# Statistical Machine Learning for Theorem Proving: Automated or Interactive?* 

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## Outline

(1) Motivation
(2) Proof pattern recognition in ATPs
(3) Proof pattern recognition in ITPs
(4) Conclusions

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## (1) Motivation

## (2) Proof pattern recognition in ATPs

(3) Proof pattern recognition in ITPs
(4) Conclusions

## Motivation

- Automated Theorem Provers (ATPs) and SAT/SMT solvers are
- ... fast and efficient;
- ... applied in different contexts: program verification, scheduling, test case generation, etc.


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- Automated Theorem Provers (ATPs) and SAT/SMT solvers are
- ... fast and efficient;
- ... applied in different contexts: program verification, scheduling, test case generation, etc.
- Interactive Theorem Provers (ITPs) have been
- ... enriched with dependent types, (co)inductive types, type classes and provide rich programming environments;
- ... applied in formal mathematical proofs: Four Colour Theorem (60, 000 lines), Kepler conjecture (325, 000 lines), Feit-Thompson Theorem (170, 000 lines), etc.
- ... applied in industrial proofs: seL4 microkernel (200, 000 lines), verified C compiler (50, 000 lines), ARM microprocessor ( 20,000 lines), etc.


## Challenges

- ...size of ATPs and ITPs libraries stand on the way of efficient knowledge reuse;
- ... manual handling of various proofs, strategies, libraries, becomes difficult;
- ...team-development is hard, especially that ITPs are sensitive to notation;
- ...comparison of proof similarities is hard.


## Running example

Java Virtual Machine (JVM) is a stack-based abstract machine which can execute Java bytecode.

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## Goal

- Model a subset of the JVM in CoQ, defining an interpreter for JVM programs,
- Verify the correctness of JVM programs within Coq.


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## Goal

- Model a subset of the JVM in CoQ, defining an interpreter for JVM programs,
- Verify the correctness of JVM programs within Coq.

This work is inspired by:

- H. Liu and J S. Moore. Executable JVM model for analytical reasoning: a study. Journal Science of Computer Programming - Special issue on advances in interpreters, virtual machines and emulators (IVME'03), 57(3):253-274, 2003.


## Running example

Java code:
static int factorial (int n )
\{
int $\mathrm{a}=1$;
while ( $\mathrm{n}!=0$ ) $\{$
$\mathrm{a}=\mathrm{a} * \mathrm{n}$;
$\mathrm{n}=\mathrm{n}-1$;
\}
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\}

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        \(\mathrm{n}=\mathrm{n}-1\);
        \}
    return a;
\}
```

| Bytecode: |  |  |
| :---: | :--- | :--- |
| 0 | $:$ | iconst 1 |
| 1 | $:$ | istore 1 |
| 2 | $:$ | iload 0 |
| 3 | $:$ | ifeq 13 |
| 4 | $:$ | iload 1 |
| 5 | $:$ | iload 0 |
| 6 | $:$ | imul |
| 7 | $:$ | istore 1 |
| 8 | $:$ | iload 0 |
| 9 | $:$ | iconst 1 |
| 10 | $:$ | isub |
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## Running example

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Java code:
static int factorial(int n)
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    int a = 1;
    while (n != 0){
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11 : istore 0
12 : goto 2
13 : iload 1
14 : ireturn

JVM model:
counter:
0
stack:

local variables:

| 5 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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## JVM model:

counter:
1
stack:

local variables:

| 5 |  |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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## JVM model:

## counter:

2
stack:

local variables:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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```
Java code:
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JVM model:
counter:
3
stack:

local variables:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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Java code:
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14 : ireturn

## JVM model:

counter:
4
stack:

local variables:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

## Running example

```
Java code:
static int factorial(int n)
{
    int a = 1;
    while (n != 0){
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JVM model:
counter:
5
stack:

local variables:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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```
Java code:
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## JVM model:

## counter:

6
stack:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

local variables:

| 5 | 1 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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Java code:
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## JVM model:

## counter:

7
stack:

local variables:


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## JVM model:

## counter:

8
stack:

local variables:

| 5 | 5 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

## Running example

```
Java code:
static int factorial(int n)
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## JVM model:

## counter:

9
stack:

| 5 |  | $\ldots$ |
| :--- | :--- | :--- |

local variables:


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Java code:
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JVM model:
counter: 10
stack:

| 1 | 5 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

local variables:

| 5 | 5 |  | $\ldots$ |
| :--- | :--- | :--- | :--- |

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JVM model:
counter:
11
stack:

| 4 |  | $\ldots$ |
| :--- | :--- | :--- |

local variables:

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JVM model:
counter:
12
stack:

local variables:

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JVM model:
counter:
2
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local variables:


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## Bytecode:

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Java code:

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counter:
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JVM model:
counter:
15
stack:

| 120 |  |  |
| :--- | :--- | :--- |

local variables:


## Goal (Factorial case)

$\forall n \in \mathbb{N}$, running the bytecode associated with the factorial program with $n$ as input produces a state which contains $n$ ! on top of the stack.

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## (1) Motivation

(2) Proof pattern recognition in ATPs

## (3) Proof pattern recognition in ITPs

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## Proof pattern recognition in ATPs

Given a proof goal, ATPs apply various lemmas to rewrite or simplify the goal until it is proven.

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Apply machine-learning techniques to improve the premise selection procedure on the basis of previous experience.

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## References:

$\square$ D. Kühlwein et al. MaSh: Machine Learning for Sledgehammer. In ITP'13, 2013C. Kaliszyk and J. Urban. Learning-assisted Automated Reasoning with Flyspeck. 2012

D. Kühlwein et al. Overview and evaluation of premise selection techniques for large theory mathematics. In IJCAR12, LNCS 7364, pages 378-392, 2012.

E. Tsivtsivadze et al. Semantic graph kernels for automated reasoning. In SDM11, pages 795-803, 2011.

## Application to ITPs

Several ITPs use ATPs to discharge proof obligations. Then, the ATP approach can be used to speed up those proofs.

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First-order fragments of: Mizar, HOL, etc.
feature

extraction $\cdots \cdots \cdot$| Supervised Learning: |
| :---: |
| SVMs, Naive Bayesian |

proof reconstruction premise hierarchy

Automated proof:
Vampire, CVC3, etc.

## Intuitive idea

## Goal

Determine the lemmas that can be useful to prove the equivalence between the recursive and tail-recursive versions of factorial.

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A classifier for each lemma in the library.


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Determine the lemmas that can be useful to prove the equivalence between the recursive and tail-recursive versions of factorial.

Training phase:

- lemma $A$ is used in the proof of lemma $B \Longrightarrow$ $<A>(B)=1$;
- otherwise $\Longrightarrow<A>(B)=0$;


## Intuitive idea

## Goal

Determine the lemmas that can be useful to prove the equivalence between the recursive and tail-recursive versions of factorial.

Testing phase:


## Features of this approach

(1) Feature extraction:

- features are extracted from first-order formulas;
- sparse feature vectors ( $10^{6}$ features);
- classifier for every lemma of the library.

First-order fragments of:
Mizar, HOL, etc.

premise hierarchy

> Automated proof: Vampire, CVC3, etc.

## Features of this approach

(2) Machine-learning tools:

- work with supervised learning;
- algorithms range from SVMs to Naive Bayes learning;
- sparse methods; using software such as SNoW.

First-order fragments of:
Mizar, HOL, etc.


## Features of this approach

(3) Main improvement:

- the number of goals proven automatically increases by up to 20\% - 40\%

First-order fragments of:
Mizar, HOL, etc.
feature

extraction $\rightarrow \cdot$| Supervised Learning: |
| :---: |
| SVMs, Naive Bayesian |

proof reconstruction
premise hierarchy

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Vampire, CVC3, etc.

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In ITPs, the proof steps are suggested by the user who guides the prover by providing the tactics.

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Goal
Apply machine-learning methods to:

- find common proof-patterns in proofs across various scripts, libraries, users and notations;
- and provide proof-hints.


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In ITPs, the proof steps are suggested by the user who guides the prover by providing the tactics.

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Apply machine-learning methods to:

- find common proof-patterns in proofs across various scripts, libraries, users and notations;
- and provide proof-hints.

ML4PG:

- Proof General extension which applies machine learning methods to Coq/SSReflect proofs.
E. Komendantskaya, J. Heras and G. Grov. Machine learning in Proof General: interfacing interfaces. EPTCS Post-proceedings of User Interfaces for Theorem Provers. 2013.


## A proof in Coq/SSReflect

## emacs@joheras-HP-Compaq-6730b-GW687AV

File Edit Options Buffers Tools Coq Proof-General Holes Help


Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * $\mathrm{n}^{\text {' }}$ !.

Proof.

```
-U:**- lists.v All L1 (Coq Script(0) Holes)--------------------------------
```

1 subgoals, subgoal 1 (ID 13)
============================
forall n a : nat, fact_tail_aux $\mathrm{n} \mathrm{a}=\mathrm{a} * \mathrm{n}^{\prime}$ !
$\square$

Katya and Jónathan

## A proof in Coq/SSReflect

```
emacs@joheras-HP-Compaq-6730b-GW687AV
File Edit Options Buffers Tools Coq Proof-General Holes Help
```



```
Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * \(\mathrm{n}^{\text {' }}\) !.
Proof.
move => n.
```




```
    1 subgoals, subgoal 1 (ID 14)
    n : nat
```



```
    forall a : nat, fact_tail_aux n a = a * n'!
```



## A proof in Coq/SSReflect

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File Edit Options Buffers Tools Coq Proof-General Holes Help


Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * $\mathrm{n}^{\text {' }}$ !.

Proof.
move $=>$ n. elim : $n=>$ [a| n IH a /=].

