

# First order phase transitions - PhD students aren't always wrong

Marco Mueller (good guy), Wolfhard Janke (good guy),  
Des Johnston (bad guy)  
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# Plan of talk

Phase transitions, first and second order

Phase transitions on a computer (lattice models)

A problem (with simulations of first order transitions)

A solution

# First and Second Order Transitions

**First**-order phase transitions are those that involve a latent heat.

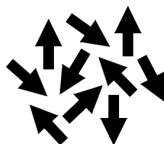
**Second**-order transitions are also called continuous phase transitions. They are characterized by a divergent susceptibility, an infinite correlation length, and a power-law decay of correlations near criticality.

# Transitions - piccies

First order - melting



Second order - Curie



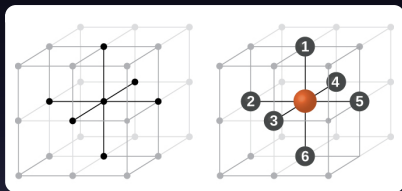
Applied Magnetic  
Field Absent



Applied Magnetic  
Field Present

# Transitions - on/in a computer

Spins interact with nearest neighbours



Low-T, like to align - ordered phase

High-T, disordered phase

# Transitions - on/in a computer

Hamiltonian  $q$ -state Potts,  $\sigma = 1 \dots q$

$$\mathcal{H}_q = - \sum_{\langle ij \rangle} \delta_{\sigma_i, \sigma_j}$$

Evaluate a partition function,  $\beta = 1/k_b T$

$$Z(\beta) = \sum_{\{\sigma\}} \exp(-\beta \mathcal{H}_q)$$

Derivatives of free energy give observables (energy, magnetization..)

$$F(\beta) = \ln Z(\beta)$$

# Measure 1001 Different Observables

Order parameter

$$M = (q \max\{n_i\} - N)/(q - 1)$$

Per-site quantities denoted by  $e = E/N$  and  $m = M/N$

$$u(\beta) = \langle E \rangle / N,$$

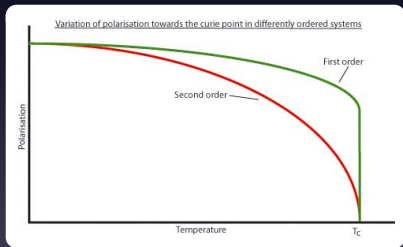
$$C(\beta) = \beta^2 N[\langle e^2 \rangle - \langle e \rangle^2].$$

$$m(\beta) = \langle |m| \rangle,$$

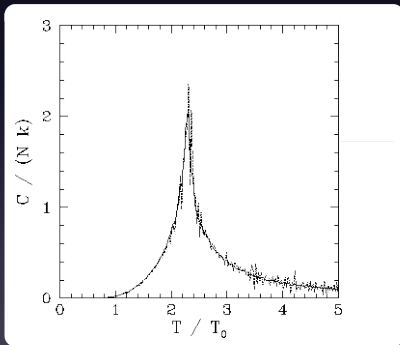
$$\chi(\beta) = \beta N[\langle m^2 \rangle - \langle |m| \rangle^2]$$

# First and Second Order Transitions - Piccies

First order - discontinuities in magnetization, energy (latent heat)



Second order - divergences in specific heat, susceptibility





# Continuous Transitions - Critical exponents

(Continuous) Phase transitions characterized by critical exponents

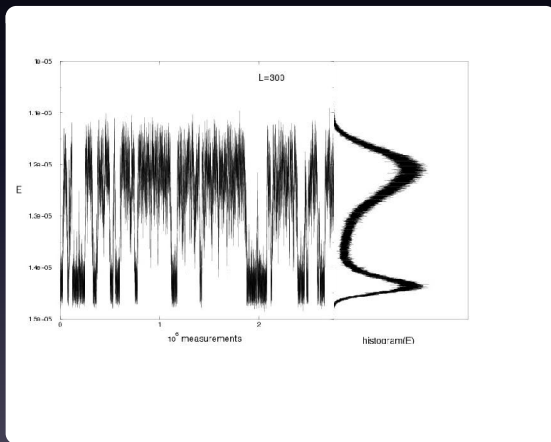
Define  $t = |T - T_c|/T_c$

Then in general,  $\xi \sim t^{-\nu}$ ,  $M \sim t^\beta$ ,  $C \sim t^{-\alpha}$ ,  $\chi \sim t^{-\gamma}$

