

# News About A Recent Application of Parametricity

Janis Voigtländer

Technische Universität Dresden

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# Functional Programming in Haskell

A standard function:

```
map :: ( $\alpha \rightarrow \beta$ )  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\beta$ ]  
map f [] = []  
map f (a : as) = (f a) : (map f as)
```

Some invocations:

```
map succ [1, 2, 3]      = [2, 3, 4]           —  $\alpha, \beta \mapsto \text{Int}, \text{Int}$   
map not  [True, False] = [False, True]        —  $\alpha, \beta \mapsto \text{Bool}, \text{Bool}$   
map even [1, 2, 3]      = [False, True, False] —  $\alpha, \beta \mapsto \text{Int}, \text{Bool}$   
map not  [1, 2, 3]      ⚡ rejected at compile-time
```

## Another Example

```
reverse :: [α] → [α]
reverse []      = []
reverse (a : as) = (reverse as) ++ [a]
```

For every choice of  $f$  and  $l$ :

```
reverse (map f l) = map f (reverse l)
```

Provable by induction.

Or as a “free theorem” [Wadler, FPCA’89].

## Another Example

`reverse` ::  $[\alpha] \rightarrow [\alpha]$

`tail` ::  $[\alpha] \rightarrow [\alpha]$

`g` ::  $[\alpha] \rightarrow [\alpha]$

For every choice of  $f$  and  $l$ :

`reverse` (`map`  $f$   $l$ ) = `map`  $f$  (`reverse`  $l$ )

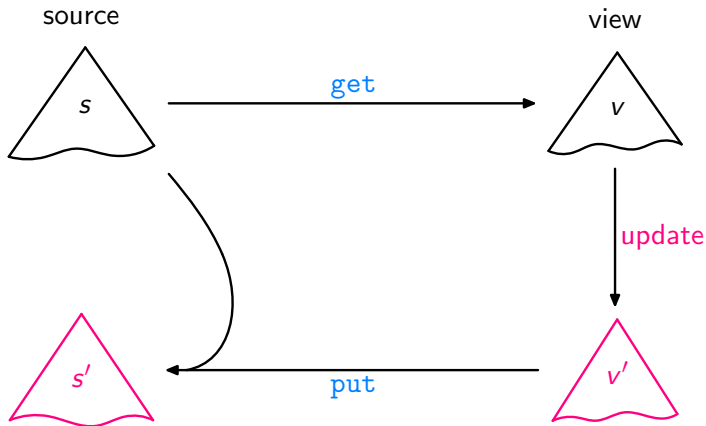
`tail` (`map`  $f$   $l$ ) = `map`  $f$  (`tail`  $l$ )

`g` (`map`  $f$   $l$ ) = `map`  $f$  (`g`  $l$ )

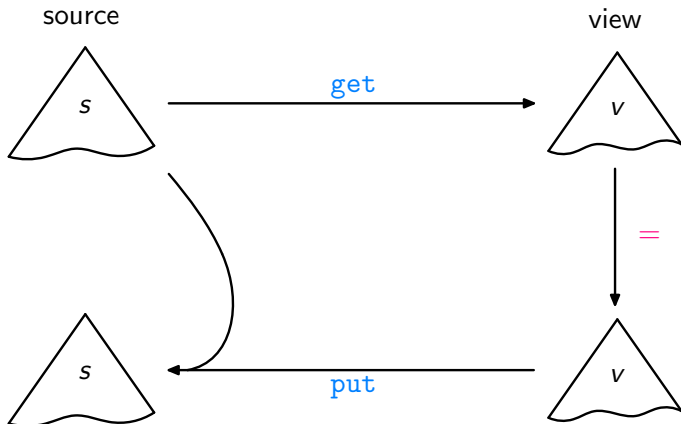
## Some Applications

- ▶ Short Cut Fusion [Gill et al., FPCA'93]
- ▶ The Dual of Short Cut Fusion [Svenningsson, ICFP'02]
- ▶ Circular Short Cut Fusion [Fernandes et al., Haskell'07]
- ▶ ...
- ▶ Knuth's 0-1-principle and the like [Day et al., Haskell'99], [V., POPL'08]
- ▶ Bidirectionalisation [V., POPL'09]
- ▶ Reasoning about invariants for monadic programs [V., ICFP'09]

