

Is the s_e calculus strongly normalising?

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The $\lambda\sigma$ -calculus

Terms $\Lambda\sigma^t ::= \mathbf{1} \mid \Lambda\sigma^t\Lambda\sigma^t \mid \lambda\Lambda\sigma^t \mid \Lambda\sigma^t[\Lambda\sigma^s]$
Substitutions $\Lambda\sigma^s ::= id \mid \uparrow \mid \Lambda\sigma^t \cdot \Lambda\sigma^s \mid \Lambda\sigma^s \circ \Lambda\sigma^s$

<i>(Beta)</i>	$(\lambda a) b$	\longrightarrow	$a [b \cdot id]$
<i>(VarId)</i>	$1 [id]$	\longrightarrow	1
<i>(VarCons)</i>	$1 [a \cdot s]$	\longrightarrow	a
<i>(App)</i>	$(a b)[s]$	\longrightarrow	$(a [s]) (b [s])$
<i>(Abs)</i>	$(\lambda a)[s]$	\longrightarrow	$\lambda(a [1 \cdot (s \circ \uparrow)])$
<i>(Clos)</i>	$(a [s])[t]$	\longrightarrow	$a [s \circ t]$
<i>(IdL)</i>	$id \circ s$	\longrightarrow	s
<i>(ShiftId)</i>	$\uparrow \circ id$	\longrightarrow	\uparrow
<i>(ShiftCons)</i>	$\uparrow \circ (a \cdot s)$	\longrightarrow	s
<i>(Map)</i>	$(a \cdot s) \circ t$	\longrightarrow	$a [t] \cdot (s \circ t)$
<i>(Ass)</i>	$(s_1 \circ s_2) \circ s_3$	\longrightarrow	$s_1 \circ (s_2 \circ s_3)$

We can code n by the term $1[\uparrow^{n-1}]$.

The λv -rules

$$\begin{aligned}\Lambda v^t &::= \mathbf{I}N \mid \Lambda v^t \Lambda v^t \mid \lambda \Lambda v^t \mid \Lambda v^t[\Lambda v^s] \\ \Lambda v^s &::= \uparrow \mid \uparrow (\Lambda v^s) \mid \Lambda v^t.\end{aligned}$$

<i>(Beta)</i>	$(\lambda a) b$	\longrightarrow	$a [b/]$
<i>(App)</i>	$(a b)[s]$	\longrightarrow	$(a [s]) (b [s])$
<i>(Abs)</i>	$(\lambda a)[s]$	\longrightarrow	$\lambda(a [\uparrow(s)])$
<i>(FVar)</i>	$1 [a/]$	\longrightarrow	a
<i>(RVar)</i>	$\mathbf{n} + 1 [a/]$	\longrightarrow	\mathbf{n}
<i>(FVarLift)</i>	$1 [\uparrow(s)]$	\longrightarrow	1
<i>(RVarLift)</i>	$\mathbf{n} + 1 [\uparrow(s)]$	\longrightarrow	$\mathbf{n} [s] [\uparrow]$
<i>(VarShift)</i>	$\mathbf{n} [\uparrow]$	\longrightarrow	$\mathbf{n} + 1$

The $\lambda\sigma_{\uparrow}$ -rules

$$\Lambda\sigma_{\uparrow}^t ::= \mathcal{N} \mid \Lambda\sigma_{\uparrow}^t \Lambda\sigma_{\uparrow}^t \mid \lambda\Lambda\sigma_{\uparrow}^t \mid \Lambda\sigma_{\uparrow}^t[\Lambda\sigma_{\uparrow}^s]$$

$$\Lambda\sigma_{\uparrow}^s ::= id \mid \uparrow \mid \uparrow(\Lambda\sigma_{\uparrow}^s) \mid \Lambda\sigma_{\uparrow}^t \cdot \Lambda\sigma_{\uparrow}^s \mid \Lambda\sigma_{\uparrow}^s \circ \Lambda\sigma_{\uparrow}^s.$$