Can be rephrased in terms of the linear size of a system  $L$

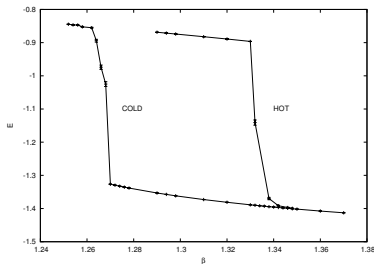
$\xi \sim L$ ,  $M \sim L^{-\beta/\nu}$ ,  $C \sim L^{\alpha/\nu}$ ,  $\chi \sim L^{\gamma/\nu}$

# What does a first order system look like (at PT) I?



# What does a first order system look like (at PT) II?

## Hysteresis



# 1st Order FSS: Heuristic two-phase model

A fraction  $W_o$  in  $q$  ordered phase(s), energy  $e_o$

A fraction  $W_d = 1 - W_o$  in disordered phase, energy  $e_d$

Ignore transits

# 1st Order FSS: Energy moments

Energy moments become

$$\langle e^n \rangle = W_o e_o^n + (1 - W_o) e_d^n$$

And the specific heat then reads:

$$C_V(\beta, L) = L^d \beta^2 \left( \langle e^2 \rangle - \langle e \rangle^2 \right) = L^d \beta^2 W_o (1 - W_o) \Delta e^2$$

Max of  $C_V^{\max} = L^d (\beta^\infty \Delta e / 2)^2$  at  $W_o = W_d = 0.5$

Volume scaling

# 1st Order FSS: Specific Heat peak shift

Probability of being in any of the states

$$W_o \sim q \exp(-\beta L^d f_o), \quad W_d \sim \exp(-\beta L^d f_d)$$

Take logs, expand around  $\beta^\infty$

$$\begin{aligned} \ln(W_o/W_d) &= \ln q + \beta L^d (f_d - f_o) \\ &= \ln q + L^d \Delta e (\beta - \beta^\infty) \end{aligned}$$

Solve for specific heat peak  $W_o = W_d, \ln(W_o/W_d) = 0$

$$\beta^{C_V^{\max}}(L) = \beta^\infty - \frac{\ln q}{L^d \Delta e} + \dots$$

# 1st Order FSS: summary

Peaks **grow** as  $L^d$

Transition point estimates **shift** as  $1/L^d$

# Strong First Order Transition

Plaquette Ising model

$$\mathcal{H} = - \sum_{\square} \sigma_i \sigma_j \sigma_k \sigma_l$$

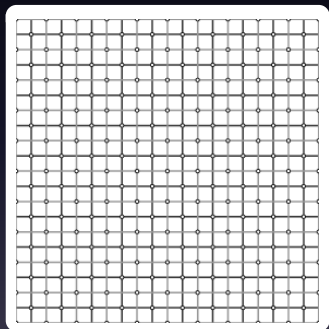
Only inaccurate (yours truly...) determination of transition point

Same for **dual** model - only inaccurate (yours truly ...) determination of transition point

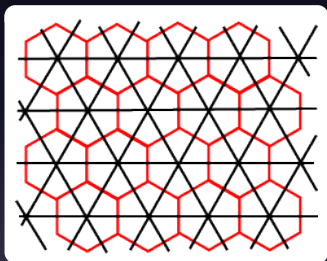


# Duality - geometric

Square lattice - self dual



Triangle - hexagon dual



# Duality - spin models

Spins on original lattice  $\leftrightarrow$  spins on dual lattice

High-T  $\leftrightarrow$  Low-T

$$\tanh \beta = e^{-2\beta^*}$$

Ising, square lattice

$$\begin{aligned} Z(\beta) &\simeq (1 + N \tanh(\beta)^4 + \dots) \\ Z(\beta^*) &\simeq (1 + N \exp(-2\beta^*)^4 + \dots) \end{aligned}$$

# Duality - plaquette spin model

Plaquette Ising model

$$\mathcal{H} = - \sum_{\square} \sigma_i \sigma_j \sigma_k \sigma_l$$

Dual to this

$$\mathcal{H}_{dual} = - \sum_{\langle ij \rangle_x} \sigma_i \sigma_j - \sum_{\langle ij \rangle_y} \tau_i \tau_j - \sum_{\langle ij \rangle_z} \sigma_i \sigma_j \tau_i \tau_j,$$

