  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(V_i) = \text{deg}(V_i') = I_i$ . By rule ( $\sqcap_E$ ),  $V_i \sqcap V_i' \sqsubseteq V_i$  and  $V_i \sqcap V_i' \sqsubseteq V_i'$ . Hence, by Lemma 2.4.2,  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_1$  and  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_2$  and by rules ( $\sqsubseteq$ ) and ( $\sqsubseteq_\emptyset$ ),  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U_2 \rangle$ . Finally, by rule ( $\sqcap_1$ ),  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U_1 \sqcap U_2 \rangle$ .
2. By Lemma 2.3.2,  $U = \sqcap_{i=1}^m \vec{e}_{j(1:n),i} T_i$  where  $m \geq 1$ , and  $\forall i \in \{1, \dots, m\}$ .  $T_i \in \text{Ty}_2 \cap \text{GITy}$ . Let  $i \in \{1, \dots, m\}$ . By Lemma 2.3.2,  $\text{deg}(T_i) = 0$  and by rule ( $\text{ax}$ ),  $x^0 : \langle (x^0 : T_i) \vdash_2 T_i \rangle$ . Hence,  $x^n : \langle (x^n : \vec{e}_{j(1:n),i} T_i) \vdash_2 \vec{e}_{j(1:n),i} T_i \rangle$  by  $n$  applications of rule ( $\text{exp}$ ). Now, by  $m - 1$  applications of ( $\sqcap_1$ ),  $x^n : \langle (x^n : U) \vdash_2 U \rangle$ .
3. By Lemma A.11, either  $U = \omega^L$  so by rule ( $\omega$ ),  $x^L : \langle (x^L : \omega^L) \vdash_3 \omega^L \rangle$ . Or  $U = \sqcap_{i=1}^p \vec{e}_L T_i$  where  $p \geq 1$ , and  $\forall i \in \{1, \dots, p\}$ .  $T_i \in \text{Ty}_3$ . Let  $i \in \{1, \dots, p\}$ . By rule ( $\text{ax}$ ),  $x^\emptyset : \langle (x^\emptyset : T_i) \vdash_3 T_i \rangle$ , hence by rule ( $\text{exp}$ ),  $x^L : \langle (x^L : \vec{e}_L T_i) \vdash_3 \vec{e}_L T_i \rangle$ . Now, by rule ( $\sqcap_1$ ),  $x^L : \langle (x^L : U) \vdash_3 U \rangle$ .
4. By rule ( $\sqcap_E$ ) and since  $\omega^{\text{deg}(U)}$  is a neutral. □

**A.5. Subject reduction and expansion properties of our type systems (Sec. 2.4)****A.5.1. Subject reduction and expansion properties for  $\vdash_1$  and  $\vdash_2$  (Sec.2.4.1)****Proof:**

[Proof of Lemma 2.6]

1. By induction on the derivation of  $x^n : \langle \Gamma \vdash_1 T \rangle$  and then by case on the last rule of the derivation.
  - Case ( $\text{ax}$ ): trivial.

- Case  $(\sqcap)$ : Let  $\frac{x^n : \langle \Gamma_1 \vdash_1 U_1 \rangle \quad x^n : \langle \Gamma_2 \vdash_1 U_2 \rangle}{x^n : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_1 U_1 \sqcap U_2 \rangle}$ .  
By IH,  $\Gamma_1 = (x^n : U_1)$  and  $\Gamma_2 = (x^n : U_2)$ . Therefore  $\Gamma_1 \sqcap \Gamma_2 = (x^n : U_1 \sqcap U_2)$
- Case  $(\text{exp})$ : Let  $\frac{x^n : \langle \Gamma \vdash_1 U \rangle}{x^{n+1} : \langle e\Gamma \vdash_1 eU \rangle}$ .  
By IH,  $\Gamma = (x^n : U)$ . Therefore  $e\Gamma = (x^{n+1} : eU)$ .

2. We prove this result by induction on the derivation of  $\lambda x^n.M : \langle \Gamma \vdash_1 T_1 \rightarrow T_2 \rangle$  and then by case on the last rule of the derivation:

- Case  $(\rightarrow_1)$ : Trivial.
- Case  $(\sqcap)$ : Let  $\frac{\lambda x^n.M : \langle \Delta \vdash_1 T_1 \rightarrow T_2 \rangle \quad \lambda x^n.M : \langle \Delta' \vdash_1 T_1 \rightarrow T_2 \rangle}{\lambda x^n.M : \langle \Delta \sqcap \Delta' \vdash_1 T_1 \rightarrow T_2 \rangle}$ .  
By IH,  $M : \langle \Delta, (x^n : T_1) \vdash_1 T_2 \rangle$  and  $M : \langle \Delta', (x^n : T_2) \vdash_1 T_2 \rangle$ . Using rule  $(\sqcap)$ ,  $M : \langle \Delta \sqcap \Delta', (x^n : T_2) \vdash_1 T_2 \rangle$ .

3. By induction on the derivation of  $MN : \langle \Gamma \vdash_1 T \rangle$  and then by case on the last rule of the derivation.

- Case  $(\rightarrow_E)$ : Let  $\frac{M : \langle \Gamma_1 \vdash_1 U \rightarrow T \rangle \quad N : \langle \Gamma_2 \vdash_1 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_1 T \rangle}$ .  
Then we are done with  $n = 1, m = 0$  and  $T'_1 \rightarrow T_1 = U \rightarrow T$ .
- Case  $(\sqcap)$ : Let  $\frac{MN : \langle \Gamma_1 \vdash_1 U_1 \rangle \quad MN : \langle \Gamma_2 \vdash_1 U_2 \rangle}{MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_1 U_1 \sqcap U_2 \rangle}$ .  
By Theorem 2.3,  $\text{deg}(U_1) = \text{deg}(U_2) = m$ . By IH,  $\Gamma_1 = \Gamma'_1 \sqcap \Gamma''_1, U_1 = \prod_{i=1}^{n_1} \vec{e}_{j(1:m),i} T_i, n_1 \geq 1, M : \langle \Gamma'_1 \vdash_1 \prod_{i=1}^{n_1} \vec{e}_{j(1:m),i} (T'_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma''_1 \vdash_1 \prod_{i=1}^{n_1} \vec{e}_{j(1:m),i} T'_i \rangle$ . Again by IH,  $\Gamma_2 = \Gamma'_2 \sqcap \Gamma''_2, U_2 = \prod_{i=n_1+1}^{n_2} \vec{e}_{j(1:m),i} T_i, n_2 \geq 1, M : \langle \Gamma'_2 \vdash_1 \prod_{i=n_1+1}^{n_2} \vec{e}_{j(1:m),i} (T'_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma''_2 \vdash_1 \prod_{i=n_1+1}^{n_2} \vec{e}_{j(1:m),i} T'_i \rangle$ . Therefore  $\Gamma_1 \sqcap \Gamma_2 = \Gamma'_1 \sqcap \Gamma'_2 \sqcap \Gamma''_1 \sqcap \Gamma''_2$ , and  $U_1 \sqcap U_2 = \prod_{i=1}^{n_2} \vec{e}_{j(1:m),i} T_i$ . Finally, using rule  $(\sqcap)$ ,  $M : \langle \Gamma'_1 \sqcap \Gamma'_2 \vdash_1 \prod_{i=1}^{n_2} \vec{e}_{j(1:m),i} (T'_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma''_1 \sqcap \Gamma''_2 \vdash_1 \prod_{i=1}^{n_2} \vec{e}_{j(1:m),i} T'_i \rangle$ .
- Case  $(\text{exp})$ : Let  $\frac{MN : \langle \Gamma \vdash_1 U \rangle}{M^+ N^+ : \langle e\Gamma \vdash_1 eU \rangle}$ .  
We have  $m = \text{deg}(eU) = \text{deg}(U) + 1 = m' + 1$ . By IH,  $\Gamma = \Gamma_1 \sqcap \Gamma_2, U = \prod_{i=1}^n \vec{e}_{j(1:m'),i} T_i, n \geq 1, M : \langle \Gamma_1 \vdash_1 \prod_{i=1}^n \vec{e}_{j(1:m'),i} (T'_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma_2 \vdash_1 \prod_{i=1}^n \vec{e}_{j(1:m'),i} T'_i \rangle$ . Therefore,  $e\Gamma = e\Gamma_1 \sqcap e\Gamma_2, eU = \prod_{i=1}^n e\vec{e}_{j(1:m'),i} T_i$ , and using rule  $(\text{exp})$ ,  $M^+ : \langle e\Gamma_1 \vdash_1 \prod_{i=1}^n e\vec{e}_{j(1:m'),i} (T'_i \rightarrow T_i) \rangle$  and  $N^+ : \langle e\Gamma_2 \vdash_1 \prod_{i=1}^n e\vec{e}_{j(1:m'),i} T'_i \rangle$ . □

**Proof:**

[Proof of Lemma 2.7] 1. By induction on the derivation of  $x^n : \langle \Gamma \vdash_2 U \rangle$  and then by case on the last rule of the derivation.

- Case  $(\text{ax})$ : trivial.

- Case ( $\sqcap$ ): Let  $\frac{x^n : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad x^n : \langle \Gamma_2 \vdash_2 U_2 \rangle}{x^n : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$ .

By IH,  $\Gamma_1 = (x^n : U_1)$  and  $\Gamma_2 = (x^n : U_2)$ . Therefore  $\Gamma_1 \sqcap \Gamma_2 = (x^n : U_1 \sqcap U_2)$

- Case (exp): Let  $\frac{x^n : \langle \Gamma \vdash_2 U \rangle}{x^{n+1} : \langle e\Gamma \vdash_2 eU \rangle}$ .

By IH,  $\Gamma = (x^n : U)$ . Therefore  $e\Gamma = (x^{n+1} : eU)$ .

- Case ( $\sqsubseteq$ ): Let  $\frac{x^n : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{x^n : \langle \Gamma' \vdash_2 U' \rangle}$ .

By IH,  $\Gamma = (x^n : U)$ . By Lemma 2.4,  $\Gamma' = (x^n : U'')$  such that  $U'' \sqsubseteq U$  and also  $U \sqsubseteq U'$ . Therefore using rule (tr),  $U'' \sqsubseteq U'$ .

2. By induction on the derivation of  $\lambda x^n.M : \langle \Gamma \vdash_2 U \rangle$  and then by case on the last rule of the derivation. We have four cases:

- Case ( $\rightarrow$ ): If  $\frac{M : \langle \Gamma, x^n : U \vdash_2 T \rangle}{\lambda x^n.M : \langle \Gamma \vdash_2 U \rightarrow T \rangle}$ .

We are done.

- Case ( $\sqcap$ ): Let  $\frac{\lambda x^n.M : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad \lambda x^n.M : \langle \Gamma_2 \vdash_2 U_2 \rangle}{\lambda x^n.M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$ .

By Theorem 2.3,  $U_1 \sqcap U_2 \in \text{GlTy}$ .  $\deg(U_1) = \deg(U_2) = m$ ,  $\Gamma_1, \Gamma_2 \in \text{GTyEnv}$ , and  $\text{dom}(\Gamma_1) = \text{dom}(\Gamma_2)$ . By Lemma A.12.1e,  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_1$  and  $\Gamma_1 \sqcap \Gamma_2 \sqsubseteq \Gamma_2$ . By IH we have:  $U_1 = \prod_{i=1}^k \vec{e}_{j(1:m),i}(V_i \rightarrow T_i)$ ,  $U_2 = \prod_{i=k+1}^{k+l} \vec{e}_{j(1:m),i}(V_i \rightarrow T_i)$ ,  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma_1, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ , and  $\forall i \in \{k+1, \dots, k+l\}$ .  $M : \langle \Gamma_2, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ . Hence  $U_1 \sqcap U_2 = \prod_{i=1}^{k+l} \vec{e}_{j(1:m),i}(V_i \rightarrow T_i)$ , where  $k, l \geq 1$  and by Lemma 2.4 and rule ( $\sqsubseteq$ ),  $\forall i \in \{1, \dots, k+l\}$ .  $M : \langle \Gamma_1 \sqcap \Gamma_2, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ .

- Case (exp): Let  $\frac{\lambda x^n.M : \langle \Gamma \vdash_2 U \rangle}{\lambda x^{n+1}.M^+ : \langle e\Gamma \vdash_2 eU \rangle}$ .

By IH, because  $\deg(U) = m-1$ ,  $U = \prod_{i=1}^k \vec{e}_{j(1:m-1),i}(V_i \rightarrow T_i)$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma, x^n : \vec{e}_{j(1:m-1),i} V_i \vdash_2 \vec{e}_{j(1:m-1),i} T_i \rangle$ . Therefore  $eU = \prod_{i=1}^k e\vec{e}_{j(1:m-1),i}(V_i \rightarrow T_i)$  and by rule (exp),  $\forall i \in \{1, \dots, k\}$ .  $M^+ : \langle \Gamma, x^{n+1} : e\vec{e}_{j(1:m-1),i} V_i \vdash_3 e\vec{e}_{j(1:m-1),i} T_i \rangle$ .

- Case ( $\sqsubseteq$ ): Let  $\frac{\lambda x^n.M : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{\lambda x^n.M : \langle \Gamma' \vdash_2 U' \rangle}$ .

By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ . By Theorem 2.3,  $U, U' \in \text{GlTy}$  and  $\deg(U) = \deg(U') = m$ . By IH,  $U = \prod_{i=1}^k \vec{e}_{j(1:m),i}(V_i \rightarrow T_i)$ , where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ . By Lemma A.10.5,  $U' = \prod_{i=1}^p \vec{e}_{j(1:m),i}(V'_i \rightarrow T'_i)$ , where  $p \geq 1$ , and  $\forall i \in \{1, \dots, p\}$ .  $\exists l \in \{1, \dots, k\}$ .  $\vec{e}_{j(1:m),l} = \vec{e}_{j(1:m),i} \wedge V'_i \sqsubseteq V_l \wedge T_l \sqsubseteq T'_i$ . Let  $i \in \{1, \dots, p\}$ . Because by Lemma 2.4  $\Gamma, x^n : \vec{e}_{j(1:m),l} V_l \vdash_2 \vec{e}_{j(1:m),l} T_l \sqsubseteq \Gamma', x^n : \vec{e}_{j(1:m),i} V'_i \vdash_2 \vec{e}_{j(1:m),i} T'_i$ , then using rule ( $\sqsubseteq$ ) we obtain  $M : \langle \Gamma', x^n : \vec{e}_{j(1:m),i} V'_i \vdash_2 \vec{e}_{j(1:m),i} T'_i \rangle$ .

3. By induction on the derivation of  $MN : \langle \Gamma \vdash_2 U \rangle$  and then by case on the last rule of the derivation.

$$\bullet \text{ Case } (\rightarrow_E): \text{ Let } \frac{M : \langle \Gamma_1 \vdash_i U \rightarrow T \rangle \quad N : \langle \Gamma_2 \vdash_i U \rangle \quad \Gamma_1 \diamond \Gamma_2}{MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i T \rangle} .$$

Then we are done by taking  $k = 1$  and because by Lemma 2.3.2a,  $\deg(T) = m = 0$ .

$$\bullet \text{ Case } (\text{exp}): \text{ Let } \frac{MN : \langle \Gamma \vdash_i U \rangle}{(MN)^+ : \langle e\Gamma \vdash_i eU \rangle} .$$

We have  $MN^+ = M^+N^+$  and  $\deg(eU) = m = \deg(U) + 1 = m' + 1$ . By IH,  $U = \prod_{i=1}^k \vec{e}_{j(1:m'),i} T_i$  where  $k \geq 1$ ,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ ,  $M : \langle \Gamma_1 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m'),i} (U_i \rightarrow T_i) \rangle$ , and  $N : \langle \Gamma_2 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m'),i} U_i \rangle$ . Therefore,  $eU = \prod_{i=1}^k e\vec{e}_{j(1:m'),i} T_i$  and  $e\Gamma = e\Gamma_1 \sqcap e\Gamma_2$ . By rule (exp),  $M^+ : \langle e\Gamma_1 \vdash_2 \prod_{i=1}^k e\vec{e}_{j(1:m'),i} (U_i \rightarrow T_i) \rangle$ , and  $N^+ : \langle e\Gamma_2 \vdash_2 \prod_{i=1}^k e\vec{e}_{j(1:m'),i} U_i \rangle$ .

$$\bullet \text{ Case } (\sqcap): \frac{MN : \langle \Gamma_1 \vdash_i V_1 \rangle \quad MN : \langle \Gamma_2 \vdash_i V_2 \rangle}{MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i V_1 \sqcap V_2 \rangle} .$$

By Theorem 2.3.2a,  $\deg(MN) = \deg(V_1) = \deg(V_2) = \deg(V_1 \sqcap V_2) = m$ . By IH,  $V_1 = \prod_{i=1}^{k_1} \vec{e}_{j(1:m),i} T_i$ ,  $V_2 = \prod_{i=k_1+1}^k \vec{e}_{j(1:m),i} T_i$  where  $k > k_1 \geq 1$ ,  $\Gamma_1 = \Gamma'_1 \sqcap \Gamma''_1$ ,  $\Gamma_2 = \Gamma'_2 \sqcap \Gamma''_2$ ,  $M : \langle \Gamma'_1 \vdash_2 \prod_{i=1}^{k_1} \vec{e}_{j(1:m),i} (U_i \rightarrow T_i) \rangle$ ,  $M : \langle \Gamma'_2 \vdash_2 \prod_{i=k_1+1}^k \vec{e}_{j(1:m),i} (U_i \rightarrow T_i) \rangle$ ,  $N : \langle \Gamma''_1 \vdash_2 \prod_{i=1}^{k_1} \vec{e}_{j(1:m),i} U_i \rangle$ , and  $N : \langle \Gamma''_2 \vdash_2 \prod_{i=k_1+1}^k \vec{e}_{j(1:m),i} U_i \rangle$ . Therefore,  $V_1 \sqcap V_2 = \prod_{i=1}^k \vec{e}_{j(1:m),i} T_i$ ,  $\Gamma_1 \sqcap \Gamma_2 = (\Gamma'_1 \sqcap \Gamma'_2) \sqcap (\Gamma''_1 \sqcap \Gamma''_2)$ , and by rule ( $\sqcap$ ),  $M : \langle \Gamma'_1 \sqcap \Gamma'_2 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} (U_i \rightarrow T_i) \rangle$  and  $N : \langle \Gamma''_1 \sqcap \Gamma''_2 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} U_i \rangle$ .

$$\bullet \text{ Case } (\sqsubseteq): \text{ Let } \frac{MN : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{MN : \langle \Gamma' \vdash_2 U' \rangle} .$$

By Theorem 2.3.2,  $\deg(MN) = \deg(U) = \deg(U') = m$  and  $U, U' \in \text{GITy}$ . By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ . By IH,  $U = \prod_{i=1}^k \vec{e}_{j(1:m),i} T_i$  where  $k \geq 1$ ,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ ,  $M : \langle \Gamma_1 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} (U_i \rightarrow T_i) \rangle$ , and  $N : \langle \Gamma_2 \vdash_2 \prod_{i=1}^k \vec{e}_{j(1:m),i} U_i \rangle$ . By Lemma A.10.8,  $\Gamma' = \Gamma'_1 \sqcap \Gamma'_2$  such that  $\Gamma'_1 \sqsubseteq \Gamma_1$  and  $\Gamma'_2 \sqsubseteq \Gamma_2$ . By Lemma A.10.2a and using the commutativity of  $\sqcap$ ,  $U = \prod_{i=1}^{k'} \vec{e}_{j(1:m),i} T'_i$  such that  $k' \leq k$  and  $\forall i \in \{1, \dots, k'\}$ .  $T_i \sqsubseteq T'_i$ . Finally, by rule ( $\sqsubseteq$ ),  $M : \langle \Gamma'_1 \vdash_2 \prod_{i=1}^{k'} \vec{e}_{j(1:m),i} (U_i \rightarrow T'_i) \rangle$ , and  $N : \langle \Gamma'_2 \vdash_2 \prod_{i=1}^{k'} \vec{e}_{j(1:m),i} U_i \rangle$ . □

**Lemma A.13. (Extra Generation for  $\vdash_2$ )**

1. If  $Mx^n : \langle \Gamma, x^n : U \vdash_2 V \rangle$ ,  $\deg(V) = 0$  and  $x^n \notin \text{fv}(M)$  then  $V = \prod_{i=1}^k T_i$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma \vdash_2 U \rightarrow T_i \rangle$ .
2. If  $\lambda x^n. Mx^n : \langle \Gamma \vdash_2 U \rangle$  and  $x^n \notin \text{fv}(M)$  then  $M : \langle \Gamma \vdash_2 U \rangle$ .

**Proof:**

[Proof of Lemma A.13]

1. By induction on the derivation of  $Mx^n : \langle \Gamma, x^n : U \vdash_2 V \rangle$  and then by case on the last rule of the derivation. We have three cases:

- Case ( $\rightarrow_E$ ): Let 
$$\frac{M : \langle \Gamma \vdash_2 U \rightarrow T \rangle \quad x^n : \langle x^n : V \vdash_2 U \rangle \quad \Gamma \diamond (x^n : V)}{Mx^n : \langle \Gamma, x^n : V \vdash_2 T \rangle}$$
 where  $V \sqsubseteq U$  using Lemma 2.7.1 and Theorem 2.3.2a.

Then because  $U \rightarrow T \sqsubseteq V \rightarrow T$ , we have  $M : \langle \Gamma \vdash_2 V \rightarrow T \rangle$ .

- Case ( $\sqcap$ ): Let 
$$\frac{Mx^n : \langle \Gamma_1, x^n : U'_1 \vdash_2 U_1 \rangle \quad Mx^n : \langle \Gamma_2, x^n : U'_2 \vdash_2 U_2 \rangle}{Mx^n : \langle \Gamma_1 \sqcap \Gamma_2, x^n : U'_1 \sqcap U'_2 \vdash_2 U_1 \sqcap U_2 \rangle}$$
 where  $\text{fv}(M) = \{x_1^{n_1}, \dots, x_m^{n_m}\}$ ,  $\Gamma_1 = (x_i^{n_i} : V_i)_m$ , and  $\Gamma_2 = (x_i^{n_i} : V'_i)_m$  using Theorem 2.3.2a.

By Theorem 2.3,  $U_1 \sqcap U_2, U'_1 \sqcap U'_2 \in \text{GITy}$ . and  $\forall i \in \{1, \dots, m\}$ .  $V_i, V'_i \in \text{GITy}$ . By Lemma 2.3.1b,  $\text{deg}(U'_1) = \text{deg}(U'_2)$ ,  $\text{deg}(U_1) = \text{deg}(U_2) = 0$ , and  $\forall i \in \{1, \dots, m\}$ .  $\text{deg}(V_i)V'_i$ . By Lemma 2.3.1b,  $\text{deg}(U_1) = \text{deg}(U_2) = 0$ . By IH,  $U_1 = \prod_{i=1}^k T_i$ ,  $U_2 = \prod_{i=k+1}^{k+l} T_i$ , where  $k, l \geq 1$ ,  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma_1 \vdash_2 U'_1 \rightarrow T_i \rangle$ , and  $\forall i \in \{k+1, \dots, k+l\}$ .  $M : \langle \Gamma_2 \vdash_2 U'_2 \rightarrow T_i \rangle$ . Using rule ( $\sqcap_E$ ), rule ( $\rightarrow$ ), Lemma 2.4.2, rule ( $\sqsubseteq_\diamond$ ), rule ( $\sqsubseteq$ ), we obtain  $\forall i \in \{1, \dots, k+l\}$ .  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U'_1 \sqcap U'_2 \rightarrow T_i \rangle$ .

- Case ( $\sqsubseteq$ ): Let 
$$\frac{Mx^n : \langle \Gamma, x^n : U \vdash_2 V \rangle \quad \Gamma, x^n : U \vdash_2 V \sqsubseteq \Gamma', x^n : U' \vdash_2 V'}{Mx^n : \langle \Gamma', x^n : U' \vdash_2 V' \rangle}$$
 using Lemma 2.4.2.

By Lemma 2.4,  $\Gamma' \sqsubseteq \Gamma$ ,  $U' \sqsubseteq U$  and  $V \sqsubseteq V'$ . By Lemma 2.4.4,  $\text{deg}(V) = \text{deg}(V') = 0$ .

By IH,  $V = \prod_{i=1}^k T_i$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M : \langle \Gamma \vdash_2 U \rightarrow T_i \rangle$ . By Theorem 2.3,  $V \in \text{GITy}$ . By Lemma A.10.2,  $V' = \prod_{i=1}^p T'_i$  where  $1 \leq p$  and  $\forall i \in \{1, \dots, p\}$ .  $\exists j \in \{1, \dots, k\}$ .  $T_j \sqsubseteq T'_i$ . By rule ( $\rightarrow$ ) and Lemma 2.4.3, one obtains  $\forall i \in \{1, \dots, p\} \exists j \in \{1, \dots, k\}$ .  $\Gamma \vdash_2 U \rightarrow T_j$ . Therefore, by rule ( $\sqsubseteq$ ),  $\forall i \in \{1, \dots, p\}$ .  $M : \langle \Gamma' \vdash_2 U' \rightarrow T'_i \rangle$ .

2. By Theorem 2.3,  $m = \text{deg}(U) = \text{deg}(\lambda x^n. Mx^n) = \text{deg}(Mx^n) \leq n$  and  $\lambda x^n. Mx^n \in \mathbb{M}$ . Therefore, we have  $Mx^n \in \mathbb{M}$  and  $n = \text{deg}(x^n) \geq \text{deg}(M) = \text{deg}(Mx^n) = m$ . By Lemma 2.7.2,  $U = \prod_{i=1}^k \vec{e}_{j(1:m),i}(V_i \rightarrow T_i)$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $Mx^n : \langle \Gamma, x^n : \vec{e}_{j(1:m),i} V_i \vdash_2 \vec{e}_{j(1:m),i} T_i \rangle$ . If  $m > 0$  then, by Theorem 2.3.2d and by 1.,  $\forall i \in \{1, \dots, k\}$ .  $M^{-m} x^{n-m} : \langle \Gamma^{-m}, x^{n-m} : V_i \vdash_2 T_i \rangle \wedge M^{-m} : \langle \Gamma^{-m} \vdash_2 V_i \rightarrow T_i \rangle$ . Now, by  $m$  applications of rule ( $\text{exp}$ ),  $M : \langle \Gamma \vdash_2 \vec{e}_{j(1:m),i}(V_i \rightarrow T_i) \rangle$ . Finally, by  $k-1$  applications of rule ( $\sqcap$ ),  $M : \langle \Gamma \vdash_2 U \rangle$ .  $\square$

**Lemma A.14.** Let  $i \in \{1, 2, 3\}$  and  $M : \langle \Gamma \vdash_i U \rangle$ . We have:

1. If  $M : \langle \Delta \vdash_i V \rangle$  then  $\text{dom}(\Gamma) = \text{dom}(\Delta)$ .
2. Assume  $N : \langle \Delta \vdash_i V \rangle$ . We have  $\Gamma \diamond \Delta$  iff  $M \diamond N$ .
3. If  $N$  is a subterm of  $M$  then there are  $\Delta, V$  such that  $N : \langle \Delta \vdash_i V \rangle$ .
4. If  $\Gamma = \Gamma_1 \sqcap \Gamma_2 \sqcap \Gamma_3$  then  $\Gamma_1 \diamond \Gamma_2$ .
5. If  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  and  $\Gamma_3 \sqsubseteq \Gamma_1$  then  $\Gamma_3 \sqcap \Gamma_2 \sqsubseteq \Gamma$

**Proof:**

[Proof of Lemma A.14]

1. Corollary of Theorem 2.3.2a because  $\text{dom}(\Gamma) = \text{fv}(M) = \text{dom}(\Delta)$ .

2. Use Theorem 2.3.2a.
3. By induction on the derivation of  $M : \langle \Gamma \vdash_i U \rangle$  and then by case on the last rule of the derivation.
4. By Theorem 2.3.2a,  $\text{dom}(\Gamma) = \text{deg}(M)$ . Let  $x^{n_1} \in \text{dom}(\Gamma_1)$  and  $x^{n_2} \in \text{dom}(\Gamma_2)$ . Then,  $x^{n_1}, x^{n_2} \in \text{dom}(\Gamma) = \text{deg}(M)$ . Finally, by Lemma A.1.1,  $M \diamond M$ , and so  $n_1 = n_2$  and  $\Gamma_1 \diamond \Gamma_2$ .
5. By definition  $\Gamma_1 = \Gamma'_1 \uplus \Gamma''_1$  and  $\Gamma_2 = \Gamma'_2 \uplus \Gamma''_2$  be such that  $\text{dj}(\text{dom}(\Gamma''_1), \text{dom}(\Gamma''_2))$ ,  $\Gamma'_1 = (x_i^{I_i} : U_i)_n$ ,  $\Gamma'_2 = (x_i^{I_i} : V_i)_n$ , and  $\forall i \in \{1, \dots, n\}$ .  $\text{deg}(U_i) = \text{deg}(V_i)$ . Therefore  $\Gamma = (x_i^{I_i} : U_i \sqcap V_i)_n \uplus \Gamma''_1 \uplus \Gamma''_2$ . By Lemma 2.4.2,  $\Gamma_3 = (x_i^{I_i} : U'_i)_n \uplus \Gamma'_3$  such that  $\Gamma'_3 \sqsubseteq \Gamma''_1$ ,  $\text{dom}(\Gamma'_3) = \text{dom}(\Gamma''_1)$ , and  $\forall i \in \{1, \dots, n\}$ .  $U'_i \sqsubseteq U_i$ . Therefore we have  $\Gamma_3 \sqcap \Gamma_2 = (x_i^{I_i} : U'_i \sqcap V_i)_n \uplus \Gamma'_3 \uplus \Gamma''_2$ . Using rules  $(\sqcap)$  and  $(\text{ref})$  we obtain  $\forall i \in \{1, \dots, n\}$ .  $U'_i \sqcap V_i \sqsubseteq U_i \sqcap V_i$ . Finally, again by Lemma 2.4.2,  $\Gamma_3 \sqcap \Gamma_2 \sqsubseteq \Gamma$ .

□

**Proof:**

[Proof of Remark 2.2] By Lemma A.14.3,  $(\lambda x^n.M_1)M_2$  is typable.