# Bidirectional Transformation

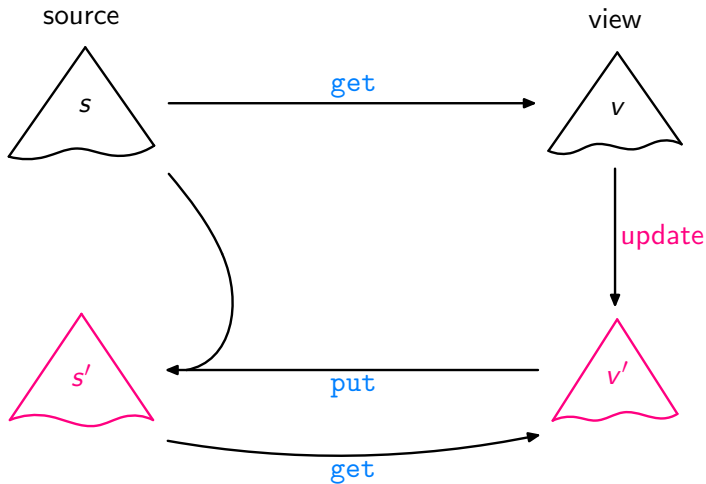


# Bidirectional Transformation



Acceptability / GetPut

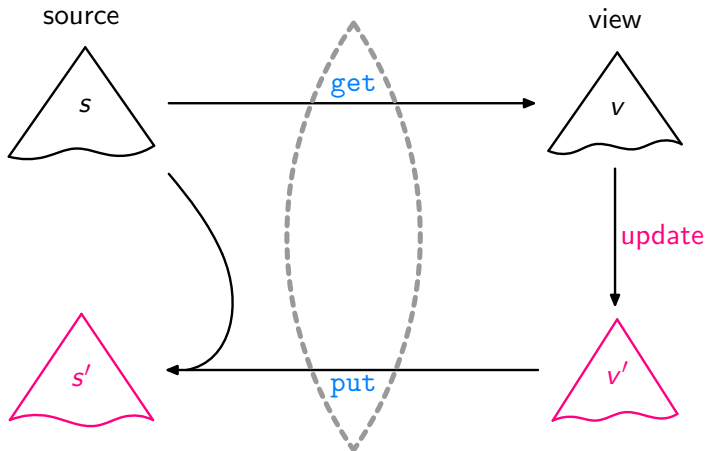
# Bidirectional Transformation



Consistency / PutGet



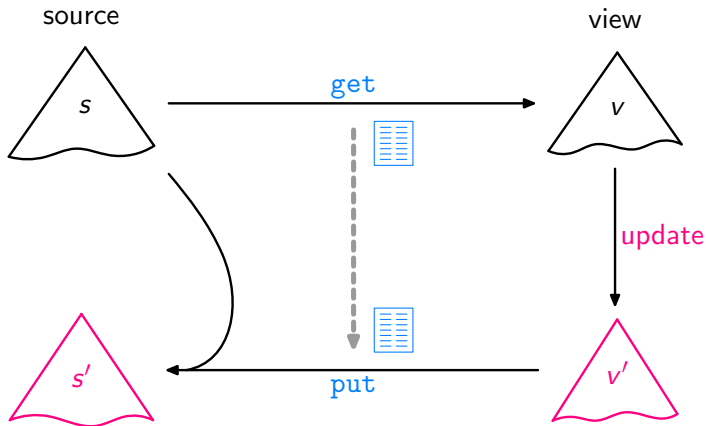
# Bidirectional Transformation



Lenses, DSLs

[Foster et al., ACM TOPLAS'07, ...]

