

# The Dynamics of Vegetation Patterning in Semi-Arid Environments

Jonathan A. Sherratt

Department of Mathematics  
Heriot-Watt University

University of Stirling, 2 October 2007

In collaboration with  
Gabriel Lord



# Outline

- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs
- 6 Conclusions

# Outline

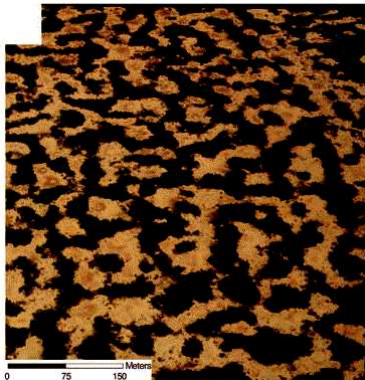
- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs
- 6 Conclusions

# Vegetation Pattern Formation



- Vegetation patterns are found in semi-arid areas of Africa, Australia and Mexico (rainfall 100-700 mm/year)
- First identified by aerial photos in 1950s
- Plants vary from grasses to shrubs and trees

## More Pictures of Vegetation Patterns



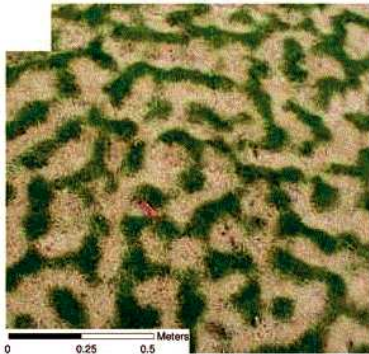
Labyrinth of bushy  
vegetation in Niger

## More Pictures of Vegetation Patterns



Striped pattern of  
bushy vegetation  
in Niger

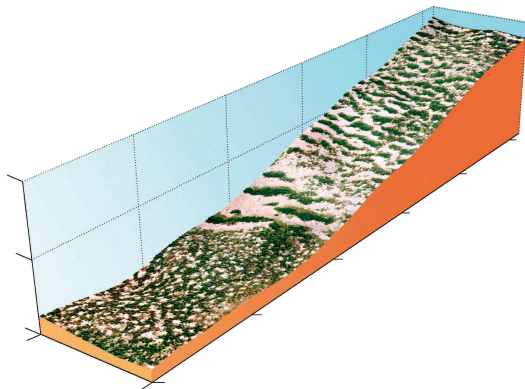
## More Pictures of Vegetation Patterns



Labyrinth of grass  
in Israel



## Vegetation Pattern Formation (contd)



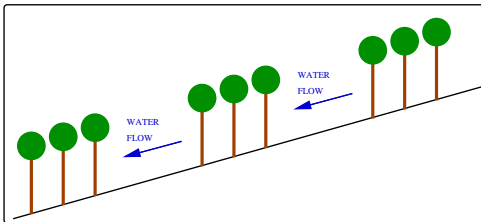
- On flat ground, irregular mosaics of vegetation are typical
- On slopes, the patterns are stripes, parallel to contours (“Tiger bush”)

# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water

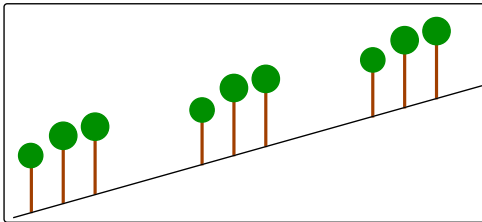
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



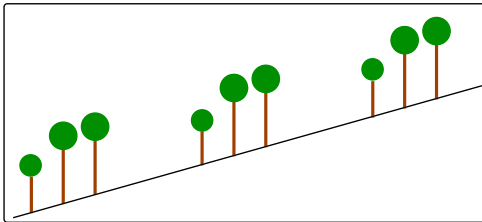
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



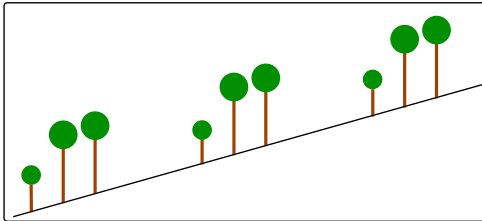
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



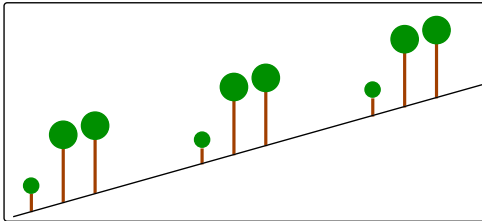
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



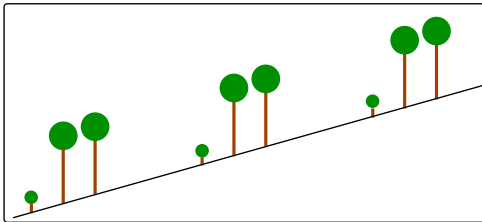
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



# Mechanisms for Vegetation Patterning

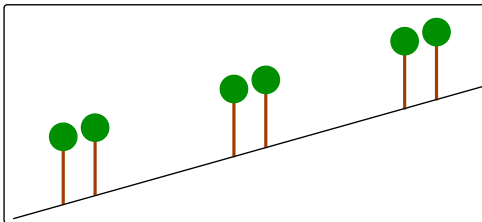
- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes





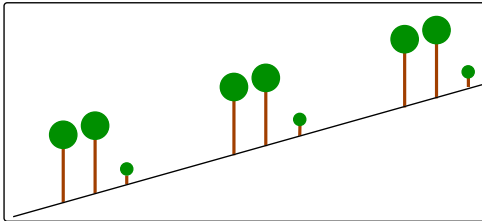
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



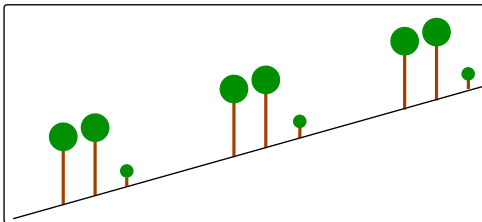
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



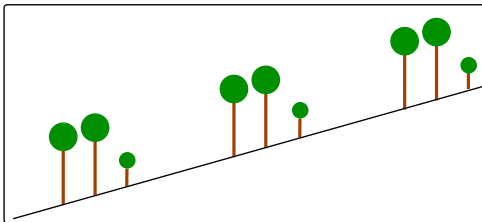
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



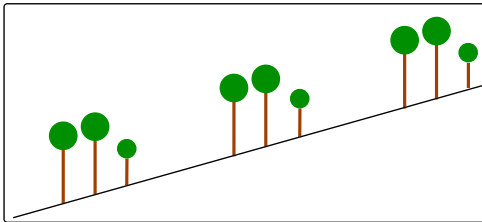
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



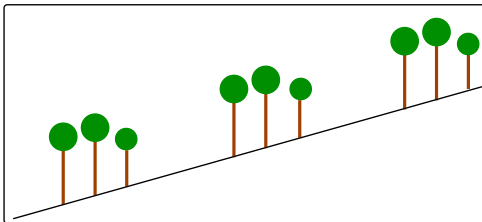
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



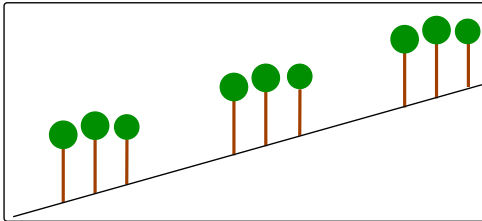
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



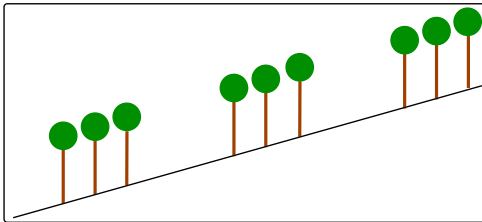
# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



# Mechanisms for Vegetation Patterning

- Basic mechanism: competition for water
- Possible detailed mechanism: water flow downhill causes stripes



- This mechanism suggests that the stripes would move uphill; this remains controversial.