- Case  $\vdash_1$ . By induction on the typing of  $(\lambda x^n.M_1)M_2$ . The only interesting case is rule  $(\rightarrow_E)$  where  $M = (\lambda x^n.M_1)M_2$  is the subterm in question:

$$\frac{\lambda x^n.M_1 : \langle \Gamma_1 \vdash_1 T_1 \rightarrow T_2 \rangle \quad M_2 : \langle \Gamma_2 \vdash_1 T_1 \rangle \quad \Gamma_1 \diamond \Gamma_2}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_1 T_2 \rangle}$$

By Lemma 2.6.2,  $M_1 : \langle \Gamma_1, x^n : T_1 \vdash_1 T_2 \rangle$ . By Theorem 2.3,  $n = \text{deg}(T_1) = \text{deg}(M_2)$ . Hence,  $(\lambda x^n.M_1)M_2 \rightarrow_\beta M_1[x^n := M_2]$ .

- Case  $\vdash_2$ . By induction on the typing of  $(\lambda x^n.M_1)M_2$ . We consider only the rule  $(\rightarrow_E)$

$$\frac{\lambda x^n.M_1 : \langle \Gamma_1 \vdash_2 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_2 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$$

By Lemma 2.3.2,  $\text{deg}(V \rightarrow T) = 0$ . By Lemma 2.7.2,  $V \rightarrow T = \prod_{i=1}^k (V_i \rightarrow T_i)$  where  $k \geq 1$  and  $\forall i \in \{1, \dots, k\}$ .  $M_1 : \langle \Gamma_1, x^n : V_i \vdash_2 T_i \rangle$ . Hence  $k = 1$ ,  $V_1 = V$ ,  $T_1 = T$  and  $M_1 : \langle \Gamma_1, x^n : V \vdash_2 T \rangle$ . By Theorem 2.3,  $\text{deg}(M_2) = \text{deg}(V) = n$ . So,  $(\lambda x^n.M_1)M_2 \rightarrow_\beta M_1[x^n := M_2]$ .

□

**Proof:**

[Proof of Lemma 2.8] By Lemma 2.5.3,  $\Gamma \diamond \Delta$ .

By induction on the derivation of  $M : \langle \Gamma, x^n : U \vdash_2 V \rangle$  (note that using Theorem 2.3,  $x^n \in \text{fv}(M)$ ), making use of Theorem 2.3.

- Case  $(\text{ax})$ : Let  $x^0 : \langle (x^0 : T) \vdash_2 T \rangle$ .

Because  $N : \langle \Delta \vdash_2 T \rangle$ , then  $N = x^0[x^0 := N] : \langle \Delta \vdash_2 T \rangle$ .



- $\frac{M : \langle \Gamma, x^n : U, y^m : U' \vdash_2 T \rangle}{\lambda y^m.M : \langle \Gamma, x^n : U \vdash_2 U' \rightarrow T \rangle}$
- Case ( $\rightarrow_1$ ): Let  $\lambda y^m.M : \langle \Gamma, x^n : U \vdash_2 U' \rightarrow T \rangle$ .  
Let  $y^m$  be such that  $\forall m'. y^{m'} \notin \text{dom}(\Delta)$ . Since  $\Gamma \diamond \Delta$ ,  $(\Gamma, y^m : U') \diamond \Delta$  and we also have  $y^m \notin \text{dom}(\Delta)$ . By IH,  $M[x^n := N] : \langle \Gamma \sqcap \Delta, y^m : U' \vdash_2 T \rangle$ . By rule ( $\rightarrow_1$ ),  $(\lambda y^m.M)[x^n := N] = \lambda y^m.M[x^n := N] : \langle \Gamma \sqcap \Delta \vdash_2 U' \rightarrow T \rangle$ .

$\frac{M_1 : \langle \Gamma_1, x^n : U_1 \vdash_2 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2, x^n : U_2 \vdash_2 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2, x^n : U_1 \sqcap U_2 \vdash_2 T \rangle}$  where  $x^n \in \text{fv}(M_1) \cap \text{fv}(M_2)$ . (The cases  $x^n \in \text{fv}(M_1) \setminus \text{fv}(M_2)$  and  $x^n \in \text{fv}(M_2) \setminus \text{fv}(M_1)$  are similar.)

  - Case ( $\rightarrow_E$ ): Let  $\frac{M_1 : \langle \Gamma_1, x^n : U_1 \vdash_2 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2, x^n : U_2 \vdash_2 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2, x^n : U_1 \sqcap U_2 \vdash_2 T \rangle}$  where  $x^n \in \text{fv}(M_1) \cap \text{fv}(M_2)$ . (The cases  $x^n \in \text{fv}(M_1) \setminus \text{fv}(M_2)$  and  $x^n \in \text{fv}(M_2) \setminus \text{fv}(M_1)$  are similar.)  
We have  $N : \langle \Delta \vdash_2 U_1 \sqcap U_2 \rangle$  and  $(\Gamma_1 \sqcap \Gamma_2) \diamond \Delta$ . By rules ( $\sqcap_E$ ) and ( $\sqsubseteq$ ),  $N : \langle \Delta \vdash_2 U_1 \rangle$  and  $N : \langle \Delta \vdash_2 U_2 \rangle$ . Now use IH and rule ( $\rightarrow_E$ ).

$\frac{M : \langle \Gamma_1, x^n : U'_1 \vdash_2 U_1 \rangle \quad M : \langle \Gamma_2, x^n : U'_2 \vdash_2 U_2 \rangle}{M : \langle \Gamma_1 \sqcap \Gamma_2, x^n : U \vdash_2 U_1 \sqcap U_2 \rangle}$  (because  $x^n \in \text{fv}(M)$  and using Theorem 2.3) where  $U = U'_1 \sqcap U'_2$ .

  - Case ( $\sqcap_1$ ): Let  $\frac{M : \langle \Gamma_1, x^n : U'_1 \vdash_2 U_1 \rangle \quad M : \langle \Gamma_2, x^n : U'_2 \vdash_2 U_2 \rangle}{M : \langle \Gamma_1 \sqcap \Gamma_2, x^n : U \vdash_2 U_1 \sqcap U_2 \rangle}$  (because  $x^n \in \text{fv}(M)$  and using Theorem 2.3) where  $U = U'_1 \sqcap U'_2$ .  
By Theorem 2.3,  $\text{deg}(U'_1) = n = \text{deg}(U'_2)$  and  $U'_1, U'_2 \in \text{GITy}$ . Using rule ( $\sqcap_E$ ),  $U \sqsubseteq U'_1$  and  $U \sqsubseteq U'_2$ . Using rules ( $\sqsubseteq_c$ ), ( $\text{ref}$ ), ( $\sqsubseteq_\diamond$ ), and ( $\sqsubseteq$ ),  $M : \langle \Gamma_1, x^n : U \vdash_2 U_1 \rangle$  and  $M : \langle \Gamma_2, x^n : U \vdash_2 U_2 \rangle$ . By IH,  $M[x^n := N] : \langle \Gamma_1 \sqcap \Delta \vdash_2 U_1 \rangle$  and  $M[x^n := N] : \langle \Gamma_2 \sqcap \Delta \vdash_2 U_2 \rangle$ . Therefore by rule ( $\sqcap_1$ ),  $M[x^n := N] : \langle \Gamma_1 \sqcap \Gamma_2 \sqcap \Delta \vdash_2 U_1 \sqcap U_2 \rangle$ .

$\frac{M : \langle \Gamma, x^n : U \vdash_2 V \rangle}{M^+ : \langle e\Gamma, x^{n+1} : eU \vdash_2 eV \rangle}$

  - Case ( $\text{exp}$ ): Let  $M^+ : \langle e\Gamma, x^{n+1} : eU \vdash_2 eV \rangle$ .  
We have  $N : \langle \Delta \vdash_2 eU \rangle$  and  $e\Gamma \diamond \Delta$ . By Theorem 2.3,  $\text{deg}(N) = \text{deg}(eU) = \text{deg}(U) + 1 > 0$ . Hence, by Lemmas A.3.1 and 2.3.2,  $N = P^+$  and  $P : \langle \Delta^- \vdash_2 U \rangle$ . Because  $e\Gamma \diamond \Delta$  then by Lemma A.12.4,  $\Gamma \diamond \Delta^-$ . By IH,  $M[x^n := P] : \langle \Gamma \sqcap \Delta^- \vdash_2 V \rangle$ . By rule ( $\text{exp}$ ) and Lemma A.3.2,  $M^+[x^{n+1} := N] : \langle e\Gamma \sqcap \Delta \vdash_2 eV \rangle$ .

$\frac{M : \langle \Gamma', x^n : U' \vdash_2 V' \rangle \quad \Gamma', x^n : U' \vdash_2 V' \sqsubseteq \Gamma, x^n : U \vdash_2 V}{M : \langle \Gamma, x^n : U \vdash_2 V \rangle}$  (note the use of Lemma 2.4).

  - Case ( $\sqsubseteq$ ): Let  $\frac{M : \langle \Gamma', x^n : U' \vdash_2 V' \rangle \quad \Gamma', x^n : U' \vdash_2 V' \sqsubseteq \Gamma, x^n : U \vdash_2 V}{M : \langle \Gamma, x^n : U \vdash_2 V \rangle}$  (note the use of Lemma 2.4).  
By Lemma 2.4,  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$ ,  $\Gamma \sqsubseteq \Gamma'$ ,  $U \sqsubseteq U'$  and  $V' \sqsubseteq V$ . Hence  $\Gamma' \diamond \Delta$ , by rule ( $\sqsubseteq$ )  $N : \langle \Delta \vdash_2 U' \rangle$  and, by IH,  $M[x^n := N] : \langle \Gamma' \sqcap \Delta \vdash_2 V' \rangle$ . By Lemma A.14.5,  $\Gamma \sqcap \Delta \sqsubseteq \Gamma' \sqcap \Delta$ . Hence,  $\Gamma' \sqcap \Delta \vdash_2 V' \sqsubseteq \Gamma \sqcap \Delta \vdash_2 V$  and  $M[x^n := N] : \langle \Gamma \sqcap \Delta \vdash_2 V \rangle$ .

□

**Lemma A.15.** If  $M : \langle \Gamma \vdash_2 U \rangle$  and  $M \rightarrow_\beta N$  then  $N : \langle \Gamma \vdash_2 U \rangle$ .

**Proof:**

[Proof of Lemma A.15] By induction on the derivation of  $M : \langle \Gamma \vdash_2 U \rangle$ . Cases ( $\rightarrow_1$ ), ( $\sqcap_1$ ) and ( $\sqsubseteq$ ) are by IH. We give the remaining two cases.

- $\frac{M_1 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_2 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$
- Case ( $\rightarrow_E$ ): Let  $\frac{M_1 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_2 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$ .

For the cases  $N = M_1 N_2$  where  $M_2 \rightarrow_\beta N_2$  or  $N = N_1 M_2$  where  $M_1 \rightarrow_\beta N_1$  use IH. Assume  $M_1 = \lambda x^n.P$  and  $M_1 M_2 = (\lambda x^n.P)M_2 \rightarrow_\beta P[x^n := M_2] = N$  where  $\text{deg}(M_2) = n$ . By

Lemma 2.3.2a,  $\deg(U \rightarrow T) = 0$ . Because  $\lambda x^n.P : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle$  then, by Lemma 2.7.2,  $P : \langle \Gamma_1, x^n : U \vdash_2 T \rangle$ . By Lemma 2.8,  $P[x^n := M_2] : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .

$$\frac{M : \langle \Gamma \vdash_2 U \rangle}{M^+ : \langle e\Gamma \vdash_2 eU \rangle}$$

- Case (exp): Let  $M^+ : \langle e\Gamma \vdash_2 eU \rangle$ .

Because  $M^+ \rightarrow_\beta N$  then by Lemma 2.1.2,  $\deg(M^+) = \deg(N)$ . By Lemmas A.3.1a and A.4.2,  $\deg(N) = \deg(M) + 1 > 0$  and  $M \rightarrow_\beta N^-$ . By IH,  $N^- : \langle \Gamma \vdash_2 U \rangle$  and, by Lemma A.3.1b and rule (exp),  $N : \langle e\Gamma \vdash_2 eU \rangle$ .

□

The next lemma will be used in the proof of subject expansion for  $\beta$ .

**Lemma A.16.** Let  $(\lambda x^n.M_1)M_2 : \langle \Gamma \vdash_2 U \rangle$  then  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  and there exists  $V \in \text{ITy}_2$  such that  $M_1 : \langle \Gamma_1, (x^n : V) \vdash_2 U \rangle$  and  $M_2 : \langle \Gamma_2 \vdash_2 V \rangle$ .

**Proof:**

[Proof of Lemma A.16] By induction on the derivation of  $(\lambda x^n.M_1)M_2 : \langle \Gamma \vdash_2 U \rangle$ . and then by case on the last rule of the derivation.

$$\frac{\lambda x^n.M_1 : \langle \Gamma_1 \vdash_2 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_2 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$$

- Case ( $\rightarrow_E$ ): Let  $\frac{\lambda x^n.M_1 : \langle \Gamma_1 \vdash_2 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_2 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$ .

Since  $\deg(V \rightarrow T) = 0$ , then by Lemma 2.7.2  $M_1 : \langle \Gamma_1, (x^n : V) \vdash_2 T \rangle$ .

$$\frac{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad (\lambda x^n.M_1)M_2 : \langle \Gamma_2 \vdash_2 U_2 \rangle}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$$

- Case ( $\sqcap_I$ ): Let  $\frac{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad (\lambda x^n.M_1)M_2 : \langle \Gamma_2 \vdash_2 U_2 \rangle}{(\lambda x^n.M_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$ .

By IH,  $\Gamma_1 = \Gamma'_1 \sqcap \Gamma''_1$ ,  $\Gamma_2 = \Gamma'_2 \sqcap \Gamma''_2$ ,  $\exists V, V' \in \text{ITy}_2$ , such that  $M_1 : \langle \Gamma'_1, (x^n : V) \vdash_2 U_1 \rangle$ ,  $M_2 : \langle \Gamma'_2 \vdash_2 V \rangle$ ,  $M_1 : \langle \Gamma''_1, (x^n : V') \vdash_2 U_2 \rangle$ , and  $M_2 : \langle \Gamma''_2 \vdash_2 V' \rangle$ . By rule ( $\sqcap_I$ ),  $M_1 : \langle \Gamma'_1 \sqcap \Gamma''_1, (x^n : V \sqcap V') \vdash_2 U_1 \sqcap U_2 \rangle$ , and  $M_2 : \langle \Gamma'_2 \sqcap \Gamma''_2 \vdash_2 V \sqcap V' \rangle$ . Finally, we have  $\Gamma_1 \sqcap \Gamma_2 = \Gamma'_1 \sqcap \Gamma''_1 \sqcap \Gamma'_2 \sqcap \Gamma''_2$  and  $V \sqcap V' \in \text{ITy}_2$ .

$$\frac{(\lambda x^n.M_1)M_2 : \langle \Gamma \vdash_2 U \rangle}{(\lambda x^{n+1}.M_1^+)M_2^+ : \langle e\Gamma \vdash_2 eU \rangle}$$

- Case (exp): Let  $(\lambda x^{n+1}.M_1^+)M_2^+ : \langle e\Gamma \vdash_2 eU \rangle$ .

By IH,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  and  $\exists V \in \text{ITy}_2$ , such that  $M_1 : \langle \Gamma_1, (x^n : V) \vdash_2 U \rangle$  and  $M_2 : \langle \Gamma_2 \vdash_2 V \rangle$ . So by rule (exp),  $M_1^+ : \langle e\Gamma_1, (x^{n+1} : eV) \vdash_2 eU \rangle$  and  $M_2^+ : \langle e\Gamma_2 \vdash_2 eV \rangle$ .

$$\frac{(\lambda x^n.M_1)M_2 : \langle \Gamma' \vdash_2 U' \rangle \quad \Gamma' \vdash_2 U' \sqsubseteq \Gamma \vdash_2 U}{(\lambda x^n.M_1)M_2 : \langle \Gamma \vdash_2 U \rangle}$$

- Case ( $\sqsubseteq$ ): Let  $\frac{(\lambda x^n.M_1)M_2 : \langle \Gamma' \vdash_2 U' \rangle \quad \Gamma' \vdash_2 U' \sqsubseteq \Gamma \vdash_2 U}{(\lambda x^n.M_1)M_2 : \langle \Gamma \vdash_2 U \rangle}$ .

By Lemma 2.4.3,  $\Gamma \sqsubseteq \Gamma'$  and  $U' \sqsubseteq U$ . By IH,  $\Gamma' = \Gamma'_1 \sqcap \Gamma'_2$  and  $\exists V \in \text{ITy}_2$ , such that  $M_1 : \langle \Gamma'_1, (x^n : V) \vdash_2 U' \rangle$  and  $M_2 : \langle \Gamma'_2 \vdash_2 V \rangle$ . By Lemma A.10.8,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$  such that  $\Gamma_1 \sqsubseteq \Gamma'_1$  and  $\Gamma_2 \sqsubseteq \Gamma'_2$ . So by rule ( $\sqsubseteq$ ),  $M_1 : \langle \Gamma_1, (x^n : V) \vdash_2 U \rangle$  and  $M_2 : \langle \Gamma_2 \vdash_2 V \rangle$ .

□

Now, we give the basic block in the proof of subject expansion for  $\beta$ .

**Lemma A.17.** If  $N : \langle \Gamma \vdash_2 U \rangle$  and  $M \rightarrow_\beta N$  then  $M : \langle \Gamma \vdash_2 U \rangle$

**Proof:**

[Proof of Lemma A.17] By induction on the derivation of  $N : \langle \Gamma \vdash_2 U \rangle$  and then by case on the last rule of the derivation.

$$\frac{}{T \in \text{GITy}}$$

- Case (ax): Let  $x^0 : \langle (x^0 : T) \vdash_2 T \rangle$  and  $M \rightarrow_\beta x^0$ .

By cases on  $M$ , we can show that  $M = (\lambda y^0 . y^0) x^0$ . Because  $T \in \text{GITy}$ , by rule (ax),  $y^0 : \langle (y^0 : T) \vdash_2 T \rangle$  then by rule ( $\rightarrow_1$ ),  $\lambda y^0 . y^0 : \langle () \vdash_2 T \rightarrow T \rangle$ , and so by rule ( $\rightarrow_E$ ),  $(\lambda y^0 . y^0) x^0 : \langle (x^0 : T) \vdash_2 T \rangle$ .

$$\frac{}{N : \langle \Gamma, (x^n : U) \vdash_2 T \rangle}$$

- Case ( $\rightarrow_1$ ): Let  $\lambda x^n . N : \langle \Gamma \vdash_2 U \rightarrow T \rangle$  and  $M \rightarrow_\beta \lambda x^n . N$ .

By cases on  $M$ .

- If  $M$  is a variable this is not possible.
- If  $M = \lambda x^n . M'$  such that  $M' \rightarrow_\beta N$  and  $x^n \in \text{fv}(M') \cap \text{fv}(N)$  then by IH,  $M : \langle \Gamma, (x^n : U) \vdash_2 T \rangle$  and by rule ( $\rightarrow_1$ ),  $M : \langle \Gamma \vdash_2 U \rightarrow T \rangle$ .
- If  $M$  is an application term then the reduction must be at the root. Hence,  $M = (\lambda y^m . M_1) M_2 \rightarrow_\beta M_1[y^m := M_2] = \lambda x^n . N$  where  $y^m \in \text{fv}(M_1)$  and  $\text{deg}(M_2) = m$ . There are two cases ( $M_1$  cannot be an application term):
  - \* If  $M_1 = y^m$  then  $M_2 = \lambda x^n . N$  and  $\text{deg}(N) = \text{deg}(M_2) = m$ . By Theorem 2.3.2,  $m = \text{deg}(N) = \text{deg}(T) = 0$ . So  $M = (\lambda y^0 . y^0)(\lambda x^n . N)$ . Because by Theorem 2.3.2,  $U \rightarrow T \in \text{GITy} \cap \text{ITy}_2$ , by rule (ax),  $y^0 : \langle (y^0 : U \rightarrow T) \vdash_2 U \rightarrow T \rangle$ , by rule ( $\rightarrow_1$ ),  $\lambda y^0 . y^0 : \langle () \vdash_2 (U \rightarrow T) \rightarrow (U \rightarrow T) \rangle$ , and by rule ( $\rightarrow_E$ ),  $(\lambda y^0 . y^0)(\lambda x^n . N) : \langle \Gamma \vdash_2 U \rightarrow T \rangle$ .
  - \* If  $M_1 = \lambda x^n . M'_1$  such that  $\forall n'. x^{n'} \notin \text{fv}(M_2) \cup \{y^m\}$  then  $M_1[y^m := M_2] = \lambda x^n . M'_1[y^m := M_2] = \lambda x^n . N$  and  $\text{deg}(M_2) = m$ . Since  $(\lambda y^m . M'_1) M_2 \rightarrow_\beta M'_1[y^m := M_2] = N$ , by IH,  $(\lambda y^m . M'_1) M_2 : \langle \Gamma, (x^n : U) \vdash_2 T \rangle$ . By Lemma A.16,  $\Gamma, (x^n : U) = \Gamma_1 \sqcap \Gamma_2$  and  $\exists V \in \text{ITy}$  such that  $M'_1 : \langle \Gamma_1, (y^m : V) \vdash_2 T \rangle$  and  $M_2 : \langle \Gamma_2 \vdash_2 V \rangle$ . By Theorem 2.3.2a,  $\text{dom}(\Gamma_2) = \text{fv}(M_2)$ . Because  $x^n \notin \text{fv}(M_2)$  then  $\Gamma = \Gamma'_1 \sqcap \Gamma_2$  and  $\Gamma_1 = \Gamma'_1, (x^n : U)$ . Hence by rule ( $\rightarrow_1$ ),  $\lambda x^n . M'_1 : \langle \Gamma'_1, (y^m : V) \vdash_2 U \rightarrow T \rangle$ , again by rule ( $\rightarrow_1$ ),  $\lambda y^m . \lambda x^n . M'_1 : \langle \Gamma'_1 \vdash_2 V \rightarrow U \rightarrow T \rangle$ , and since by Lemma A.14.4,  $\Gamma'_1 \diamond \Gamma_2$ , by rule ( $\rightarrow_E$ ),  $M = (\lambda y^m . \lambda x^n . M'_1) M_2 : \langle \Gamma \vdash_2 U \rightarrow T \rangle$ .

$$\frac{N_1 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle \quad N_2 : \langle \Gamma_2 \vdash_2 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{N_1 N_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$$

- Case ( $\rightarrow_E$ ): Let  $\frac{N_1 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle \quad N_2 : \langle \Gamma_2 \vdash_2 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{N_1 N_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle}$  and  $M \rightarrow_\beta N_1 N_2$ .

- If  $M = M_1 N_2 \rightarrow_\beta N_1 N_2$  where  $M_1 \diamond N_2$ ,  $N_1 \diamond N_2$  (by Lemma A.1) and  $M_1 \rightarrow_\beta N_1$  then by IH,  $M_1 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle$ , and by rule ( $\rightarrow_E$ ),  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .
- If  $M = N_1 M_2 \rightarrow_\beta N_1 N_2$  where  $N_1 \diamond M_2$ ,  $N_1 \diamond N_2$  (by Lemma A.1) and  $M_2 \rightarrow_\beta N_2$  then by IH,  $M_2 : \langle \Gamma_2 \vdash_2 U \rangle$ , and by rule ( $\rightarrow_E$ ),  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .
- If  $M = (\lambda x^n . M_1) M_2 \rightarrow_\beta M_1[x^n := M_2] = N_1 N_2$  where  $\text{deg}(M_2) = n$  and  $x^n \in \text{fv}(M_1)$ . By cases on  $M_1$  ( $M_1$  cannot be an abstraction):

- \* If  $M_1 = x^n$  then  $M_2 = N_1N_2$ ,  $\deg(N_1N_2) = \deg(M_2) = n$ , and  $M = (\lambda x^0.x^0)(N_1N_2)$  because by Theorem 2.3,  $n = \deg(N_1N_2) = \deg(T) = 0$  and  $T \in \text{GITy}$ . By rule (ax),  $x^0 : \langle (x^0 : T) \vdash_2 T \rangle$ , hence by rule ( $\rightarrow_1$ ),  $\lambda x^0.x^0 : \langle () \vdash_2 T \rightarrow T \rangle$ , and by rule ( $\rightarrow_E$ ),  $(\lambda x^0.x^0)(N_1N_2) : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .
- \* If  $M_1 = M'_1M''_1$  then  $M_1[x^n := M_2] = M'_1[x^n := M_2]M''_1[x^n := M_2] = N_1N_2$ . So,  $M'_1[x^n := M_2] = N_1$  and  $M''_1[x^n := M_2] = N_2$ .
  - If  $x^n \in \text{fv}(M'_1)$  and  $x^n \in \text{fv}(M''_1)$  then  $(\lambda x^n.M'_1)M_2 \rightarrow_\beta N_1$  and  $(\lambda x^n.M''_1)M_2 \rightarrow_\beta N_2$ . By IH,  $(\lambda x^n.M'_1)M_2 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle$  and  $(\lambda x^n.M''_1)M_2 : \langle \Gamma_2 \vdash_2 U \rangle$ . By Lemma A.16 twice,  $\Gamma_1 = \Gamma'_1 \sqcap \Gamma''_1$ ,  $\Gamma_2 = \Gamma'_2 \sqcap \Gamma''_2$ , and  $\exists V, V' \in \text{ITy}$  such that  $M'_1 : \langle \Gamma'_1, (x^n : V) \vdash_2 U \rightarrow T \rangle$ ,  $M_2 : \langle \Gamma'_1 \vdash_2 V \rangle$ ,  $M''_1 : \langle \Gamma'_2, (x^n : V') \vdash_2 U \rangle$  and  $M_2 : \langle \Gamma'_2 \vdash_2 V' \rangle$ . Therefore,  $\Gamma_1 \sqcap \Gamma_2 = \Gamma'_1 \sqcap \Gamma''_1 \sqcap \Gamma'_2 \sqcap \Gamma''_2$ . By rule ( $\sqcap_1$ ),  $M_2 : \langle \Gamma'_1 \sqcap \Gamma'_2 \vdash_2 V \sqcap V' \rangle$ . Because by Lemma A.14.4,  $\Gamma'_1 \diamond \Gamma'_2$ , then by rule ( $\rightarrow_E$ ),  $M'_1M''_1 : \langle \Gamma'_1 \sqcap \Gamma'_2, (x^n : V \sqcap V') \vdash_2 T \rangle$ . Using rule ( $\rightarrow_1$ ),  $\lambda x^n.M'_1M''_1 : \langle \Gamma'_1 \sqcap \Gamma'_2 \vdash_2 (V \sqcap V') \rightarrow T \rangle$ . Finally, by rule ( $\rightarrow_E$ ) and because by Lemma A.14.4,  $\Gamma'_1 \sqcap \Gamma'_2 \diamond \Gamma''_1 \sqcap \Gamma''_2$ , we obtain  $(\lambda x^n.M'_1M''_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .
  - If  $x^n \in \text{fv}(M'_1)$  and  $x^n \notin \text{fv}(M''_1)$  then  $M'_1[x^n := M_2] = N_1$  and  $M''_1 = N_2$ . We have  $(\lambda x^n.M'_1)M_2 \rightarrow_\beta N_1$ , so by IH,  $(\lambda x^n.M'_1)M_2 : \langle \Gamma_1 \vdash_2 U \rightarrow T \rangle$ . By Lemma A.16,  $\Gamma_1 = \Gamma'_1 \sqcap \Gamma''_1$  and  $\exists V \in \text{ITy}$  such that  $M'_1 : \langle \Gamma'_1, (x^n : V) \vdash_2 U \rightarrow T \rangle$  and  $M_2 : \langle \Gamma''_1 \vdash_2 V \rangle$ . Therefore  $\Gamma_1 \sqcap \Gamma_2 = \Gamma'_1 \sqcap \Gamma''_2 \sqcap \Gamma_2$ . Because by Lemma A.14.4,  $\Gamma'_1 \diamond \Gamma_2$ , by rule ( $\rightarrow_E$ ),  $M'_1M''_1 : \langle \Gamma'_1 \sqcap \Gamma_2, (x^n : V) \vdash_2 T \rangle$ , and by rule ( $\rightarrow_1$ ),  $\lambda x^n.M'_1M''_1 : \langle \Gamma'_1 \sqcap \Gamma_2 \vdash_2 V \rightarrow T \rangle$ . Finally, by rule ( $\rightarrow_E$ ) and because by Lemma A.14.4,  $\Gamma'_1 \sqcap \Gamma_2 \diamond \Gamma''_1$ ,  $(\lambda x^n.M'_1M''_1)M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ .
  - If  $x^n \notin \text{fv}(M'_1)$  and  $x^n \in \text{fv}(M''_1)$  then the proof is similar to the previous case.

$$\frac{N : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad N : \langle \Gamma_2 \vdash_2 U_2 \rangle}{N : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$$

- Case ( $\sqcap_1$ ): Let  $\frac{N : \langle \Gamma_1 \vdash_2 U_1 \rangle \quad N : \langle \Gamma_2 \vdash_2 U_2 \rangle}{N : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle}$  and  $M \rightarrow_\beta N$ .

By IH,  $M : \langle \Gamma_1 \vdash_2 U_1 \rangle$  and  $M : \langle \Gamma_2 \vdash_2 U_2 \rangle$ , hence by rule ( $\sqcap_1$ ),  $M : \langle \Gamma \vdash_2 U_1 \sqcap U_2 \rangle$ .

$$\frac{N : \langle \Gamma \vdash_2 U \rangle}{N : \langle \Gamma \vdash_2 U \rangle}$$

- Case (exp): Let  $N^+ : \langle e\Gamma \vdash_2 eU \rangle$  and  $M \rightarrow_\beta N^+$ .

By Lemmas A.5.8 and A.5.4,  $M^- \rightarrow_\beta N$ , and by IH,  $M^- : \langle \Gamma \vdash_2 U \rangle$ . By Lemma A.3.1b,  $(M^-)^+ = M$  and by rule (exp),  $M : \langle e\Gamma \vdash_2 eU \rangle >$ .

$$\frac{N : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{N : \langle \Gamma' \vdash_2 U' \rangle}$$

- Case ( $\sqsubseteq$ ): Let  $\frac{N : \langle \Gamma \vdash_2 U \rangle \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{N : \langle \Gamma' \vdash_2 U' \rangle}$  and  $M \rightarrow_\beta N$ .