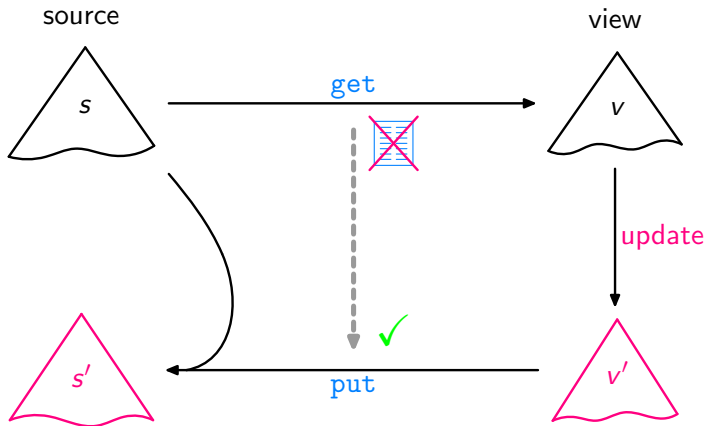
# Bidirectional Transformation



Syntactic Bidirectionalisation

[Matsuda et al., ICFP'07]

# Bidirectional Transformation



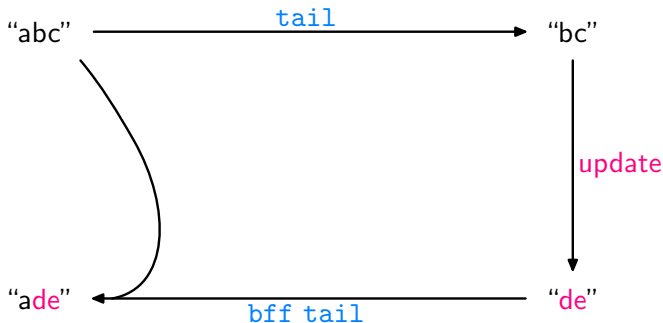
Semantic Bidirectionalisation

[V., POPL'09]

# Semantic Bidirectionalisation

**Aim:** Write a higher-order function `bff†` such that any `get` and `bff get` satisfy `GetPut`, `PutGet`, ....

Examples:

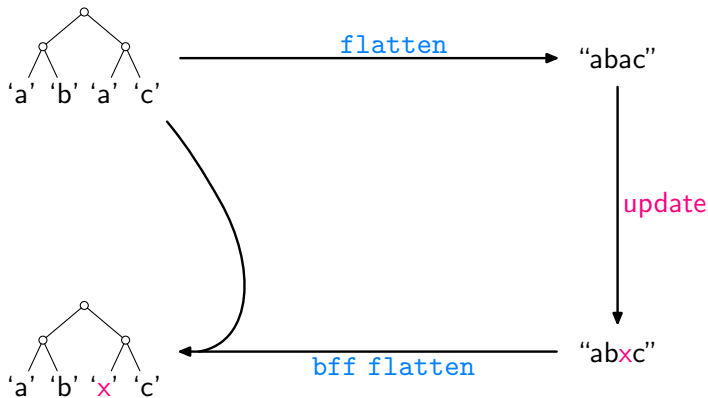


<sup>†</sup> "Bidirectionalization for free!"

# Semantic Bidirectionalisation

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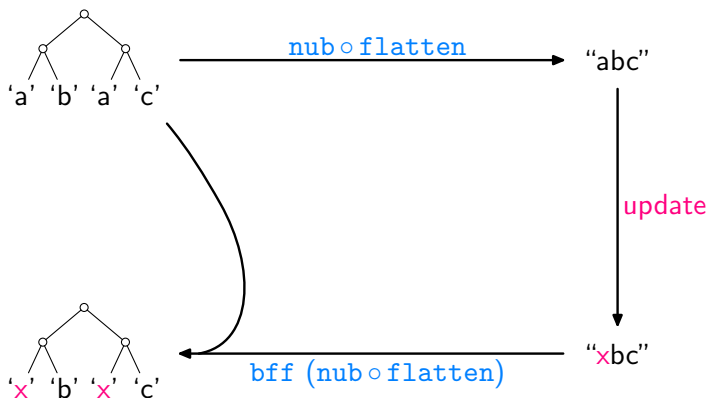