# Outline

- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs
- 6 Conclusions

# Mathematical Model of Klausmeier

Rate of change of water = Rainfall – Evaporation – Uptake by plants + Flow downhill

Rate of change of plant biomass = Growth, proportional to water uptake – Mortality + Random dispersal

$$\partial w / \partial t = A - w - wu^2 + \nu \partial w / \partial x$$

$$\partial u / \partial t = wu^2 - Bu + \partial^2 u / \partial x^2$$

# Mathematical Model of Klausmeier

Rate of change of water = Rainfall – Evaporation – Uptake by plants + Flow downhill

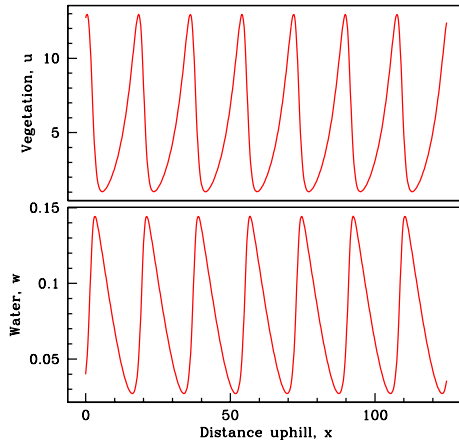
Rate of change of plant biomass = Growth, proportional to water uptake – Mortality + Random dispersal

$$\partial w / \partial t = A - w - wu^2 + \nu \partial w / \partial x$$

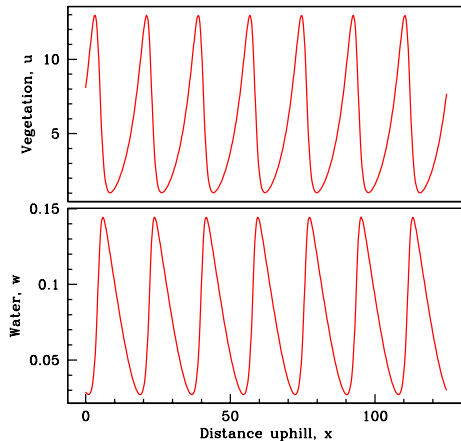
$$\partial u / \partial t = wu^2 - Bu + \partial^2 u / \partial x^2$$

The nonlinearity in  $wu^2$  arises because the presence of roots increases water infiltration into the soil.