By IH,  $M : \langle \Gamma \vdash_2 U \rangle$  and by rule ( $\sqsubseteq$ ),  $M : \langle \Gamma' \vdash_2 U' \rangle$ .

□

### Proof:

[Proof of Lemma 2.10]

1. 1 By induction on the length of the derivation of  $M \rightarrow_\beta^* N$  using Lemma A.15.
2. 2 By induction on the length of the derivation of  $M \rightarrow_\beta^* N$  using Lemma A.17.

□

### A.5.2. Subject reduction and expansion properties for $\vdash_3$ (Sec. 2.4.2)

#### Proof:

[Proof of Lemma 2.11]

1. By induction on the derivation  $x^L : \langle \Gamma \vdash_3 U \rangle$ . We have five cases:

- Case (ax): Let  $\overline{x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle}$ .  
Then it is done using rule (ref).
- Case ( $\omega$ ): Let  $\overline{x^L : \langle (x^L : \omega^L) \vdash_3 \omega^L \rangle}$ .  
Then it is done using rule (ref).
- Case ( $\sqcap$ ): Let  $\frac{x^L : \langle \Gamma \vdash_3 U_1 \rangle \quad x^L : \langle \Gamma \vdash_3 U_2 \rangle}{x^L : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle}$ .  
By IH,  $\Gamma = (x^L : V)$ ,  $V \sqsubseteq U_1$  and  $V \sqsubseteq U_2$  then by rule ( $\sqcap$ ),  $V \sqsubseteq U_1 \sqcap U_2$ .
- Case (exp): Let  $\overline{x^{i::L} : \langle e_i \Gamma \vdash_3 e_i U \rangle}$ .  
Then by IH,  $\Gamma = (x^L : V)$  and  $V \sqsubseteq U$ , so  $e_i \Gamma = (x^{i::L} : e_i V)$  and by rule ( $\sqsubseteq_{\text{exp}}$ ),  $e_i V \sqsubseteq e_i U$ .
- Case ( $\sqsubseteq$ ): Let  $\frac{x^L : \langle \Gamma' \vdash_3 U' \rangle \quad \Gamma' \vdash_3 U' \sqsubseteq \Gamma \vdash_3 U}{x^L : \langle \Gamma \vdash_3 U \rangle}$ .  
By Lemma 2.4.3,  $\Gamma \sqsubseteq \Gamma'$  and  $U' \sqsubseteq U$  and, by IH,  $\Gamma' = (x^L : V')$  and  $V' \sqsubseteq U'$ . Then, by Lemma 2.4.2,  $\Gamma = (x^L : V)$ ,  $V \sqsubseteq V'$  and, by rule (tr),  $V \sqsubseteq U$ .

2. By induction on the derivation  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rangle$ . We have five cases:

- Case ( $\omega$ ): Let  $\overline{\lambda x^L.M : \langle \text{env}_{\lambda x^L.M}^\circ \vdash_3 \omega^{\text{deg}(\lambda x^L.M)} \rangle}$ .  
We are done.
- Case ( $\rightarrow_1$ ): Let  $\overline{\lambda x^L.M : \langle \Gamma, x^L : U \vdash_3 T \rangle}$ .  
Then  $\text{deg}(U \rightarrow T) = \circ$  and we are done.
- Case ( $\sqcap$ ): Let  $\frac{\lambda x^L.M : \langle \Gamma \vdash_3 U_1 \rangle \quad \lambda x^L.M : \langle \Gamma \vdash_3 U_2 \rangle}{\lambda x^L.M : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle}$ .  
Then  $\text{deg}(U_1 \sqcap U_2) = \text{deg}(U_1) = \text{deg}(U_2) = K$ . By IH, we have four cases:
  - If  $U_1 = U_2 = \omega^K$  then  $U_1 \sqcap U_2 = \omega^K$ .
  - If  $U_1 = \omega^K$ ,  $U_2 = \prod_{i=1}^p \vec{e}_K(V_i \rightarrow T_i)$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $M : \langle \Gamma, x^L : \vec{e}_K V_i \vdash_3 \vec{e}_K T_i \rangle$  then  $U_1 \sqcap U_2 = U_2$  ( $\omega^K$  is a neutral element).
  - If  $U_2 = \omega^K$ ,  $U_1 = \prod_{i=1}^p \vec{e}_K(V_i \rightarrow T_i)$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $M : \langle \Gamma, x^L : \vec{e}_K V_i \vdash_3 \vec{e}_K T_i \rangle$  then  $U_1 \sqcap U_2 = U_1$  ( $\omega^K$  is a neutral element).
  - If  $U_1 = \prod_{i=1}^p \vec{e}_K(V_i \rightarrow T_i)$ ,  $U_2 = \prod_{i=p+1}^{p+q} \vec{e}_K(V_i \rightarrow T_i)$  (hence  $U_1 \sqcap U_2 = \prod_{i=1}^{p+q} \vec{e}_K(V_i \rightarrow T_i)$ ) where  $p, q \geq 1$  and  $\forall i \in \{1, \dots, p+q\}$ .  $M : \langle \Gamma, x^L : \vec{e}_K V_i \vdash_3 \vec{e}_K T_i \rangle$ .

- $\frac{\lambda x^L.M : \langle \Gamma \vdash_3 U \rangle}{\lambda x^{i::L}.M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle}$
- Case (exp): Let  $\lambda x^{i::L}.M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle$ .  
We have  $\deg(\mathbf{e}_i U) = i :: \deg(U) = i :: K' = K$ . By IH, we have two cases:
    - If  $U = \omega^{K'}$  then  $\mathbf{e}_i U = \omega^K$ .
    - If  $U = \prod_{j=1}^p \vec{\mathbf{e}}_{K'}(V_j \rightarrow T_j)$ , where  $p \geq 1$  and  $\forall j \in \{1, \dots, p\}. M : \langle \Gamma, x^L : \vec{\mathbf{e}}_{K'} V_j \vdash_3 \vec{\mathbf{e}}_{K'} T_j \rangle$ . So  $\mathbf{e}_i U = \prod_{j=1}^p \vec{\mathbf{e}}_K(V_j \rightarrow T_j)$  and by rule (exp),  $\forall j \in \{1, \dots, p\}. M^{+i} : \langle \mathbf{e}_i \Gamma, x^{i::L} : \vec{\mathbf{e}}_K V_j \vdash_3 \vec{\mathbf{e}}_K T_j \rangle$ .
- $\frac{\lambda x^L.M : \langle \Gamma \vdash_3 U \rangle \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{\lambda x^L.M : \langle \Gamma' \vdash_3 U' \rangle}$
- Case ( $\sqsubseteq$ ): Let  $\lambda x^L.M : \langle \Gamma' \vdash_3 U' \rangle$ .  
By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$  and by Lemma 2.4.4,  $\deg(U) = \deg(U') = K$ . By IH, we have two cases:
    - If  $U = \omega^K$  then, by Lemma A.11.3a,  $U' = \omega^K$ .
    - If  $U = \prod_{i=1}^p \vec{\mathbf{e}}_K(V_i \rightarrow T_i)$ , where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. M : \langle \Gamma, x^L : \vec{\mathbf{e}}_K V_i \vdash_3 \vec{\mathbf{e}}_K T_i \rangle$ . By Lemma A.11.3d:
      - \* Either  $U' = \omega^K$ .
      - \* Or  $U' = \prod_{i=1}^q \vec{\mathbf{e}}_K(V'_i \rightarrow T'_i)$ , where  $q \geq 1$  and  $\forall i \in \{1, \dots, q\}. \exists j \in \{1, \dots, p\}. V'_i \sqsubseteq V_j \wedge T_j \sqsubseteq T'_i$ . Let  $i \in \{1, \dots, q\}$ . Because, by Lemma 2.4.3,  $\langle \Gamma, x^L : \vec{\mathbf{e}}_K V_j \vdash_3 \vec{\mathbf{e}}_K T_j \rangle \sqsubseteq \langle \Gamma', x^L : \vec{\mathbf{e}}_K V'_i \vdash_3 \vec{\mathbf{e}}_K T'_i \rangle$  then  $M : \langle \Gamma', x^L : \vec{\mathbf{e}}_K V'_i \vdash_3 \vec{\mathbf{e}}_K T'_i \rangle$ .

3. Similar as the proof of 2.

4. By induction on the derivation  $Mx^L : \langle \Gamma, x^L : U \vdash_3 T \rangle$ . We have only two cases:

- $\frac{M : \langle \Gamma \vdash_3 V \rightarrow T \rangle \quad x^L : \langle (x^L : U) \vdash_2 V \rangle \quad \Gamma \diamond (x^L : U)}{Mx^L : \langle \Gamma, (x^L : U) \vdash_3 T \rangle}$  using Theorem 2.3.
- Case ( $\rightarrow_E$ ): Let  $Mx^L : \langle \Gamma, (x^L : U) \vdash_3 T \rangle$ .  
By 1.,  $U \sqsubseteq V$ . Because  $V \rightarrow T \sqsubseteq U \rightarrow T$ , then we have  $M : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .
- $\frac{Mx^L : \langle \Gamma', (x^L : U') \vdash_3 V' \rangle}{Mx^L : \langle \Gamma, (x^L : U) \vdash_3 V \rangle}$
- Case ( $\sqsubseteq$ ): Let  $Mx^L : \langle \Gamma, (x^L : U) \vdash_3 V \rangle$  where  $\Gamma', (x^L : U') \vdash_3 V' \sqsubseteq \Gamma, (x^L : U) \vdash_3 V$ , using Lemma 2.4.  
By Lemma 2.4,  $\Gamma \sqsubseteq \Gamma'$ ,  $U \sqsubseteq U'$ , and  $V' \sqsubseteq V$ . By IH,  $M : \langle \Gamma' \vdash_3 U' \rightarrow V' \rangle$  and by rule ( $\sqsubseteq$ ),  $M : \langle \Gamma \vdash_3 U \rightarrow V \rangle$ .

□

### Proof:

[Proof of Lemma 2.12] By Lemma 2.5.3,  $\Gamma \diamond \Delta$ . By Theorem 2.3,  $M, N \in \mathcal{M}_3$ ,  $\deg(N) = \deg(U) = L$ ,  $\text{ok}(\Delta)$  and  $\text{ok}(\Gamma, x^L : U)$ . By Lemma A.12.1a,  $\text{ok}(\Gamma \sqcap \Delta)$ . By Lemma A.1.5,  $M[x^L := N] \in \mathcal{M}_3$ . By Lemma 2.3.2a,  $x^L \in \text{fv}(M)$ . By Lemma A.1.5,  $\deg(M[x^L := N]) = \deg(M)$ .

We prove the lemma by induction on the derivation  $M : \langle \Gamma, x^L : U \vdash_3 V \rangle$ .

- Case (ax): Let  $x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle$  and  $N : \langle \Delta \vdash_3 T \rangle$ .  
Then  $x^\circ[x^\circ := N] = N : \langle \Delta \vdash_3 T \rangle$ .

- Case ( $\omega$ ): Let  $M : \langle \text{env}_{\text{fv}(M) \setminus \{x^L\}}^\emptyset, (x^L : \omega^L) \vdash_3 \omega^{\text{deg}(M)} \rangle$  and  $N : \langle \Delta \vdash_3 \omega^L \rangle$ .

By rule ( $\omega$ ),  $M[x^L := N] : \langle \text{env}_{M[x^L := N]}^\emptyset \vdash_3 \omega^{\text{deg}(M[x^L := N])} \rangle$ . Because  $x^L \in \text{fv}(M)$ , we have  $\text{fv}(M[x^L := N]) = (\text{fv}(M) \setminus \{x^L\}) \cup \text{fv}(N)$ . We can prove that  $\text{env}_{\text{fv}(M) \setminus \{x^L\}}^\emptyset \sqcap \Delta \sqsubseteq \text{env}_{(\text{fv}(M) \setminus \{x^L\}) \cup \text{fv}(N)}^\emptyset = \text{env}_{M[x^L := N]}^\emptyset$ . Therefore, by rule ( $\sqsubseteq$ ),  $M[x^L := N] : \langle \text{env}_{\text{fv}(M) \setminus \{x^L\}}^\emptyset \sqcap \Delta \vdash_3 \omega^{\text{deg}(M)} \rangle$ .

$$\frac{M : \langle \Gamma, x^L : U, y^K : U' \vdash_3 T \rangle}{M : \langle \Gamma, x^L : U \vdash_3 T \rangle}$$

- Case ( $\rightarrow_1$ ): Let  $\lambda y^K.M : \langle \Gamma, x^L : U \vdash_3 U' \rightarrow T \rangle$  such that  $\forall K'. y^{K'} \notin \text{fv}(N) \cup \{x^L\}$ .

So  $(\lambda y^K.M)[x^L := N] = \lambda y^K.M[x^L := N]$ . By Lemma A.1.2b,  $M \diamond N$ . By Theorem 2.3,  $y^K \notin \text{dom}(\Delta)$ . By IH,  $M[x^L := N] : \langle \Gamma \sqcap \Delta, y^K : U' \vdash_3 T \rangle$ . By rule ( $\rightarrow_1$ ),  $(\lambda y^K.M)[x^L := N] : \langle \Gamma \sqcap \Delta \vdash_3 U' \rightarrow T \rangle$ .

$$\frac{M : \langle \Gamma, x^L : U \vdash_3 T \rangle \quad y^K \notin \text{dom}(\Gamma, x^L : U)}{\lambda y^K.M : \langle \Gamma, x^L : U \vdash_3 \omega^K \rightarrow T \rangle}$$

- Case ( $\rightarrow'_1$ ): Let  $\lambda y^K.M : \langle \Gamma, x^L : U \vdash_3 \omega^K \rightarrow T \rangle$  such that  $\forall K'. y^{K'} \notin \text{fv}(N) \cup \{x^L\}$ .

So  $(\lambda y^K.M)[x^L := N] = \lambda y^K.M[x^L := N]$ . By Lemma A.1.2b,  $M \diamond N$ . By IH,  $M[x^L := N] : \langle \Gamma \sqcap \Delta \vdash_3 T \rangle$ . By Theorem 2.3,  $y^K \notin \text{dom}(\Delta)$ . By rule ( $\rightarrow'_1$ ),  $(\lambda y^K.M)[x^L := N] : \langle \Gamma \sqcap \Delta \vdash_3 \omega^K \rightarrow T \rangle$ .

$$\frac{M_1 : \langle \Gamma_1, x^L : U_1 \vdash_3 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2, x^L : U_2 \vdash_3 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2, x^L : U_1 \sqcap U_2 \vdash_3 T \rangle}$$

- Case ( $\rightarrow_E$ ): Let  $M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2, x^L : U_1 \sqcap U_2 \vdash_3 T \rangle$  where we consider  $x^L \in \text{fv}(M_1) \cap \text{fv}(M_2)$ , using Theorem 2.3.2a, and where  $N : \langle \Delta \vdash_3 U_1 \sqcap U_2 \rangle$ .

By Lemma A.1.2a,  $M_1 \diamond N$  and  $M_2 \diamond N$ . By rules ( $\sqcap_E$ ) and ( $\sqsubseteq$ ),  $N : \langle \Delta \vdash_3 U_1 \rangle$  and  $N : \langle \Delta \vdash_3 U_2 \rangle$ . By IH  $M_1[x^L := N] : \langle \Gamma_1 \sqcap \Delta \vdash_3 V \rightarrow T \rangle$  and  $M_2[x^L := N] : \langle \Gamma_2 \sqcap \Delta \vdash_3 V \rangle$ . By Theorem 2.3.2a and Lemma A.1.3,  $\Gamma_1 \sqcap \Delta \diamond \Gamma_2 \sqcap \Delta$ . Finally by rule ( $\rightarrow_E$ ),  $M[x^L := N] : \langle \Gamma_1 \sqcap \Gamma_2 \sqcap \Delta \vdash_3 T \rangle$ .

The cases  $x^L \in \text{fv}(M_1) \setminus \text{fv}(M_2)$  or  $x^L \in \text{fv}(M_2) \setminus \text{fv}(M_1)$  are similar.

$$\frac{M : \langle \Gamma, x^L : U \vdash_3 U_1 \rangle \quad M : \langle \Gamma, x^L : U \vdash_3 U_2 \rangle}{M : \langle \Gamma, x^L : U \vdash_3 U_1 \sqcap U_2 \rangle}$$

- Case ( $\sqcap_1$ ): Let  $M : \langle \Gamma, x^L : U \vdash_3 U_1 \sqcap U_2 \rangle$ .

Use IH and rule ( $\sqcap_1$ ).

$$\frac{M : \langle \Gamma, x^L : U \vdash_3 V \rangle}{M^{+i} : \langle \mathbf{e}_i \Gamma, x^{i::L} : \mathbf{e}_i U \vdash_3 \mathbf{e}_i V \rangle}$$

- Case (exp): Let  $M^{+i} : \langle \mathbf{e}_i \Gamma, x^{i::L} : \mathbf{e}_i U \vdash_3 \mathbf{e}_i V \rangle$  and  $N : \langle \Delta \vdash_3 \mathbf{e}_i U \rangle$ .

By Theorem 2.3,  $\text{deg}(N) = \text{deg}(\mathbf{e}_i U) = i :: \text{deg}(U)$ . and  $N^{-i} : \langle \Delta^{-i} \vdash_3 U \rangle$ . By Lemma A.5,  $(N^{-i})^{+i} = N$  and  $M \diamond N^{-i}$ . By IH,  $M[x^L := N^{-i}] : \langle \Gamma \sqcap \Delta^{-i} \vdash_3 V \rangle$ . By rule (exp) and Lemma A.5.5,  $M^{+i}[x^{i::L} := N] : \langle \mathbf{e}_i \Gamma \sqcap \Delta \vdash_3 \mathbf{e}_i V \rangle$ .

$$\frac{M : \langle \Gamma', x^L : U' \vdash_3 V' \rangle \quad \Gamma', x^L : U' \vdash_3 V' \sqsubseteq \Gamma, x^L : U \vdash_3 V}{M : \langle \Gamma, x^L : U \vdash_3 V \rangle}$$

- Case ( $\sqsubseteq$ ): Let  $M : \langle \Gamma, x^L : U \vdash_3 V \rangle$  (using Lemma 2.4).

By Lemma 2.4,  $\text{dom}(\Gamma) = \text{dom}(\Gamma')$ ,  $\Gamma \sqsubseteq \Gamma'$ ,  $U \sqsubseteq U'$  and  $V' \sqsubseteq V$ . Hence  $N : \langle \Delta \vdash_3 U' \rangle$  and, by IH,  $M[x^L := N] : \langle \Gamma' \cap \Delta \vdash_3 V' \rangle$ . It is easy to show that  $\Gamma \cap \Delta \sqsubseteq \Gamma' \cap \Delta$ . Hence,  $\Gamma' \cap \Delta \vdash_3 V' \sqsubseteq \Gamma \cap \Delta \vdash_3 V$  and  $M[x^L := N] : \langle \Gamma \cap \Delta \vdash_3 V \rangle$ .

□

The next lemma is needed in the proofs.

- Lemma A.18.** 1. If  $\text{fv}(N) \subseteq \text{fv}(M)$  then  $\text{env}_M^\emptyset \upharpoonright_N = \text{env}_N^\emptyset$ .
2. If  $\text{ok}(\Gamma_1), \text{ok}(\Gamma_2), \text{fv}(M) \subseteq \text{dom}(\Gamma_1)$  and  $\text{fv}(N) \subseteq \text{dom}(\Gamma_2)$  then  $(\Gamma_1 \cap \Gamma_2) \upharpoonright_{MN} \sqsubseteq (\Gamma_1 \upharpoonright_M) \cap (\Gamma_2 \upharpoonright_N)$ .
3.  $\mathbf{e}_i(\Gamma \upharpoonright_M) = (\mathbf{e}_i \Gamma) \upharpoonright_{M+i}$

**Proof:**

[Proof of Lemma A.18]

1. Let  $\text{fv}(M) = \text{fv}(N) \cup \{x_1^{L_1}, \dots, x_n^{L_n}\}$ . Then  $\text{env}_M^\emptyset = \text{env}_N^\emptyset, (x_i^{L_i} : \omega^{L_i})_n$ . and  $\text{env}_M^\emptyset \upharpoonright_N = \text{env}_N^\emptyset$ .
2. By Lemma A.12.1a,  $\text{ok}(\Gamma_1 \cap \Gamma_2)$ . Also,  $\text{ok}(\Gamma_1 \upharpoonright_M), \text{ok}(\Gamma_2 \upharpoonright_N)$  and  $\text{dom}((\Gamma_1 \cap \Gamma_2) \upharpoonright_{MN}) = \text{fv}(MN) = \text{fv}(M) \cup \text{fv}(N) = \text{dom}(\Gamma_1 \upharpoonright_M) \cup \text{dom}(\Gamma_2 \upharpoonright_N) = \text{dom}((\Gamma_1 \upharpoonright_M) \cap (\Gamma_2 \upharpoonright_N))$ . Now, we show by cases that if  $((\Gamma_1 \cap \Gamma_2) \upharpoonright_{MN})(x^L) = U_1$  and  $((\Gamma_1 \upharpoonright_M) \cap (\Gamma_2 \upharpoonright_N))(x^L) = U_2$  then  $U_1 \sqsubseteq U_2$ :
- If  $x^L \in \text{fv}(M) \cap \text{fv}(N)$  then  $\Gamma_1(x^L) = U_1', \Gamma_2(x^L) = U_1''$ , and  $U_1 = U_1' \cap U_1'' = U_2$ .
  - If  $x^L \in \text{fv}(M) \setminus \text{fv}(N)$  then:
    - If  $x^L \in \text{dom}(\Gamma_2)$  then  $\Gamma_1(x^L) = U_2, \Gamma_2(x^L) = U_1'$  and  $U_1 = U_1' \cap U_2 \sqsubseteq U_2$ .
    - If  $x^L \notin \text{dom}(\Gamma_2)$  then  $\Gamma_1(x^L) = U_2$  and  $U_1 = U_2$ .
  - If  $x^L \in \text{fv}(N) \setminus \text{fv}(M)$  then:
    - If  $x^L \in \text{dom}(\Gamma_1)$  then  $\Gamma_1(x^L) = U_1', \Gamma_2(x^L) = U_2$  and  $U_1 = U_1' \cap U_2 \sqsubseteq U_2$ .
    - If  $x^L \notin \text{dom}(\Gamma_1)$  then  $\Gamma_2(x^L) = U_2$  and  $U_1 = U_2$ .
3. Let  $\Gamma = (x_j^{L_j} : U_j)_n, (y_j^{L'_j} : U'_j)_p$  and let  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\} \uplus \{z_1^{L''_1}, \dots, z_m^{L''_m}\}$ . such that  $\text{dj}(\{y_1^{L'_1}, \dots, y_p^{L'_p}\}, \{z_1^{L''_1}, \dots, z_m^{L''_m}\})$ . Therefore  $\Gamma \upharpoonright_M = (x_j^{L_j} : U_j)_n$  and  $\mathbf{e}_i(\Gamma \upharpoonright_M) = (x_j^{i::L_j} : \mathbf{e}_i U_j)_n$ . Because  $\mathbf{e}_i \Gamma = (x_j^{i::L_j} : \mathbf{e}_i U_j)_n, (y_j^{i::L'_j} : \mathbf{e}_i U'_j)_p$ , and by Lemma A.5.1,  $\text{fv}(M^{+i}) = \{x_1^{i::L_1}, \dots, x_n^{i::L_n}\} \uplus \{z_1^{i::L''_1}, \dots, z_m^{i::L''_m}\}$  such that  $\text{dj}(\{y_1^{i::L'_1}, \dots, y_p^{i::L'_p}\}, \{z_1^{i::L''_1}, \dots, z_m^{i::L''_m}\})$ , then  $(\mathbf{e}_i \Gamma) \upharpoonright_{M+i} = (x_j^{i::L_j} : \mathbf{e}_i U_j)_n$ .

□

The next two theorems are needed in the proof of subject reduction.

**Theorem A.1.** If  $M : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_\beta N$  then  $N : \langle \Gamma \upharpoonright_N \vdash_3 U \rangle$ .



**Proof:**

[Proof of Lemma A.1] By induction on the derivation  $M : \langle \Gamma \vdash_3 U \rangle$ .

- Case  $(\omega)$  follows by Theorem 2.1.2 and Lemma A.18.1.

$$\frac{M : \langle \Gamma, (x^L : U) \vdash_3 T \rangle}{M : \langle \Gamma \vdash_3 U \rightarrow T \rangle}$$

- Case  $(\rightarrow_1)$ : Let  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .

Then  $N = \lambda x^L.N'$  and  $M \rightarrow_\beta N'$ . By IH,  $N' : \langle (\Gamma, (x^L : U)) \upharpoonright_{N'} \vdash_3 T \rangle$ . If  $x^L \in \text{fv}(N')$  then  $N' : \langle \Gamma \upharpoonright_{\text{fv}(\lambda x^L.N')}, (x^L : U) \vdash_3 T \rangle$  and by rule  $(\rightarrow_1)$ ,  $\lambda x^L.N' : \langle \Gamma \upharpoonright_{\lambda x^L.N'} \vdash_3 U \rightarrow T \rangle$ . Else  $N' : \langle \Gamma \upharpoonright_{\text{fv}(\lambda x^L.N')} \vdash_3 T \rangle$  so by rule  $(\rightarrow'_1)$ ,  $\lambda x^L.N' : \langle \Gamma \upharpoonright_{\lambda x^L.N'} \vdash_3 \omega^L \rightarrow T \rangle$  and since by Theorem 2.3.2 and Lemma 2.1.4,  $U \sqsubseteq \omega^L$ , by rule  $(\sqsubseteq)$ ,  $\lambda x^L.N' : \langle \Gamma \upharpoonright_{\lambda x^L.N'} \vdash_3 U \rightarrow T \rangle$ .

$$\frac{M : \langle \Gamma \vdash_3 T \rangle \quad x^L \notin \text{dom}(\Gamma)}{\lambda x^L.M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle}$$

- Case  $(\rightarrow'_1)$ : Let  $\lambda x^L.M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle$ .

Then  $N = \lambda x^L.N'$  and  $M \rightarrow_\beta N'$ . Because  $x^L \notin \text{fv}(M)$  (using Theorem 2.3), by Theorem 2.1.2,  $x^L \notin \text{fv}(N')$ . By IH,  $N' : \langle \Gamma \upharpoonright_{\text{fv}(N') \setminus \{x^L\}} \vdash_3 T \rangle$  so by rule  $(\rightarrow'_1)$ ,  $\lambda x^L.N' : \langle \Gamma \upharpoonright_{\lambda x^L.N'} \vdash_3 \omega^L \rightarrow T \rangle$ .

$$\frac{M_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_3 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle}$$

- Case  $(\rightarrow_E)$ : Let  $M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ .

Using Lemma A.18.2, case  $M_1 \rightarrow_\beta N_1$  and  $N = N_1 M_2$  and case  $M_2 \rightarrow_\beta N_2$  and  $N = M_1 N_2$  are easy. Let  $M_1 = \lambda x^L.M'_1$  and  $N = M'_1[x^L := M_2]$ . By Lemma 2.5.3 and Lemma A.1.2,  $M'_1 \diamond M_2$ . If  $x^L \in \text{fv}(M'_1)$  then by Lemma 2.11.2,  $M'_1 : \langle \Gamma_1, x^L : U \vdash_3 T \rangle$ . By Lemma 2.12,  $M'_1[x^L := M_2] : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ . If  $x^L \notin \text{fv}(M'_1)$  then by Lemma 2.11.3,  $M'_1[x^L := M_2] = M'_1 : \langle \Gamma_1 \vdash_3 T \rangle$  and by rule  $(\sqsubseteq)$ ,  $N : \langle (\Gamma_1 \sqcap \Gamma_2) \upharpoonright_N \vdash_3 T \rangle$ .

- Case  $(\sqcap_1)$  is by IH.

$$\frac{M : \langle \Gamma \vdash_3 U \rangle}{M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle}$$

- case  $(\text{exp})$ : Let  $M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle$ .

If  $M^{+i} \rightarrow_\beta N$  then by Lemma A.5.9, there is  $P \in \mathcal{M}_3$  such that  $P^{+i} = N$  and  $M \rightarrow_\beta P$ . By IH,  $P : \langle \Gamma \upharpoonright_P \vdash_3 U \rangle$  and by rule  $(\text{exp})$  and Lemma A.18.3,  $N : \langle (\mathbf{e}_i \Gamma) \upharpoonright_N \vdash_3 \mathbf{e}_i U \rangle$ .

$$\frac{M : \langle \Gamma \vdash_3 U \rangle \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{M : \langle \Gamma' \vdash_3 U' \rangle}$$

- Case  $(\sqsubseteq)$ : Let  $M : \langle \Gamma' \vdash_3 U' \rangle$ .

Then by IH, Lemma 2.4.3 and rule  $(\sqsubseteq)$ ,  $N : \langle \Gamma' \upharpoonright_N \vdash_3 U' \rangle$ .

□

**Theorem A.2.** If  $M : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_\eta N$  then  $N : \langle \Gamma \vdash_3 U \rangle$ .

**Proof:**

[Proof of Lemma A.2] By induction on the derivation  $M : \langle \Gamma \vdash_3 U \rangle$ .

- Case  $(\omega)$ : Let  $M : \langle \text{env}_M^\emptyset \vdash_3 \omega^{\text{deg}(M)} \rangle$ .

Then by Lemma 2.1.1,  $\text{deg}(M) = \text{deg}(N)$  and  $\text{fv}(M) = \text{fv}(N)$ , and by rule  $(\omega)$ ,  $N : \langle \text{env}_N^\emptyset \vdash_3 \omega^{\text{deg}(N)} \rangle$ .