<i>(Beta)</i>	$(\lambda a) b$	\longrightarrow	$a [b \cdot id]$
<i>(App)</i>	$(a b)[s]$	\longrightarrow	$(a [s]) (b [s])$
<i>(Abs)</i>	$(\lambda a)[s]$	\longrightarrow	$\lambda(a [\uparrow(s)])$
<i>(Clos)</i>	$(a [s])[t]$	\longrightarrow	$a [s \circ t]$
<i>(Varshift1)</i>	$\mathbf{n} [\uparrow]$	\longrightarrow	$\mathbf{n} + 1$
<i>(Varshift2)</i>	$\mathbf{n} [\uparrow \circ s]$	\longrightarrow	$\mathbf{n} + 1 [s]$
<i>(FVarCons)</i>	$\mathbf{1} [a \cdot s]$	\longrightarrow	a
<i>(RVarCons)</i>	$\mathbf{n} + 1 [a \cdot s]$	\longrightarrow	$\mathbf{n} [s]$
<i>(FVarLift1)</i>	$\mathbf{1} [\uparrow(s)]$	\longrightarrow	$\mathbf{1}$
<i>(FVarLift2)</i>	$\mathbf{1} [\uparrow(s) \circ t]$	\longrightarrow	$\mathbf{1} [t]$

$(RVarLift1)$	$\mathbf{n} + 1 [\uparrow(s)]$	\longrightarrow	$\mathbf{n}[s \circ \uparrow]$
$(RVarLift2)$	$\mathbf{n} + 1 [\uparrow(s) \circ t]$	\longrightarrow	$\mathbf{n}[s \circ (\uparrow \circ t)]$
(Map)	$(a \cdot s) \circ t$	\longrightarrow	$a[t] \cdot (s \circ t)$
(Ass)	$(s \circ t) \circ u$	\longrightarrow	$s \circ (t \circ u)$
$(ShiftCons)$	$\uparrow \circ (a \cdot s)$	\longrightarrow	s
$(ShiftLift1)$	$\uparrow \circ \uparrow(s)$	\longrightarrow	$s \circ \uparrow$
$(ShiftLift2)$	$\uparrow \circ (\uparrow(s) \circ t)$	\longrightarrow	$s \circ (\uparrow \circ t)$
$(Lift1)$	$\uparrow(s) \circ \uparrow(t)$	\longrightarrow	$\uparrow(s \circ t)$
$(Lift2)$	$\uparrow(s) \circ (\uparrow(t) \circ u)$	\longrightarrow	$\uparrow(s \circ t) \circ u$
$(LiftEnv)$	$\uparrow(s) \circ (a \cdot t)$	\longrightarrow	$a \cdot (s \circ t)$
(IdL)	$id \circ s$	\longrightarrow	s
(IdR)	$s \circ id$	\longrightarrow	s
$(LiftId)$	$\uparrow(id)$	\longrightarrow	id
(Id)	$a[id]$	\longrightarrow	a

Lambda calculus with de Bruijn indices

- $\Lambda ::= \mathbb{N} \mid (\Lambda\Lambda) \mid (\lambda\Lambda) \qquad (\lambda A) B \rightarrow_{\beta} A\{\{1 \leftarrow B\}\}$

- *meta-updatings* $U_k^i : \Lambda \rightarrow \Lambda$ for $k \geq 0$ and $i \geq 1$:

$$U_k^i(AB) \equiv U_k^i(A) U_k^i(B) \qquad U_k^i(\lambda A) \equiv \lambda(U_{k+1}^i(A))$$

$$U_k^i(\mathbf{n}) \equiv \begin{cases} \mathbf{n} + \mathbf{i} - 1 & \text{if } n > k \\ \mathbf{n} & \text{if } n \leq k. \end{cases}$$

- *meta-substitutions* at level $i \geq 1$, of a term $B \in \Lambda$ in a term $A \in \Lambda$:

$$(A_1 A_2) \{\{i \leftarrow B\}\} \equiv (A_1 \{\{i \leftarrow B\}\}) (A_2 \{\{i \leftarrow B\}\})$$

$$(\lambda A) \{\{i \leftarrow B\}\} \equiv \lambda (A \{\{i + 1 \leftarrow B\}\})$$

$$n \{\{i \leftarrow B\}\} \equiv \begin{cases} n - 1 & \text{if } n > i \\ U_0^i(B) & \text{if } n = i \\ n & \text{if } n < i. \end{cases}$$