# Exercise for a starting PhD student (Marco Mueller)

Simulate  $3d$  plaquette model and dual

Do a better job than, ahem, before at determining transition point of both

Obtain consistent estimates of transition point

# A Problem

Determine critical point(s)  $L = 8 \dots 27$ , periodic bc,  $1/L^3$  fits

Original model:

$$\beta^\infty = 0.549994(30)$$

Dual model:

$$\beta_{dual}^\infty = 1.31029(19)$$

$$\beta^\infty = 0.55317(11)$$

**Estimates are about 30 error bars apart**

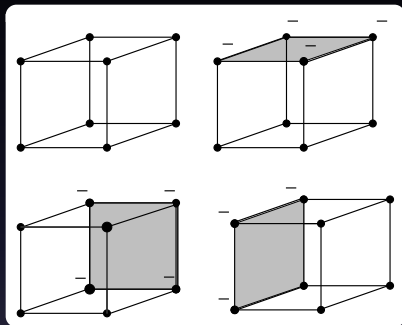
# (Non) Solutions

Blame the student (yours truly....)

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Think - What is special about plaquette model?

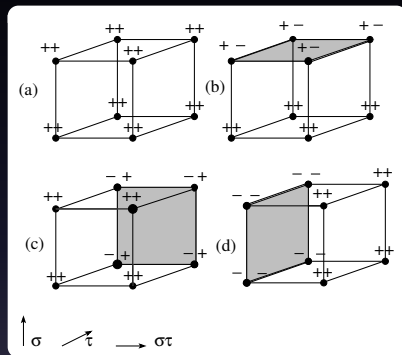
# Groundstates: Plaquette



Degeneracy  $2^{3L}$

$$\mathcal{H} = - \sum_{\square} \sigma_i \sigma_j \sigma_k \sigma_l$$

# Groundstates: Dual

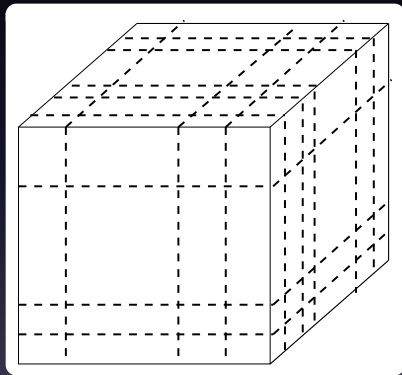


Degeneracy  $2^{3L}$

$$\mathcal{H}_{dual} = - \sum_{\langle ij \rangle_x} \sigma_i \sigma_j - \sum_{\langle ij \rangle_y} \tau_i \tau_j - \sum_{\langle ij \rangle_z} \sigma_i \sigma_j \tau_i \tau_j,$$



# Typical Ground state



# 1st Order FSS with Exponential Degeneracy

Normally  $q$  is constant

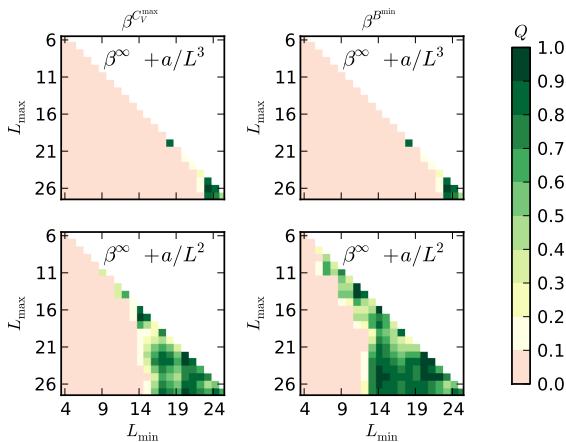
Suppose instead  $q \propto e^L$  ( $q = e^{(3 \ln 2)L}$ )

$$\beta^{C_V^{\max}}(L) = \beta^\infty - \frac{\ln q}{L^d \Delta e} + \dots$$

becomes

$$\beta^{C_V^{\max}}(L) = \beta^\infty - \frac{3 \ln 2}{L^{d-1} \Delta e} + \dots$$

# Quality of fits



Forcing a fit to  $1/L^3$  gives much poorer quality

# Conclusions

Standard 1st order FSS:  $1/L^3$  corrections in 3D

Exponential degeneracy:  $1/L^2$  corrections in 3D

PhD students are not always wrong

# References

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M. Mueller, D. A. Johnston and W. Janke, Nucl. Phys. B **888** (2014) 214; Nucl. Phys. B **894** (2015) 1.

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