- $$\frac{M : \langle \Gamma, (x^L : U) \vdash_3 T \rangle}{\lambda x^L.M : \langle \Gamma \vdash_3 U \rightarrow T \rangle}$$
  - Case ( $\rightarrow_1$ ): Let  $\lambda x^L.M : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .  
then we have two cases:
    - $M = Nx^L$  such that  $x^L \notin \text{fv}(N)$  and so by Lemma 2.11.4,  $N : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .
    - $N = \lambda x^L.N'$  and  $M \rightarrow_\eta N'$ . By IH,  $N' : \langle \Gamma, (x^L : U) \vdash_3 T \rangle$  and by rule ( $\rightarrow_1$ ),  $N : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ .
- $$\frac{M : \langle \Gamma \vdash_3 T \rangle \quad x^L \notin \text{dom}(\Gamma)}{\lambda x^L.M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle}$$
  - Case ( $\rightarrow_1'$ ): Let  $\lambda x^L.M : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle$ .  
Therefore by Theorem 2.3,  $x^L \notin \text{fv}(M)$ . Then  $N = \lambda x^L.N'$  and  $M \rightarrow_\eta N'$ . By Lemma 2.1.1,  $\text{fv}(M) = \text{fv}(N')$ . By IH,  $N' : \langle \Gamma \vdash_3 T \rangle$ , and by rule ( $\rightarrow_1'$ ),  $N : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle$ .
- $$\frac{M_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_3 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle}$$
  - Case ( $\rightarrow_E$ ): Let  $M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ .  
Then we have two cases:
    - $M_1 \rightarrow_\eta N_1$  and  $N = N_1 M_2$ . By IH  $N_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle$  and by rule ( $\rightarrow_E$ ),  $N : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ .
    - $M_2 \rightarrow_\eta N_2$  and  $N = M_1 N_2$ . By IH  $N_2 : \langle \Gamma_2 \vdash_3 U \rangle$  and by rule ( $\rightarrow_E$ ),  $N : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ .
- Case ( $\sqcap_1$ ) is by IH and rule ( $\sqcap_1$ ).
- $$\frac{M : \langle \Gamma \vdash_3 U \rangle}{M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle}$$
  - Case (exp): Let  $M^{+i} : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle$ .  
Then by Lemma A.5.9, there exists  $P \in \mathcal{M}_3$  such that  $P^{+i} = N$  and  $M \rightarrow_\eta P$ . By IH,  $P : \langle \Gamma \vdash_3 U \rangle$  and by rule (exp),  $N : \langle \mathbf{e}_i \Gamma \vdash_3 \mathbf{e}_i U \rangle$ .
- $$\frac{M : \langle \Gamma \vdash_3 U \rangle \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{M : \langle \Gamma' \vdash_3 U' \rangle}$$
  - Case ( $\sqsubseteq$ ): Let  $M : \langle \Gamma' \vdash_3 U' \rangle$ .  
Then by IH and rule ( $\sqsubseteq$ ),  $N : \langle \Gamma' \vdash_3 U' \rangle$ .

□

**Proof:**

[Proof of Theorem 2.4] Proof is by induction on the reduction  $M \rightarrow_{\beta\eta}^* N$  using Theorem A.1 and Theorem A.2. □

**Proof:**

[Proof of Lemma 2.13] By Theorem 2.3.2, we have  $M[x^L := N] \in \mathcal{M}_3$ . By Lemma A.1.5a,  $M \diamond N$  and  $\text{deg}(N) = L$ . Let us prove the result by induction on the derivation  $M[x^L := N] : \langle \Gamma \vdash_3 U \rangle$  and then by case on the last rule of the derivation.

- Case (ax): Let  $\overline{y^\circ : \langle (y^\circ : T) \vdash_3 T \rangle}$   
Then  $M = x^\circ$  and  $N = y^\circ$ . By rule (ax),  $x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle$ .

- Case ( $\omega$ ): Let  $M[x^L := N] : \langle \text{env}_{M[x^L := N]}^\circ \vdash_3 \omega^{\text{deg}(M[x^L := N])} \rangle$ .

By Lemma A.1.5b,  $\text{deg}(M) = \text{deg}(M[x^L := N])$ . Therefore, by rule ( $\omega$ ),  $M : \langle \text{env}_{\text{fv}(M) \setminus \{x^L\}}^\circ, (x^L : \omega^L) \vdash_3 \omega^{\text{deg}(M)} \rangle$  and  $N : \langle \text{env}_N^\circ \vdash_3 \omega^L \rangle$  and because  $\text{fv}(M[x^L := N]) = (\text{fv}(M) \setminus \{x^L\}) \cup \text{fv}(N)$ ,  $\text{env}_{\text{fv}(M) \setminus \{x^L\}}^\circ \sqcap \text{env}_N^\circ = \text{env}_{M[x^L := N]}^\circ$ .

$$\frac{M[x^L := N] : \langle \Gamma, (y^K : W) \vdash_3 T \rangle}{M[x^L := N] : \langle \Gamma \vdash_3 W \rightarrow T \rangle}$$

- Case ( $\rightarrow_1$ ): Let  $\lambda y^K.M[x^L := N] : \langle \Gamma \vdash_3 W \rightarrow T \rangle$  where  $\forall K'. y^{K'} \notin \text{fv}(N) \cup \{x^L\}$ .

By IH,  $\exists V, \Gamma_1, \Gamma_2$  such that  $M : \langle \Gamma_1, x^L : V \vdash_3 T \rangle$ ,  $N : \langle \Gamma_2 \vdash_3 V \rangle$  and  $(\Gamma, y^K : W) = \Gamma_1 \sqcap \Gamma_2$ . By Theorem 2.3.2a,  $\text{fv}(N) = \text{dom}(\Gamma_2)$  and  $\text{fv}(M) = \text{dom}(\Gamma_1) \cup \{x^L\}$ . Because  $y^K \notin \text{fv}(N)$ ,  $x^K \notin \text{dom}(\Gamma_2)$  and  $\Gamma_1 = \Delta_1, y^K : W$ . Hence  $M : \langle \Delta_1, y^K : W, x^L : V \vdash_3 T \rangle$ . By rule ( $\rightarrow_1$ ),  $\lambda y^K.M : \langle \Delta_1, x^L : V \vdash_3 W \rightarrow T \rangle$ . Finally,  $\Gamma = \Delta_1 \sqcap \Gamma_2$ .

$$\frac{M[x^L := N] : \langle \Gamma \vdash_3 T \rangle \quad y^K \notin \text{dom}(\Gamma)}{\lambda y^K.M[x^L := N] : \langle \Gamma \vdash_3 \omega^K \rightarrow T \rangle}$$

- Case ( $\rightarrow_1'$ ): Let  $\lambda y^K.M[x^L := N] : \langle \Gamma \vdash_3 \omega^K \rightarrow T \rangle$  where  $\forall K'. y^{K'} \notin \text{fv}(N) \cup \{x^L\}$ .

By IH,  $\exists V, \Gamma_1, \Gamma_2$  such that  $M : \langle \Gamma_1, x^L : V \vdash_3 T \rangle$ ,  $N : \langle \Gamma_2 \vdash_3 V \rangle$  and  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ . Since  $y^K \notin \text{dom}(\Gamma_1) \cup \{x^L\}$ ,  $\lambda y^K.M : \langle \Gamma_1, x^L : V \vdash_3 \omega^K \rightarrow T \rangle$ .

$$\frac{M_1[x^L := N] : \langle \Gamma_1 \vdash_3 W \rightarrow T \rangle \quad M_2[x^L := N] : \langle \Gamma_2 \vdash_3 W \rangle}{M_1[x^L := N] M_2[x^L := N] : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle}$$

- Case ( $\rightarrow_E$ ): Let  $M_1[x^L := N] M_2[x^L := N] : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$  where  $\Gamma_1 \diamond \Gamma_2$  and  $M = M_1 M_2$ .

By Lemmas A.1.1 and A.1.2a,  $M_1 \diamond M_2$ , We have three cases:

- If  $x^L \in \text{fv}(M_1) \cap \text{fv}(M_2)$  then by IH,  $\exists V_1, V_2, \Delta_1, \Delta_2, \Delta'_1, \Delta'_2$  such that  $M_1 : \langle \Delta_1, (x^L : V_1) \vdash_3 W \rightarrow T \rangle$ ,  $M_2 : \langle \Delta'_1, (x^L : V_2) \vdash_3 W \rangle$ ,  $N : \langle \Delta_2 \vdash_3 V_1 \rangle$ ,  $N : \langle \Delta'_2 \vdash_3 V_2 \rangle$ ,  $\Gamma_1 = \Delta_1 \sqcap \Delta_2$  and  $\Gamma_2 = \Delta'_1 \sqcap \Delta'_2$ . Because  $M_1 \diamond M_2$ , then by Lemma A.14.2,  $(\Delta_1, (x^L : V_1)) \diamond (\Delta'_1, (x^L : V_2))$ . Then, by rule ( $\rightarrow_E$ ),  $M_1 M_2 : \langle \Delta_1 \sqcap \Delta'_1, (x^L : V_1 \sqcap V_2) \vdash_3 T \rangle$  and by rule ( $\sqcap_1'$ ),  $N : \langle \Delta_2 \sqcap \Delta'_2 \vdash_3 V_1 \sqcap V_2 \rangle$ . Finally,  $\Gamma_1 \sqcap \Gamma_2 = \Delta_1 \sqcap \Delta_2 \sqcap \Delta'_1 \sqcap \Delta'_2$ .
- If  $x^L \in \text{fv}(M_1) \setminus \text{fv}(M_2)$  then  $M_2[x^L := N] = M_2$  and by IH,  $\exists V, \Delta_1, \Delta_2$  such that  $M_1 : \langle \Delta_1, (x^L : V) \vdash_3 W \rightarrow T \rangle$ ,  $N : \langle \Delta_2 \vdash_3 V \rangle$ , and  $\Gamma_1 = \Delta_1 \sqcap \Delta_2$ . Because  $M_1 \diamond M_2$ , then by Lemma A.14.2,  $(\Delta_1, (x^L : V)) \diamond \Gamma_2$ . By rule ( $\rightarrow_E$ ),  $M_1 M_2 : \langle \Delta_1 \sqcap \Gamma_2, (x^L : V) \vdash_3 T \rangle$  and  $\Gamma_1 \sqcap \Gamma_2 = \Delta_1 \sqcap \Delta_2 \sqcap \Gamma_2$ .
- If  $x^L \in \text{fv}(M_2) \setminus \text{fv}(M_1)$  then  $M_1[x^L := N] = M_1$  and by IH,  $\exists V, \Delta_1, \Delta_2$  such that  $M_2 : \langle \Delta_1, (x^L : V) \vdash_3 W \rangle$ ,  $N : \langle \Delta_2 \vdash_3 V \rangle$ , and  $\Gamma_2 = \Delta_1 \sqcap \Delta_2$ . Because  $M_1 \diamond M_2$ , then by Lemma A.14.2,  $(\Delta_1, (x^L : V)) \diamond \Gamma_1$ . By rule ( $\rightarrow_E$ ),  $M_1 M_2 : \langle \Gamma_1 \sqcap \Delta_1, (x^L : V) \vdash_3 T \rangle$  and  $\Gamma_1 \sqcap \Gamma_2 = \Gamma_1 \sqcap \Delta_1 \sqcap \Delta_2$ .

$$\frac{M[x^L := N] : \langle \Gamma \vdash_3 U_1 \rangle \quad M[x^L := N] : \langle \Gamma \vdash_3 U_2 \rangle}{M[x^L := N] : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle}$$

- Case ( $\sqcap_1$ ): Let  $M[x^L := N] : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle$ .

By IH,  $\exists V_1, V_2, \Delta_1, \Delta_2, \Delta'_1, \Delta'_2$  such that  $M : \langle \Delta_1, x^L : V_1 \vdash_3 U_1 \rangle$ ,  $M : \langle \Delta'_1, x^L : V_2 \vdash_3 U_2 \rangle$ ,  $N : \langle \Delta_2 \vdash_3 V_1 \rangle$ ,  $N : \langle \Delta'_2 \vdash_3 V_2 \rangle$ , and  $\Gamma = \Delta_1 \sqcap \Delta_2 = \Delta'_1 \sqcap \Delta'_2$ . By rule ( $\sqcap_1'$ ),  $M : \langle \Delta_1 \sqcap \Delta'_1, x^L : V_1 \sqcap V_2 \vdash_3 U_1 \sqcap U_2 \rangle$  and  $N : \langle \Delta_2 \sqcap \Delta'_2 \vdash_3 V_1 \sqcap V_2 \rangle$ . Finally,  $\Gamma = \Delta_1 \sqcap \Delta_2 \sqcap \Delta'_1 \sqcap \Delta'_2$ .

- $\frac{M[x^L := N] : \langle \Gamma \vdash_3 U \rangle}{M[x^L := N] : \langle \Gamma \vdash_3 U \rangle}$
- Case (exp): Let  $M^{+j}[x^{j::L} := N^{+j}] : \langle e_j \Gamma \vdash_3 e_j U \rangle$  using Lemma A.5.5.  
By IH,  $\exists V, \Gamma_1, \Gamma_2$  such that  $M : \langle \Gamma_1, x^L : V \vdash_3 U \rangle$ ,  $N : \langle \Gamma_2 \vdash_3 V \rangle$  and  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ . So by rule (exp),  $M^{+j} : \langle e_j \Gamma_1, x^{j::L} : e_j V \vdash_3 e_j U \rangle$ ,  $N : \langle e_j \Gamma_2 \vdash_3 e_j V \rangle$  and  $e_j \Gamma = e_j \Gamma_1 \sqcap e_j \Gamma_2$ .
- $\frac{M[x^L := N] : \langle \Gamma' \vdash_3 U' \rangle \quad \Gamma' \vdash_3 U' \sqsubseteq \Gamma \vdash_3 U}{M[x^L := N] : \langle \Gamma \vdash_3 U \rangle}$
- Case ( $\sqsubseteq$ ): Let  $M[x^L := N] : \langle \Gamma \vdash_3 U \rangle$ .  
By Lemma 2.4.2,  $\Gamma \sqsubseteq \Gamma'$  and  $U' \sqsubseteq U$ . By IH,  $\exists V, \Gamma'_1, \Gamma'_2$  such that  $M : \langle \Gamma'_1, x^L : V \vdash_3 U' \rangle$ ,  $N : \langle \Gamma'_2 \vdash_3 V \rangle$  and  $\Gamma' = \Gamma'_1 \sqcap \Gamma'_2$ . By Lemma A.11.5,  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ ,  $\Gamma_1 \sqsubseteq \Gamma'_1$ , and  $\Gamma_2 \sqsubseteq \Gamma'_2$ . Finally, by rule ( $\sqsubseteq$ ),  $M : \langle \Gamma_1, x^L : V \vdash_3 U \rangle$  and  $N : \langle \Gamma_2 \vdash_3 V \rangle$ . □

The next lemma is useful to prove that subject expansion w.r.t.  $\beta$  holds in  $\vdash_3$ .

**Lemma A.19.** If  $M[x^L := N] : \langle \Gamma \vdash_3 U \rangle$ ,  $L \succeq \deg(M)$ , and  $\overline{ix} = \text{fv}((\lambda x^L.M)N)$  then  $(\lambda x^L.M)N : \langle \Gamma \uparrow^{\overline{ix}} \vdash_3 U \rangle$ .

**Proof:**

[Proof of Lemma A.19] Let  $\deg(U) = K$ . By Theorem 2.3.2,  $M[x^L := N] \in \mathcal{M}_3$ . By Lemma A.1.5a,  $M \diamond N$  and  $\deg(N) = L$ . By definition  $\lambda x^L.M \in \mathcal{M}_3$ . By Lemma A.1.2a,  $\lambda x^L.M \diamond N$ . By definition,  $(\lambda x^L.M)N \in \mathcal{M}_3$ . By Lemma A.1.5b and Theorem 2.3.2,  $\deg(\Gamma) \succeq \deg(U) = K = \deg(M[x^L := N]) = \deg(M) = \deg((\lambda x^L.M)N)$ . So  $L \succeq K$  and there exists  $K'$  such that  $L = K :: K'$ . We have two cases:

- If  $x^L \in \text{fv}(M)$  then, by Lemma 2.13,  $\exists V, \Gamma_1, \Gamma_2$  such that  $M : \langle \Gamma_1, x^L : V \vdash_3 U \rangle$ ,  $N : \langle \Gamma_2 \vdash_3 V \rangle$ , and  $\Gamma = \Gamma_1 \sqcap \Gamma_2$ . By Theorem 2.3.2,  $\text{ok}(\Gamma_1)$  and  $\text{ok}(\Gamma_2)$ . By Lemma A.12.1a,  $\text{ok}(\Gamma_1 \sqcap \Gamma_2)$ . So, it is easy to prove, using Lemma A.12.5, that  $\text{ok}(\Gamma \uparrow^{\overline{ix}})$ . By Lemma 2.5.3,  $(\Gamma_1, x^L : V) \diamond \Gamma_2$ , so  $\Gamma_1 \diamond \Gamma_2$ . By Theorem 2.3.2,  $\deg(\Gamma_1) \succeq \deg(M) = \deg(U) = K$  and  $L = \deg(N) = \deg(V) \preceq \deg(\Gamma_2)$ . By Lemma A.11.2, we have two cases :
  - If  $U = \omega^K$  then by Lemma 2.5.2,  $(\lambda x^L.M)N : \langle \Gamma \uparrow^{\overline{ix}} \vdash_3 U \rangle$ .
  - If  $U = \vec{e}_K \prod_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}$ .  $T_i \in \text{Ty}_3$  then by Theorem 2.3.2,  $M^{-K} : \langle \Gamma_1^{-K}, x^{K'} : V^{-K} \vdash_3 \prod_{i=1}^p T_i \rangle$ . By rule ( $\sqsubseteq$ ),  $\forall i \in \{1, \dots, p\}$ .  $M^{-K} : \langle \Gamma_1^{-K}, x^{K'} : V^{-K} \vdash_3 T_i \rangle$ , so by rule ( $\rightarrow_1$ ),  $\lambda x^{K'}.M^{-K} : \langle \Gamma_1^{-K} \vdash_3 V^{-K} \rightarrow T_i \rangle$ . Again by Theorem 2.3.2,  $N^{-K} : \langle \Gamma_2^{-K} \vdash_3 V^{-K} \rangle$  and because  $\Gamma_1 \diamond \Gamma_2$ , then by Lemma A.12.4,  $\Gamma_1^{-K} \diamond \Gamma_2^{-K}$ , so by rule ( $\rightarrow_E$ ),  $\forall i \in \{1, \dots, p\}$ .  $(\lambda x^{K'}.M^{-K})N^{-K} : \langle \Gamma_1^{-K} \sqcap \Gamma_2^{-K} \vdash_3 T_i \rangle$ . Finally by rules ( $\prod_1$ ) and (exp),  $(\lambda x^L.M)N : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U \rangle$ , so  $(\lambda x^L.M)N : \langle \Gamma \uparrow^{\overline{ix}} \vdash_3 U \rangle$ .
- If  $x^L \notin \text{fv}(M)$  then  $M : \langle \Gamma \vdash_3 U \rangle$ . By Theorem 2.3.2,  $\text{ok}(\Gamma)$ . So, it is easy to prove, using Lemma A.12.5, that  $\text{ok}(\Gamma \uparrow^{\overline{ix}})$ . By Lemma A.11.2, we have two cases :
  - If  $U = \omega^K$  then by Lemma 2.5.2,  $(\lambda x^L.M)N : \langle \Gamma \uparrow^{\overline{ix}} \vdash_3 U \rangle$ .

- If  $U = \bar{\epsilon}_K \prod_{i=1}^p T_i$  where  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. T_i \in \text{Ty}_3$ , and by Theorem 2.3.2,  $M^{-K} : \langle \Gamma^{-K} \vdash_3 \prod_{i=1}^p T_i \rangle$ . By rule ( $\sqsubseteq$ ),  $\forall i \in \{1, \dots, p\}. M^{-K} : \langle \Gamma^{-K} \vdash_3 T_i \rangle$ . Using Lemma A.5.1 and by induction on  $K$ , we can prove that  $x^{K'} \notin \text{fv}(M^{-K})$ . So by Theorem 2.3.2a,  $x^{K'} \notin \text{dom}(\Gamma^{-K})$ . So by rule ( $\rightarrow'$ ),  $\lambda x^{K'}.M^{-K} : \langle \Gamma^{-K} \vdash_3 \omega^{K'} \rightarrow T_i \rangle$ . By rule ( $\omega$ ),  $N^{-K} : \langle \text{env}_{N^{-K}}^\emptyset \vdash_3 \omega^{K'} \rangle$  and  $N : \langle \text{env}_N^\emptyset \vdash_3 \omega^L \rangle$ . By Theorem 2.3.2,  $\text{deg}(\text{env}_N^\emptyset) \succeq \text{deg}(N) = L$ . By Lemma 2.5.3,  $\Gamma \diamond \text{env}_N^\emptyset$ . By Lemma A.12.4,  $\Gamma^{-K} \diamond \text{env}_{N^{-K}}^\emptyset$ . By rule ( $\rightarrow_\epsilon$ ),  $\forall i \in \{1, \dots, p\}. (\lambda x^{K'}.M^{-K})N^{-K} : \langle \Gamma^{-K} \prod \text{env}_{N^{-K}}^\emptyset \vdash_3 T_i \rangle$ . Finally by rules ( $\prod_1$ ) and ( $\text{exp}$ ),  $(\lambda x^L.M)N : \langle \Gamma \prod \text{env}_N^\emptyset \vdash_3 U \rangle$ , so  $(\lambda x^L.M)N : \langle \Gamma \uparrow^{\bar{i}x} \vdash_3 U \rangle$ .  $\square$

Next, we give the main block for the proof of subject  $\beta$ -expansion.

**Theorem A.3.** If  $N : \langle \Gamma \vdash_3 U \rangle$  and  $M \rightarrow_\beta N$  then  $M : \langle \Gamma \uparrow^M \vdash_3 U \rangle$ .

**Proof:**

[Proof of Lemma A.3] By induction on the derivation  $N : \langle \Gamma \vdash_3 U \rangle$  and then by case on the last rule of the derivation.

- Case (ax): Let  $\overline{x^\emptyset : \langle (x^\emptyset : T) \vdash_3 T \rangle}$  and  $M \rightarrow_\beta x^\emptyset$ .

Then  $M = (\lambda y^K.M_1)M_2$ , and  $x^\emptyset = M_1[y^K := M_2]$ . Because  $M \in \mathcal{M}_3$  then  $K \succeq \text{deg}(M_1)$ . By Lemma A.19,  $M : \langle (x^\emptyset : T) \uparrow^M \vdash_3 T \rangle$ .

- Case ( $\omega$ ): Let  $N : \langle \text{env}_N^\emptyset \vdash_3 \omega^{\text{deg}(N)} \rangle$  and  $M \rightarrow_\beta N$ .

By Theorem 2.1.2,  $\text{fv}(N) \subseteq \text{fv}(M)$  and  $\text{deg}(M) = \text{deg}(N)$ . We have  $(\text{env}_N^\emptyset) \uparrow^M = \text{env}_M^\emptyset$ . By rule ( $\omega$ ),  $M : \langle \text{env}_M^\emptyset \vdash_3 \omega^{\text{deg}(M)} \rangle$ . Hence,  $M : \langle (\text{env}_N^\emptyset) \uparrow^M \vdash_3 \omega^{\text{deg}(N)} \rangle$ .

$$\overline{N : \langle \Gamma, x^L : U \vdash_3 T \rangle}$$

- Case ( $\rightarrow_1$ ): Let  $\lambda x^L.N : \langle \Gamma \vdash_3 U \rightarrow T \rangle$  and  $M \rightarrow_\beta \lambda x^L.N$ .

We have two cases:

- If  $M = \lambda x.M'$  where  $M' \rightarrow_\beta N$  then by IH,  $M' : \langle (\Gamma, (x^L : U)) \uparrow^{M'} \vdash_3 T \rangle$ . Since by Theorem 2.1.2 and Theorem 2.3.2a,  $x^L \in \text{fv}(N) \subseteq \text{fv}(M')$  then we have  $(\Gamma, (x^L : U)) \uparrow^{\text{fv}(M')} = \Gamma \uparrow^{\text{fv}(M') \setminus \{x^L\}}, (x^L : U)$  and  $\Gamma \uparrow^{\text{fv}(M') \setminus \{x^L\}} = \Gamma \uparrow^{\lambda x^L.M'}$ . Hence,  $M' : \langle \Gamma \uparrow^{\lambda x^L.M'}, (x^L : U) \vdash_3 T \rangle$  and finally, by rule ( $\rightarrow_1$ ),  $\lambda x^L.M' : \langle \Gamma \uparrow^{\lambda x^L.M'} \vdash_3 U \rightarrow T \rangle$ .
- If  $M = (\lambda y^K.M_1)M_2$  and  $\lambda x^L.N = M_1[y^K := M_2]$  then, because  $M \in \mathcal{M}_3$  then  $K \succeq \text{deg}(M_1)$ , and by Lemma A.19, because  $M_1[y^K := M_2] : \langle \Gamma \vdash_3 U \rightarrow T \rangle$ , we have  $(\lambda y^K.M_1)M_2 : \langle \Gamma \uparrow^{(\lambda y^K.M_1)M_2} \vdash_3 U \rightarrow T \rangle$ .

$$\overline{N : \langle \Gamma \vdash_3 T \rangle \quad x^L \notin \text{dom}(\Gamma)}$$

- Case ( $\rightarrow'_1$ ): Let  $\lambda x^L.N : \langle \Gamma \vdash_3 \omega^L \rightarrow T \rangle$  and  $M \rightarrow_\beta N$ .

Then this case is similar to the above case.

- Case ( $\rightarrow_E$ ): Let  $\frac{N_1 : \langle \Gamma_1 \vdash_3 U \rightarrow T \rangle \quad N_2 : \langle \Gamma_2 \vdash_3 U \rangle \quad \Gamma_1 \diamond \Gamma_2}{N_1 N_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle}$  and  $M \rightarrow_\beta N_1 N_2$ .

We have three cases:

- $M = M_1 N_2$  where  $M_1 \rightarrow_\beta N_1$  and  $M_1 \diamond N_2$  using Lemma A.1. By IH,  $M_1 : \langle \Gamma_1 \uparrow^{M_1} \vdash_3 U \rightarrow T \rangle$ . It is easy to show that  $(\Gamma_1 \sqcap \Gamma_2) \uparrow^{M_1 N_2} = \Gamma_1 \uparrow^{M_1} \sqcap \Gamma_2$ . Since  $M_1 \diamond N_2$ , by Lemma 2.5.3,  $\Gamma_1 \uparrow^{M_1} \diamond \Gamma_2$ . Finally, use rule ( $\rightarrow_E$ ).
- $M = N_1 M_2$  where  $M_2 \rightarrow_\beta N_2$ . Similar to the above case.
- If  $M = (\lambda x^L. M_1) M_2$  and  $N_1 N_2 = M_1[x^L := M_2]$  then, because  $M \in \mathcal{M}_3$  then  $L \preceq \text{deg}(M_1)$ , and by Lemma A.19,  $(\lambda x^L. M_1) M_2 : \langle (\Gamma_1 \sqcap \Gamma_2) \uparrow^{(\lambda x^L. M_1) M_2} \vdash_3 T \rangle$ .

- Case ( $\sqcap$ ): Let  $\frac{N : \langle \Gamma \vdash_3 U_1 \rangle \quad N : \langle \Gamma \vdash_3 U_2 \rangle}{N : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle}$  and  $M \rightarrow_\beta N$ .

Then use IH.

- Case (exp): Let  $\frac{N : \langle \Gamma \vdash_3 U \rangle}{N^{+j} : \langle e_j \Gamma \vdash_3 e_j U \rangle}$ .  
By Lemma A.5.8 then there is  $P \in \mathcal{M}_3$  such that  $M = P^{+j}$  and  $P \rightarrow_\beta N$ . By IH,  $P : \langle \Gamma \uparrow^P \vdash_3 U \rangle$  and by rule (exp),  $M : \langle (e_j \Gamma) \uparrow^M \vdash_3 e_j U \rangle$  (it is easy to prove that  $e_j(\Gamma \uparrow^P) = (e_j \Gamma) \uparrow^M$ ).

- Case ( $\sqsubseteq$ ): Let  $\frac{N : \langle \Gamma \vdash_3 U \rangle \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{N : \langle \Gamma' \vdash_3 U' \rangle}$  and  $M \rightarrow_\beta N$ .

By Lemma 2.4.3,  $\Gamma' \sqsubseteq \Gamma$  and  $U \sqsubseteq U'$ . It is easy to show that  $\Gamma' \uparrow^M \sqsubseteq \Gamma \uparrow^M$  and hence by Lemma 2.4.3,  $\Gamma \uparrow^M \vdash_3 U \sqsubseteq \Gamma' \uparrow^M \vdash_3 U'$ . By IH,  $M : \langle \Gamma \uparrow^M \vdash_3 U \rangle$ . Hence, by rule ( $\sqsubseteq$ ), we have  $M : \langle \Gamma' \uparrow^M \vdash_3 U' \rangle$ . □

### Proof:

[Proof of Theorem 2.5] By induction on the length of the derivation  $M \rightarrow_\beta^* N$  using Theorem A.3 and the fact that if  $\text{fv}(P) \subseteq \text{fv}(Q)$  then  $(\Gamma \uparrow^P) \uparrow^Q = \Gamma \uparrow^Q$ . □

## B. Realisability semantics and their completeness (Sec. 3)

### B.1. Realisability (Sec. 3.1)

#### Proof:

[Proof of Lemma 3.1]

1. easy.
2. If  $M \rightarrow_r^* N^+$  where  $N \in \overline{M}$ , then, by Lemma 2.1.1, Lemma A.3.1 and Lemma A.4.3,  $M = P^+$  and  $P \rightarrow_\beta N$ . Because  $\overline{M} \in \text{SAT}^r$ ,  $P \in \overline{M}$  and so  $P^+ = M \in \overline{M}^+$ .
3. If  $M \rightarrow_r^* N^{+i}$  where  $N \in \overline{M}$ , then by Lemma A.5.8,  $M = P^{+i}$  such that  $P \in \mathcal{M}_3$  and  $P \rightarrow_r N$ . Because  $\overline{M} \in \text{SAT}^r$ ,  $P \in \overline{M}$  and so  $P^{+i} = M \in \overline{M}^{+i}$ .