• **Lemma 1.**

$$- U_k^i(A) \{\{n \leftarrow B\}\} \equiv U_k^{i-1}(A) \quad \text{if } k < n < k + i$$

$$U_k^i(A) \{\{n \leftarrow B\}\} \equiv U_k^i(A \{\{n - i + 1 \leftarrow B\}\}) \quad \text{if } k + i < n$$

$$- U_k^i(U_p^j(A)) \equiv U_p^{j+i-1}(A) \quad \text{if } p \leq k < j + p$$

$$U_k^i(U_p^j(A)) \equiv U_p^j(U_{k+1-j}^i(A)) \quad \text{if } j + p \leq k + 1$$

- **Meta-substitution lemma** For $1 \leq i \leq n$ we have:

$$A \{\{i \leftarrow B\}\} \{\{n \leftarrow C\}\} \equiv A \{\{n + 1 \leftarrow C\}\} \{\{i \leftarrow B \{\{n - i + 1 \leftarrow C\}\}\}\}.$$

- **Distribution lemma**

$$\text{For } n \leq k + 1 \text{ we have: } U_k^i(A \{\{n \leftarrow B\}\}) \equiv U_{k+1}^i(A) \{\{n \leftarrow U_{k-n+1}^i(B)\}\}.$$

The λ_s -calculus

$\Lambda s ::= \mathcal{I}N \mid \Lambda s \Lambda s \mid \lambda \Lambda s \mid \Lambda s \sigma^j \Lambda s \mid \varphi_k^i \Lambda s \quad \text{where } j, i \geq 1, k \geq 0.$

<i>σ-generation</i>	$(\lambda a) b$	\longrightarrow	$a \sigma^1 b$
<i>σ-λ-transition</i>	$(\lambda a) \sigma^j b$	\longrightarrow	$\lambda(a \sigma^{j+1} b)$
<i>σ-app-transition</i>	$(a_1 a_2) \sigma^j b$	\longrightarrow	$(a_1 \sigma^j b) (a_2 \sigma^j b)$
<i>σ-destruction</i>	$\mathbf{n} \sigma^j b$	\longrightarrow	$\begin{cases} \mathbf{n} - 1 & \text{if } n > j \\ \varphi_0^j b & \text{if } n = j \\ \mathbf{n} & \text{if } n < j \end{cases}$
<i>φ-λ-transition</i>	$\varphi_k^i(\lambda a)$	\longrightarrow	$\lambda(\varphi_{k+1}^i a)$
<i>φ-app-transition</i>	$\varphi_k^i(a_1 a_2)$	\longrightarrow	$(\varphi_k^i a_1) (\varphi_k^i a_2)$
<i>φ-destruction</i>	$\varphi_k^i \mathbf{n}$	\longrightarrow	$\begin{cases} \mathbf{n} + \mathbf{i} - 1 & \text{if } n > k \\ \mathbf{n} & \text{if } n \leq k \end{cases}$

The extra rules of the λ_{S_e} -calculus

- $\Lambda_{S_{op}} ::= \mathbf{V} \mid \mathbf{IN} \mid \Lambda_{S_{op}}\Lambda_{S_{op}} \mid \lambda\Lambda_{S_{op}} \mid \Lambda_{S_{op}}\sigma^j\Lambda_{S_{op}} \mid \varphi_k^i\Lambda_{S_{op}}$
- *Loss of confluence*

$$(X\sigma^1 Y)\sigma^1 \mathbf{1} \leftarrow ((\lambda X)Y)\sigma^1 \mathbf{1} \rightarrow ((\lambda X)\sigma^1 \mathbf{1})(Y\sigma^1 \mathbf{1})$$

$(X\sigma^1 Y)\sigma^1 \mathbf{1}$ and $((\lambda X)\sigma^1 \mathbf{1})(Y\sigma^1 \mathbf{1})$ *have no common reduct*