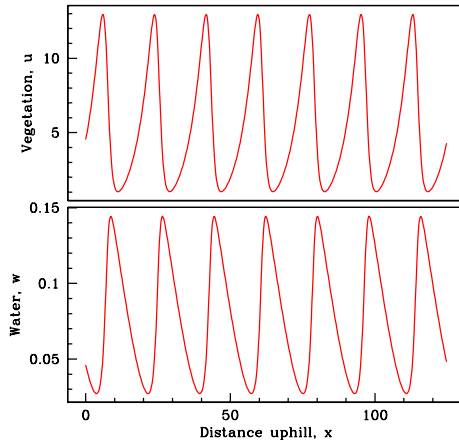
## Typical Solution of the Model



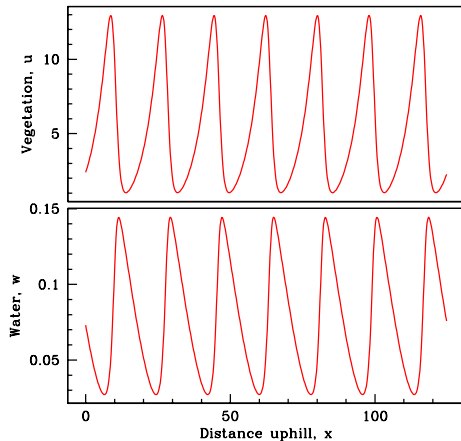
## Typical Solution of the Model



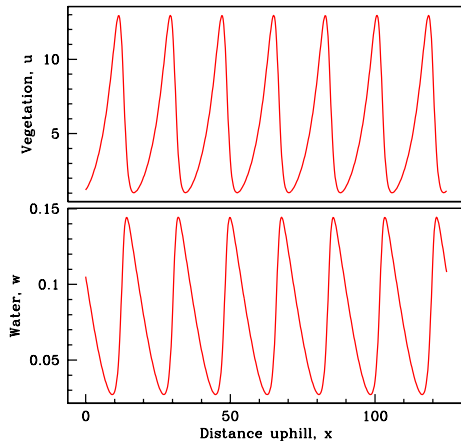
## Typical Solution of the Model



## Typical Solution of the Model

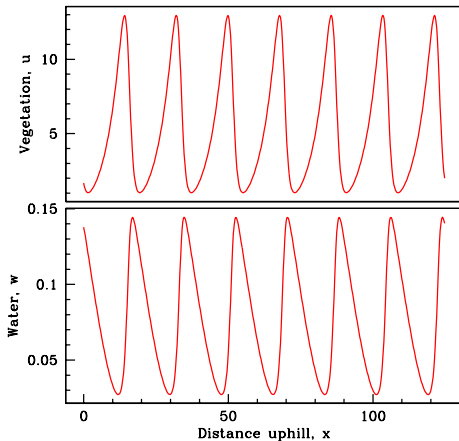


## Typical Solution of the Model

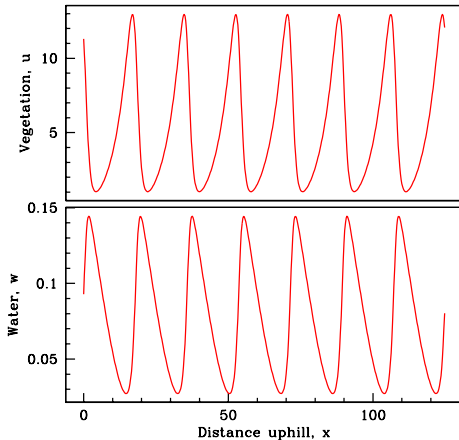




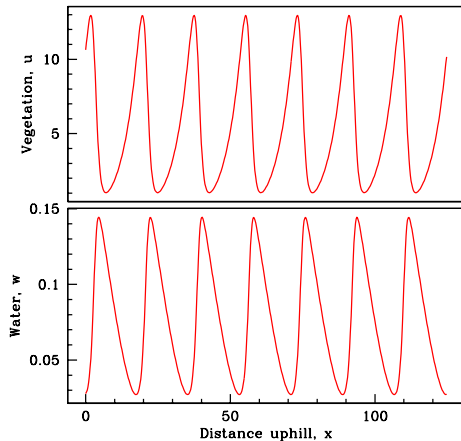
## Typical Solution of the Model



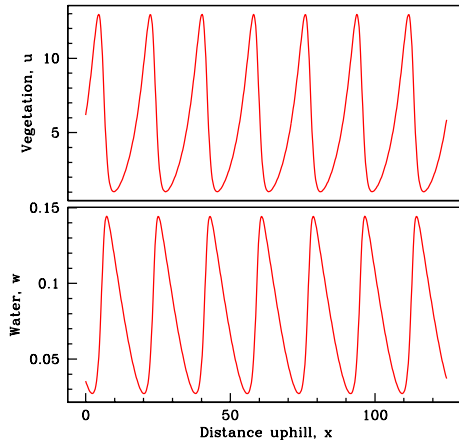
## Typical Solution of the Model



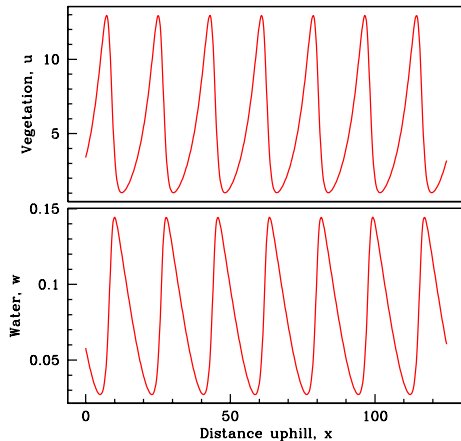
## Typical Solution of the Model



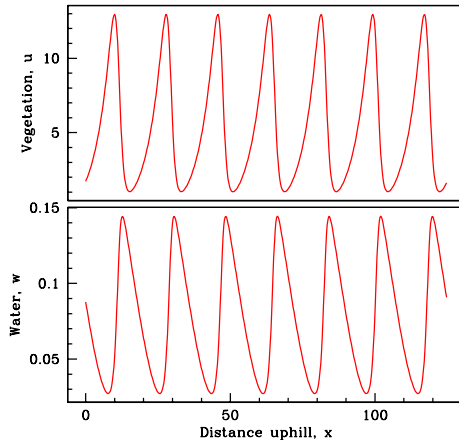
## Typical Solution of the Model



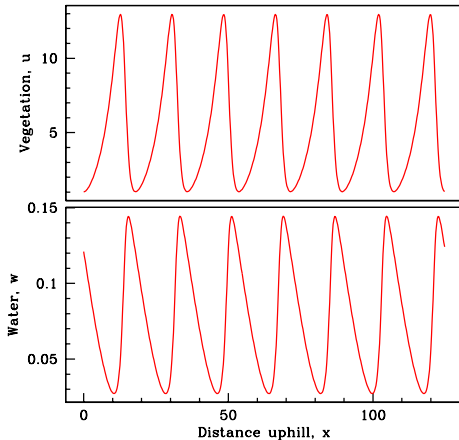
## Typical Solution of the Model



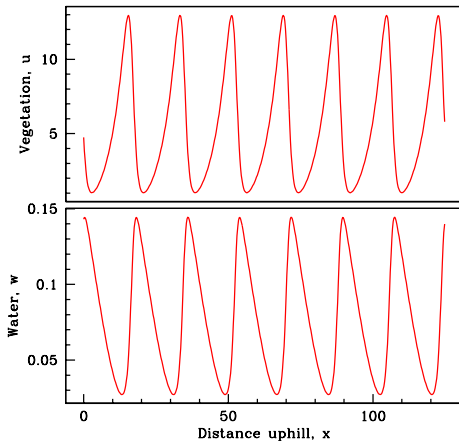
## Typical Solution of the Model



## Typical Solution of the Model

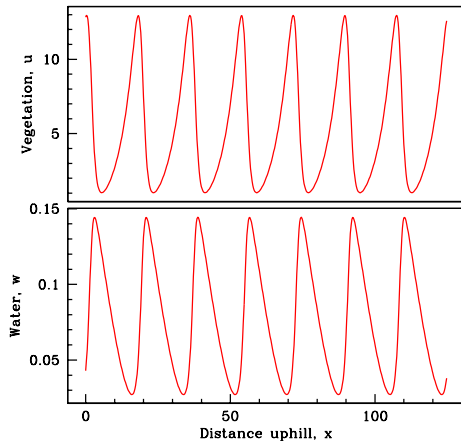


## Typical Solution of the Model

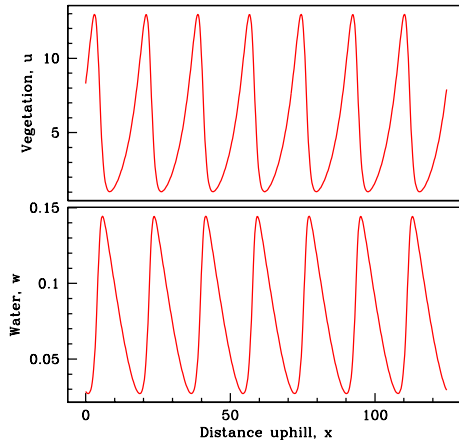




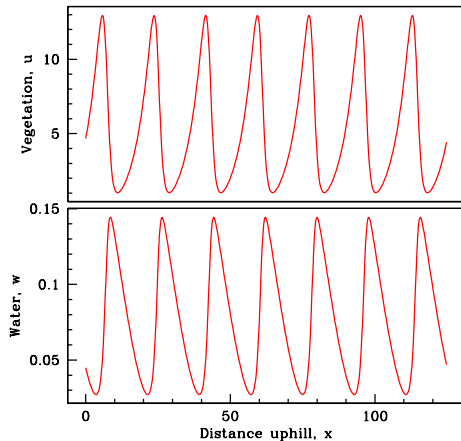
## Typical Solution of the Model



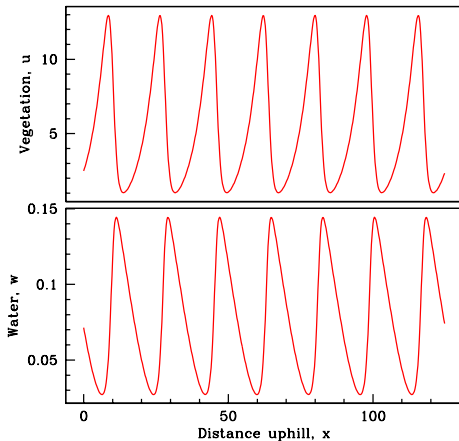
## Typical Solution of the Model



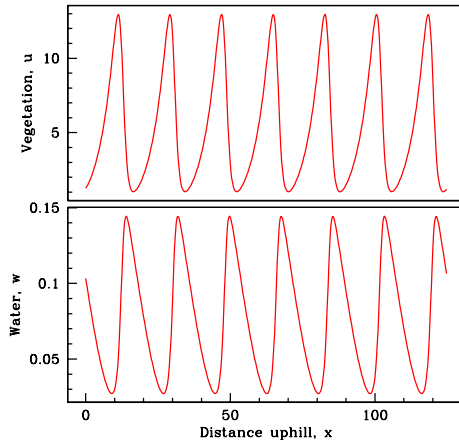
## Typical Solution of the Model



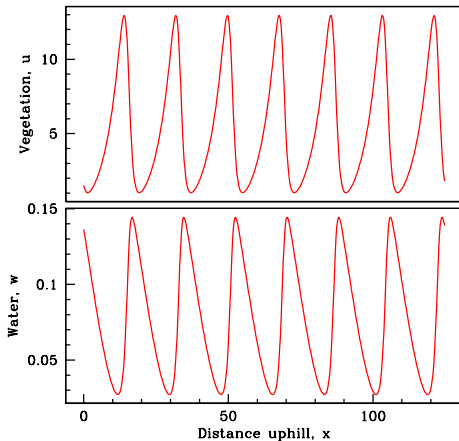
## Typical Solution of the Model



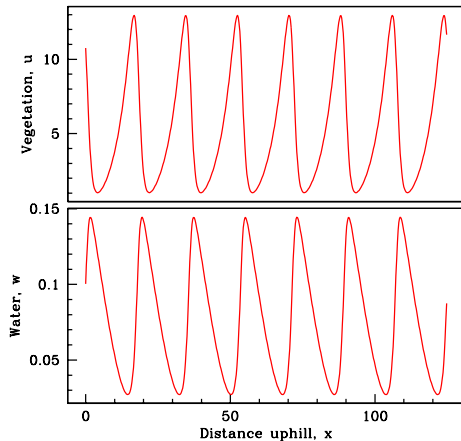
## Typical Solution of the Model



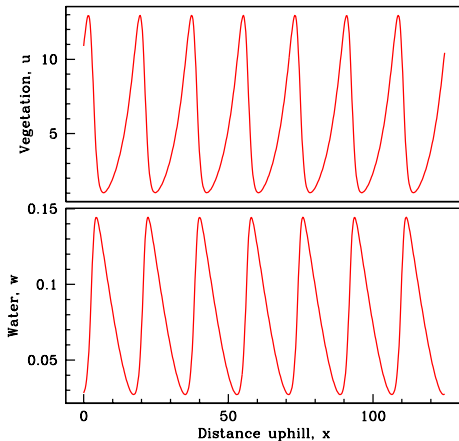
## Typical Solution of the Model



## Typical Solution of the Model

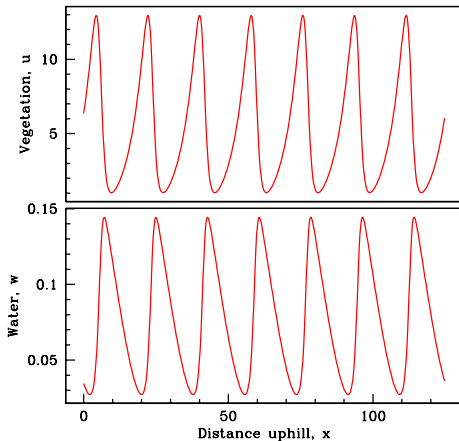


## Typical Solution of the Model

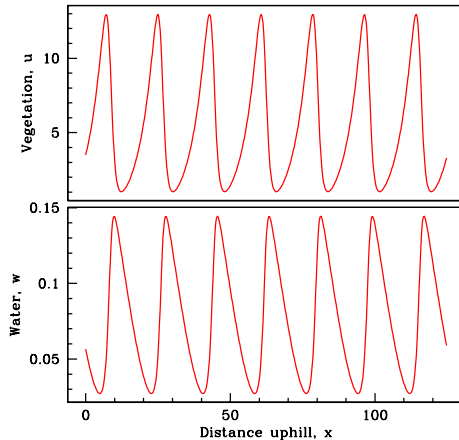




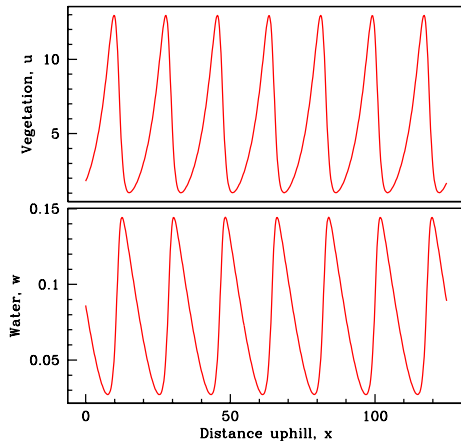
## Typical Solution of the Model



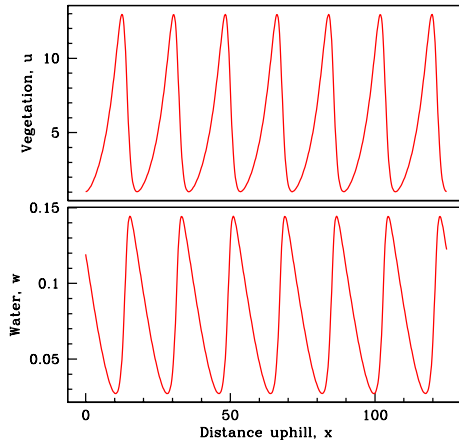