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# Semantic Bidirectionalisation

**Aim:** Write a higher-order function  $\text{bff}^\dagger$  such that any  $\text{get}$  and  $\text{bff get}$  satisfy  $\text{GetPut}$ ,  $\text{PutGet}$ , ....

Examples:



<sup>†</sup> "Bidirectionalization for free!"

# Analysing Specific Instances

Assume we are given some

$$\text{get} :: [\alpha] \rightarrow [\alpha]$$

How can we, or `bff`, analyse it without access to its source code?

**Idea:** How about applying `get` to some input?

**Like:**

$$\text{get } [0..n] = \begin{cases} [1..n] & \text{if } \text{get} = \text{tail} \\ [n..0] & \text{if } \text{get} = \text{reverse} \\ [0..(\min 4\ n)] & \text{if } \text{get} = \text{take } 5 \\ \vdots & \end{cases}$$

Then transfer the gained insights to source lists other than  $[0..n]$  !

## Using a Free Theorem

For every

$$g :: [\alpha] \rightarrow [\alpha]$$

we have

$$\text{map } f (g \ l) = g (\text{map } f \ l)$$

for arbitrary  $f$  and  $l$ .

Given an arbitrary list  $s$  of length  $n + 1$ , set  $g = \text{get}$ ,  $l = [0..n]$ ,  $f = (s !!)$ , leading to:

$$\begin{aligned} \text{map } (s !!) (\text{get } [0..n]) &= \text{get } \underbrace{(\text{map } (s !!) [0..n])}_s \\ &= \text{get } s \end{aligned}$$



## Using a Free Theorem

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for arbitrary  $f$  and  $l$ .

Given an arbitrary list  $s$  of length  $n + 1$ ,

$$\text{get } s = \text{map } (s !!) (\text{get } [0..n])$$

for every  $\text{get} :: [\alpha] \rightarrow [\alpha]$ .

# The Constant-Complement Approach

[Bancilhon & Spyratos, ACM TODS'81]

In general, given

$$\text{get} :: S \rightarrow V$$

define a  $V^C$  and

$$\text{compl} :: S \rightarrow V^C$$

such that

$$\lambda s \rightarrow (\text{get } s, \text{compl } s)$$

is injective and has an inverse

$$\text{inv} :: (V, V^C) \rightarrow S$$

Then:

$$\begin{aligned} \text{put} &:: S \rightarrow V \rightarrow S \\ \text{put } s \ v' &= \text{inv } (v', \text{compl } s) \end{aligned}$$

Important: `compl` should “collapse” as much as possible.

# The Constant-Complement Approach

For our setting,

$$\text{get} :: [\alpha] \rightarrow [\alpha],$$

what should be  $V^C$  and

$$\text{compl} :: [\alpha] \rightarrow V^C \quad ???$$

To make

$$\lambda s \rightarrow (\text{get } s, \text{compl } s)$$

injective, need to record information discarded by `get`.

Candidates:

1. length of the source list
2. discarded list elements

For the moment, be maximally conservative.