4. Let  $i \in \{1, 2, 3\}$ ,  $M \in \overline{M}_1 \rightsquigarrow \overline{M}_2$  and  $N \rightarrow_r^* M$ . If  $P \in \overline{M}_1$  such that  $P \diamond N$  then by Lemma A.2.1,  $P \diamond M$ . So, by definition,  $MP \in \overline{M}_2$ . Because  $\overline{M}_2 \subseteq \mathcal{M}_i$  then  $MP \in \mathcal{M}_i$ . In case  $i = 3$ , because  $MP \in \mathcal{M}_3$ ,  $\deg(M) \preceq \deg(P)$  and by Lemma 2.1,  $\deg(M) = \deg(N)$ . So  $NP \in \mathcal{M}_i$  and  $NP \rightarrow_r^* MP$ . Because  $MP \in \overline{M}_2$  and  $\overline{M}_2 \in \text{SAT}^r$  then  $NP \in \overline{M}_2$ . Hence,  $N \in \overline{M}_1 \rightsquigarrow \overline{M}_2$ .
5. Let  $M \in (\overline{M}_1 \rightsquigarrow \overline{M}_2)^+$  then  $M = N^+$  and  $N \in \overline{M}_1 \rightsquigarrow \overline{M}_2$ . If  $P \in \overline{M}_1^+$  such that  $M \diamond P$  then  $P = Q^+$ ,  $Q \in \overline{M}_1$  and  $MP = N^+Q^+ = (NQ)^+$ . By Lemma A.3.1(c)i,  $N \diamond Q$  and hence  $NQ \in \overline{M}_2$  and  $MP \in \overline{M}_2^+$ . Thus  $M \in \overline{M}_1^+ \rightsquigarrow \overline{M}_2^+$ .
6. Let  $M \in (\overline{M}_1 \rightsquigarrow \overline{M}_2)^{+i}$  then  $M = N^{+i}$  and  $N \in \overline{M}_1 \rightsquigarrow \overline{M}_2$ . Let  $P \in \overline{M}_1^{+i}$  such that  $M \diamond P$ . Then  $P = Q^{+i}$  such that  $Q \in \overline{M}_1$ . Because  $M \diamond P$  then by Lemma A.5.2,  $N \diamond Q$ . So  $NQ \in \overline{M}_2$ . Because  $\overline{M}_2 \subseteq \mathcal{M}_3$  then  $NQ \in \mathcal{M}_3$ . Because  $(NQ)^{+i} = N^{+i}Q^{+i} = MP$  then  $MP \in \overline{M}_2^{+i}$ . Hence,  $M \in \overline{M}_1^{+i} \rightsquigarrow \overline{M}_2^{+i}$ .
7. let  $M \in \overline{M}_1^+ \rightsquigarrow \overline{M}_2^+$ . Because  $\overline{M}_1^+ \wr \overline{M}_2^+$  then there is  $N \in \overline{M}_1^+$  such that  $M \diamond N$ . We have  $MN \in \overline{M}_2^+$  then  $MN = P^+$  where  $P \in \overline{M}_2$ . Hence,  $M = M_1^+$ . Let  $N_1 \in \overline{M}_1$  such that  $M_1 \diamond N_1$ . We have  $N_1^+ \in \overline{M}_1^+$ . By Lemma A.3.1(c)i,  $M \diamond N_1^+$  and we have  $(M_1N_1)^+ = M_1^+N_1^+ \in \overline{M}_2^+$ . Hence  $M_1N_1 \in \overline{M}_2$ . Thus  $M_1 \in \overline{M}_1 \rightsquigarrow \overline{M}_2$  and  $M = M_1^+ \in (\overline{M}_1 \rightsquigarrow \overline{M}_2)^+$ .
8. Let  $M \in \overline{M}_1^{+i} \rightsquigarrow \overline{M}_2^{+i}$  such that  $\overline{M}_1^{+i} \wr \overline{M}_2^{+i}$ . By hypothesis, there exists  $P \in \overline{M}_1^{+i}$  such that  $M \diamond P$ . Then  $MP \in \overline{M}_2^{+i}$ . Hence  $MP = Q^{+i}$  such that  $Q \in \overline{M}_2$ . Because  $\overline{M}_2 \subseteq \mathcal{M}_3$  then  $Q \in \mathcal{M}_3$  and by Lemma A.5.1,  $MP \in \mathcal{M}_3$ . Hence by definition  $M \in \mathcal{M}_3$  and by Lemma A.5.1,  $\deg(M) = \deg(Q^{+i}) = i :: \deg(Q)$ . So by Lemma A.5.7, there exists  $M_1 \in \mathcal{M}_3$  such that  $M = M_1^{+i}$ . Let  $N_1 \in \overline{M}_1$  such that  $M_1 \diamond N_1$ . By definition  $N_1^{+i} \in \overline{M}_1^{+i}$  and by Lemma A.5.2,  $M \diamond N_1^{+i}$ , i.e.,  $M_1^{+i} \diamond N_1^{+i}$ . So,  $MN_1^{+i} \in \overline{M}_2^{+i}$ . Hence,  $M_1N_1 \in \overline{M}_2$ . Thus,  $M_1 \in \overline{M}_1 \rightsquigarrow \overline{M}_2$  and  $M = M_1^{+i} \in (\overline{M}_1 \rightsquigarrow \overline{M}_2)^{+i}$ .
9. If  $M \rightarrow_\beta^* N$  and  $N \in \mathbb{M} \cap \mathcal{M}_2^n$  then by Lemma 2.1.2,  $M \in \mathbb{M} \cap \mathcal{M}_2^n$ .

□

**Proof:**

[Proof of Lemma 3.2]

1. 1a. By induction on  $U$  using Lemma 3.1.
- 1b. We prove  $\forall x \in \text{Var}_1. \text{VAR}_x^L \subseteq \mathcal{I}(U) \subseteq \mathcal{M}_3^L$  by induction on  $U$ . Case  $U = a$ : by definition. Case  $U = \omega^L$ : We have  $\forall x \in \text{Var}_1. \text{VAR}_x^L \subseteq \mathcal{M}_3^L \subseteq \mathcal{M}_3^L$ . Case  $U = U_1 \sqcap U_2$  (resp.  $U = \mathbf{e}_i V$ ): use IH since  $\deg(U_1) = \deg(U_2)$  (resp.  $\deg(U) = i :: \deg(V)$ ),  $\forall x \in \text{Var}_1. (\text{VAR}_x^K)^{+i} = \text{VAR}_x^{i::K}$  and  $(\mathcal{M}_3^K)^{+i} = \mathcal{M}_3^{i::K}$ . Case  $U = V \rightarrow T$ : by definition,  $K = \deg(V) \succeq \deg(T) = \emptyset$ .
  - Let  $x \in \text{Var}_1, N_1, \dots, N_k \in \mathcal{M}_3$  such that  $(\forall i \in \{1, \dots, k\}. \deg(N_i) \succeq \emptyset)$ , and  $\diamond\{x^\emptyset, N_1, \dots, N_k\}$ . Let  $N \in \mathcal{I}(V)$  such that  $(x^\emptyset N_1 \dots N_k) \diamond N$ . By IH,  $N \in \mathcal{M}_3^K$  and  $\deg(N) = K \succeq \emptyset$ . Again, by IH,  $x^\emptyset N_1 \dots N_k N \in \mathcal{I}(T)$ . Thus  $x^\emptyset N_1 \dots N_k \in \mathcal{I}(V \rightarrow T)$ .

- Let  $M \in \mathcal{I}(V \rightarrow T)$ . Let  $x \in \text{Var}_1$  such that  $\forall L. x^L \notin \text{fv}(M)$ . By IH,  $x^K \in \mathcal{I}(V)$  then  $Mx^K \in \mathcal{I}(T)$  and, by IH,  $\deg(Mx^K) = \circ$  (using Lemma A.11.1). Thus  $\deg(M) = \circ$ .
- 1c By definition,  $x^n \in \text{VAR}_x^n$ . We prove  $\text{VAR}_x^n \subseteq \mathcal{I}(U) \subseteq \mathbb{M}^n$  by induction on  $U \in \text{GITy}$ . Case  $U = a$ : by definition. Case  $U = U \sqcap V$  (resp.  $U = eU'$ ): use IH since by Lemma 2.3,  $U, V \in \text{GITy}$  and  $\deg(U) = \deg(V)$  (resp.  $U' \in \text{GITy}$ ,  $\deg(U) = \deg(U') + 1$ ,  $(\text{VAR}_x^n)^+ = \text{VAR}_x^{n+1}$  and  $(\mathcal{M}_2^n)^+ = \mathcal{M}_2^{n+1}$ ). Case  $U = U \rightarrow T$ : Lemma 2.3,  $U, T \in \text{GITy}$  and  $m = \deg(U) \geq \deg(T) = n$ .
- Let  $x^n N_1 \dots N_k \in \mathcal{M}_2$  and  $N \in \mathcal{I}(U)$  such that  $(x^n N_1 \dots N_k) \diamond N$ . By IH,  $\deg(N) = m \geq n$  and  $N \in \mathbb{M}^m$ . Therefore  $N \in \mathcal{M}_2$ . We have  $x^n N_1 \dots N_k N \in \mathcal{M}_2$ . Hence,  $x^n N_1 \dots N_k N \in \text{VAR}_x^n$ . By IH,  $x^n N_1 \dots N_k N \in \mathcal{I}(T)$ . Thus  $x^n N_1 \dots N_k \in \mathcal{I}(U \rightarrow T)$ .
  - Let  $M \in \mathcal{I}(U \rightarrow T)$ . Let  $x \in \text{Var}_1$  such that  $\forall p. x^p \notin \text{fv}(M)$ . Hence,  $M \diamond x^m$ . By IH,  $x^m \in \mathcal{I}(U)$ . Then  $Mx^m \in \mathcal{I}(T)$ , and so by IH  $Mx^m \in \mathbb{M}^n$ . By Lemma 2.2,  $M \in \mathbb{M}$  and  $\deg(M) \leq m$ . Since  $\deg(Mx^m) = \min(\deg(M), m) = n$ ,  $\deg(M) = n$  and so  $M \in \mathbb{M}^n$ .

2. By induction of the derivation  $U \sqsubseteq V$ .

□

### Proof:

[Proof of Lemma 3.3]

- Case  $\vdash_1 / \vdash_2$ : Let  $i \in \{1, 2\}$ . We prove the result by induction on the derivation of  $M : \langle (x_i^{n_i} : U_i)_n \vdash_i U \rangle$  and then by case on the last rule of the derivation. First note, by Theorem 2.3 and Lemma 3.2.1c,  $M \in \mathcal{M}_2$ ,  $\forall i \in \{1, \dots, n\}. U_i \in \text{GITy} \wedge \deg(U_i) = n_i \wedge N_i \in \mathbb{M}^{n_i}$ , and  $\forall V \in \text{GITy} \cap \Pi\text{Ty}_1. \mathcal{I}(V) \neq \emptyset$ . By Lemma A.1.5a,  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{M}_2$ .

$\frac{T \in \text{GITy} \quad \deg(T) = n}{T \in \text{GITy}}$

– Case (ax) of  $\vdash_1$ : Let  $x^n : \langle (x^n : T) \vdash_1 T \rangle$  and  $N_1 \in \mathcal{I}(T)$ .  
Then  $x^n[x^n := N_1] = N_1 \in \mathcal{I}(T)$ .

$\frac{T \in \text{GITy}}{T \in \text{GITy}}$

– Case (ax) of  $\vdash_2$ : Let  $x^0 : \langle (x^0 : T) \vdash_2 T \rangle$  and  $N_1 \in \mathcal{I}(T)$ .  
Then  $x^0[x^0 := N_1] = N_1 \in \mathcal{I}(T)$ .

$\frac{M : \langle (x_i^{n_i} : U_i)_n, (x^m : U) \vdash_i T \rangle}{M : \langle (x_i^{n_i} : U_i)_n, (x^m : U) \vdash_i T \rangle}$

– Case ( $\rightarrow$ ): Let  $\lambda x^m. M : \langle (x_i^{n_i} : U_i)_n \vdash_i U \rightarrow T \rangle$ .

We take  $\forall i \in \{1, \dots, n\}. N_i \in \mathcal{I}(U_i) \wedge \forall m'. x^{m'} \notin \text{fv}(N_i)$ . By Theorem 2.3,  $U, T \in \text{GITy}$  and  $\deg(U) = m$ . Let  $N \in \mathcal{I}(U)$  such that  $(\lambda x^m. M)[(x_i^{n_i} := N_i)_n] \diamond N$ . By Lemma 3.2,  $N \in \mathbb{M}^m$ . Since  $(\lambda x^m. M[(x_i^{n_i} := N_i)_n]) \diamond N$ , by Lemma A.1,  $M[(x_i^{n_i} := N_i)_n] \diamond N$  and  $M[(x_i^{n_i} := N_i)_n][x^m := N] = M[(x_i^{n_i} := N_i)_n, x^m := N] \in \mathcal{M}_2$ . Hence, by IH,  $M[(x_i^{n_i} := N_i)_n, x^m := N] \in \mathcal{I}(T)$  and  $(\lambda x^m. M[(x_1^{n_1} := N_1)_n])N \rightarrow_\beta M[(x_i^{n_i} := N_i)_n, x^m := N] \in \mathcal{I}(T)$ . Since, by Lemma 3.2,  $\mathcal{I}(T)$  is  $\beta$ -saturated then  $(\lambda x^m. M[(x_1^{n_1} := N_1)_n])N \in \mathcal{I}(T)$  and hence  $\lambda x^m. M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(U) \rightsquigarrow \mathcal{I}(T) = \mathcal{I}(U \rightarrow T)$ .



- Case ( $\rightarrow_E$ ): Let 
$$\frac{M_1 : \langle \Gamma_1 \vdash_i U \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_i U \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_i T \rangle} .$$
- Let  $\Gamma_1 = (x_i^{n_i} : U_i)_n, (y_j^{m_j} : V_j)_m, \Gamma_2 = (x_i^{n_i} : U'_i)_n, (z_k^{p_k} : W_k)_p$  and  $\Gamma_1 \sqcap \Gamma_2 = (x_i^{n_i} : U_i \sqcap U'_i)_n, (y_j^{m_j} : V_j)_m, (z_k^{p_k} : W_k)_p$ . Let  $\forall i \in \{1, \dots, n\}$ .  $P_i \in \mathcal{I}(U_i \sqcap U'_i)$ ,  $\forall j \in \{1, \dots, m\}$ .  $Q_j \in \mathcal{I}(V_j)$  and  $\forall k \in \{1, \dots, r\}$ .  $R_k \in \mathcal{I}(W_k)$  where  $(M_1 M_2)[(x_i^{n_i} := P_i)_n, (y_j^{m_j} := Q_j)_m, (z_k^{p_k} := R_k)_p] \in \mathcal{M}_2$ . Let  $N_1 = M_1[(x_i^{n_i} := P_i)_n, (y_j^{m_j} := Q_j)_m]$  and  $N_2 = M_2[(x_i^{n_i} := P_i)_n, (z_k^{p_k} := R_k)_p]$ . By Theorem 2.3.2a,  $\text{fv}(M_1) = \text{dom}(\Gamma_1)$  and  $\text{fv}(M_2) = \text{dom}(\Gamma_2)$ . Hence,  $(M_1 M_2)[(x_i^{n_i} := P_i)_n, (y_j^{m_j} := Q_j)_m, (z_k^{p_k} := R_k)_p] = N_1 N_2$ . By Lemma A.1,  $N_1 \in \mathcal{M}_2, N_2 \in \mathcal{M}_2$ , and  $N_1 \diamond N_2$ . By IH,  $N_1 \in \mathcal{I}(U) \rightsquigarrow \mathcal{I}(T)$  and  $N_2 \in \mathcal{I}(U)$ . Hence,  $N_1 N_2 = (M_1 M_2)[(x_i^{n_i} := P_i)_n, (y_j^{m_j} := Q_j)_m, (z_k^{p_k} := R_k)_p] \in \mathcal{I}(T)$ .
- Case ( $\sqcap$ ): Let 
$$\frac{M : \langle (x_i^{n_i} : U_i)_n \vdash_i U \rangle \quad M : \langle (x_i^{n_i} : V_i)_n \vdash_i V \rangle}{M : \langle (x_i^{n_i} : U_i \sqcap V_i)_n \vdash_i U \sqcap V \rangle} \quad (\text{note the use Theorem 2.3.2a}).$$
- We have,  $\forall i \in \{1, \dots, n\}$ .  $N_i \in \mathcal{I}(U_i \sqcap V_i) = \mathcal{I}(U_i) \cap \mathcal{I}(V_i)$  By IH,  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(U)$  and  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(V)$ . Hence,  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(U \sqcap V)$ .
- Case (exp): Let 
$$\frac{M : \langle (x_i^{n_i} : T_i)_n \vdash_i U \rangle}{M^+ : \langle (x_i^{n_i+1} : eT_i)_n \vdash_i eU \rangle} .$$
- Let  $\forall i \in \{1, \dots, n\}$ .  $N_i \in \mathcal{I}(eT_i) = \mathcal{I}(T_i)^+$  where  $M^+[(x_i^{n_i+1} := N_i)_n] \in \mathcal{M}_2$ . Then  $\forall i \in \{1, \dots, n\}$ .  $N_i = P_i^+ \wedge P_i \in \mathcal{I}(T_i)$ . By Lemma A.3.1(c)i,  $\diamond\{M, P_1, \dots, P_n\}$ . By IH,  $M[(x_i^{n_i} := P_i)_n] \in \mathcal{I}(U)$ . Hence, by lemma A.3.2,  $M^+[(x_i^{n_i+1} := P_i^+)_n] = (M[(x_i^{n_i} := P_i)_n])^+ \in \mathcal{I}(U)^+ = \mathcal{I}(eU)$ .
- Case ( $\sqsubseteq$ ): Let 
$$\frac{M : \Gamma \vdash_2 U \quad \Gamma \vdash_2 U \sqsubseteq \Gamma' \vdash_2 U'}{M : \Gamma' \vdash_2 U'} .$$
- By Lemma 2.4, we have  $\Gamma = (x_i^{n_i} : U_i)_n$  and  $\Gamma' = (x_i^{n_i} : U'_i)_n$ , where  $\forall i \in \{1, \dots, n\}$ .  $U'_i \sqsubseteq U_i$ , and  $U \sqsubseteq U'$ . By Lemma 3.2.2,  $\forall i \in \{1, \dots, n\}$ .  $N_i \in \mathcal{I}(U_i)$ . By IH,  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(U')$ . By Lemma 3.2.2,  $M[(x_i^{n_i} := N_i)_n] \in \mathcal{I}(U)$ .
- Case  $\vdash_3$ : We prove the result by induction on the derivation  $M : \langle (x_j^{L_j} : U_j)_n \vdash_3 U \rangle$  and then by case on the last rule of the derivation. First note, by Theorem 2.3 and Lemma 3.2.1b,  $M \in \mathcal{M}_3$ ,  $\forall j \in \{1, \dots, n\}$ .  $\text{deg}(U_j) = L_j \wedge N_j \in \mathcal{M}_3^{L_j}$ , and  $\forall V \in \text{ITy}_3$ .  $\mathcal{I}(V) \neq \emptyset$ . By Lemma A.1.5a,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{M}_3$ .
    - Case (ax): Let 
$$\overline{x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle} .$$

Let  $N \in \mathcal{I}(T)$  then  $x^\circ[x^\circ := N] = N \in \mathcal{I}(T)$ .
    - Case ( $\omega$ ): Let 
$$\overline{M : \langle \text{env}_M^\circ \vdash_3 \omega^{\text{deg}(M)} \rangle} .$$

Let  $\text{env}_M^\circ = (x_j^{L_j} : \omega^{L_j})_n$  so  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$ . By Lemma A.1.5,  $\text{deg}(M[(x_j^{L_j} := N_j)_n]) = \text{deg}(M)$  and  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{M}_3^{\text{deg}(M)} = \mathcal{I}(\omega^{\text{deg}(M)})$ .

$$\overline{M : \langle (x_j^{L_j} : U_j)_n, (x^K : V) \vdash_3 T \rangle}$$
    - Case ( $\rightarrow_1$ ): Let  $\lambda x^K . M : \langle (x_j^{L_j} : U_j)_n \vdash_3 V \rightarrow T \rangle$  such that  $\forall K'$ .  $\forall j \in \{1, \dots, n\}$ .  $x^{K'} \notin \text{fv}(N_j)$ .

We have,  $(\lambda x^K.M)[(x_j^{L_j} := N_j)_n] = \lambda x^K.M[(x_j^{L_j} := N_j)_n]$ . Let  $N \in \mathcal{I}(V)$  such that  $(\lambda x^K.M)[(x_j^{L_j} := N_j)_n] \diamond N$ . By Theorem 2.3.2,  $\deg(V) = K$ . Because  $N \in \mathcal{I}(V)$  and by Lemma 3.2.1,  $\mathcal{I}(V) \subseteq \mathcal{M}_3^K$ , we have  $\deg(N) = K$ . By Lemma A.1.2 and Lemma A.1.5,  $M[(x_j^{L_j} := N_j)_n] \diamond N$  and  $M[(x_j^{L_j} := N_j)_n][x^K := N] = M[(x_j^{L_j} := N_j)_n, x^K := N] \in \mathcal{M}_3$ . Hence,  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \in \mathcal{M}_3$  and  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \rightarrow_r M[(x_j^{L_j} := N_j)_n, (x^K := N)]$ . By IH,  $M[(x_j^{L_j} := N_j)_n, (x^K := N)] \in \mathcal{I}(T)$ . Because, by Lemma 3.2.1,  $\mathcal{I}(T)$  is  $r$ -saturated then  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \in \mathcal{I}(T)$  and finally  $\lambda x^K.M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(V) \rightsquigarrow \mathcal{I}(T) = \mathcal{I}(V \rightarrow T)$ .

$$\frac{M : \langle (x_j^{L_j} : U_j)_n \vdash_3 T \rangle \quad x^K \notin \text{dom}((x_j^{L_j} : U_j)_n)}{\lambda x^K.M : \langle (x_j^{L_j} : U_j)_n \vdash_3 \omega^K \rightarrow T \rangle} \quad \text{such that } \forall K'. \forall j \in \{1, \dots, n\}. x^{K'} \notin \text{fv}(N_j).$$

- Case ( $\rightarrow'$ ): Let  $\lambda x^K.M : \langle (x_j^{L_j} : U_j)_n \vdash_3 \omega^K \rightarrow T \rangle$  such that  $\forall K'. \forall j \in \{1, \dots, n\}. x^{K'} \notin \text{fv}(N_j)$ .

Let  $N \in \mathcal{I}(\omega^K) = \mathcal{M}_3^L$  such that  $(\lambda x^K.M)[(x_j^{L_j} := N_j)_n] \diamond N$ . By Theorem 2.3.2a,  $x^K \notin \text{fv}(M)$ . We have,  $(\lambda x^K.M)[(x_j^{L_j} := N_j)_n] = \lambda x^K.M[(x_j^{L_j} := N_j)_n]$ . Because  $N \in \mathcal{I}(\omega^K) = \mathcal{M}_3^K$ , by Lemma 3.2.1,  $\deg(N) = K$ . By Lemma A.1.2 and Lemma A.1.5,  $M[(x_j^{L_j} := N_j)_n] \diamond N$  and  $M[(x_j^{L_j} := N_j)_n][x^K := N] = M[(x_j^{L_j} := N_j)_n, x^K := N] = M[(x_j^{L_j} := N_j)_n] \in \mathcal{M}_3$ . Hence,  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \in \mathcal{M}_3$  and  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \rightarrow_r M[(x_j^{L_j} := N_j)_n, (x^K := N)]$ . By IH,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(T)$ . Because, by Lemma 3.2.1,  $\mathcal{I}(T)$  is  $r$ -saturated then  $(\lambda x^K.M[(x_j^{L_j} := N_j)_n])N \in \mathcal{I}(T)$  and so  $\lambda x^K.M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(\omega^K) \rightsquigarrow \mathcal{I}(T) = \mathcal{I}(\omega^K \rightarrow T)$ .

$$\frac{M_1 : \langle \Gamma_1 \vdash_3 V \rightarrow T \rangle \quad M_2 : \langle \Gamma_2 \vdash_3 V \rangle \quad \Gamma_1 \diamond \Gamma_2}{M_1 M_2 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle} .$$

- Case ( $\rightarrow_E$ ): Let

Let  $\Gamma_1 = (x_j^{L_j} : U_j)_n, (y_j^{K_j} : V_j)_m, \Gamma_2 = (x_j^{L_j} : U'_j)_n, (z_j^{K'_j} : W_j)_p$  such that  $\text{dj}(\{y_1^{K_1}, \dots, y_m^{K_m}\}, \{z_1^{K'_1}, \dots, z_p^{K'_p}\}) = \emptyset$  and  $\Gamma_1 \sqcap \Gamma_2 = (x_j^{L_j} : U_j \sqcap U'_j)_n, (y_j^{K_j} : V_j)_m, (z_j^{K'_j} : W_j)_p$ . Let  $\forall j \in \{1, \dots, n\}. P_j \in \mathcal{I}(U_j \sqcap U'_j), \forall j \in \{1, \dots, m\}. Q_j \in \mathcal{I}(V_j)$ , and  $\forall j \in \{1, \dots, p\}. R_j \in \mathcal{I}(W_j)$ . Therefore,  $\forall j \in \{1, \dots, n\}. P_j \in \mathcal{I}(U_j) \cap \mathcal{I}(U'_j)$ . By hypothesis,  $(M_1 M_2)[(x_j^{L_j} := P_j)_n, (y_j^{K_j} := Q_j)_m, (z_j^{K'_j} := R_j)_p] = N_1 N_2 \in \mathcal{M}_3$  where using Theorem 2.3,  $N_1 = M_1[(x_j^{L_j} := P_j)_n, (y_j^{K_j} := Q_j)_m] \in \mathcal{M}_3$  and  $N_2 = M_2[(x_j^{L_j} := P_j)_n, (z_j^{K'_j} := R_j)_p] \in \mathcal{M}_3$  and  $N_1 \diamond N_2$ . By IH,  $N_1 \in \mathcal{I}(V) \rightsquigarrow \mathcal{I}(T)$  and  $N_2 \in \mathcal{I}(V)$ . Hence,  $N_1 N_2 \in \mathcal{I}(T)$ .

$$\frac{M : \langle (x_j^{L_j} : U_j)_n \vdash_3 V_1 \rangle \quad M : \langle (x_j^{L_j} : U_j)_n \vdash_3 V_2 \rangle}{M : \langle (x_j^{L_j} : U_j)_n \vdash_3 V_1 \sqcap V_2 \rangle} .$$

- Case ( $\sqcap$ ): Let

By IH,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(V_1)$  and  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(V_2)$ . Hence,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(V_1 \sqcap V_2)$ .

$$\frac{M : \langle (x_k^{L_k} : U_k)_n \vdash_3 U \rangle}{M^{+j} : \langle (x_k^{j::L_k} : e_j U_k)_n \vdash_3 e_j U \rangle} .$$

- Case (exp): Let  $M^{+j} : \langle (x_k^{j::L_k} : e_j U_k)_n \vdash_3 e_j U \rangle$ .

We take,  $\forall k \in \{1, \dots, n\}$ .  $N_k \in \mathcal{I}(e_j U_k) = \mathcal{I}(U_k)^{+j}$ . Then  $\forall k \in \{1, \dots, n\}$ .  $N_k = P_k^{+j} \wedge P_k \in \mathcal{I}(U_k)$ . By Lemma 3.2.1b,  $\forall k \in \{1, \dots, n\}$ .  $P_k \in \mathcal{M}_3^{L_k}$ . By Lemma A.5.3,  $\diamond\{M\} \cup \{P_k \mid k \in \{1, \dots, n\}\}$ . By Lemma A.1.5,  $M[(x_k^{L_k} := P_k)_n] \in \mathcal{M}_3$ . By IH,  $M[(x_k^{L_k} := P_k)_n] \in \mathcal{I}(T)$ . Hence, by Lemma A.5.5,  $M^{+j}[(x_k^{j::L_k} := N_k)_n] = (M[(x_k^{L_k} := P_k)_n])^{+j} \in \mathcal{I}(U)^{+j} = \mathcal{I}(e_j U)$ .

$$\frac{M : \Gamma \vdash_3 U \quad \Gamma \vdash_3 U \sqsubseteq \Gamma' \vdash_3 U'}{M : \Gamma' \vdash_3 U'}$$

– Case ( $\sqsubseteq$ ): Let  $M : \Gamma' \vdash_3 U'$ .  
By Lemma 2.4, we have  $\Gamma' = (x_j^{L_j} : U'_j)_n$  and  $\Gamma = (x_j^{L_j} : U_j)_n$ , such that  $\forall j \in \{1, \dots, n\}$ .  $U'_j \sqsubseteq U_j$  and  $U \sqsubseteq U'$ . By Lemma 3.2.2,  $N_j \in \mathcal{I}(U_j)$  then, by IH,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(U)$  and, by Lemma 3.2.2,  $M[(x_j^{L_j} := N_j)_n] \in \mathcal{I}(U')$ . □

Next we give a lemma concerning reductions in  $\lambda I^N$  that will be used in the rest of the article.

- Lemma B.1.**
1. If  $M[y^{I_1} := x^{I_2}] \rightarrow_\beta N$  then  $M \rightarrow_\beta N'$  where  $N = N'[y^{I_1} := x^{I_2}]$ .
  2. If  $M[y^{I_1} := x^{I_2}]$  has a  $\beta$ -normal form then  $M$  has a  $\beta$ -normal form.
  3. Let  $k \geq 1$ . If  $Mx_1^{I_1} \dots x_k^{I_k}$  is normalisable then  $M$  is normalisable.
  4. Let  $k \geq 1$ ,  $i \in \{1, \dots, k\}$ ,  $l \geq 0$ ,  $x_i^{I_i} N_1 \dots N_l$  be in normal form and  $M$  be closed. If  $Mx_1^{I_1} \dots x_k^{I_k} \rightarrow_\beta^* x_i^{I_i} N_1 \dots N_l$  then for some  $m \geq i$  and  $n \leq l$ ,  $M \rightarrow_\beta^* \lambda x_1^{I_1} \dots \lambda x_m^{I_m} . x_i^{I_i} M_1 \dots M_n$  where  $n + k = m + l$ ,  $M_j \simeq_\beta N_j$  for every  $j \in \{1, \dots, n\}$  and  $N_{n+j} \simeq_\beta x_{m+j}^{I_{m+j}}$  for every  $j \in \{1, \dots, k - m\}$ .