σ - σ -transition	$(a \sigma^i b) \sigma^j c$	\longrightarrow	$(a \sigma^{j+1} c) \sigma^i (b \sigma^{j-i+1} c)$	if $i \leq j$
σ - φ -transition 1	$(\varphi_k^i a) \sigma^j b$	\longrightarrow	$\varphi_k^{i-1} a$	if $k < j < k + i$
σ - φ -transition 2	$(\varphi_k^i a) \sigma^j b$	\longrightarrow	$\varphi_k^i (a \sigma^{j-i+1} b)$	if $k + i \leq j$
φ - σ -transition	$\varphi_k^i (a \sigma^j b)$	\longrightarrow	$(\varphi_{k+1}^i a) \sigma^j (\varphi_{k+1-j}^i b)$	if $j \leq k + 1$
φ - φ -transition 1	$\varphi_k^i (\varphi_l^j a)$	\longrightarrow	$\varphi_l^j (\varphi_{k+1-j}^i a)$	if $l + j \leq k$
φ - φ -transition 2	$\varphi_k^i (\varphi_l^j a)$	\longrightarrow	$\varphi_l^{j+i-1} a$	if $l \leq k < l + j$

- For every $\xi \in \{\sigma, \sigma_{\uparrow}, v, s\}$, ξ is SN and $\lambda\xi$ is confluent [1, 4, 9, 10, 6, 2] on closed terms.
- Only $\lambda\sigma_{\uparrow}$ and the λs_e are confluent on open terms [3, 7]
- Only λv and λs have Preservation of Strong Normalisation (PSN) [6, 2]
- λs has an extension λs_e [7] which is confluent on open terms, but λv does not.
- Is s_e Strongly Normalising? We know s_e Weakly Normalising [7].

- We have fully proof checked the proof of SN of σ in ALF, we have investigated different termination techniques, but are still unable to show SN of s_e .

Techniques for showing the σ -calculus is SN

There are various proofs of this theorem in the literature:

1. The first is based on the strong normalisation of *SUBST* [5], which is, within *CCL*, the set of rewriting rules that compute the substitutions.
2. The proof in [4] shows the termination of σ via a strict translation from σ to another calculus σ_0 (an economic variant of σ) and the termination of σ_0 .
3. Zantema gives two proofs in [9, 10]. The first is based on a suitable generalisation of polynomial orders to show the termination of the calculus σ_0 (and hence the termination of σ). The second uses semantic labelling to show the termination of σ .

These techniques cannot be applied for s_e

- **Problem 1: Unable to use recursive path ordering** By taking a look at the s_e -rules, it becomes obvious that the unfriendly rules, with respect to SN, are σ - σ -transition and to a lesser extent φ - σ -transition. These rules prevent us from finding an order on the set of operators in order to solve the normalisation problem with a recursive path ordering (rpo).
- **Problem 2: Unable to use Zantema's distribution elimination lemma.** The s_e -rules "look like" associative rules but unfortunately they are not; e.g. in σ - σ -transition one could think the σ^j -operator distributes over the σ^i -operator, but it is not a "true" distribution: σ^j changes to σ^{j+1} when acting on the first term and to σ^{j-i+1} when acting on the second. This prevents use of Zantema's distribution elimination method [9] to show SN.

Can we apply modularity?

- Another technique to show SN is modularity where SN is proved for certain subcalculi s_e which are shown to satisfy a commutation property.

- s_e can be divided into two subcalculi which are SN.

$$*\varphi = \{\sigma\text{-}\varphi\text{-tr.1}, \sigma\text{-}\varphi\text{-tr.2}, \varphi\text{-}\varphi\text{-tr.1}, \varphi\text{-}\varphi\text{-tr.2}\},$$

$$*\sigma = \{\sigma\text{-}\sigma\text{-tr.}, \varphi\text{-}\sigma\text{-tr.}\},$$

$$*\varphi^- = \{\sigma\text{-}\varphi\text{-tr.1}, \varphi\text{-}\varphi\text{-tr.2}\}, \quad *\varphi^{--} = \{\sigma\text{-}\varphi\text{-tr.2}, \varphi\text{-}\varphi\text{-tr.1}\}.$$

Note that $s_e = (s + *\varphi) + *\sigma$.