## Typical Solution of the Model



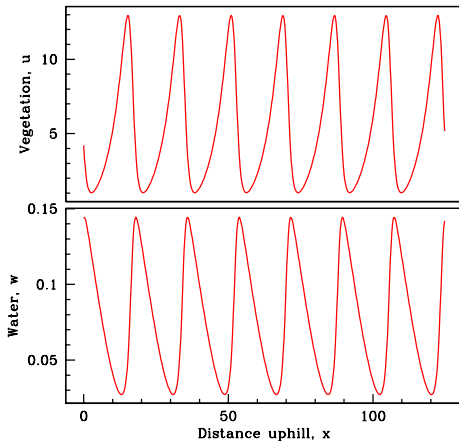
## Typical Solution of the Model



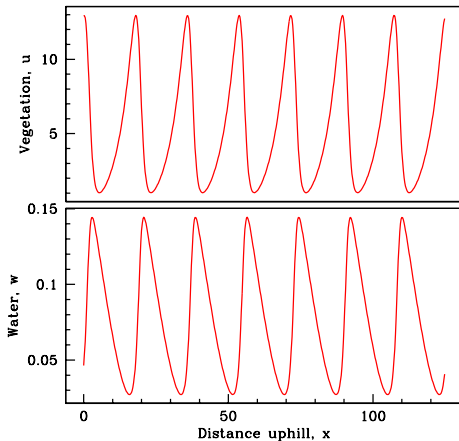
## Typical Solution of the Model



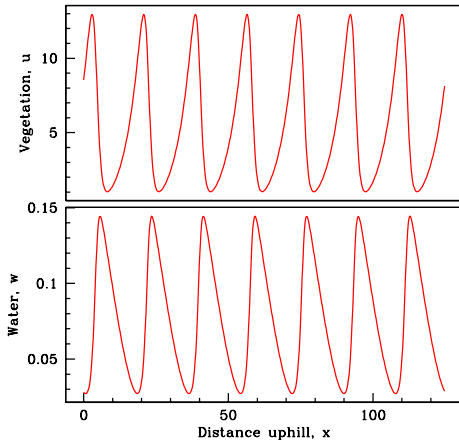
## Typical Solution of the Model



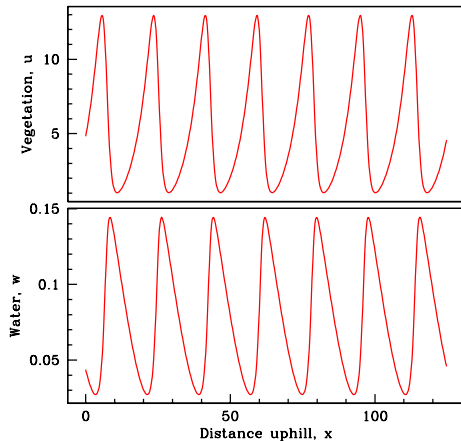
## Typical Solution of the Model



## Typical Solution of the Model

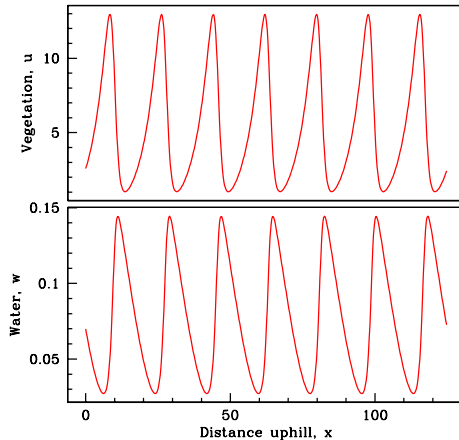


## Typical Solution of the Model

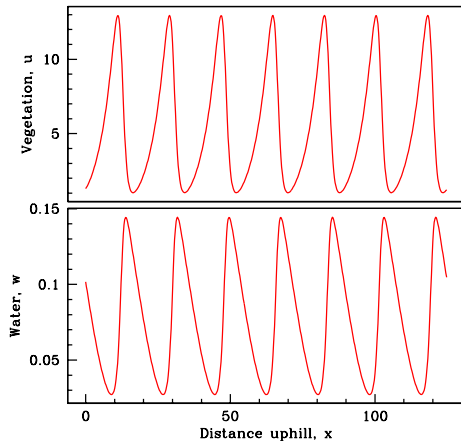




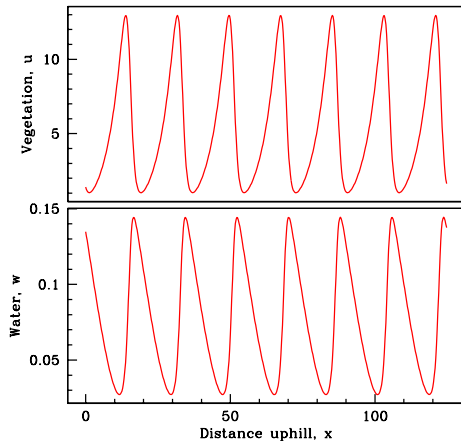
## Typical Solution of the Model



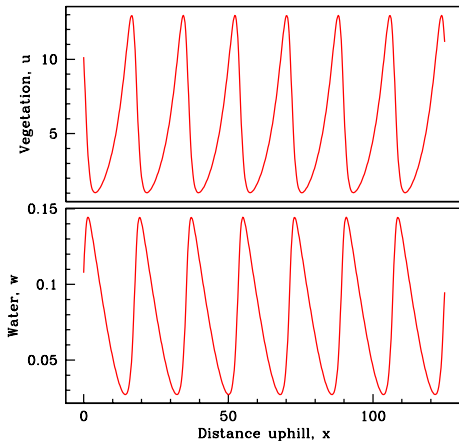
## Typical Solution of the Model



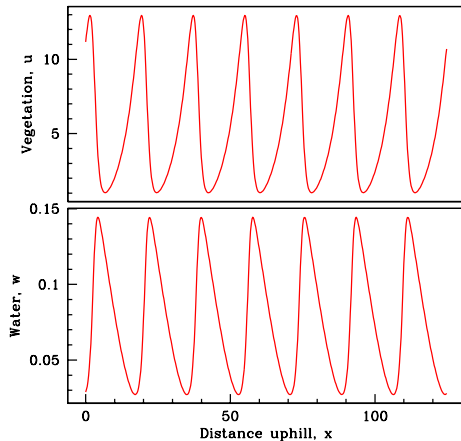
## Typical Solution of the Model



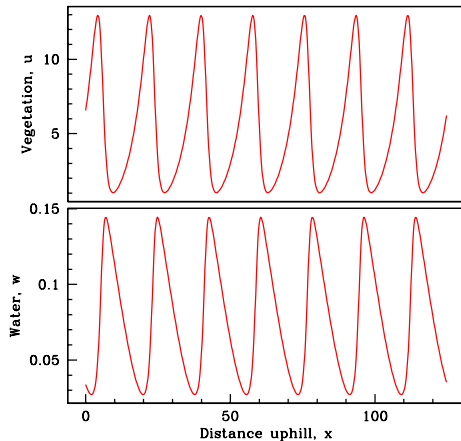
## Typical Solution of the Model



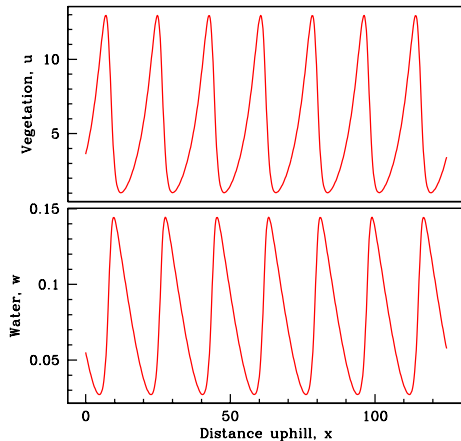
## Typical Solution of the Model



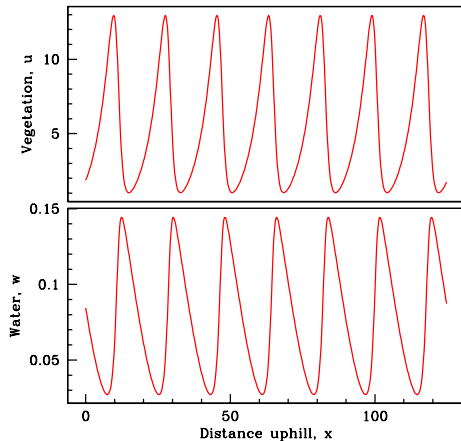
## Typical Solution of the Model



## Typical Solution of the Model

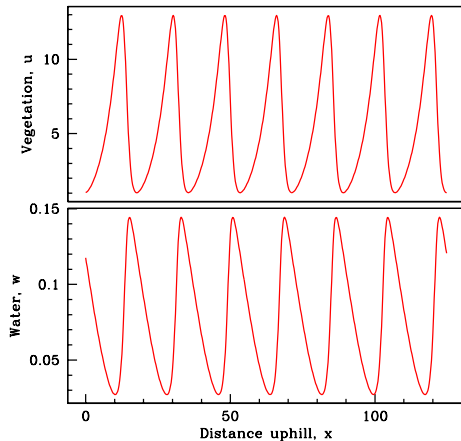


## Typical Solution of the Model

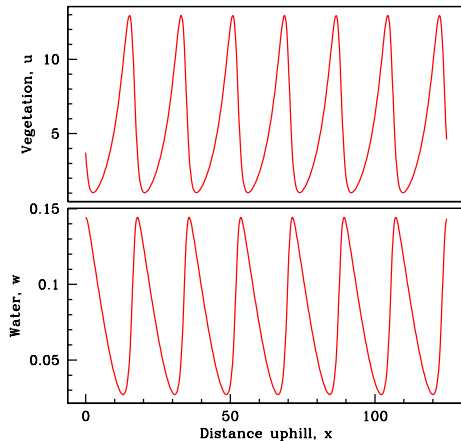




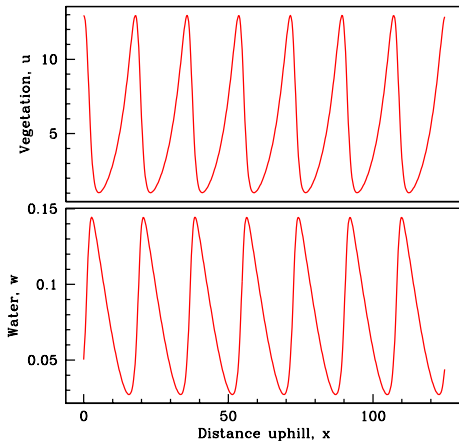
## Typical Solution of the Model



## Typical Solution of the Model



## Typical Solution of the Model



# Outline

- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis**
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs
- 6 Conclusions

# Homogeneous Steady States

- For all parameter values, there is a stable “desert” steady state  $u = 0$ ,  $w = A$ .

# Homogeneous Steady States

- For all parameter values, there is a stable “desert” steady state  $u = 0$ ,  $w = A$ .
- When  $A \geq 2B$ , there are also two non-trivial steady states