**Proof:**

[Proof of Lemma B.1]

1. By induction on  $M[y^{I_1} := x^{I_2}] \rightarrow_\beta N$ .
2.  $M[y^{I_1} := x^{I_2}] \rightarrow_\beta^* P$  where  $P$  is in  $\beta$ -normal form. The proof is by induction on  $M[y^{I_1} := x^{I_2}] \rightarrow_\beta^* P$  using 1.
3. By induction on  $k \geq 1$ . We only prove the basic case. The proof is by cases.
  - If  $Mx_1^{I_1} \rightarrow_\beta^* M'x_1^{I_1}$  where  $M'x_1^{I_1}$  is in  $\beta$ -normal form and  $M \rightarrow_\beta^* M'$  then  $M'$  is in  $\beta$ -normal form and  $M$  is  $\beta$ -normalising.
  - If  $Mx_1^{I_1} \rightarrow_\beta^* (\lambda y^{I_1} . N)x_1^{I_1} \rightarrow_\beta N[y^{I_1} := x_1^{I_1}] \rightarrow_\beta^* P$  where  $P$  is in  $\beta$ -normal form and  $M \rightarrow_\beta^* \lambda y^{I_1} . N$  then by 2.,  $N$  has a  $\beta$ -normal form and so,  $\lambda y^{I_1} . N$  has a  $\beta$ -normal form. Hence,  $M$  has a  $\beta$ -normal form.
4. By 3.,  $M$  is normalisable, and, since  $M$  is closed, its normal form is as follows:  $\lambda x_1^{I_1} \dots \lambda x_m^{I_m} . z^I M_1 \dots M_n$  for  $n, m \geq 0$  and where each  $M_i$  is a normal form. Using Theorem 2.2,  $x_i^{I_i} N_1 \dots N_l \simeq_\beta (\lambda x_1^{I_1} \dots \lambda x_m^{I_m} . z^I M_1 \dots M_n)x_1^{I_1} \dots x_k^{I_k}$ . Hence  $m \leq k$  and  $x_i^{I_i} N_1 \dots N_l \simeq_\beta z^I M_1 \dots M_n x_{m+1}^{I_{m+1}} \dots x_k^{I_k}$ . Finally,  $z^I = x_i^{I_i}$ ,  $n \leq l$ ,  $i \leq m$ ,  $l = n + k - m$ ,  $\forall j \in \{1, \dots, n\}$ .  $M_j \simeq_\beta N_j$ , and  $\forall j \in \{1, \dots, k - m\}$ .  $N_{n+j} \simeq_\beta x_{m+j}^{I_{m+j}}$ .

□

**Proof:**

[Proof of Example 3.1]

1. Let  $y \in \text{Var}_2$  and take  $\overline{M} = \{M \in \mathbb{M}^0 \mid M \rightarrow_{\beta}^* y^0 \vee (k \geq 0 \wedge x \in \text{Var}_1 \wedge M \rightarrow_{\beta}^* x^0 N_1 \dots N_k)\}$ . The set  $\overline{M}$  is  $\beta$ -saturated and  $\forall x \in \text{Var}_1. \text{VAR}_x^{\circ} \subseteq \overline{M} \subseteq \mathbb{M}^0$ . Let  $\mathcal{I}$  be a  $\beta_1$ -interpretation such that  $\mathcal{I}(\mathbf{a}) = \mathcal{I}(\mathbf{b}) = \overline{M}$ . If  $M \in [(\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a}]_{\beta_1}$  then  $M$  is closed and  $M \in \overline{M} \rightsquigarrow \overline{M}$ . Since  $M y^0 \in \overline{M}$  (because  $y^0 \in \overline{M}$  and  $M \diamond y^0$ ),  $M$  is closed, and  $x^0 \neq y^0$ , by Lemma 2.1.3,  $M y^0 \rightarrow_{\beta}^* y^0$ . Hence, by Lemma B.1.4,  $M \rightarrow_{\beta}^* \lambda y^0 . y^0$ . By Lemma 2.1.3,  $\text{deg}(M) = \text{deg}(\lambda y^0 . y^0) = 0$  and  $M \in \mathbb{M}^0$ .

Conversely, let  $M \in \mathbb{M}^0$  and  $M \rightarrow_{\beta}^* \lambda y^0 . y^0$ . By Lemma 2.1.3,  $M$  is closed. Let  $\mathcal{I}$  be a  $\beta_1$ -interpretation and  $N \in \mathcal{I}(\mathbf{a} \sqcap \mathbf{b})$ . Because  $M$  is closed, we have  $M \diamond N$ . Since  $\mathcal{I}(\mathbf{a})$  is saturated,  $N \in \mathcal{I}(\mathbf{a})$  and  $MN \rightarrow_{\beta}^* N$ , then  $MN \in \mathcal{I}(\mathbf{a})$  and hence  $M \in \mathcal{I}(\mathbf{a} \sqcap \mathbf{b}) \rightsquigarrow \mathcal{I}(\mathbf{a})$ . Finally,  $M \in [(\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a}]_{\beta_1}$ .

2. If  $\lambda y^0 . y^0 : \langle () \vdash_1 (\mathbf{a} \sqcap \mathbf{b}) \rightarrow \mathbf{a} \rangle$ , then by Lemma 2.6.2,  $y^0 : \langle (y^0 : \mathbf{a} \sqcap \mathbf{b}) \vdash_1 \mathbf{a} \rangle$  and by Lemma 2.6.1,  $\mathbf{a} = \mathbf{a} \sqcap \mathbf{b}$ . Absurd because  $\mathbf{a} \neq \mathbf{b}$ .

3. Easy using rule ( $\sqsubseteq$ ).

4. Let  $y \in \text{Var}_2$  and  $\overline{M} = \{M \in \mathcal{M}_3^{\circ} \mid (k \geq 0 \wedge x \in \text{Var}_1 \wedge M \rightarrow_{\beta}^* x^{\circ} N_1 \dots N_k) \vee M \rightarrow_{\beta}^* y^{\circ}\}$ . The set  $\overline{M}$  is  $\beta$ -saturated and  $\forall x \in \text{Var}_1. \text{VAR}_x^{\circ} \subseteq \overline{M} \subseteq \mathcal{M}_3^{\circ}$ . Take a  $\beta_3$ -interpretation  $\mathcal{I}$  such that  $\mathcal{I}(\mathbf{a}) = \overline{M}$ . If  $M \in [\text{id}_0]_{\beta_3}$  then  $M$  is closed and  $M \in \overline{M} \rightsquigarrow \overline{M}$ . Because  $y^{\circ} \in \overline{M}$  and  $M \diamond y^{\circ}$  then  $M y^{\circ} \in \overline{M}$  and  $((M y^{\circ} \rightarrow_{\beta}^* x^{\circ} N_1 \dots N_k$  where  $k \geq 0$  and  $x \in \text{Var}_1$ ) or  $M y^{\circ} \rightarrow_{\beta}^* y^{\circ}$ ). Because  $M$  is closed and  $x^{\circ} \neq y^{\circ}$ , by Lemma 2.1.2,  $M y^{\circ} \rightarrow_{\beta}^* y^{\circ}$ . Hence, by Lemma B.1.4,  $M \rightarrow_{\beta}^* \lambda y^{\circ} . y^{\circ}$  and, by Lemma 2.1.2,  $M \in \mathcal{M}_3^{\circ}$ .

Conversely, let  $M \in \mathcal{M}_3^{\circ}$  such that  $M$  is closed and  $M \rightarrow_{\beta}^* \lambda y^{\circ} . y^{\circ}$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation and  $N \in \mathcal{I}(\mathbf{a})$  such that  $M \diamond N$ . By Lemma 3.2.1b,  $N \in \mathcal{M}_3^{\circ}$ , so  $MN \in \mathcal{M}_3^{\circ}$ . Since  $\mathcal{I}(\mathbf{a})$  is  $\beta$ -saturated and  $MN \rightarrow_{\beta}^* N$ ,  $MN \in \mathcal{I}(\mathbf{a})$ . Therefore  $M \in \mathcal{I}(\mathbf{a}) \rightsquigarrow \mathcal{I}(\mathbf{a})$  and  $M \in [\text{id}_0]_{\beta_3}$ .

5. By Lemma 3.4 and 4.,  $[\text{id}_1]_{\beta_3} = [\mathbf{e}_1(\mathbf{a} \rightarrow \mathbf{a})]_{\beta_3} = [\mathbf{a} \rightarrow \mathbf{a}]_{\beta_3}^{+1} = [\text{id}_0]_{\beta_3}^{+1} = \{M \in \mathcal{M}_3^{(1)} \mid M \rightarrow_{\beta}^* \lambda y^{(1)} . y^{(1)}\}$ .

6. Let  $y \in \text{Var}_2$ ,  $\overline{M}_1 = \{M \in \mathcal{M}_3^{\circ} \mid M \rightarrow_{\beta}^* y^{\circ} \vee (k \geq 0 \wedge x \in \text{Var}_1 \wedge M \rightarrow_{\beta}^* x^{\circ} N_1 \dots N_k)\}$  and  $\overline{M}_2 = \{M \in \mathcal{M}_3^{\circ} \mid M \rightarrow_{\beta}^* y^{\circ} y^{\circ} \vee (k \geq 0 \wedge x \in \text{Var}_1 \wedge (M \rightarrow_{\beta}^* x^{\circ} N_1 \dots N_k \vee M \rightarrow_{\beta}^* y^{\circ}(x^{\circ} N_1 \dots N_k)))\}$ . The sets  $\overline{M}_1, \overline{M}_2$  are  $\beta$ -saturated and  $\forall x \in \text{Var}_1. \forall i \in \{1, 2\}. \text{VAR}_x^{\circ} \subseteq \overline{M}_i \subseteq \mathcal{M}_3^{\circ}$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation such that  $\mathcal{I}(\mathbf{a}) = \overline{M}_1$  and  $\mathcal{I}(\mathbf{b}) = \overline{M}_2$ . If  $M \in [\mathbf{d}]_{\beta_3}$  then  $M$  is closed (hence  $M \diamond y^{\circ}$ ) and  $M \in (\overline{M}_1 \cap (\overline{M}_1 \rightsquigarrow \overline{M}_2)) \rightsquigarrow \overline{M}_2$ . Because  $y^{\circ} \in \overline{M}_1$  and  $y^{\circ} \in \overline{M}_1 \rightsquigarrow \overline{M}_2$ ,  $y^{\circ} \in \overline{M}_1 \cap (\overline{M}_1 \rightsquigarrow \overline{M}_2)$  and  $M y^{\circ} \in \overline{M}_2$ . Since  $x^{\circ} \neq y^{\circ}$ , by Lemma 2.1.2,  $M y^{\circ} \rightarrow_{\beta}^* y^{\circ} y^{\circ}$ . Hence, by Lemma B.1.4,  $M \rightarrow_{\beta}^* \lambda y^{\circ} . y^{\circ} y^{\circ}$  and, by Lemma 2.1.2,  $\text{deg}(M) = \circ$  and  $M \in \mathcal{M}_3^{\circ}$ .

Conversely, let  $M \in \mathcal{M}_3^{\circ}$  such that  $M$  is closed and  $M \rightarrow_{\beta}^* \lambda y^{\circ} . y^{\circ} y^{\circ}$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation and  $N \in \mathcal{I}(\mathbf{a} \sqcap (\mathbf{a} \rightarrow \mathbf{b})) = \mathcal{I}(\mathbf{a}) \cap (\mathcal{I}(\mathbf{a}) \rightsquigarrow \mathcal{I}(\mathbf{b}))$  such that  $M \diamond N$ . By Lemma 3.2.1b

and Lemma A.1.1,  $N \in \mathcal{M}_3^\circ$  and  $N \diamond N$ . So  $NN, MN \in \mathcal{M}_3^\circ$ . Since  $\mathcal{I}(\mathbf{b})$  is  $\beta$ -saturated,  $NN \in \mathcal{I}(\mathbf{b})$  and  $MN \rightarrow_\beta^* NN$ , we have  $MN \in \mathcal{I}(\mathbf{b})$  and hence  $M \in \mathcal{I}(\mathbf{a} \sqcap (\mathbf{a} \rightarrow \mathbf{b})) \rightsquigarrow \mathcal{I}(\mathbf{b})$ . Therefore,  $M \in [\mathbf{d}]_{\beta_3}$ .

7. Let  $f, y \in \text{Var}_2$  such that  $f \neq y$  and take  $\overline{M} = \{M \in \mathcal{M}_3^\circ \mid k, n \geq 0 \wedge x \in \text{Var}_1 \wedge (M \rightarrow_\beta^* (f^\circ)^n(x^\circ N_1 \dots N_k) \vee M \rightarrow_\beta^* (f^\circ)^n y^\circ)\}$ . The set  $\overline{M}$  is  $\beta$ -saturated and  $\forall x \in \text{Var}_1. \text{VAR}_x^\circ \subseteq \overline{M} \subseteq \mathcal{M}_3^\circ$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation such that  $\mathcal{I}(\mathbf{a}) = \overline{M}$ . If  $M \in [\text{nat}_0]_{\beta_3}$  then  $M$  is closed and  $M \in (\overline{M} \rightsquigarrow \overline{M}) \rightsquigarrow (\overline{M} \rightsquigarrow \overline{M})$ . We have  $f^\circ \in \overline{M} \rightsquigarrow \overline{M}, y^\circ \in \overline{M}$  and  $\diamond\{M, f^\circ, y^\circ\}$  then  $Mf^\circ y^\circ \in \overline{M}$  and  $(Mf^\circ y^\circ \rightarrow_\beta^* (f^\circ)^n(x^\circ N_1 \dots N_k) \text{ or } Mf^\circ y^\circ \rightarrow_\beta^* (f^\circ)^n y^\circ)$  where  $n, k \geq 0$  and  $x \in \text{Var}_1$ . Since  $M$  is closed and  $\text{dj}(\{x^\circ\}, \{y^\circ, f^\circ\})$ , by Lemma 2.1.2,  $Mf^\circ y^\circ \rightarrow_\beta^* (f^\circ)^n y^\circ$  where  $n \geq 1$ . Hence, by Lemma B.1.4,  $M \rightarrow_\beta^* \lambda f^\circ.f^\circ$  or  $M \rightarrow_\beta^* \lambda f^\circ.\lambda y^\circ.(f^\circ)^n y^\circ$  where  $n \geq 1$ . Moreover, by Lemma 2.1.2,  $\text{deg}(M) = \circ$  and  $M \in \mathcal{M}_3^\circ$ .

Conversely, let  $M \in \mathcal{M}_3^\circ$  such that  $M$  is closed and  $M \rightarrow_\beta^* \lambda f^\circ.f^\circ$  or  $M \rightarrow_\beta^* \lambda f^\circ.\lambda y^\circ.(f^\circ)^n y^\circ$  where  $n \geq 1$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation,  $N \in \mathcal{I}(\mathbf{a} \rightarrow \mathbf{a}) = \mathcal{I}(\mathbf{a}) \rightsquigarrow \mathcal{I}(\mathbf{a})$  and  $N' \in \mathcal{I}(\mathbf{a})$  such that  $\diamond\{M, N, N'\}$ . By Lemma 3.2.1b,  $N, N' \in \mathcal{M}_3^\circ$ , so  $MNN', (N)^m N' \in \mathcal{M}_3^\circ$ , where  $m \geq 0$ . It is easy to show, by induction on  $m \geq 0$ , that  $(N)^m N' \in \mathcal{I}(\mathbf{a})$ . Since  $MNN' \rightarrow_\beta^* (N)^m N'$  where  $m \geq 0$  and  $(N)^m N' \in \mathcal{I}(\mathbf{a})$  which is  $\beta$ -saturated, then  $MNN' \in \mathcal{I}(\mathbf{a})$ . Hence,  $M \in (\mathcal{I}(\mathbf{a}) \rightsquigarrow \mathcal{I}(\mathbf{a})) \rightsquigarrow (\mathcal{I}(\mathbf{a}) \rightsquigarrow \mathcal{I}(\mathbf{a}))$  and  $M \in [\text{nat}_0]_{\beta_3}$ .

8. By Lemma 3.4,  $[\text{nat}_1]_{\beta_3} = [\mathbf{e}_1 \text{nat}_0]_{\beta_3} = [\text{nat}_0]_{\beta_3}^{+1}$ . By 7.,  $[\text{nat}_1]_{\beta_3} = [\text{nat}_0]_{\beta_3}^{+1} = \{M \in \mathcal{M}_3^{(1)} \mid M \rightarrow_\beta^* \lambda f^{(1)}.f^{(1)} \vee M \rightarrow_\beta^* \lambda f^{(1)}.\lambda y^{(1)}.(f^{(1)})^n y^{(1)} \text{ where } n \geq 1\}$ .

9. Let  $f, y \in \text{Var}_2$  and take  $\overline{M} = \{M \in \mathcal{M}_3^\circ \mid k, n \geq 0 \wedge \text{deg}(Q_i) \succeq (1) \wedge (M \rightarrow_\beta^* x^\circ P_1 \dots P_k \vee M \rightarrow_\beta^* f^\circ(x^{(1)} Q_1 \dots Q_n) \vee M \rightarrow_\beta^* y^\circ \vee M \rightarrow_\beta^* f^\circ y^{(1)})\}$ . The set  $\overline{M}$  is  $\beta$ -saturated and  $\forall x \in \text{Var}_1. \text{VAR}_x^\circ \subseteq \overline{M} \subseteq \mathcal{M}_3^\circ$ . Let  $\mathcal{I}$  be a  $\beta_3$ -interpretation such that  $\mathcal{I}(\mathbf{a}) = \overline{M}$ . If  $M \in [\text{nat}'_0]_{\beta_3}$  then  $M$  is closed and  $M \in (\overline{M}^{+1} \rightsquigarrow \overline{M}) \rightsquigarrow (\overline{M}^{+1} \rightsquigarrow \overline{M})$ . Let  $N \in \overline{M}^{+1}$  such that  $N \diamond f^\circ$ . We have  $N \rightarrow_\beta^* x^{(1)} P_1^{+1} \dots P_k^{+1}$  or  $N \rightarrow_\beta^* y^{(1)}$ , for some  $k \geq 0$  and  $P_1, \dots, P_k$ . Therefore  $f^\circ N \rightarrow_\beta^* f^\circ(x^{(1)} P_1^{+1} \dots P_k^{+1}) \in \overline{M}$  or  $f^\circ N \rightarrow_\beta^* f^\circ y^{(1)} \in \overline{M}$ , thus  $f^\circ \in \overline{M}^{+1} \rightsquigarrow \overline{M}$ . We have  $f^\circ \in \overline{M}^{+1} \rightsquigarrow \overline{M}, y^{(1)} \in \overline{M}^{+1}$  and  $\diamond\{M, f^\circ, y^{(1)}\}$ , then  $Mf^\circ y^{(1)} \in \overline{M}$ . Because  $M$  is closed and  $\text{dj}(\{x^\circ, x^{(1)}, y^\circ\}, \{y^{(1)}, f^\circ\})$ , by Lemma 2.1.2,  $Mf^\circ y^{(1)} \rightarrow_\beta^* f^\circ y^{(1)}$ . Hence, by Lemma B.1.4,  $M \rightarrow_\beta^* \lambda f^\circ.f^\circ$  or  $M \rightarrow_\beta^* \lambda f^\circ.\lambda y^{(1)}.f^\circ y^{(1)}$ . Moreover, by Lemma 2.1.2,  $\text{deg}(M) = \circ$  and  $M \in \mathcal{M}_3^\circ$ .

Conversely, let  $M \in \mathcal{M}_3^\circ$  such  $M$  is closed and  $M \rightarrow_\beta^* \lambda f^\circ.f^\circ$  or  $M \rightarrow_\beta^* \lambda f^\circ.\lambda y^{(1)}.f^\circ y^{(1)}$ . Let  $\mathcal{I}$  be an  $\beta_3$ -interpretation,  $N \in \mathcal{I}(\mathbf{e}_1 \mathbf{a} \rightarrow \mathbf{a}) = \mathcal{I}(\mathbf{a})^{+1} \rightsquigarrow \mathcal{I}(\mathbf{a})$  and  $N' \in \mathcal{I}(\mathbf{a})^{+1}$  where  $\diamond\{M, N, N'\}$ . By Lemma 3.2.1b,  $N \in \mathcal{M}_3^\circ$  and  $N' \in \mathcal{M}_3^{(1)}$ , so  $MNN', NN' \in \mathcal{M}_3^\circ$ . Since  $MNN' \rightarrow_\beta^* NN', NN' \in \mathcal{I}(\mathbf{a})$  and  $\mathcal{I}(\mathbf{a})$  is  $\beta$ -saturated then  $MNN' \in \mathcal{I}(\mathbf{a})$ . Hence,  $M \in (\mathcal{I}(\mathbf{a})^{+1} \rightsquigarrow \mathcal{I}(\mathbf{a})) \rightsquigarrow (\mathcal{I}(\mathbf{a})^{+1} \rightsquigarrow \mathcal{I}(\mathbf{a}))$  and  $M \in [\text{nat}'_0]_{\beta_3}$ .

□

## B.2. Completeness challenges in $\lambda I^{\mathbb{N}}$ (Sec. 3.2)

### B.2.1. Completeness for $\vdash_2$ fails with more than one E-variable (Sec. 3.2.2)

**Proof:**

[Proof of Remark 3.2]

1. For every interpretation  $\mathcal{I}$ ,  $\mathcal{I}(e_1 a \rightarrow a) = \mathcal{I}(e_2 a \rightarrow a) = \mathcal{I}(a)^+ \rightsquigarrow \mathcal{I}(a)$ . Let  $M \in \mathcal{I}(a)^+ \rightsquigarrow \mathcal{I}(a)$ . By Lemma 3.2.1c,  $\deg(M) = 0$ . We have  $M \diamond \lambda f^0.f^0. (\lambda f^0.f^0)M \rightarrow_{\beta} M \in \mathcal{I}(a)^+ \rightsquigarrow \mathcal{I}(a)$ . By Lemma 3.2.1a,  $(\lambda f^0.f^0)M \in \mathcal{I}(a)^+ \rightsquigarrow \mathcal{I}(a)$ . Therefore,  $\lambda y^0.y^0 \in [\text{nat}''_0]_{\beta_2}$ .
2. If  $\lambda f^0.f^0 : \langle () \vdash_2 \text{nat}''_0 \rangle$ , by Lemmas 2.7.2 and 2.7.1,  $f^0 : \langle f^0 : e_1 a \rightarrow a \vdash_2 e_2 a \rightarrow a \rangle$  and  $e_1 a \rightarrow a \sqsubseteq e_2 a \rightarrow a$ . Thus, by Lemma A.10.4,  $e_2 a \sqsubseteq e_1 a$ . Again, by Lemma A.10.3,  $e_1 a = e_2 U$  where  $a \sqsubseteq U$ . This is impossible because  $e_1 \neq e_2$ . □

### B.2.2. Completeness for $\vdash_2$ with only one E-variable (Sec. 3.2.3)

**Proof:**

[Proof of Lemma 3.5]

1. We prove the result by induction on  $U$  and then by case on the last rule.
  - Let  $U = U_1 \sqcap U_2$ . By definition  $\deg(U_1), \deg(U_2) > 0$ . Therefore by IH,  $e_1 U_1^- = U_1$  and  $e_2 U_2^- = U_2$ . Finally,  $e_1 U^- = e_1 U_1 \sqcap e_1 U_2^- = e_1 U_1^- \sqcap e_1 U_2^- = U_1 \sqcap U_2 = U$ .
  - Let  $U = e_1 U_1$ . Therefore  $e_1 U^- = e_1 e_1 U_1^- = e_1 U_1$ .
  - Cases  $U = U_1 \rightarrow T$  and  $U = a$  are trivial because by Lemma 2.3.2a,  $\deg(U) = 0$ .
2. If  $U^- = V^-$  then  $e_1 U^- = e_1 V^-$  and by 1.,  $U = V$ . □

**Lemma B.2.** 1. If  $\deg(U) = n$  then  $D\text{Var}_U$  is an infinite set  $\{y^n \mid y \in \text{Var}_2\}$ .

2. If  $U \neq V$  and  $\deg(U) = \deg(V) = n$  then  $\text{dj}(D\text{Var}_U, D\text{Var}_V)$ .
3. If  $y^n \in D\text{Var}_U$  then  $y^{n+1} \in D\text{Var}_{e_1 U}$ .
4. If  $y^{n+1} \in D\text{Var}_U$  then  $y^n \in D\text{Var}_{U^-}$ .

**Proof:**

[Proof of Lemma B.2]

1. We prove this result by induction on  $n$ . Let  $n = 0$  then we conclude by definition. Let  $n = m + 1$ . Then  $D\text{Var}_U = \{y^{n+1} \mid y^n \in D\text{Var}_{U^-}\}$ . By IH,  $D\text{Var}_{U^-}$  is an infinite set  $\{y^m \mid y \in \text{Var}_2\}$ . Therefore  $D\text{Var}_U$  is an infinite set  $\{y^n \mid y \in \text{Var}_2\}$ .
2. We prove the result by induction on  $n$ . Let  $n = 0$  then we conclude by definition. Let  $n = m + 1$ . Then  $D\text{Var}_U = \{y^{n+1} \mid y^n \in D\text{Var}_{U^-}\}$  and  $D\text{Var}_V = \{y^{n+1} \mid y^n \in D\text{Var}_{V^-}\}$ . By Lemma 3.5.2,  $U^- \neq V^-$ , and by definition,  $\deg(U^-) = \deg(V^-) = m$ . By IH,  $\text{dj}(D\text{Var}_{U^-}, D\text{Var}_{V^-})$ . Therefore,  $\text{dj}(D\text{Var}_U, D\text{Var}_V)$ .

3. Because  $(e_1 U)^- = U$ .
4. By definition.

□

**Lemma B.3.** 1. If  $\Gamma \subseteq \text{BPreEnv}^n$  then  $e_1 \Gamma \subseteq \text{BPreEnv}^{n+1}$ .

2. If  $\Gamma \subseteq \text{BPreEnv}^{n+1}$  then  $\Gamma^- \subseteq \text{BPreEnv}^n$ .
3. If  $\Gamma_1 \subseteq \text{BPreEnv}^n, \Gamma_2 \subseteq \text{BPreEnv}^m$  and  $m \geq n$  then  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^n$ .

**Proof:**

[Proof of Lemma B.3]

1. Because  $\Gamma \subseteq \text{BPreEnv}^n, \Gamma = (y_i^{n_i} : U_i)_m$  such that  $\forall i \in \{1, \dots, m\}. \deg(U_i) = n_i \wedge n_i \geq n \wedge y_i^{n_i} \in \text{DVar}_{U_i}$ . Therefore,  $e_1 \Gamma = (y_i^{n_i+1} : e_1 U_i)_m$  and by Lemma B.2.3,  $\forall i \in \{1, \dots, m\}. \deg(e_1 U_i) = n_i + 1 \wedge n_i + 1 \geq n + 1 \wedge y_i^{n_i+1} \in \text{DVar}_{e_1 U_i}$ . Finally,  $e_1 \Gamma \subseteq \text{BPreEnv}^{n+1}$ .
2. Because  $\Gamma \subseteq \text{BPreEnv}^{n+1}, \Gamma = (y_i^{n_i} : U_i)_m$  such that  $\forall i \in \{1, \dots, m\}. \deg(U_i) = n_i \wedge n_i \geq n + 1 \wedge y_i^{n_i} \in \text{DVar}_{U_i}$ . Therefore,  $\Gamma^- = (y_i^{n_i-1} : U_i^-)_m$  and  $\forall i \in \{1, \dots, m\}. \deg(U_i^-) = n_i' \wedge n_i = n_i' + 1 \wedge n_i' \geq n \wedge y_i^{n_i-1} \in \text{DVar}_{U_i^-}$ . By Lemma B.2.4,  $\forall i \in \{1, \dots, m\}. y_i^{n_i-1} \in \text{DVar}_{U_i^-}$ . Finally,  $\Gamma^- \subseteq \text{BPreEnv}^n$ .
3. Note that  $\text{BPreEnv}^m \subseteq \text{BPreEnv}^n$ . Therefore  $\Gamma_1, \Gamma_2 \subseteq \text{BPreEnv}^n$ . Let  $(\Gamma_1 \sqcap \Gamma_2)(x^p) = U_1 \sqcap U_2$  such that  $\Gamma_1(x^p) = U_1$  and  $\Gamma_2(x^p) = U_2$ . Then  $\deg(U_1) = \deg(U_2) = p \geq n$  and  $x^p \in \text{DVar}_{U_1} \cap \text{DVar}_{U_2}$ . Hence, by Lemma B.2.2,  $U_1 = U_2$ . Finally, we can prove that  $\Gamma_1 \sqcap \Gamma_2 = \Gamma_1 \cup \Gamma_2 \subseteq \text{BPreEnv}^n$ .

□

**Lemma B.4.** 1.  $(\text{OPEN}^n)^+ = \text{OPEN}^{n+1}$ .

2. If  $y \in \text{Var}_2$  and  $(My^m) \in \text{OPEN}^n$  then  $M \in \text{OPEN}^n$ .
3. If  $M \in \text{OPEN}^n, M \diamond N, N \in \mathbb{M}$  and  $\deg(N) = m \geq n$  then  $MN \in \text{OPEN}^n$ .
4. If  $\deg(M) = n, m \geq n, M \diamond N, M \in \mathbb{M}$  and  $N \in \text{OPEN}^m$  then  $MN \in \text{OPEN}^n$ .

**Proof:**

[Proof of Lemma B.4] 1. By Lemma A.3.1a. 2. By definition  $x^i \in \text{fv}(My^m)$  and  $i \geq n$ . Because  $x \neq y$  then  $x^i \in \text{fv}(M)$ . Therefore  $M \in \text{OPEN}^n$ . 3. By hypothesis,  $M \in \mathbb{M}^n$  and  $x^i \in \text{fv}(M)$  such that  $x \in \text{Var}_1$  and  $i \geq n$ . By definition  $MN \in \mathbb{M}^n$  and therefore  $MN \in \text{OPEN}^n$ . 4. Similar to 3. □

**Proof:**

[Proof of Lemma 3.6]

1. First we show that  $\mathbb{I}(a)$  is  $\beta$ -saturated. Let  $M \rightarrow_\beta^* N$  and  $N \in \mathbb{I}(a)$ .