# The Complement Function

**type** IntMap  $\alpha$  = [(Int,  $\alpha$ )]

**compl** :: [ $\alpha$ ]  $\rightarrow$  (Int, IntMap  $\alpha$ )

**compl** s = **let** n = (length s) - 1

    t = [0..n]

    g = zip t s

    g' = filter ( $\lambda(i, -) \rightarrow \text{notElem } i \text{ (get t)}$ ) g

**in** (n + 1, g')

For example:

get = tail       $\rightsquigarrow$  compl "abcde" = (5, [(0, 'a')])

get = take 3     $\rightsquigarrow$  compl "abcde" = (5, [(3, 'd'), (4, 'e')])

get = reverse    $\rightsquigarrow$  compl "abcde" = (5, [])

## An Inverse of $\lambda s \rightarrow (\text{get } s, \text{compl } s)$

$\text{inv} :: ([\alpha], (\text{Int}, \text{IntMap } \alpha)) \rightarrow [\alpha]$

$\text{inv } (v', (n + 1, g')) = \text{let } t = [0..n]$   
                                   $h = \text{assoc}^\dagger (\text{get } t) v'$   
                                   $h' = h \text{ ++ } g'$   
      in  $\text{seq } h (\text{map } (\lambda i \rightarrow \text{fromJust } (\text{lookup } i h')) t)$

For example:

$\text{get} = \text{tail} \quad \rightsquigarrow \quad \text{inv } (\text{"bcde"}, (5, [(0, 'a')])) = \text{"abcde"}$

$\text{get} = \text{take } 3 \quad \rightsquigarrow \quad \text{inv } (\text{"xyz"}, (5, [(3, 'd'), (4, 'e')])) = \text{"xyzde"}$

To prove formally:

- ▶  $\text{inv } (\text{get } s, \text{compl } s) = s$
- ▶ if  $\text{inv } (v, c)$  defined, then  $\text{get } (\text{inv } (v, c)) = v$
- ▶ if  $\text{inv } (v, c)$  defined, then  $\text{compl } (\text{inv } (v, c)) = c$

<sup>†</sup> Can be thought of as `zip` for the moment.

## Altogether:

**type** IntMap  $\alpha$  = [(Int,  $\alpha$ )]

**compl** :: [ $\alpha$ ]  $\rightarrow$  (Int, IntMap  $\alpha$ )

**compl**  $s$  = **let**  $n$  = (length  $s$ ) - 1  
           $t$  = [0.. $n$ ]  
           $g$  = zip  $t$   $s$   
           $g'$  = filter ( $\lambda(i, \_) \rightarrow$  notElem  $i$  (get  $t$ ))  $g$   
          **in** ( $n + 1, g'$ )

**inv** :: ([ $\alpha$ ], (Int, IntMap  $\alpha$ ))  $\rightarrow$  [ $\alpha$ ]

**inv** ( $v', (n + 1, g')$ ) = **let**  $t$  = [0.. $n$ ]  
                           $h$  = assoc (get  $t$ )  $v'$   
                           $h' = h ++ g'$   
          **in** seq  $h$  (map ( $\lambda i \rightarrow$  fromJust (lookup  $i$   $h'$ ))  $t$ )

**put** :: [ $\alpha$ ]  $\rightarrow$  [ $\alpha$ ]  $\rightarrow$  [ $\alpha$ ]

**put**  $s$   $v' =$  inv ( $v',$  compl  $s$ )

## “Fusion”

Inlining `compl` and `inv` into `put`:

```
put s v' = let n = (length s) - 1
            t  = [0..n]
            g  = zip t s
            g' = filter (\(i, _) → notElem i (get t)) g
            h  = assoc (get t) v'
            h' = h ++ g'
        in seq h (map (\i → fromJust (lookup i h')) t)
```

```
assoc [] [] = []
assoc (i : is) (b : bs) = let m = assoc is bs
                          in case lookup i m of
                              Nothing      → (i, b) : m
                              Just c | b == c → m
```

## “Fusion”

Inlining `compl` and `inv` into `put`:

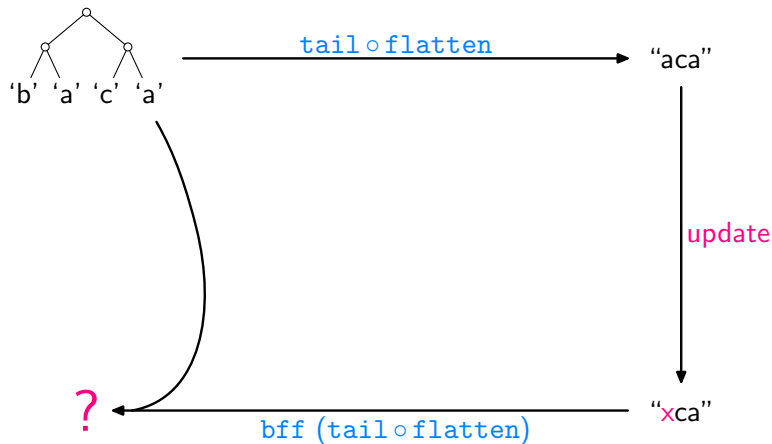
```
bff get s v' = let n = (length s) - 1
                t  = [0..n]
                g   = zip t s
                g'  = filter (\(i, _) → notElem i (get t)) g
                h   = assoc (get t) v'
                h'  = h ++ g'
            in seq h (map (\i → fromJust (lookup i h')) t)
```

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assoc [] [] = []
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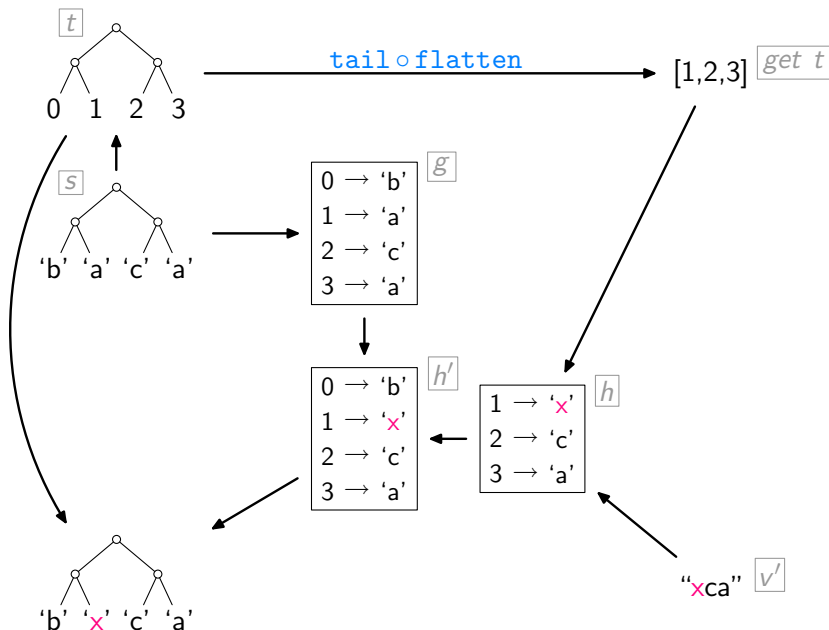
Actual code only slightly more elaborate!



# The Resulting Bidirectionalisation Method in Action



# The Resulting Bidirectionalisation Method in Action



# Extending the Technique

## Major Problem:

- ▶ Shape-affecting updates lead to failure.
- ▶ For example, `bff tail "abcde" "xyz" ...`

## Analysis as to Why:

- ▶ Our approach to making

$$\lambda s \rightarrow (\text{get } s, \text{compl } s)$$

injective was to record, via `compl`, the following information:

1. length of the source list
2. discarded list elements

- ▶ Being maximally conservative this way often does not “collapse enough”.
- ▶ For example:

`get = tail`  $\rightsquigarrow$  `put "abcde" "xyz" fails precisely because`  
`compl "abcde" = (5, [(0, 'a')])`

## Assuming Shape-Injectivity

So assume there is a function

`shapeInv :: Int → Int`

with, for every source list  $s$ ,

`length s = shapeInv (length (get s))`

Then:

```
compl :: [α] →      IntMap α
compl s = let n = (length s) - 1
           t  = [0..n]
           g  = zip t s
           g' = filter (λ(i, -) → notElem i (get t)) g
in        g'
```

## Assuming Shape-Injectivity

```
inv :: ([α],      IntMap α ) → [α]
inv (v',          g' ) = let n = (shapeInv (length v')) - 1
                        t  = [0..n]
                        h  = assoc (get t) v'
                        h' = h ++ g'
                        in seq h (map (λi → fromJust (lookup i h')) t)
```

But how to obtain `shapeInv` ???