$$u_u = \frac{2B}{A + \sqrt{A^2 - 4B^2}} \quad w_u = \frac{A + \sqrt{A^2 - 4B^2}}{2}$$

$$u_s = \frac{2B}{A - \sqrt{A^2 - 4B^2}} \quad w_s = \frac{A - \sqrt{A^2 - 4B^2}}{2}$$

# Homogeneous Steady States

- For all parameter values, there is a stable “desert” steady state  $u = 0, w = A$ .
- When  $A \geq 2B$ , there are also two non-trivial steady states

$$u_u = \frac{2B}{A + \sqrt{A^2 - 4B^2}} \quad w_u = \frac{A + \sqrt{A^2 - 4B^2}}{2} \text{ unstable}$$

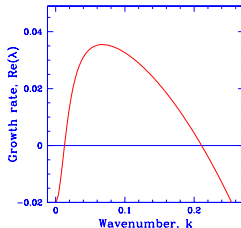
$$u_s = \frac{2B}{A - \sqrt{A^2 - 4B^2}} \quad w_s = \frac{A - \sqrt{A^2 - 4B^2}}{2} \text{ stable to homog}$$

pertns for  $B < 2$

- Patterns develop when  $(u_s, w_s)$  is unstable to inhomogeneous perturbations

## Approximate Conditions for Patterning

Look for solutions  $(u, w) = (u_s, w_s) + (u_0, w_0) \exp\{ikx + \lambda t\}$

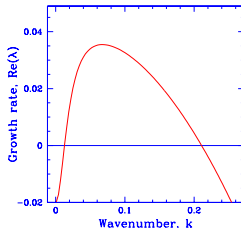


The dispersion relation  $\text{Re}[\lambda(k)]$  is algebraically complicated



## Approximate Conditions for Patterning

Look for solutions  $(u, w) = (u_s, w_s) + (u_0, w_0) \exp\{ikx + \lambda t\}$



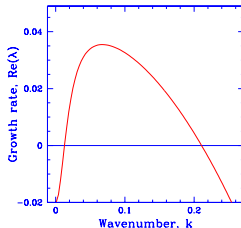
The dispersion relation  $\text{Re}[\lambda(k)]$  is algebraically complicated

An approximate condition for pattern formation is

$$A < \nu^{1/2} B^{5/4} / 8^{1/4}$$

## Approximate Conditions for Patterning

Look for solutions  $(u, w) = (u_s, w_s) + (u_0, w_0) \exp\{ikx + \lambda t\}$



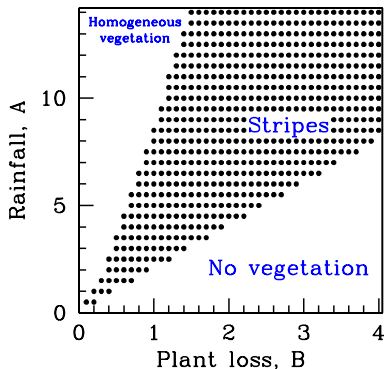
The dispersion relation  $\text{Re}[\lambda(k)]$  is algebraically complicated

An approximate condition for pattern formation is

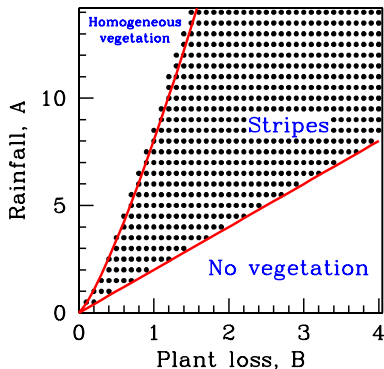
$$2B < A < \nu^{1/2} B^{5/4} / 8^{1/4}$$