- If  $N \in \text{OPEN}^0$  then  $N \in \mathbb{M}^0$  and  $x^i$  for some  $x \in \text{Var}_1$ ,  $i \geq 0$  and  $x^i \in \text{fv}(N)$ . By Lemma 3.1.9,  $\mathbb{M}^0$  is  $\beta$ -saturated and so,  $M \in \mathbb{M}^0$ . By Lemma 2.1.3,  $\text{fv}(M) = \text{fv}(N)$  and so,  $x^i \in \text{fv}(M)$ . Hence,  $M \in \text{OPEN}^0$
- If  $N \in \{M \in \mathcal{M}_2^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 a \rangle\}$  then  $\exists \Gamma \subseteq \text{BPreEnv}^0$ , such that  $N : \langle \Gamma \vdash_2 a \rangle$ . By subject expansion corollary 2.10,  $M : \langle \Gamma \vdash_2 a \rangle$  and by Lemma 2.1.3,  $\text{deg}(M) = \text{deg}(N)$ . Hence,  $M \in \{M \in \mathcal{M}_2^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 a \rangle\}$ .

Now we show that  $\forall x \in \text{Var}_1. \text{VAR}_x^0 \subseteq \mathbb{I}(a) \subseteq \mathbb{M}^0$ .

- Let  $x \in \text{Var}_1$  and  $M \in \text{VAR}_x^0$ . Hence,  $M = x^0 N_1 \dots N_k \in \mathbb{M}^0$ , and  $x^0 \in \text{fv}(M)$ . Thus,  $M \in \text{OPEN}^0$ .
- Let  $M \in \mathbb{I}(a)$ . If  $M \in \text{OPEN}^0$  then  $M \in \mathbb{M}^0$ . Else,  $\exists \Gamma \subseteq \text{BPreEnv}^0$  such that  $M : \langle \Gamma \vdash_2 a \rangle$ . Since by Theorem 2.3,  $M \in \mathbb{M}$  and  $\text{deg}(M) = \text{deg}(a) = 0$ ,  $M \in \mathbb{M}^0$ .

2. By induction on  $U \in \text{GITy}$ .

- Let  $U = a$ : By definition of  $\mathbb{I}$  and by 1.
- Let  $U = e_1 V$ :  $\text{deg}(V) = n - 1$  and, by Lemma 2.3,  $V \in \text{GITy}$ . By IH and Lemma B.4.1,  $\mathbb{I}(e_1 V) = (\mathbb{I}(V))^+ = (\text{OPEN}^{n-1} \cup \{M \in \mathbb{M}^{n-1} \mid M : \langle \text{BPreEnv}^{n-1} \vdash_2 V \rangle\})^+ = \text{OPEN}^n \cup (\{M \in \mathbb{M}^{n-1} \mid M : \langle \text{BPreEnv}^{n-1} \vdash_2 V \rangle\})^+$ .
  - If  $M \in \mathbb{M}^{n-1}$  and  $M : \langle \text{BPreEnv}^{n-1} \vdash_2 V \rangle$  then  $M : \langle \Gamma \vdash_2 V \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^{n-1}$ . By rule (exp) and Lemma B.3.1,  $M^+ : \langle e_1 \Gamma \vdash_2 e_1 V \rangle$  and  $e_1 \Gamma \subseteq \text{BPreEnv}^n$ . Thus by Theorem 2.3.2,  $M^+ \in \mathbb{M}^n$  and  $M^+ : \langle \text{BPreEnv}^n \vdash_2 e_1 V \rangle$ .
  - If  $M \in \mathbb{M}^n$  and  $M : \langle \text{BPreEnv}^n \vdash_2 e_1 V \rangle$  then  $M : \langle \Gamma \vdash_2 e_1 V \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^n$ . By Theorem 2.3.2, and Lemma B.3.2,  $M^- : \langle \Gamma^- \vdash_2 V \rangle$  and  $\Gamma^- \subseteq \text{BPreEnv}^{n-1}$ . Thus, by Lemma A.3.(1b. and 1d.),  $M = (M^-)^+$  and  $M^- \in \mathbb{M}^{n-1}$ . Hence,  $M^- \in \{M \in \mathbb{M}^{n-1} \mid M : \langle \text{BPreEnv}^{n-1} \vdash_2 V \rangle\}$ .

Hence  $(\{M \in \mathbb{M}^{n-1} \mid M : \langle \text{BPreEnv}^{n-1} \vdash_2 V \rangle\})^+ = \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U \rangle\}$  and finally,  $\mathbb{I}(U) = \text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U \rangle\}$ .

- Let  $U = U_1 \sqcap U_2$ : By Lemma 2.3.1b,  $U_1, U_2 \in \text{GITy}$  and  $\text{deg}(U_1) = \text{deg}(U_2) = n$ . By IH,  $\mathbb{I}(U_1 \sqcap U_2) = \mathbb{I}(U_1) \cap \mathbb{I}(U_2) = (\text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U_1 \rangle\}) \cap (\text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U_2 \rangle\}) = \text{OPEN}^n \cup (\{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U_1 \rangle\} \cap \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U_2 \rangle\})$ .
  - If  $M \in \mathbb{M}^n$ ,  $M : \langle \text{BPreEnv}^n \vdash_2 U_1 \rangle$  and  $M : \langle \text{BPreEnv}^n \vdash_2 U_2 \rangle$  then  $M : \langle \Gamma_1 \vdash_2 U_1 \rangle$  and  $M : \langle \Gamma_2 \vdash_2 U_2 \rangle$  where  $\Gamma_1, \Gamma_2 \subseteq \text{BPreEnv}^n$ . By Remark 2.1,  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 U_1 \sqcap U_2 \rangle$ . Because by Lemma B.3.3,  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^n$ , we obtain  $M : \langle \text{BPreEnv}^n \vdash_2 U_1 \sqcap U_2 \rangle$ .
  - If  $M \in \mathbb{M}^n$  and  $M : \langle \text{BPreEnv}^n \vdash_2 U_1 \sqcap U_2 \rangle$  then  $M : \langle \Gamma \vdash_2 U_1 \sqcap U_2 \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^n$ . By rule ( $\sqsubseteq$ ),  $M : \langle \Gamma \vdash_2 U_1 \rangle$  and  $M : \langle \Gamma \vdash_2 U_2 \rangle$ . Hence,  $M : \langle \text{BPreEnv}^n \vdash_2 U_1 \rangle$  and  $M : \langle \text{BPreEnv}^n \vdash_2 U_2 \rangle$ .

We deduce that  $\mathbb{I}(U_1 \sqcap U_2) = \text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U_1 \sqcap U_2 \rangle\}$ .

- Let  $U = V \rightarrow T$ : By Lemma 2.3,  $V, T \in \text{GITy}$  and let  $m = \text{deg}(V) \geq \text{deg}(T) = 0$ . By IH,  $\mathbb{I}(V) = \text{OPEN}^m \cup \{M \in \mathbb{M}^m \mid M : \langle \text{BPreEnv}^m \vdash_2 V \rangle\}$  and  $\mathbb{I}(T) = \text{OPEN}^0 \cup \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 T \rangle\}$ . By definition,  $\mathbb{I}(V \rightarrow T) = \mathbb{I}(V) \rightsquigarrow \mathbb{I}(T)$ .



- Let  $M \in \mathbb{I}(V) \rightsquigarrow \mathbb{I}(T)$ . By Lemma B.2.1, let  $y^m \in \text{DVar}_V$  such that  $y \in \text{Var}_2$ , and  $\forall n, y^n \notin \text{fv}(M)$ . Then  $y^m \diamond M$ . By remark 2.1,  $y^m : \langle (y^m : V) \vdash_2 V \rangle$ . Hence,  $y^m : \langle \text{BPreEnv}^m \vdash_2 V \rangle$  and so  $y^m \in \mathbb{I}(V)$  and  $My^m \in \mathbb{I}(T)$ .
  - \* If  $My^m \in \text{OPEN}^0$  then since  $y \in \text{Var}_2$ , by Lemma B.4.2,  $M \in \text{OPEN}^0$ .
  - \* If  $My^m \in \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 T \rangle\}$  then  $My^m \in \mathbb{M}^0$  and  $My^m : \langle \text{BPreEnv}^0 \vdash_2 T \rangle$ . So  $My^m : \langle \Gamma \vdash_2 T \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^0$ . Since  $y^m \in \text{fv}(My^m)$  and since by Theorem 2.3,  $\text{dom}(\Gamma) = \text{fv}(My^m)$ ,  $\Gamma = \Gamma'$ ,  $(y^m : V')$ , and  $\text{deg}(V') = m$ . Since  $(y^m, V') \in \text{BPreEnv}^0$ ,  $\text{deg}(V') = m$  and  $y^m \in \text{DVar}_{V'}$ , by Lemma B.2.2,  $V = V'$ . So  $My^m : \langle \Gamma', (y^m : V) \vdash_2 T \rangle$  and by Lemma A.13.1,  $M : \langle \Gamma' \vdash_2 V \rightarrow T \rangle$  and by Theorem 2.3.2,  $M \in \mathbb{M}$  and  $\text{deg}(M) = 0$ . Since  $\Gamma' \subseteq \text{BPreEnv}^0$ ,  $M : \langle \text{BPreEnv}^0 \vdash_2 V \rightarrow T \rangle$ . And so,  $M \in \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 V \rightarrow T \rangle\}$ .
- Let  $M \in \text{OPEN}^0 \cup \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 V \rightarrow T \rangle\}$  and  $N \in \mathbb{I}(V) = \text{OPEN}^m \cup \{M \in \mathbb{M}^m \mid M : \langle \text{BPreEnv}^m \vdash_2 V \rangle\}$  such that  $M \diamond N$ . Then,  $\text{deg}(N) = m$ .
  - \* Case  $M \in \text{OPEN}^0$ . Since  $N \in \mathbb{M}$ , by Lemma B.4.3,  $MN \in \text{OPEN}^0 \subseteq \mathbb{I}(T)$ .
  - \* Case  $M \in \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 V \rightarrow T \rangle\}$ , so  $M \in \mathbb{M}^0$ .
    - If  $N \in \text{OPEN}^m$  then, by Lemma B.4.4,  $MN \in \text{OPEN}^0 \subseteq \mathbb{I}(T)$ .
    - If  $N \in \{M \in \mathbb{M}^m \mid M : \langle \text{BPreEnv}^m \vdash_2 V \rangle\}$ , then  $M : \langle \Gamma_1 \vdash_2 V \rightarrow T \rangle$  and  $N : \langle \Gamma_2 \vdash_2 V \rangle$  where  $\Gamma_1 \subseteq \text{BPreEnv}^0$  and  $\Gamma_2 \subseteq \text{BPreEnv}^m$ . Because  $M \diamond N$ , then by Lemma A.14.2,  $\Gamma_1 \diamond \Gamma_2$ . So by rule  $(\rightarrow_E)$ ,  $MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_2 T \rangle$ . By Lemma B.3.3,  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^0$ . Therefore  $MN : \langle \text{BPreEnv}^0 \vdash_2 T \rangle$ . By Theorem 2.3,  $MN \in \mathbb{M}^0$ . Hence,  $MN \in \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 T \rangle\} \subseteq \mathbb{I}(T)$ .

In all cases,  $M \in \mathbb{I}(V \rightarrow T)$ .

We deduce that  $\mathbb{I}(V \rightarrow T) = \text{OPEN}^0 \cup \{M \in \mathbb{M}^0 \mid M : \langle \text{BPreEnv}^0 \vdash_2 V \rightarrow T \rangle\}$ . □

### Proof:

[Proof of Theorem 3.1] By definition we have:  $[U]_{\beta_2} = \{M \in \mathcal{M}_2 \mid \text{closed}(M) \wedge M \in \bigcap_{\mathcal{I} \in \text{Interp}^{\beta_2}} \mathcal{I}(U)\}$ .

1. Let  $M \in [U]_{\beta_2}$ . Then  $M$  is a closed term and  $M \in \mathbb{I}(U)$ . Hence, by Lemma 3.6,  $M \in \text{OPEN}^n \cup \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U \rangle\}$ . Because  $M$  is closed,  $M \notin \text{OPEN}^n$ . Hence,  $M \in \{M \in \mathbb{M}^n \mid M : \langle \text{BPreEnv}^n \vdash_2 U \rangle\}$  and so,  $M : \langle \Gamma \vdash_2 U \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^n$ . Since  $M$  is closed, by Theorem 2.3.2a,  $\Gamma = ()$  and therefore  $M : \langle () \vdash_2 U \rangle$ .  
Conversely, let  $M \in \mathbb{M}^n$  where  $M : \langle () \vdash_2 U \rangle$ . By Theorem 2.3.2a,  $M$  is closed. Let  $\mathcal{I}$  be a  $\beta_2$ -interpretation. By soundness Lemma 3.3,  $M \in \mathcal{I}(U)$ . Thus,  $M \in [U]_{\beta_2}$ .
2. Let  $M \in [U]_{\beta_2}$  and  $M \rightarrow_{\beta}^* N$ . By 1.,  $M \in \mathbb{M}^n$  and  $M : \langle () \vdash_2 U \rangle$ . By subject reduction Corollary 2.10,  $N : \langle () \vdash_2 U \rangle$ . By Lemma 2.1.3,  $\text{deg}(N) = \text{deg}(M) = n$ . By Theorem 2.3.2,  $N \in \mathbb{M}$ . Hence, by 1.,  $N \in [U]_{\beta_2}$ .
3. Let  $N \in [U]_{\beta_2}$  and  $M \rightarrow_{\beta}^* N$ . By 1.,  $N \in \mathbb{M}^n$  and  $N : \langle () \vdash_2 U \rangle$ . By subject expansion Corollary 2.10,  $M : \langle () \vdash_2 U \rangle$ . By Lemma 2.1.3,  $\text{deg}(N) = \text{deg}(M) = n$ . By Theorem 2.3.2,  $M \in \mathbb{M}$ . Hence, by 1.,  $M \in [U]_{\beta_2}$ . □

### B.3. Completeness for $\lambda^{\mathcal{L}\mathbb{N}}$ (Sec. 3.3)

**Proof:**

[Proof of Lemma 3.7]

1. Let  $\deg(U) = L_1$  and  $\deg(V) = L_2$  such that  $L_1 = L :: L'_1$  and  $L_2 = L :: L'_2$ . By Lemma A.11.2:

- Either  $U = \omega^{L::L'_1} = \mathbf{e}_L \omega^{L'_1}$ .
- Or  $U = \vec{\mathbf{e}}_{L::L'_1} \prod_{i=1}^p T_i = \vec{\mathbf{e}}_L \vec{\mathbf{e}}_{L'_1} \prod_{i=1}^p T_i$  such that  $p \geq 1$  and  $\forall i \in \{1, \dots, p\}. T_i \in \text{Ty}_3$ .

In both cases there exists  $U'$  such that  $U = \mathbf{e}_L U'$ . Similarly, there exists  $V'$  such that  $V = \mathbf{e}_L V'$ . If  $U^{-L} = V^{-L}$  then  $U' = V'$  and therefore  $U = V$ .

2. Easy induction on  $L$

3. We have  $\text{DVar}_U = \{y^L \mid y^\circ \in \text{DVar}_{U^{-L}}\}$  and  $\text{DVar}_V = \{y^L \mid y^\circ \in \text{DVar}_{V^{-L}}\}$ . By 1.,  $U^{-L} \neq V^{-L}$ . By Lemma A.11,  $\deg(U^{-L}) = \deg(V^{-L}) = \circ$ . Therefore by definition,  $\text{dj}(\text{DVar}_{U^{-L}}, \text{DVar}_{V^{-L}})$ , and finally,  $\text{dj}(\text{DVar}_U, \text{DVar}_V)$ .

4. We prove the result by induction on  $L$ . The case  $L = \circ$  is by definition. Let  $L = i :: L'$ . By IH,  $\bigcup_{U \in \text{ITy}_3^{L'}} \text{DVar}_U = \text{Var}^{L'}$ . Let  $y^L \in \bigcup_{U \in \text{ITy}_3^L} \text{DVar}_U$  then  $y^{L'} \in \text{DVar}_{U^{-i}}$  for some  $U \in \text{ITy}_3^{L'}$ . We have,  $U^{-i} \in \text{ITy}_3^{L'}$ . Therefore,  $y^{L'} \in \text{Var}^{L'}$ . Finally,  $y^L \in \text{Var}^L$ . Let  $y^L \in \text{Var}^L$  then  $y^{L'} \in \text{Var}^{L'}$ . Therefore,  $y^{L'} \in \text{DVar}_U$  for some  $U \in \text{ITy}_3^{L'}$ . We have,  $\mathbf{e}_i U \in \text{ITy}_3^{L'}$ . and  $\mathbf{e}_i U^{-i} = U$ . Therefore,  $y^L \in \text{DVar}_{\mathbf{e}_i U}$ . Finally,  $y^L \in \bigcup_{U \in \text{ITy}_3^L} \text{DVar}_U$ .

5. Let  $y^L \in \text{DVar}_U$  then because  $\mathbf{e}_i U^{-i} = U$ , we obtain by definition  $y^{i::L} \in \text{DVar}_{\mathbf{e}_i U}$ .

6. By definition. □

**Proof:**

[Proof of Lemma 3.8]

1. Let  $\Gamma \subseteq \text{BPreEnv}^L$ . By definition, we have  $\Gamma = (x_i^{L_i} : U_i)_n$  such that  $\forall i \in \{1, \dots, n\}. x_i^{L_i} \in \text{DVar}_{U_i} \wedge U_i \in \text{ITy}_3^{L_i} \wedge L_i \succeq L$ . Therefore  $\forall i \in \{1, \dots, n\}. \deg(U_i) = L_i$ , i.e.,  $\text{ok}(\Gamma)$ .

2. Let  $\Gamma \subseteq \text{BPreEnv}^L$  then by definition  $\Gamma = (x_j^{L_j} : U_j)_n$  such that  $\forall j \in \{1, \dots, n\}. x_j^{L_j} \in \text{DVar}_{U_j} \wedge U_j \in \text{ITy}_3^{L_j} \wedge L_j \succeq L$ . Therefore,  $\mathbf{e}_i \Gamma = (x_j^{i::L_j} : \mathbf{e}_i U_j)_n$  and by Lemma 3.7.5,  $\forall j \in \{1, \dots, n\}. x_j^{i::L_j} \in \text{DVar}_{\mathbf{e}_i U_j} \wedge \mathbf{e}_i U_j \in \text{ITy}_3^{i::L_j} \wedge i :: L_j \succeq i :: L$ . By definition, we obtain  $\mathbf{e}_i \Gamma \subseteq \text{BPreEnv}^{i::L}$ .

3. Let  $\Gamma \subseteq \text{BPreEnv}^{i::L}$ . then by definition  $\Gamma = (x_j^{L_j} : U_j)_n$  such that  $\forall j \in \{1, \dots, n\}. x_j^{L_j} \in \text{DVar}_{U_j} \wedge U_j \in \text{ITy}_3^{L_j} \wedge L_j \succeq i :: L$ . By Lemma 3.7.6 and Lemma A.11,  $\Gamma = (x_j^{i::L_j} : \mathbf{e}_i U'_j)_n$  such that  $\forall j \in \{1, \dots, n\}. x_j^{L'_j} \in \text{DVar}_{U'_j} \wedge U_j = \mathbf{e}_i U'_j \wedge L_j = i :: L'_j \wedge U_j \in \text{ITy}_3^{i::L'_j} \wedge L'_j \succeq L$ . We then have  $\Gamma^{-i} = (x_j^{L'_j} : U'_j)_n$  such that  $\forall j \in \{1, \dots, n\}. x_j^{L'_j} \in \text{DVar}_{U'_j} \wedge U'_j \in \text{ITy}_3^{L'_j} \wedge L'_j \succeq L$ , i.e.,  $\Gamma^{-i} \subseteq \text{BPreEnv}^L$ .

4. Let  $\Gamma_1 \subseteq \text{BPreEnv}^L$ ,  $\Gamma_2 \subseteq \text{BPreEnv}^K$ , and  $L \preceq K$ . By definition, we have  $\Gamma_1 = (x_i^{L_i} : U_i)_n$  and  $\Gamma_2 = (y_i^{K_i} : V_i)_m$  such that  $\forall i \in \{1, \dots, n\}$ .  $x^{L_i} \in \text{DVar}_{U_i} \wedge U_i \in \text{ITy}_3^{L_i} \wedge L_i \succeq L$  and  $\forall i \in \{1, \dots, m\}$ .  $y^{K_i} \in \text{DVar}_{V_i} \wedge V_i \in \text{ITy}_3^{K_i} \wedge K_i \succeq K$ . By 1,  $\text{ok}(\Gamma_1)$  and  $\text{ok}(\Gamma_2)$ , therefore  $\Gamma_1 \sqcap \Gamma_2$  is well-defined. Let  $(\Gamma_1 \sqcap \Gamma_2)(x^{L'}) = U$ . Either  $x^{L'} \in \text{dom}(\Gamma_1) \setminus \text{dom}(\Gamma_2)$  then by hypothesis,  $x^{L'} \in \text{DVar}_U$ ,  $U \in \text{ITy}_3^{L'}$ , and  $L' \succeq L$ . Or  $x^{L'} \in \text{dom}(\Gamma_2) \setminus \text{dom}(\Gamma_1)$  then by hypothesis,  $x^{L'} \in \text{DVar}_U$ ,  $U \in \text{ITy}_3^{L'}$ , and  $L' \succeq K \succeq L$ . Or  $x^{L'} \in \text{dom}(\Gamma_2) \cap \text{dom}(\Gamma_1)$  then  $U = U_1 \sqcap U_2$  such that  $\Gamma_1(x^{L'} = U_1)$  and  $\Gamma_2(x^{L'} = U_2)$ . By hypothesis,  $y^{L'} \in \text{DVar}_{U_1} \cap \text{DVar}_{U_2}$ ,  $U_1, U_2 \in \text{ITy}_3^{L'}$ , and  $L' \succeq K \succeq L$ . Because  $\text{dom}(U_1) = \text{dom}(U_2) = L'$  then by Lemma 3.7.3, we have  $U_1 = U_2$ . and  $U_1 \sqcap U_2 = U_1 = U_2 \in \text{ITy}_3^{L'}$ . We then have that  $\Gamma_1 \sqcap \Gamma_2 \in \text{BPreEnv}^L$ .  $\square$

**Proof:**

[Proof of Lemma 3.9]

1. Let  $M \in (\text{OPEN}^L)^{+i}$  then  $M = N^{+i}$  such that  $N \in \text{OPEN}^L$ . By definition  $N \in \mathcal{M}_3^L$  such that  $x^K \in \text{fv}(N)$ ,  $x \in \text{Var}_1$ , and  $K \succeq L$ . By Lemma A.5.1,  $M \in \mathcal{M}_3^{i::L}$ ,  $x^{i::K} \in \text{fv}(M)$ , and  $i :: K \succeq i :: L$ . Hence,  $M \in \text{OPEN}^{i::L}$ .

Let  $M \in \text{OPEN}^{i::L}$ . Then  $M \in \mathcal{M}_3^{i::L}$ ,  $x^K \in \text{fv}(M)$ ,  $x^K \in \text{Var}_1$ , and  $K \succeq i :: L$ . Therefore,  $K = i :: K'$ ,  $K_0 \succeq L$ , and  $\text{deg}(M) = i :: L$ . By Lemma A.5,  $M = N^{+i}$  such that  $N \in \mathcal{M}_3^L$  and  $x^{K'} \in \text{fv}(N)$ . Hence  $N \in \text{OPEN}^L$  and  $M \in (\text{OPEN}^L)^{+i}$ .

2. Let  $y \in \text{Var}_2$ ,  $My^K \in \text{OPEN}^L$ , then  $My^K \in \mathcal{M}_3^L$ ,  $x^{L'} \in \text{fv}(My^K)$ , and  $K' \succeq L$ . Because  $x \neq y$  then  $x^{L'} \in \text{fv}(M)$ . By definition,  $M \in \mathcal{M}_3^L$ , therefore  $M \in \text{OPEN}^L$ .
3. By definition of  $\text{OPEN}^L$ .
4. By definition of  $\text{OPEN}^L$ .  $\square$

**Proof:**

[Proof of Lemma 3.10]

1. We do two cases ( $r = \beta\eta$  and  $r = \beta$ ).

Case  $r = \beta\eta$ . It is easy to see that  $\forall x \in \text{Var}_1$ .  $\text{VAR}_x^\circ \subseteq \text{OPEN}^\circ \subseteq \mathbb{I}_{\beta\eta}(a)$ . Now we show that  $\mathbb{I}_{\beta\eta}(a)$  is  $\beta\eta$ -saturated. Let  $M \rightarrow_{\beta\eta}^* N$  and  $N \in \mathbb{I}_{\beta\eta}(a)$ .

- If  $N \in \text{OPEN}^\circ$  then  $N \in \mathcal{M}_3^\circ$ ,  $x \in \text{Var}_1$ , and  $x^L \in \text{fv}(N)$  for some  $L$ . By Theorem 2.1.2,  $\text{fv}(N) \subseteq \text{fv}(M)$  and  $\text{deg}(M) = \text{deg}(N)$ , hence,  $M \in \text{OPEN}^\circ$
- If  $N \in \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3^* a \rangle\}$  then  $N \rightarrow_{\beta\eta}^* N'$  and  $\exists \Gamma \subseteq \text{BPreEnv}^\circ$ , such that  $N' : \langle \Gamma \vdash_3^* a \rangle$ . Hence  $M \rightarrow_{\beta\eta}^* N'$  and since by Theorem 2.1.2,  $\text{deg}(M) = \text{deg}(N')$ ,  $M \in \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3^* a \rangle\}$ .

Case  $r = \beta$ . It is easy to see that  $\forall x \in \text{Var}_1$ .  $\text{VAR}_x^\circ \subseteq \text{OPEN}^\circ \subseteq \mathbb{I}_\beta(a)$ . Now we show that  $\mathbb{I}_\beta(a)$  is  $\beta$ -saturated. Let  $M \rightarrow_\beta^* N$  and  $N \in \mathbb{I}_\beta(a)$ .

- If  $N \in \text{OPEN}^\circ$  then  $N \in \mathcal{M}_3^\circ$ ,  $x \in \text{Var}_1$ , and  $x^L \in \text{fv}(N)$  for some  $L$ . By Theorem 2.1.2,  $\text{fv}(N) \subseteq \text{fv}(M)$  and  $\text{deg}(M) = \text{deg}(N)$ , hence,  $M \in \text{OPEN}^\circ$
- If  $N \in \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3 a \rangle\}$  then  $\exists \Gamma \subseteq \text{BPreEnv}^\circ$ , such that  $N : \langle \Gamma \vdash_3 a \rangle$ . By Theorem 2.5,  $M : \langle \Gamma \uparrow^M \vdash_3 a \rangle$ . Since by Theorem 2.1.2,  $\text{fv}(N) \subseteq \text{fv}(M)$ , let  $\text{fv}(N) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  and  $\text{fv}(M) = \text{fv}(N) \cup \{x_{n+1}^{L_{n+1}}, \dots, x_{n+m}^{L_{n+m}}\}$ . So  $\Gamma \uparrow^M = \Gamma, (x_{n+1}^{L_{n+1}} : \omega^{L_{n+1}}, \dots, x_{n+m}^{L_{n+m}} : \omega^{L_{n+m}})$ . For each  $i \in \{n+1, \dots, n+m\}$ , take  $U_i$  such that  $x_i^{L_i} \in \text{DVar}_{U_i}$ . Then  $\Gamma, (x_{n+1}^{L_{n+1}} : U_{n+1}, \dots, x_{n+m}^{L_{n+m}} : U_{n+m}) \subseteq \text{BPreEnv}^\circ$  and by Remark.2.1.4 and rule ( $\sqsubseteq$ ),  $M : \langle \Gamma, (x_{n+1}^{L_{n+1}} : U_{n+1}, \dots, x_{n+m}^{L_{n+m}} : U_{n+m}) \vdash_3 a \rangle$ . Thus  $M : \langle \text{BPreEnv}^\circ \vdash_3 a \rangle$  and since by Theorem 2.1.2,  $\text{deg}(M) = \text{deg}(N)$ ,  $M \in \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3 a \rangle\}$ .

## 2. By induction on $U$ .

- $U = a$ : By definition of  $\mathbb{I}_{\beta\eta}$ .
- $U = \omega^L$ : By definition,  $\mathbb{I}_{\beta\eta}(\omega^L) = \mathcal{M}_3^L$ . Hence,  $\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* \omega^L \rangle\} \subseteq \mathbb{I}_{\beta\eta}(\omega^L)$ . Let  $M \in \mathbb{I}_{\beta\eta}(\omega^L)$  where  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  then  $M \in \mathcal{M}_3^L$ . For each  $i \in \{1, \dots, n\}$ , take  $U_i$  such that  $x_i^{L_i} \in \text{DVar}_{U_i}$ . Then  $\Gamma = (x_i^{L_i} : U_i)_n \subseteq \text{BPreEnv}^L$ . By Lemma 2.5.2 and Lemma 3.8,  $M : \langle \Gamma \vdash_3 \omega^L \rangle$ . Hence  $M : \langle \text{BPreEnv}^L \vdash_3 \omega^L \rangle$ . Therefore,  $\mathbb{I}_{\beta\eta}(\omega^L) \subseteq \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* \omega^L \rangle\}$ . We deduce  $\mathbb{I}_{\beta\eta}(\omega^L) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* \omega^L \rangle\}$ .
- $U = e_i V$ :  $L = i :: K$  and  $\text{deg}(V) = K$ . By IH and Lemma 3.9,  $\mathbb{I}_{\beta\eta}(e_i V) = (\mathbb{I}_{\beta\eta}(V))^{+i} = (\text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\})^{+i} = \text{OPEN}^L \cup (\{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\})^{+i}$ .
  - If  $M \in \mathcal{M}_3^K$  and  $M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle$  then  $M \rightarrow_{\beta\eta}^* N$  and  $N : \langle \Gamma \vdash_3 V \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^K$ . By rule (exp), Lemmas A.5.6 and 3.8.2,  $N^{+i} : \langle e_i \Gamma \vdash_3 e_i V \rangle$ ,  $M^{+i} \rightarrow_{\beta\eta}^* N^{+i}$  and  $e_i \Gamma \subseteq \text{BPreEnv}^L$ . Thus  $M^{+i} \in \mathcal{M}_3^L$  and  $M^{+i} : \langle \text{BPreEnv}^L \vdash_3^* U \rangle$ .
  - If  $M \in \mathcal{M}_3^L$  and  $M : \langle \text{BPreEnv}^L \vdash_3^* U \rangle$ , then  $M \rightarrow_{\beta\eta}^* N$  and  $N : \langle \Gamma \vdash_3 U \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^L$ . By Lemmas A.5, 2.3, and 3.8.3,  $M^{-i} \rightarrow_{\beta\eta}^* N^{-i}$ ,  $N^{-i} : \langle \Gamma^{-i} \vdash_3 V \rangle$ , and  $\Gamma^{-i} \subseteq \text{BPreEnv}^K$ , and  $M = (M^{-i})^{+i}$ . Therefore  $M^{-i} \in \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\}$ .