One possibility: provided by user.

Another possibility: determined statically (dependent types?).

Just for experimentation:

```
shapeInv :: Int → Int
shapeInv l = head [n + 1 | n ← [0..], (length (get [0..n])) == l]
```

# Not Quite There, Yet

Works quite nicely in some cases:

`get = tail`  $\rightsquigarrow$  `put "abcde" "xyz" = "axyz"`, using  
`compl "abcde" = [(0, 'a')]`

But not so in others:

`get = init`  $\rightsquigarrow$  `put "abcde" "xyz"` fails, because  
`compl "abcde" = [(4, 'e')]`

The problem: by keeping indices around, `compl` still does not “collapse enough”.

Note: even without these indices,  $\lambda s \rightarrow (\text{get } s, \text{compl } s)$  would be injective.

# Eliminating Indices

```
compl :: [α] → [ α ]  
compl s = let n = (length s) - 1  
           t = [0..n]  
           g = zip t s  
           g' = filter (λ(i, -) → notElem i (get t)) g  
           in map snd g'
```

```
inv :: ([α], [ α ]) → [α]  
inv (v', c) = let n = (shapeInv (length v')) - 1  
              t = [0..n]  
              h = assoc (get t) v'  
              g' = zip (filter (λi → notElem i (get t)) t) c  
              h' = h ++ g'  
              in seq h (map (λi → fromJust (lookup i h')) t)
```

Now:

```
get = init  ~>  put "abcde" "xyz" = "xyze"
```

## More Examples

Let `get = sieve` with:

```
sieve :: [α] → [α]
sieve (a : b : cs) = b : (sieve cs)
sieve _            = []
```

Then:

```
put [1..8] [2, -4, 6, 8]      = [1, 2, 3, -4, 5, 6, 7, 8]
put [1..8] [2, -4, 6]        = [1, 2, 3, -4, 5, 6]
put [1..8] [2, -4, 6, 8, 10, 12] = [1, 2, 3, -4, 5, 6, 7, 8, ⊥, 10, ⊥, 12]
```

However:

```
put [1..8] [0, 2, -4, 6, 8]   = [1, 0, 3, 2, 5, -4, 7, 6, ⊥, 8]
```

Whereas we might have preferred:

```
put [1..8] [0, 2, -4, 6, 8]   = [⊥, 0, 1, 2, 3, -4, 5, 6, 7, 8]
```



# Conclusion

Types:

- ▶ constrain the behaviour of programs
- ▶ thus lead to interesting theorems about programs
- ▶ enable lightweight, semantic analysis methods

On the practical side:

- ▶ efficiency-improving program transformations
- ▶ applications in specific domains (more out there?)

Bidirectionalisation in particular:

- ▶ hot topic (databases, models community, ...)
- ▶ need a way to inject/exploit “user knowledge”

On the programming language side:

- ▶ push towards full programming languages
- ▶ aim for exploiting more expressive type systems