One can naively assume that existence of  $(u_s, w_s)$  gives a second condition

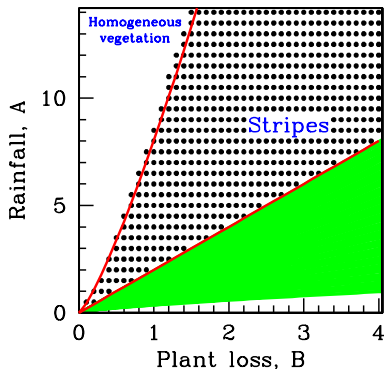
# An Illustration of Conditions for Patterning



# An Illustration of Conditions for Patterning

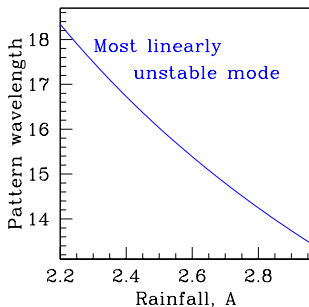


## An Illustration of Conditions for Patterning



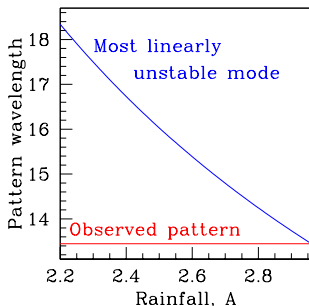
## Predicting Pattern Wavelength

Pattern wavelength is the most accessible property of vegetation stripes in the field, via aerial photography. Wavelength can be predicted from the linear analysis



## Predicting Pattern Wavelength

Pattern wavelength is the most accessible property of vegetation stripes in the field, via aerial photography. Wavelength can be predicted from the linear analysis



However this prediction doesn't fit the patterns seen in numerical simulations.

# Outline

- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations**
- 5 Bifurcations in the PDEs
- 6 Conclusions



# Travelling Wave Equations

The patterns move at constant shape and speed

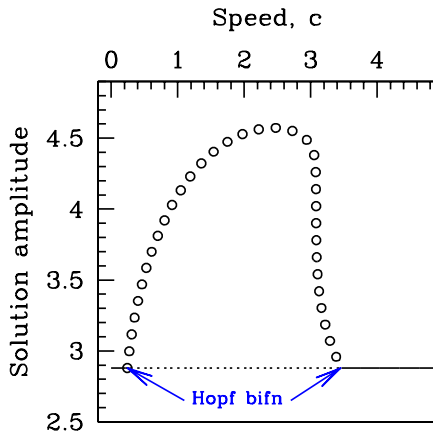
$$\Rightarrow u(x, t) = U(z), w(x, t) = W(z), z = x - ct$$

$$d^2 U/dz^2 + c dU/dz + WU^2 - BU = 0$$

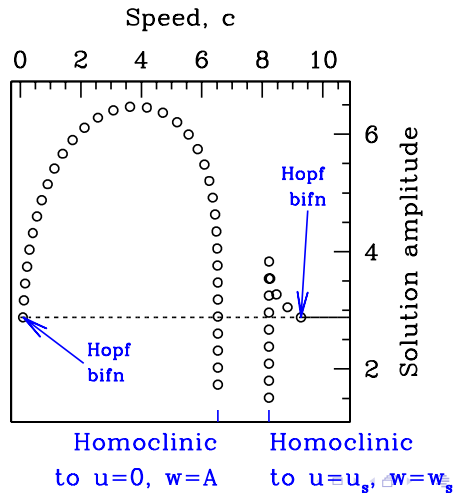
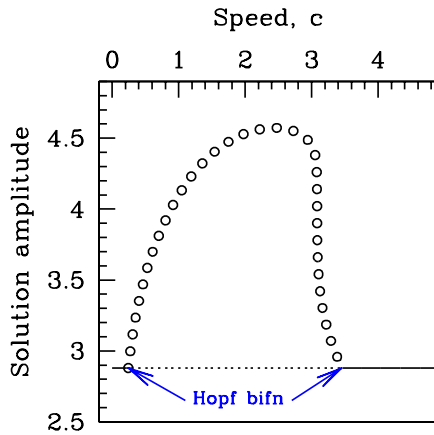
$$(\nu + c)dW/dz + A - W - WU^2 = 0$$

The patterns are periodic (limit cycle) solutions of these ODEs

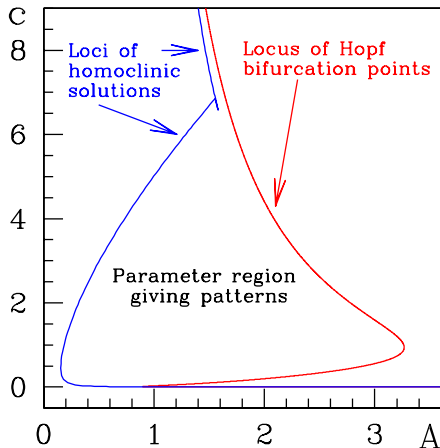
# Bifurcation Diagram for Travelling Wave ODEs



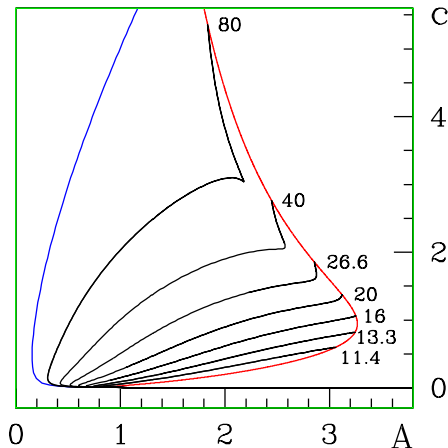
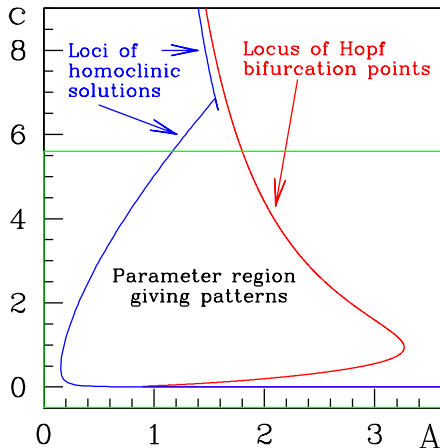
# Bifurcation Diagram for Travelling Wave ODEs



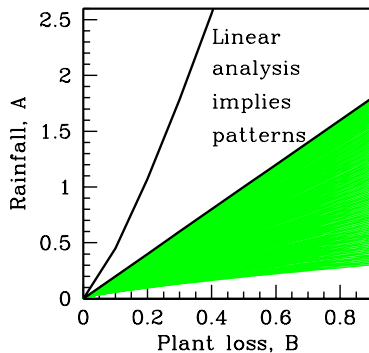
## When do Patterns Form?



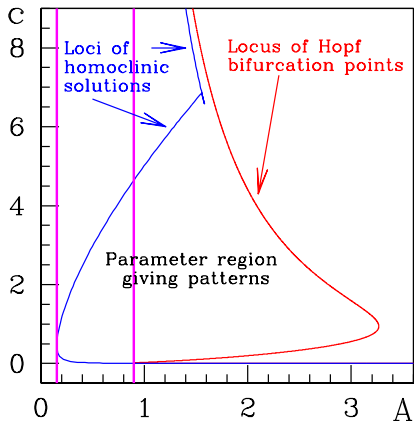
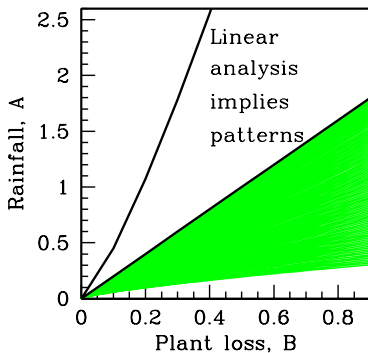
# When do Patterns Form?