Finally,  $(\{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\})^{+i} = \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U \rangle\}$  and  $\mathbb{I}_{\beta\eta}(U) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U \rangle\}$ .

- $U = U_1 \sqcap U_2$ : By IH,  $\mathbb{I}_{\beta\eta}(U_1 \sqcap U_2) = \mathbb{I}_{\beta\eta}(U_1) \cap \mathbb{I}_{\beta\eta}(U_2) = (\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \rangle\}) \cap (\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U_2 \rangle\}) = \text{OPEN}^L \cup (\{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \rangle\} \cap \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U_2 \rangle\})$ .
  - If  $M \in \mathcal{M}_3^L$ ,  $M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \rangle$  and  $M : \langle \text{BPreEnv}^L \vdash_3^* U_2 \rangle$  then  $M \rightarrow_{\beta\eta}^* N_1$ ,  $M \rightarrow_{\beta\eta}^* N_2$ ,  $N_1 : \langle \Gamma_1 \vdash_3 U_1 \rangle$  and  $N_2 : \langle \Gamma_2 \vdash_3 U_2 \rangle$  where  $\Gamma_1, \Gamma_2 \subseteq \text{BPreEnv}^L$ . By confluence Theorem 2.2 and subject reduction Theorem 2.4,  $\exists M'$  such that  $N_1 \rightarrow_{\beta\eta}^* M'$  and  $N_2 \rightarrow_{\beta\eta}^* M'$ ,  $M' : \langle \Gamma_1 \upharpoonright_{M'} \vdash_3 U_1 \rangle$  and  $M' : \langle \Gamma_2 \upharpoonright_{M'} \vdash_3 U_2 \rangle$ . Hence by Remark 2.1, Lemma 2.1, Theorem 2.3.2a, and Lemma A.18.2,  $M' : \langle (\Gamma_1 \sqcap \Gamma_2) \upharpoonright_{M'} \vdash_3 U_1 \sqcap U_2 \rangle$  and,

by Lemma 3.8.4,  $(\Gamma_1 \sqcap \Gamma_2) \upharpoonright_{M'} \subseteq \Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^L$ . Thus,  $M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \sqcap U_2 \rangle$ .

- If  $M \in \mathcal{M}_3^L$  and  $M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \sqcap U_2 \rangle$  then  $M \rightarrow_{\beta\eta}^* N$ ,  $N : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle$  and  $\Gamma \subseteq \text{BPreEnv}^L$ . By rule ( $\sqsubseteq$ ),  $N : \langle \Gamma \vdash_3 U_1 \rangle$  and  $N : \langle \Gamma \vdash_3 U_2 \rangle$ . Hence,  $M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \rangle$  and  $M : \langle \text{BPreEnv}^L \vdash_3^* U_2 \rangle$ .

We deduce that  $\mathbb{I}_{\beta\eta}(U_1 \sqcap T_2) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3^* U_1 \sqcap U_2 \rangle\}$ .

- $U = V \rightarrow T$ : Let  $\text{deg}(T) = \emptyset \preceq K = \text{deg}(V)$ . By IH,  $\mathbb{I}_{\beta\eta}(V) = \text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\}$  and  $\mathbb{I}_{\beta\eta}(T) = \text{OPEN}^\emptyset \cup \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3^* T \rangle\}$ . By definition,  $\mathbb{I}_{\beta\eta}(V \rightarrow T) = \mathbb{I}_{\beta\eta}(V) \rightsquigarrow \mathbb{I}_{\beta\eta}(T)$ .

- Let  $M \in \mathbb{I}_{\beta\eta}(V) \rightsquigarrow \mathbb{I}_{\beta\eta}(T)$  and, by Lemma 3.7, let  $y^K \in \text{DVar}_V$  such that  $\forall K. y^K \notin \text{fv}(M)$ . Then  $M \diamond y^K$ . By remark 2.1.3,  $y^K : \langle (y^K : V) \vdash_3^* V \rangle$ . Hence  $y^K : \langle \text{BPreEnv}^K \vdash_3^* V \rangle$ . Thus,  $y^K \in \mathbb{I}_{\beta\eta}(V)$  and  $M y^K \in \mathbb{I}_{\beta\eta}(T)$ .

\* If  $M y^K \in \text{OPEN}^\emptyset$  then since  $y \in \text{Var}_2$ , by Lemma 3.9,  $M \in \text{OPEN}^\emptyset$ .

\* If  $M y^K \in \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3^* T \rangle\}$  then  $M y^K \rightarrow_{\beta\eta}^* N$  and  $N : \langle \Gamma \vdash_3 T \rangle$  such that  $\Gamma \subseteq \text{BPreEnv}^\emptyset$ , hence,  $\lambda y^K. M y^K \rightarrow_{\beta\eta} \lambda y^K. N$ . We have two cases:

- If  $y^K \in \text{dom}(\Gamma)$  then  $\Gamma = \Delta$ ,  $(y^K : V)$  and by rule ( $\rightarrow_1$ ),  $\lambda y^K. N : \langle \Delta \vdash_3 V \rightarrow T \rangle$ .
- If  $y^K \notin \text{dom}(\Gamma)$ , let  $\Delta = \Gamma$ . By rule ( $\rightarrow'_1$ ),  $\lambda y^K. N : \langle \Delta \vdash_3 \omega^K \rightarrow T \rangle$ . By rule ( $\sqsubseteq$ ), since  $(\Delta \vdash_3 \omega^K \rightarrow T) \sqsubseteq (\Delta \vdash_3 V \rightarrow T)$  using Remark 2.1.4, we have  $\lambda y^K. N : \langle \Delta \vdash_3 V \rightarrow T \rangle$ .

Note that  $\Delta \subseteq \text{BPreEnv}^\emptyset$ . Because  $\lambda y^K. M y^K \rightarrow_{\beta\eta} M$  and  $\lambda y^K. M y^K \rightarrow_{\beta\eta} \lambda y^K. N$ , by confluence Theorem 2.2 and subject reduction Theorem 2.4, there is  $M'$  such that  $M \rightarrow_{\beta\eta} M'$ ,  $\lambda y^K. N \rightarrow_{\beta\eta} M'$ ,  $M' : \langle \Delta \upharpoonright_{M'} \vdash_3 V \rightarrow T \rangle$ . Since  $\Delta \upharpoonright_{M'} \subseteq \Delta \subseteq \text{BPreEnv}^\emptyset$ ,  $M : \langle \text{BPreEnv}^\emptyset \vdash_3^* V \rightarrow T \rangle$ .

- Let  $M \in \text{OPEN}^\emptyset \cup \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3^* V \rightarrow T \rangle\}$  and  $N \in \mathbb{I}_{\beta\eta}(V) = \text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\}$  such that  $M \diamond N$ . Then,  $\text{deg}(N) = K \succeq \emptyset = \text{deg}(M)$ .

\* If  $M \in \text{OPEN}^\emptyset$  then, by Lemma 3.9.3,  $MN \in \text{OPEN}^\emptyset$ .

\* If  $M \in \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3^* V \rightarrow T \rangle\}$  then:

• If  $N \in \text{OPEN}^K$  then, by Lemma 3.9.3,  $MN \in \text{OPEN}^\emptyset$ .

• If  $N \in \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3^* V \rangle\}$  then  $M \rightarrow_{\beta\eta}^* M_1$ ,  $N \rightarrow_{\beta\eta}^* N_1$ ,  $M_1 : \langle \Gamma_1 \vdash_3 V \rightarrow T \rangle$  and  $N_1 : \langle \Gamma_2 \vdash_3 V \rangle$  where  $\Gamma_1 \subseteq \text{BPreEnv}^\emptyset$  and  $\Gamma_2 \subseteq \text{BPreEnv}^K$ . By Lemma A.2.1 and Theorem 2.1.2  $\text{deg}(M) = \text{deg}(M_1)$ ,  $\text{deg}(N) = \text{deg}(N_1)$ , and  $M_1 \diamond N_2$ . Therefore,  $MN \rightarrow_{\beta\eta}^* M_1 N_1$ . By rule ( $\rightarrow_\text{E}$ ) and Lemma 2.5.3,  $M_1 N_1 : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ . By Lemma 3.8.4,  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^\emptyset$ . Therefore  $MN : \langle \text{BPreEnv}^\emptyset \vdash_3^* T \rangle$ .

We deduce that  $\mathbb{I}_{\beta\eta}(V \rightarrow T) = \text{OPEN}^\emptyset \cup \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3^* V \rightarrow T \rangle\}$ .

3. We only do the case  $r = \beta$ . By induction on  $U$ .

- $U = a$ : By definition of  $\mathbb{I}_\beta$ .

- $U = \omega^L$ : By definition,  $\mathbb{I}_\beta(\omega^L) = \mathcal{M}_3^L$ . Hence,  $\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 \omega^L \rangle\} \subseteq \mathbb{I}_\beta(\omega^L)$ . Let  $M \in \mathbb{I}_\beta(\omega^L)$  where  $\text{fv}(M) = \{x_1^{L_1}, \dots, x_n^{L_n}\}$  then  $M \in \mathcal{M}_3^L$ . For each  $i \in \{1, \dots, n\}$ , we take  $U_i$  to be the type such that  $x_i^{L_i} \in \text{DVar}_{U_i}$ . Then  $\Gamma = (x_i^{L_i} : U_i)_n \subseteq \text{BPreEnv}^L$ . By Lemma 2.5.2 and Lemma 3.8.1,  $M : \langle \Gamma \vdash_3 \omega^L \rangle$ . Hence  $M : \langle \text{BPreEnv}^L \vdash_3 \omega^L \rangle$ . Therefore,  $\mathbb{I}_\beta(\omega^L) \subseteq \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 \omega^L \rangle\}$ . Finally,  $\mathbb{I}_\beta(\omega^L) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 \omega^L \rangle\}$ .
- $U = e_i V$ :  $L = i :: K$  and  $\text{deg}(V) = K$ . By IH and Lemma 3.9.1,  $\mathbb{I}_\beta(e_i V) = (\mathbb{I}_\beta(V))^{+i} = (\text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\})^{+i} = \text{OPEN}^L \cup (\{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\})^{+i}$ .
  - If  $M \in \mathcal{M}_3^K$  and  $M : \langle \text{BPreEnv}^K \vdash_3 V \rangle$  then  $M : \langle \Gamma \vdash_3 V \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^K$ . By rule (exp) and Lemma 3.8.2,  $M^{+i} : \langle e_i \Gamma \vdash_3 e_i V \rangle$  and  $e_i \Gamma \subseteq \text{BPreEnv}^L$ . Thus  $M^{+i} \in \mathcal{M}_3^L$  and  $M^{+i} : \langle \text{BPreEnv}^L \vdash_3 U \rangle$ .
  - If  $M \in \mathcal{M}_3^L$  and  $M : \langle \text{BPreEnv}^L \vdash_3 U \rangle$ , then  $M : \langle \Gamma \vdash_3 U \rangle$  where  $\Gamma \subseteq \text{BPreEnv}^L$ . By Lemmas 2.3, and 3.8.3,  $M^{-i} : \langle \Gamma^{-i} \vdash_3 V \rangle$  and  $\Gamma^{-i} \subseteq \text{BPreEnv}^K$ . Thus by Lemma A.5,  $M = (M^{-i})^{+i}$  and  $M^{-i} \in \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\}$ .

Finally,  $(\{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\})^{+i} = \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$  and  $\mathbb{I}_\beta(U) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U \rangle\}$ .

- $U = U_1 \sqcap U_2$ : By IH,  $\mathbb{I}_\beta(U_1 \sqcap U_2) = \mathbb{I}_\beta(U_1) \cap \mathbb{I}_\beta(U_2) = (\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U_1 \rangle\}) \cap (\text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U_2 \rangle\}) = \text{OPEN}^L \cup (\{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U_1 \rangle\} \cap \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U_2 \rangle\})$ .
  - If  $M \in \mathcal{M}_3^L$ ,  $M : \langle \text{BPreEnv}^L \vdash_3 U_1 \rangle$  and  $M : \langle \text{BPreEnv}^L \vdash_3 U_2 \rangle$  then  $M : \langle \Gamma_1 \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma_2 \vdash_3 U_2 \rangle$  where  $\Gamma_1, \Gamma_2 \subseteq \text{BPreEnv}^L$ . Hence by Remark 2.1.1,  $M : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 U_1 \sqcap U_2 \rangle$  and, by Lemma 3.8.4,  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^L$ . Thus  $M : \langle \text{BPreEnv}^L \vdash_3 U_1 \sqcap U_2 \rangle$ .
  - If  $M \in \mathcal{M}_3^L$  and  $M : \langle \text{BPreEnv}^L \vdash_3 U_1 \sqcap U_2 \rangle$  then  $M : \langle \Gamma \vdash_3 U_1 \sqcap U_2 \rangle$  and  $\Gamma \subseteq \text{BPreEnv}^L$ . By rule ( $\sqsubseteq$ ),  $M : \langle \Gamma \vdash_3 U_1 \rangle$  and  $M : \langle \Gamma \vdash_3 U_2 \rangle$ . Hence,  $M : \langle \text{BPreEnv}^L \vdash_3 U_1 \rangle$  and  $M : \langle \text{BPreEnv}^L \vdash_3 U_2 \rangle$ .

We deduce that  $\mathbb{I}_\beta(U_1 \sqcap U_2) = \text{OPEN}^L \cup \{M \in \mathcal{M}_3^L \mid M : \langle \text{BPreEnv}^L \vdash_3 U_1 \sqcap U_2 \rangle\}$ .

- $U = V \rightarrow T$ : Let  $\text{deg}(T) = \emptyset \preceq K = \text{deg}(V)$ . By IH,  $\mathbb{I}_\beta(V) = \text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\}$  and  $\mathbb{I}_\beta(T) = \text{OPEN}^\emptyset \cup \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3 T \rangle\}$ . Note that  $\mathbb{I}_\beta(V \rightarrow T) = \mathbb{I}_\beta(V) \rightsquigarrow \mathbb{I}_\beta(T)$ .
  - Let  $M \in \mathbb{I}_\beta(V) \rightsquigarrow \mathbb{I}_\beta(T)$  and, by Lemma 3.7, let  $y^K \in \text{DVar}_V$  such that  $\forall K. y^K \notin \text{fv}(M)$ . Then  $M \diamond y^K$ . By remark 2.1.3,  $y^K : \langle (y^K : V) \vdash_3^* V \rangle$ . Hence  $y^K : \langle \text{BPreEnv}^K \vdash_3 V \rangle$ . Thus,  $y^K \in \mathbb{I}_\beta(V)$  and  $M y^K \in \mathbb{I}_\beta(T)$ .
    - \* If  $M y^K \in \text{OPEN}^\emptyset$  then since  $y \in \text{Var}_2$ , by Lemma 3.9.2,  $M \in \text{OPEN}^\emptyset$ .
    - \* If  $M y^K \in \{M \in \mathcal{M}_3^\emptyset \mid M : \langle \text{BPreEnv}^\emptyset \vdash_3 T \rangle\}$  then  $M y^K : \langle \Gamma \vdash_3 T \rangle$  such that  $\Gamma \subseteq \text{BPreEnv}^\emptyset$ . By Theorem 2.3.2a,  $\text{dom}(\Gamma) = \text{fv}(M y^K)$  and  $y^K \in \text{fv}(M y^K)$ ,  $\Gamma = \Delta, (y^K : V')$ . Since  $(y^K : V') \in \text{BPreEnv}^\emptyset$ , by Lemma 3.7.3,  $V = V'$ . So  $M y^K : \langle \Delta, (y^K : V) \vdash_3 T \rangle$  and by Lemma A.13.1,  $M : \langle \Delta \vdash_3 V \rightarrow T \rangle$ . Note that  $\Delta \subseteq \text{BPreEnv}^\emptyset$ , hence  $M : \langle \text{BPreEnv}^\emptyset \vdash_3 V \rightarrow T \rangle$ .

- Let  $M \in \text{OPEN}^\circ \cup \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3 V \rightarrow T \rangle\}$  and  $N \in \mathbb{I}_\beta(V) = \text{OPEN}^K \cup \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\}$  such that  $M \diamond N$ . Then,  $\text{deg}(N) = K \succeq \circ = \text{deg}(M)$ .
  - \* If  $M \in \text{OPEN}^\circ$  then, by Lemma 3.9.3,  $MN \in \text{OPEN}^\circ$ .
  - \* If  $M \in \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3 V \rightarrow T \rangle\}$  then
    - If  $N \in \text{OPEN}^K$  then, by Lemma 3.9.4,  $MN \in \text{OPEN}^\circ$ .
    - If  $N \in \{M \in \mathcal{M}_3^K \mid M : \langle \text{BPreEnv}^K \vdash_3 V \rangle\}$  then  $M : \langle \Gamma_1 \vdash_3 V \rightarrow T \rangle$  and  $N : \langle \Gamma_2 \vdash_3 V \rangle$  where  $\Gamma_1 \subseteq \text{BPreEnv}^\circ$  and  $\Gamma_2 \subseteq \text{BPreEnv}^K$ . By rule ( $\rightarrow_E$ ) and Lemma 2.5.3,  $MN : \langle \Gamma_1 \sqcap \Gamma_2 \vdash_3 T \rangle$ . By Lemma 3.8.4,  $\Gamma_1 \sqcap \Gamma_2 \subseteq \text{BPreEnv}^\circ$ . Therefore  $MN : \langle \text{BPreEnv}^\circ \vdash_3 T \rangle$ .

We deduce that  $\mathbb{I}_\beta(V \rightarrow T) = \text{OPEN}^\circ \cup \{M \in \mathcal{M}_3^\circ \mid M : \langle \text{BPreEnv}^\circ \vdash_3 V \rightarrow T \rangle\}$ . □

### C. Embedding of a system close to CDV in our type system $\vdash_3$

Let us now present a sketched proof of the embedding of a restricted version [7, 8], which we call RCDV, of the well known intersection type system CDV, both introduced by Coppo, Dezani, and Venneri [8] and recalled by Van Bakel [1], in our type system  $\vdash_3$ .

Let us provide an alternative presentation of RCDV's normalised types:

$$\begin{array}{llll}
 \varphi & \in & \text{RCDVTyVar} & \text{(a countably infinite set of type variables)} \\
 \phi & \in & \text{RCDVTy} & ::= \varphi \mid \sigma \rightarrow \phi \\
 \sigma & \in & \text{RCDVITy} & ::= \omega \mid \phi_1 \cap \dots \cap \phi_n, \text{ where } n \geq 1
 \end{array}$$

Even though we provide an alternative presentation of RCDV we shall prefix entities and rules names of this system with "RCDV" in this section.

Let the form  $\cap_n \sigma_i$  be a notation for  $\phi_1 \cap \dots \cap \phi_n$ . A basis (set of type assignments) is written  $B$  ( $\in \text{RCDVBasis}$ ) and  $\cap_n B_i$  is similar to our intersection of type environments (without indexes).

Let us now recall their type system (the original version of RCDV is presented in a natural deduction fashion):

$$\begin{array}{ll}
 \frac{}{x : \phi \vdash x : \phi} \text{ (RCDV-Ax)} & \frac{B_1 \vdash M : \phi_1 \quad \dots \quad B_n \vdash M : \phi_n}{\cap_n B_i \vdash M : \cap_n \phi_i} \text{ (RCDV-}\cap\text{I)} \\
 \\
 \frac{}{\vdash M : \omega} \text{ (RCDV-}\omega\text{)} & \frac{B_1 \vdash M : \sigma \rightarrow \phi \quad B_2 \vdash N : \sigma}{B_1 \cap B_2 \vdash MN : \phi} \text{ (RCDV-}\rightarrow\text{E)} \\
 \\
 \frac{B, x : \sigma \vdash M : \phi}{B \vdash \lambda x. M : \sigma \rightarrow \phi} \text{ (RCDV-}\rightarrow\text{I)} & \frac{B \vdash M : \phi \quad x \text{ does not occur in } B}{B \vdash \lambda x. M : \omega \rightarrow \phi} \text{ (RCDV-a)}
 \end{array}$$

Coppo, Dezani and Venneri [8] allow the  $\omega$  type to be a normalised type in their RCDV system. They then consider many restrictions on normalised types and in their typing rules to disallow the use of  $\omega$  at many places, which is why we chose to consider an alternative presentation of their system.

Let us now define an erasure function on our types and type environments. Informally, this erasure remove all the indexes and expansion variables from our different syntactic objects. Let us assume that

there exists a bijective function  $\text{bijtyvar}$  from  $\text{TyVar}$  to  $\text{RCDVTyVar}$ . The erasure on types is as follows:  $\text{er}(a) = \text{bijtyvar}(a)$ ,  $\text{er}(U \rightarrow T) = \text{er}(U) \rightarrow \text{er}(T)$ ,  $\text{er}(U_1 \sqcap U_2) = \text{er}(U_1) \cap \text{er}(U_2)$ ,  $\text{er}(\omega^L) = \omega$  and  $\text{er}(e_i U) = \text{er}(U)$ . One can check that the erasure of a type in  $\text{ITy}_3$  is in  $\text{RCDVTy}$  and that the erasure of a type in  $\text{Ty}_3$  is in  $\text{RCDVITy}$ . We trivially extend the erasure function to type environments.

Let us define a decoration function to decorate  $\lambda$ -terms. Let  $\text{dec}(x) = x^\circ$ ,  $\text{dec}(\lambda x.M) = \lambda x^\circ.\text{dec}(M)$  and  $\text{dec}(MN) = \text{dec}(M)\text{dec}(N)$ . One can check (by induction on the structure of  $M$ ) that the decoration of an undecorated  $\lambda$ -term  $M$  (such that each variable is decorated with the index  $\circ$ ) is in  $\mathcal{M}_3^\circ$ . In our simple embedding the untyped  $\lambda$ -calculus is embedded in  $\mathcal{M}_3^\circ$  which is the range of our decoration function.

Let us prove that if  $\phi \in \text{RCDVTy}$  is a normalised type then there exists  $T \in \text{Ty}_3$  such that  $\text{er}(T) = \phi$ , if  $\sigma \in \text{RCDVITy}$  is a normalised intersection type then there exists  $U \in \text{ITy}_3$  such that  $\text{er}(U) = \sigma$ , if  $B \in \text{RCDVBasis}$  then there exists a type environment  $\Gamma$  such that  $\text{er}(\Gamma) = B$ , and if  $B \vdash M : \sigma$  then there exists  $\Gamma$  and  $U$  such that  $\text{er}(\Gamma) = B$ ,  $\text{er}(U) = \sigma$ , and  $\text{dec}(M) : \langle \Gamma \uparrow^{\text{dec}(M)} \vdash_3 U \rangle$ .

Let  $\phi \in \text{RCDVTy}$  be a normalised type and  $\sigma \in \text{RCDVITy}$  be a normalised intersection type. We now provide a sketch of the proof (by induction on the structures of  $\phi$  and  $\sigma$ ) that there exists  $T \in \text{Ty}_3$  such that  $\text{er}(T) = \phi$  and that there exists  $U \in \text{ITy}_3$  such that  $\text{er}(U) = \sigma$ : let  $\phi = \varphi$  then there exists  $a \in \text{TyVar}$  such that  $\text{bijtyvar}(a) = \varphi$  and  $\text{er}(a) = \text{bijtyvar}(a) = \varphi$ ; let  $\phi = \sigma \rightarrow \phi'$  then  $\sigma$  is a normalised intersection type and  $\phi'$  is a normalised type, by induction hypothesis there exists  $T \in \text{Ty}_3$  such that  $\text{er}(T) = \phi'$  and  $U \in \text{ITy}_3$  such that  $\text{er}(U) = \sigma$ , so  $\text{er}(U \rightarrow T) = \phi$ ; let  $\sigma = \bigcap_n \phi_i$  then for all  $i$ ,  $\phi_i$  is a normalised type, by induction hypothesis, for all  $i$ , there exists  $T_i \in \text{Ty}_3$  such that  $\text{er}(T_i) = \phi_i$ , so,  $\text{er}(T_1 \sqcap \dots \sqcap T_n) = \sigma$ ; let  $\sigma = \omega$  then take  $U = \omega^\circ$  for example.

Let us provide a sketch of the proof that if  $B \vdash M : \sigma$  then there exists  $\Gamma$  and  $U$  such that  $\text{er}(\Gamma) = B$ ,  $\text{er}(U) = \sigma$  and  $\text{dec}(M) : \langle \Gamma \uparrow^{\text{dec}(M)} \vdash_3 U \rangle$ .

- (RCDV-Ax): let  $x : \phi \vdash x : \phi$ . We proved that there exists  $T \in \text{Ty}_3$  such that  $\text{er}(T) = \phi$  and  $x^\circ : \langle (x^\circ : T) \vdash_3 T \rangle$  by rule (ax).
- (RCDV- $\omega$ ): let  $\vdash M : \omega$  then using rule ( $\omega$ ),  $\text{dec}(M) : \langle \text{env}_{\text{dec}(M)}^\circ \vdash_3 \omega^\circ \rangle$ .
- (RCDV- $\rightarrow$ I): let  $B \vdash \lambda x.M : \sigma \rightarrow \phi$  such that  $B, x : \sigma \vdash M : \phi$ . By induction hypothesis, there exists  $\Gamma'$  and  $T$  such that  $\text{er}(\Gamma') = (B, x : \sigma)$ ,  $\text{er}(T) = \phi$  and  $\text{dec}(M) : \langle \Gamma' \uparrow^{\text{dec}(M)} \vdash_3 T \rangle$ . Because  $x \in \text{fv}(M)$  then we can prove that  $x^\circ \in \text{fv}(\text{dec}(M))$  and  $\Gamma' \uparrow^{\text{dec}(M)} = \Gamma \uparrow^{\text{dec}(\lambda x.M)}$ ,  $(x^\circ : U)$  such that  $\text{er}(U) = \sigma$ . By rule ( $\rightarrow$ I),  $\lambda x^\circ.\text{dec}(M) : \langle \Gamma \uparrow^{\text{dec}(\lambda x.M)} \vdash_3 U \rightarrow T \rangle$ .
- (RCDV-a): let  $B \vdash \lambda x.M : \omega \rightarrow \phi$  such that  $B \vdash M : \phi$  and where  $x$  does not occur in  $B$ . By induction hypothesis, there exists  $\Gamma$  and  $T$  such that  $\text{er}(\Gamma) = B$ ,  $\text{er}(T) = \phi$  and  $\text{dec}(M) : \langle \Gamma \uparrow^{\text{dec}(M)} \vdash_3 T \rangle$ . Because  $x$  does not occur in  $B$  then  $x \notin \text{fv}(M)$  and by rule ( $\rightarrow$ I'),  $\lambda x^\circ.\text{dec}(M) : \langle \Gamma \uparrow^{\text{dec}(\lambda x.M)} \vdash_3 \omega^\circ \rightarrow T \rangle$ .
- (RCDV- $\rightarrow$ E): let  $B_1 \sqcap B_2 \vdash MN : \phi$  such that  $B_1 \vdash M : \sigma \rightarrow \phi$  and  $B_2 \vdash N : \sigma$ . By induction hypothesis we can prove that there exist  $\Gamma_1, \Gamma_2, U$  and  $T$  such that  $\text{er}(\Gamma_1) = B_1$ ,  $\text{er}(\Gamma_2) = B_2$ ,  $\text{er}(U) = \sigma$ ,  $\text{er}(T) = \phi$ ,  $\text{dec}(M) : \langle \Gamma_1 \uparrow^{\text{dec}(M)} \vdash_3 U \rightarrow T \rangle$  and  $\text{dec}(N) : \langle \Gamma_2 \uparrow^{\text{dec}(N)} \vdash_3 U \rangle$ . Because  $\Gamma_1 \uparrow^{\text{dec}(M)}$  and  $\Gamma_2 \uparrow^{\text{dec}(N)}$  are compatible then by rule ( $\rightarrow$ E),  $MN : \langle \Gamma_1 \uparrow^{\text{dec}(M)} \sqcap \Gamma_2 \uparrow^{\text{dec}(N)} \vdash_3 T \rangle$  and we can prove that  $\Gamma_1 \uparrow^{\text{dec}(M)} \sqcap \Gamma_2 \uparrow^{\text{dec}(N)} = (\Gamma_1 \sqcap \Gamma_2) \uparrow^{\text{dec}(MN)}$  and that  $\text{er}(\Gamma_1 \sqcap \Gamma_2) = \sqcap\{B_1, B_2\}$ .



- (RCDV- $\cap$  I): let  $\cap_n B_i \vdash M : \cap_n \phi_i$  such that  $B_i \vdash M : \phi_i$ , for all  $i$ . Then we can conclude using Remark 2.1.

The type system introduced at the beginning of this section can then be embedded into our type system without making use of expansion variables and restraining the space of meaning  $\mathcal{M}_3$  to the basis  $\mathcal{M}_3^\emptyset$ .

Unfortunately, as mentioned in Sec. 4, we do not believe that it would be possible to embed RCDV in our system such that we would make use of the expansion variables “as much as possible”.