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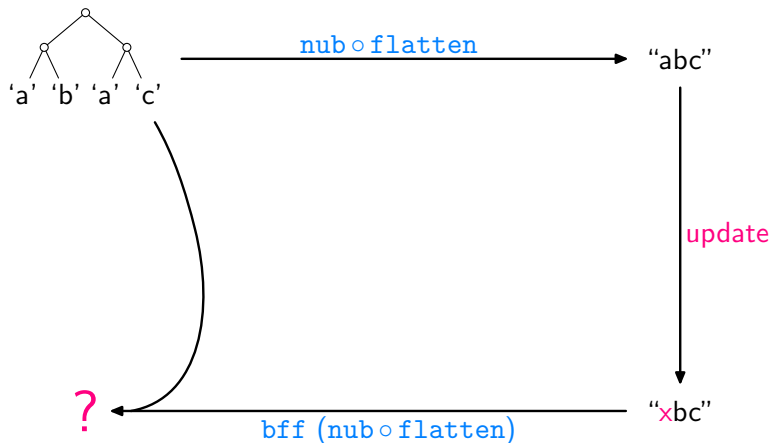


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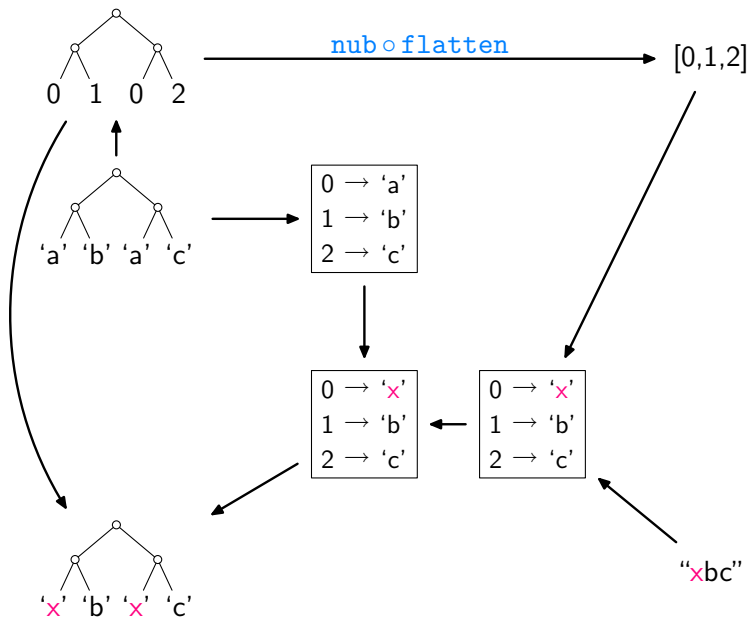
Theorems for free!

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## Another Interesting Example (involving Eq type class)



## Another Interesting Example (involving Eq type class)





## Why $g (\text{map } f \ l) = \text{map } f \ (g \ l)$ , intuitively

- ▶  $g :: [\alpha] \rightarrow [\alpha]$  must work uniformly for every instantiation of  $\alpha$ .
- ▶ The output list can only contain elements from the input list  $l$ .
- ▶ Which, and in which order/multiplicity, can only be decided based on  $l$ .
- ▶ The only means for this decision is to inspect the length of  $l$ .
- ▶ The lists  $(\text{map } f \ l)$  and  $l$  always have equal length.
- ▶  $g$  always chooses “the same” elements from  $(\text{map } f \ l)$  for output as it does from  $l$ , except that in the former case it outputs their images under  $f$ .
- ▶  $g (\text{map } f \ l)$  is equivalent to  $\text{map } f \ (g \ l)$ .
- ▶ That is what we wanted to prove!

# Revising Free Theorems

[Wadler, FPCA'89] : for every  $g :: (\alpha \rightarrow \text{Bool}) \rightarrow [\alpha] \rightarrow [\alpha]$ ,

$$g\ p\ (\text{map}\ f\ l) = \text{map}\ f\ (g\ (p \circ f)\ l)$$

► if  $f$  strict ( $f\ \perp = \perp$ ).

[Johann & V., POPL'04] : in presence of **seq**, if additionally:

►  $p \neq \perp$ ,

►  $f$  total ( $\forall x \neq \perp. f\ x \neq \perp$ ).

[Johann & V., I&C'09] : taking finite failures into account

[Stenger & V., TLCA'09] : taking imprecise error semantics into account

⋮