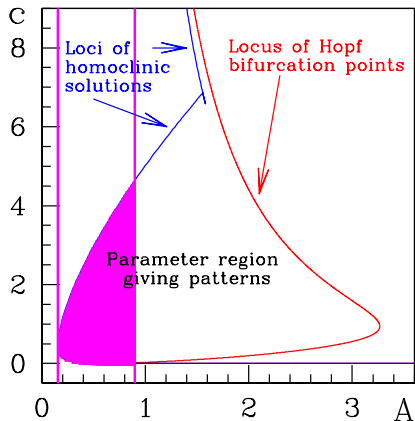
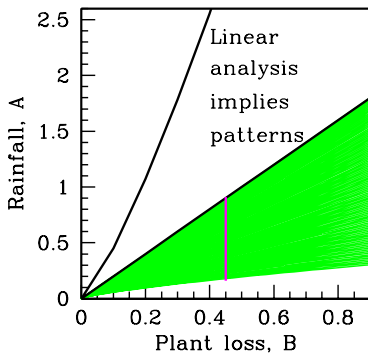
## Pattern Formation for Low Rainfall



## Pattern Formation for Low Rainfall



## Pattern Formation for Low Rainfall





# Outline

- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs**
- 6 Conclusions

## Discretizing the PDEs

To investigate pattern stability, we must work with the model PDEs. We discretize these in space and then use AUTO to study the resulting ODE system:

$$\partial u_i / \partial t = w_i u_i^2 - B u_i + (u_{i+1} - 2u_i + 2u_{i-1}) / \Delta x^2$$

$$\partial w_i / \partial t = A - w_i - w_i u_i^2 + \nu (w_{i+1} - w_i) / \Delta x$$

( $i = 1, \dots, N$ ).

## Discretizing the PDEs

To investigate pattern stability, we must work with the model PDEs. We discretize these in space and then use AUTO to study the resulting ODE system:

$$\begin{aligned}\partial u_i / \partial t &= w_i u_i^2 - B u_i + (u_{i+1} - 2u_i + 2u_{i-1}) / \Delta x^2 \\ \partial w_i / \partial t &= A - w_i - w_i u_i^2 + \nu (w_{i+1} - w_i) / \Delta x\end{aligned}$$

( $i = 1, \dots, N$ ).

We use upwinding for the convective term.

## Discretizing the PDEs

To investigate pattern stability, we must work with the model PDEs. We discretize these in space and then use AUTO to study the resulting ODE system:

$$\begin{aligned}\partial u_i / \partial t &= w_i u_i^2 - B u_i + (u_{i+1} - 2u_i + 2u_{i-1}) / \Delta x^2 \\ \partial w_i / \partial t &= A - w_i - w_i u_i^2 + \nu (w_{i+1} - w_i) / \Delta x\end{aligned}$$

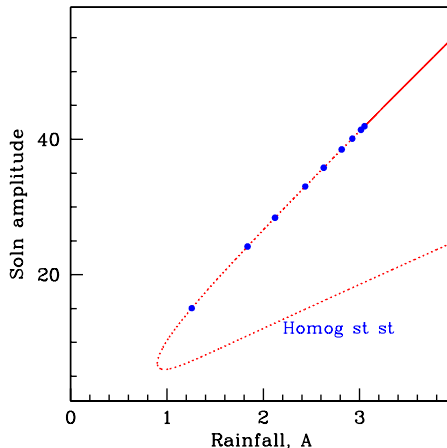
( $i = 1, \dots, N$ ).

We use upwinding for the convective term.

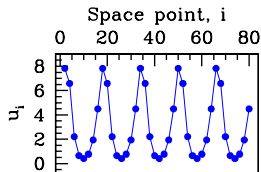
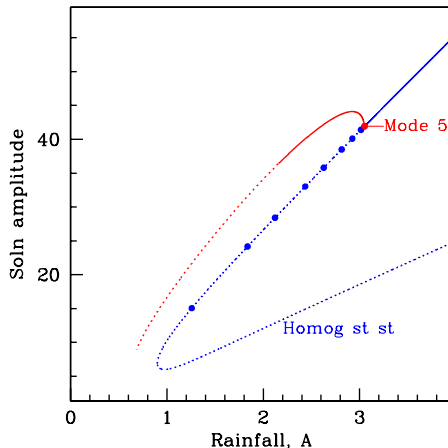
Most of our work has used  $N = 40$  and  $\Delta x = 2$ .

We assume periodic boundary conditions.

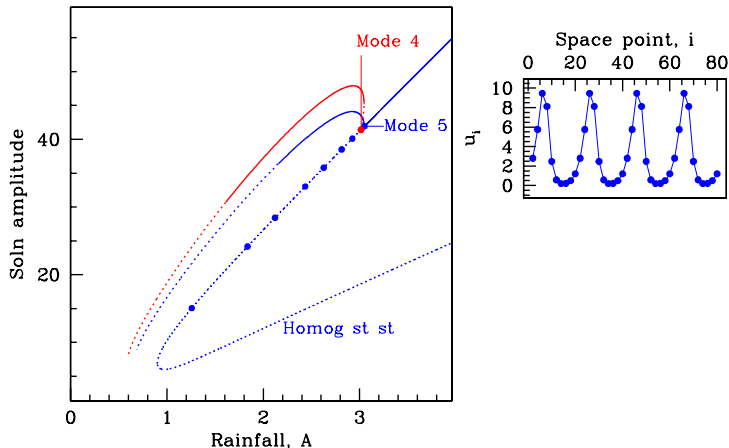
# Bifurcation Diagram for Discretized PDEs



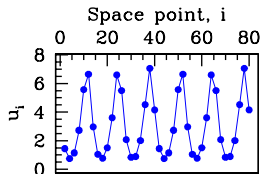
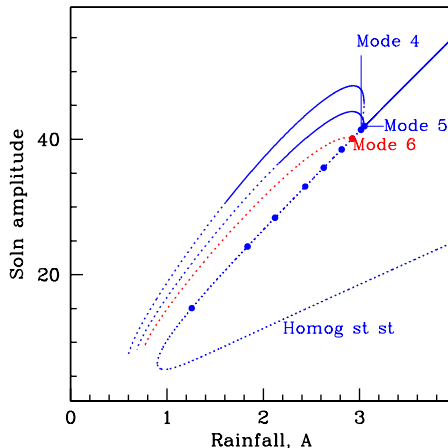
# Bifurcation Diagram for Discretized PDEs



# Bifurcation Diagram for Discretized PDEs

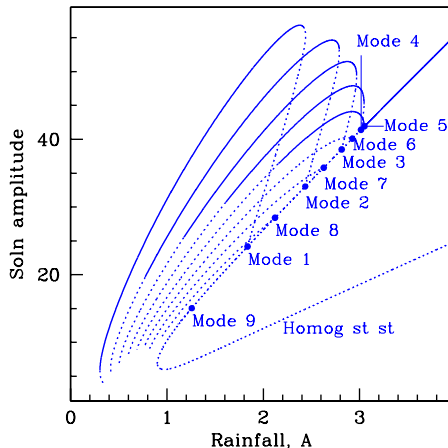


# Bifurcation Diagram for Discretized PDEs



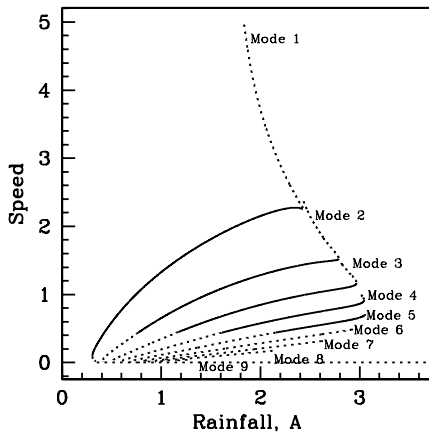


# Bifurcation Diagram for Discretized PDEs



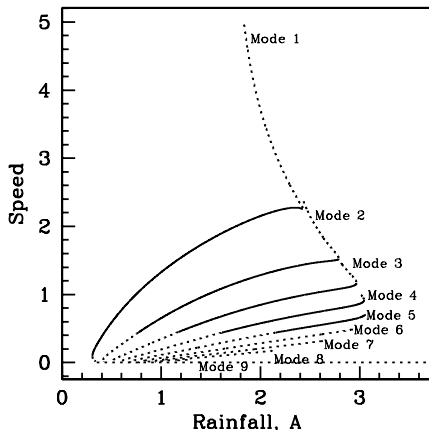
# Speed vs Rainfall for Discretized PDEs

$c$  vs  $A$  for PDEs

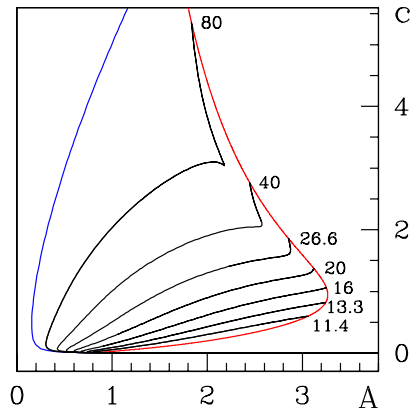


# Speed vs Rainfall for Discretized PDEs

$c$  vs  $A$  for PDEs



$c$  vs  $A$  for travelling wave PDEs

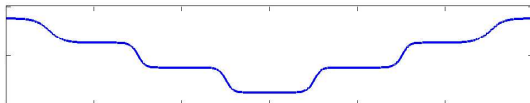


## Key Result

For a wide range of rainfall levels,  
there are multiple stable patterns.