```
-U:**- lists.v All L1 (Coq Script(0) Holes)
```

2 subgoals, subgoal 1 (ID 24)
a : nat
============================
fact_tail_aux 0 a = a * $0^{\prime}$ !
subgoal 2 (ID 28) is:
fact_tail_aux $\mathrm{n}(\mathrm{n} .+1 * \mathrm{a})=\mathrm{a} *(\mathrm{n} .+1)^{\prime}$ !


## A proof in Coq/SSReflect

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File Edit Options Buffers Tools Coq Proof-General Holes Help


Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * $\mathrm{n}^{\prime}$ !.

Proof.
move $=>\mathrm{n}$. elim : $\mathrm{n} \Rightarrow$ [a| n IH $\mathrm{a} /=$ ]. by rewrite /theta_fact fact0 muln1.

```
-U:**_ lists.v All L1 (Coq Script(0) Holes)
```

    1 subgoals, subgoal 1 (ID 28)
    n : nat
    IH : forall a : nat, fact_tail_aux n a = a * n'!
    a : nat
    ============================
    fact_tail_aux \(\mathrm{n}(\mathrm{n} .+1 * \mathrm{a})=\mathrm{a} *(\mathrm{n} .+1)^{\prime}\) !
    

## ML4PG

## ML4PG assists the user providing similar lemmas as proof hints.



## Feature extraction mechanism

```
Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * \(\mathrm{n}^{\prime}\) !.
```

Proof.

|  | tactics | $N$ tactics | arg type | tactic arg is hypothesis? | top symbol | subgoals |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| g1 |  |  |  |  |  |  |
| g2 |  |  |  |  |  |  |
| g3 |  |  |  |  |  |  |
| g4 |  |  |  |  |  |  |
| $g 5$ |  |  |  |  |  |  |

## Feature extraction mechanism

```
Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a
    * n'!.
Proof.
move => n.
```

|  | tactics | $N$ tactics | arg type | tactic arg is hypothesis? | top symbol | subgoals |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| g1 | move | 1 | nat | no | forall | 1 |
| g2 |  |  |  |  |  |  |
| g3 |  |  |  |  |  |  |
| g4 |  |  |  |  |  |  |
| g5 |  |  |  |  |  |  |

## Feature extraction mechanism

```
Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a
    * n'!.
Proof.
move => n. elim : n => [a| n IH a /=].
```

|  | tactics | N tactics | arg type | tactic arg is hypothesis? | top symbol | subgoals |
| :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| g1 | move | 1 | nat | no | forall | 1 |
| g2 | elim, move | 2 | nat, $[$ nat $\mid$ nat Prop nat $]$ | yes | forall | 2 |
| g3 |  |  |  |  |  |  |
| $g 4$ |  |  |  |  |  |  |
| $g 5$ |  |  |  |  |  |  |

## Feature extraction mechanism

Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * $\mathrm{n}^{\prime}$ !.

Proof.
move => n. elim : n => [a| n IH a /=].
by rewrite /theta_fact fact0 muln1.

|  | tactics | N tactics | arg type | tactic arg is hypothesis? | top symbol | subgoals |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| g1 | move | 1 | nat | no | forall | 1 |
| $g 2$ | elim, move | 2 | nat, $[$ nat $\mid$ nat Prop nat $]$ | yes | forall | 2 |
| g3 | rewrite | 1 | Prop, Prop, Prop | EL, EL, EL | equal | 1 |
| $g 4$ |  |  |  |  |  |  |
| $g 5$ |  |  |  |  |  |  |

## Features of this approach

(1) Feature extraction:

- features are extracted from higher-order propositions and proofs;
- feature extraction is built on the method of proof-traces;
- the feature vectors are fixed at the size of 30;
- longer proofs are analysed by means of the proof-patch method.



## Features of this approach

(2) Machine-learning tools:

- work with unsupervised learning (clustering) algorithms implemented in MATLAB and Weka;
- use algorithms such as Gaussian, K-means, and farthest-first.



## A proof in Coq/SSReflect with ML4PG help

## emacs@joheras-HP-Compaq-6730b-GW687AV

File Edit Options Buffers Tools Coq Proof-General Holes Help
$\odot \propto$ Х

Lemma fact_tail_aux_lemma : forall (a n : nat), fact_tail_aux n a = a * $\mathrm{n}^{\text {' }}$ !.

Proof.
move $=>\mathrm{n}$. elim : $\mathrm{n}=>$ [a| n IH a /=].
by rewrite /theta_fact fact0 muln1.

## -U:**- lists.v All L1 (Coq Script(0) Holes)-

## n : nat

IH : forall a : nat,
fact_tail_aux n a = a * $n^{\prime}$ !
a : nat
=============================
fact_tail_aux n (n.+1 * a)
This lemma is similar to lemmas:

- mult_tail_aux_lemma
- power_tail_aux_lemma
- expt_tail_aux_lemma
a * (n. +1$)^{\prime}$ !


## Outline

## (1) Motivation

## (2) Proof pattern recognition in ATPs

(3) Proof pattern recognition in ITPs
(4) Conclusions

## Conclusions

# Different Machine Learning methods are suitable for ATPs and ITPs. 

# Statistical Machine Learning for Theorem Proving: Automated or Interactive?* 

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11 \text { April } 2013
$$

[^1]
[^0]:    *Funded by EPSRC First Grant Scheme

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