- Unfortunately, the needed commutation results do not hold.

SN of $s + * \varphi$

- We prove that $s + * \varphi$ is SN by giving a weight that decreases by reduction.
- Let $P : \Lambda_{s_{op}} \rightarrow \mathbb{N}$ and $W : \Lambda_{s_{op}} \rightarrow \mathbb{N}$ be defined by:

$$P(X) = P(\mathbf{n}) = 2$$

$$P(ab) = P(a) + P(b)$$

$$P(\lambda a) = P(a)$$

$$P(a \sigma^j b) = j * P(a) * P(b)$$

$$P(\varphi_k^i a) = (k + 1) * (P(a) + 1)$$

$$W(X) = W(\mathbf{n}) = 1$$

$$W(ab) = W(a) + W(b) + 1$$

$$W(\lambda a) = W(a) + 1$$

$$W(a \sigma^j b) = 2 * W(a) * (W(b) + 1)$$

$$W(\varphi_k^i a) = 2 * W(a)$$

- $(W(a), P(a))$ decreases with the lexicographical order for each $s + * \varphi$ -reduction.

The $\lambda\omega$ - and $\lambda\omega_e$ -calculi

- In order to establish SN of $*\sigma$, we will use an isomorphism established in [8] between λs_e and $\lambda\omega_e$, a calculus written in the $\lambda\sigma$ -style.

Terms $\Lambda\omega^t ::= \mathcal{I}N \mid \Lambda\omega^t \Lambda\omega^t \mid \lambda\Lambda\omega^t \mid \Lambda\omega^t[\Lambda\omega^s]_j$
Substitutions $\Lambda\omega^s ::= \uparrow^i \mid \Lambda\omega^t /$

σ -generation	$(\lambda a) b$	\longrightarrow	$a [b/]_1$
σ -app-transition	$(a b)[s]_j$	\longrightarrow	$(a [s]_j) (b [s]_j)$
σ - λ -transition	$(\lambda a)[s]_j$	\longrightarrow	$\lambda(a [s]_{j+1})$
σ -/-destruction	$\mathbf{n}[a/]_j$	\longrightarrow	$\begin{cases} \mathbf{n} - 1 & \text{if } n > j \\ a[\uparrow^{j-1}]_1 & \text{if } n = j \\ \mathbf{n} & \text{if } n < j \end{cases}$
σ - \uparrow -destruction	$\mathbf{n}[\uparrow^i]_j$	\longrightarrow	$\begin{cases} \mathbf{n} + \mathbf{i} & \text{if } n \geq j \\ \mathbf{n} & \text{if } n < j \end{cases}$

Figure 1: The $\lambda\omega$ -calculus

Open Terms $\Lambda\omega_{op}^t ::= \mathbf{V} \mid \mathbf{N} \mid \Lambda\omega_{op}^t \Lambda\omega_{op}^t \mid \lambda\Lambda\omega_{op}^t \mid \Lambda\omega_{op}^t[\Lambda\omega_{op}^s]_j$
Substitutions $\Lambda\omega_{op}^s ::= \uparrow^i \mid \Lambda\omega_{op}^t /$

$\sigma\text{-}/\text{-transition}$	$a [b/]_k [s]_j \longrightarrow a [s]_{j+1} [b[s]_{j-k+1}/]_k$	if $k \leq j$
$/\text{-}\uparrow\text{-transition}$	$a [\uparrow^i]_k [b/]_j \longrightarrow$	$\begin{cases} a [b/]_{j-i} [\uparrow^i]_k & \text{if } k + i \leq j \\ a [\uparrow^{i-1}]_k & \text{if } k \leq j < k + i \end{cases}$
$\uparrow\text{-}\uparrow\text{-transition}$	$a [\uparrow^i]_k [\uparrow^l]_j \longrightarrow$	$\begin{cases} a [\uparrow^l]_{j-i} [\uparrow^i]_k & \text{if } k + i < j \\ a [\uparrow^{i+l}]_k & \text{if } k \leq j \leq k + i \end{cases}$