# Hysteresis

Rainfall

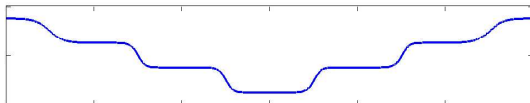


Time

- The existence of multiple stable patterns raises the possibility of hysteresis
- We consider slow variations in the rainfall parameter  $A$
- Parameters correspond to grass, and the rainfall range corresponds to 130–930 mm/year

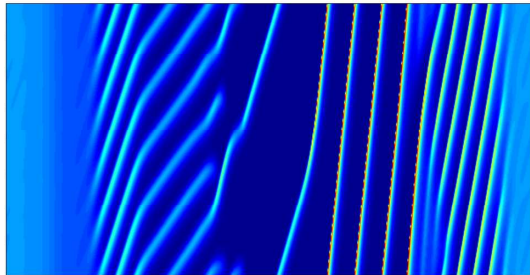
# Hysteresis

Rainfall



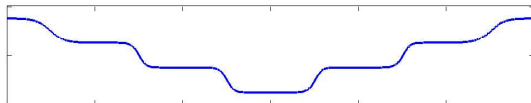
Time

Space



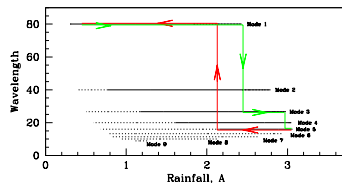
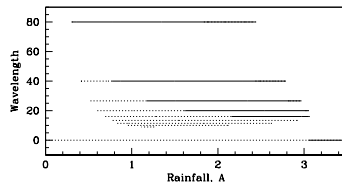
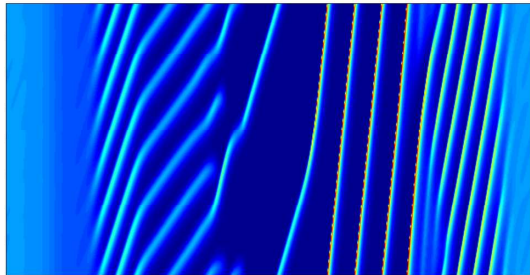
# Hysteresis

Rainfall



Time

Space



# Outline

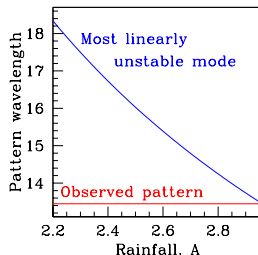
- 1 Ecological Background
- 2 The Mathematical Model
- 3 Linear Analysis
- 4 Travelling Wave Equations
- 5 Bifurcations in the PDEs
- 6 Conclusions



## Predictions of Pattern Wavelength

- In general, pattern wavelength depends on initial conditions
- When vegetation stripes arise from homogeneous vegetation via a decrease in rainfall, pattern wavelength will remain at its bifurcating value.

$$\text{Wavelength} = \sqrt{\frac{8\pi^2}{B_V}}$$



## Other Potential Mechanisms for Vegetation Patterns

**Rietkirk** Klausmeier model with diffusion of water in the soil

**van de Koppel** Klausmeier model with grazing

**Maron** two variable model (plant density and water in the soil) with water transport based on porous media theory

**Lejeune** short range activation (shading) and long range inhibition (competition for water)

All of these models predict patterns. To discriminate between them requires a detailed understanding of each model.

## Mathematical Moral

Predictions based only on  
linear stability analysis are  
misleading for this model

# List of Frames

- 1 **Ecological Background**
  - Vegetation Pattern Formation
  - More Pictures of Vegetation Patterns
  - Vegetation Pattern Formation (contd)
  - Mechanisms for Vegetation Patterning
- 2 **The Mathematical Model**
  - Mathematical Model of Klausmeier
  - Typical Solution of the Model
- 3 **Linear Analysis**
  - Homogeneous Steady States
  - Approximate Conditions for Patterning
  - An Illustration of Conditions for Patterning
  - Predicting Pattern Wavelength
- 4 **Travelling Wave Equations**
  - Travelling Wave Equations
  - Bifurcation Diagram for Travelling Wave ODEs
  - When do Patterns Form?
  - Pattern Formation for Low Rainfall

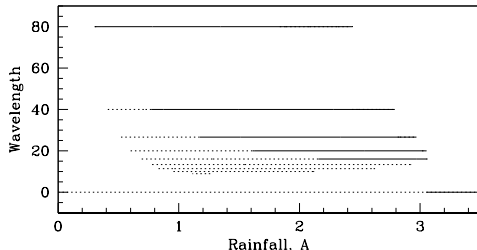
- 5 **Bifurcations in the PDEs**
  - Discretizing the PDEs
  - Bifurcation Diagram for Discretized PDEs
  - Speed vs Rainfall for Discretized PDEs
  - Key Result
  - Hysteresis
- 6 **Conclusions**
  - Predictions of Pattern Wavelength
  - Other Potential Mechanisms for Vegetation Patterns
  - Mathematical Moral

## Pattern Selection

- For a range of rainfall levels, there is more than one stable pattern. Which will be selected?
- We consider initial conditions that are small perturbations of the coexistence steady state  $(u_s, v_s)$ .
- All such initial conditions give a pattern, but the wavelength depends on the initial perturbation

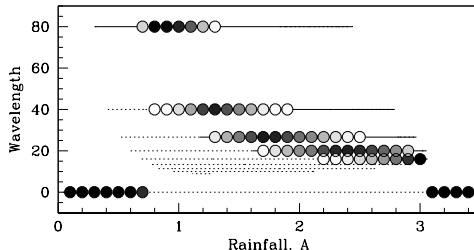
## Pattern Selection

- For a range of rainfall levels, there is more than one stable pattern. Which will be selected?
- We consider initial conditions that are small perturbations of the coexistence steady state ( $u_s, v_s$ ).
- All such initial conditions give a pattern, but the wavelength depends on the initial perturbation



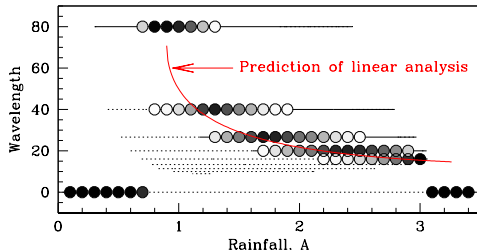
## Pattern Selection

- For a range of rainfall levels, there is more than one stable pattern. Which will be selected?
- We consider initial conditions that are small perturbations of the coexistence steady state ( $u_s, v_s$ ).
- All such initial conditions give a pattern, but the wavelength depends on the initial perturbation



## Pattern Selection

- For a range of rainfall levels, there is more than one stable pattern. Which will be selected?
- We consider initial conditions that are small perturbations of the coexistence steady state ( $u_s, v_s$ ).
- All such initial conditions give a pattern, but the wavelength depends on the initial perturbation

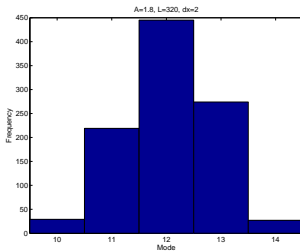


The wavelength  
is close to that  
predicted by  
linear stability  
analysis



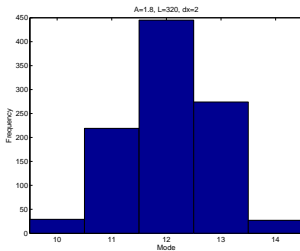
## Pattern Selection on Larger Domains

The proximity of the wavelength to the most linearly unstable mode continues as the domain is enlarged



## Pattern Selection on Larger Domains

The proximity of the wavelength to the most linearly unstable mode continues as the domain is enlarged



But it does not apply for other initial conditions, such as perturbations about  $(u_u, w_u)$