Figure 2: The new rules of the $\lambda\omega_e$ -calculus

Properties of $\lambda\omega$ and $\lambda\omega_e$

1. The ω -calculus is SN and confluent on $\Lambda\omega^t$.
2. Let $a, b \in \Lambda$. If $a \twoheadrightarrow_{\lambda\omega} b$ then $a \twoheadrightarrow_{\beta} b$. If $a \rightarrow_{\beta} b$ then $a \twoheadrightarrow_{\lambda\omega} b$.
3. The $\lambda\omega$ -calculus is confluent on $\Lambda\omega^t$.
4. Pure terms which are SN in the λ -calculus are also SN in the $\lambda\omega$ -calculus.
5. The ω_e -calculus is weakly normalising and confluent.
6. The $\lambda\omega_e$ -calculus is confluent on open terms.

7. Let $a, b \in \Lambda$. If $a \twoheadrightarrow_{\lambda\omega_e} b$ then $a \twoheadrightarrow_{\beta} b$. If $a \rightarrow_{\beta} b$ then $a \twoheadrightarrow_{\lambda\omega_e} b$.

SN for $*\sigma$

- To prove SN for $*\sigma$ we use the above isomorphism and the technique that Zantema used to prove SN for the calculus whose only rule is σ - σ -*transition*.
- Following this isomorphism, the schemes σ - σ -*tr.* and φ - σ -*tr.* of λs_e both translate into the same scheme of $\lambda \omega_e$, namely σ - σ -*transition*.
- Hence, to show that $*\sigma$ is SN, it is enough to show that the calculus whose only rule is σ - σ -*transition*, let us call it σ - σ -*calculus*, is SN.
 1. The σ - σ -*calculus* is weakly normalising.
 2. The σ - σ -*calculus* is locally confluent.
 3. The σ - σ -*calculus* is increasing.

Commutation does not hold

- $*\sigma$ does not commute over $s + *\varphi$.

Let $k + i \leq j$, $h \leq j - i + 1$ and $h > k + 1$. Now take the following derivation:

$$(\varphi_k^i(a \sigma^h b)) \sigma^j c \rightarrow_{*\varphi} \varphi_k^i((a \sigma^h b) \sigma^{j-i+1} c) \rightarrow_{\sigma-\sigma-tr} \varphi_k^i((a \sigma^{j-i+2} c) \sigma^h (b \sigma^{j-i-h+2} c))$$

It is easy to see that $(\varphi_k^i(a \sigma^h b)) \sigma^j c$ does not contain any $*\sigma$ -redex.

- $s + *\varphi$ does not commute over $*\sigma$.

Let $i \leq j$ and take the derivation:

$$((\lambda a) \sigma^i b) \sigma^j c \rightarrow_{\sigma-\sigma-tr} ((\lambda a) \sigma^{j+1} c) \sigma^i (b \sigma^{j-i+1} c) \rightarrow_s (\lambda(a \sigma^{j+2} c)) \sigma^i (b \sigma^{j-i+1} c).$$

Reducing the only s -redex in $((\lambda a) \sigma^i b) \sigma^j c$ we get $(\lambda(a \sigma^{i+1} b)) \sigma^j c$ which also has a unique s -redex. Reducing it we get $\lambda((a \sigma^{i+1} b) \sigma^{j+1} c)$ and now there

is only the σ - σ -*transition* redex which gives us $\lambda((a \sigma^{j+2} c) \sigma^{i+1} (b \sigma^{j-i+1} c))$ which has no further redexes. Therefore, $(\lambda(a \sigma^{j+2} c)) \sigma^i (b \sigma^{j-i+1} c)$ cannot be reached from $((\lambda a) \sigma^i b) \sigma^i c$ with an s_e -derivation beginning with an s -step.

Conclusions

Does the s_e -calculus TERMINATE???

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