

# LANGUAGES AND GROUPS

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## Word problems:

- introduce various classes of formal languages: regular, counter, context-free, indexed, ETOL, EDTOL, context-sensitive
- time and space complexity for Turing machine algorithms

## Other problems:

- solutions to equations over groups

## WORD PROBLEM

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Eg:  $F_2$ , free group on  $a, b$ , the set of all reduced words in  $a^{\pm 1}, b^{\pm 1}$   
with operation of *concatenate then reduce*

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- the complexity of the *Turing machine* (algorithm) that solves the decision problem
- the complexity of the set  $\{w \in X^* \mid w =_G 1\}$  in terms of *formal language theory*

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- *logspace*: Turing machine with input, work and output tapes; on input  $w$  length  $n$  uses at most  $O(\log n)$  squares of the work tape.

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Lipton and Zalcstein [19] gave the following logspace algorithm to solve the word problem for linear groups:

- on input  $w \in X^*$ , multiply  $I$  by the matrix for each letter and store the product with each entry  $\bmod p$  for some small number.
- if at the end the matrix is not  $I$ , then  $w \neq_G 1$ .
- if at the end the matrix is  $I$  for all small numbers, then  $w =_G 1$ .



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Now suppose  $G$  is infinite. If  $M$  is a FSA with  $n$  states accepting the word problem for  $G$ , let  $w \in (X^{\pm 1})^*$  be a *geodesic* of length  $> n$ . Then  $w$  has prefix  $w_1w_2$  where both  $w_1, w_1w_2$  end at the same state of  $M$ .

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Then  $w_1w_1^{-1}$  and  $w_1w_2w_1^{-1}$  both end at the same state, and since  $w_1w_1^{-1} =_G 1$  this is an accept state, which means  $w_1w_2w_1^{-1} =_G 1$ . Thus  $w_2 =_G 1$  so  $w$  was not geodesic

Context-free languages can also be described in terms of a *grammar*:

- two finite alphabets  $T, N$  with  $S \in N$
- finite set of rules  $A \longrightarrow w \in (T \cup N)^*$  with  $A \in N$
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Eg:  $T = \{a^{\pm 1}, b^{\pm 1}\}$ ,  $N = \{S\}$ , rules

$$S \rightarrow aSa^{-1}S \mid bSb^{-1}S \mid a^{-1}SaS \mid b^{-1}SbS \mid \epsilon$$

If a language  $L$  is context-free, there is a constant  $k$  (depending on the lengths of things in the grammar) so that for words  $w$  in  $L$  longer than  $k$ ,

any derivation (drawn as a *parse tree*) must include the same nonterminal twice. This means  $w = uvxyz$  and  $uv^ixy^iz \in L$  for all  $i \in \mathbb{N}$ .

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Eg:  $L = \{a^n b^n a^n \mid n \in \mathbb{N}\}$ ,  $L = \{ww \mid w \in \{a, b\}^*\}$  are not context-free.



$(G, X)$  has a *Dehn's algorithm* if there exists a finite list

$$\{(u_i, v_i) \mid u_i, v_i \in X^*, u_i =_G v_i, |u_i| > |v_i|\}$$

so that  $1 \neq w =_G 1$  implies  $u_i$  is a factor of  $w$ .

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- problem: this might produce another  $u_j$  inside the stack



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Open problem: logspace? Not all hyperbolic groups are linear (see [1])

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See [9] for a nice discussion of this result.

An indexed grammar is

- nonterminals  $N$ , including a start symbol  $S \in N$
- terminals  $A$
- *flags or indices*  $F$
- productions of the following types:
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Eg:

- $S \longrightarrow T_{\$}$
- $T \longrightarrow T_f \mid T_g \mid UU$
- $U_f \longrightarrow aU$
- $U_g \longrightarrow bU$
- $U_{\$} \longrightarrow 1$

$$S \longrightarrow T_{\$} \longrightarrow T_{\$f} \longrightarrow T_{\$fg} \longrightarrow T_{\$fgf} \longrightarrow U_{\$fgf}U_{\$fgf} \longrightarrow aU_{\$fg}aU_{\$fg}$$

Equivalent to indexed [2, 3].

Nested stack has a root node and a pointer which can read the current node

Moves:

- push (branch sideways if pointer not at a leaf)
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Gilman and Shapiro: word problem accepted by deterministic limited erasing nested stack automaton if and only if virtually free

Gilman [15]

If  $L \subseteq X^*$  is indexed and  $m \in \mathbb{N}$ , there is a constant  $k > 0$  so that  $|w| \geq k$  can be written as  $w = w_1 \dots w_r$

- $m < r \leq k$
- $|w_i| > 0$
- each choice of  $m$  factors is included in a proper subproduct which lies in  $L$

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Eg:  $L = \{(ab^n)^n \mid n \in \mathbb{N}\}$  not indexed:  $m = 1$ , pick  $(ab^n)^n$  with  $n > k$ , then some  $w_i$  must contain two or more  $a$ 's, so proper subproduct has factor  $ab^na$ .

Doesn't help with word problem of  $\mathbb{Z}^2$  though

An *ETOL-system* is a tuple  $(C, T, \Delta, \#)$  where

- $C$  is a finite alphabet
- $T \subseteq C$
- $\# \in C$
- $\Delta = \{f_1, \dots, f_n\}$  with each  $f_i : C \longrightarrow \mathcal{P}(C^*) \setminus \emptyset$ .

A table  $f_i$  acts on a word  $w \in C^*$  as follows: if  $w = x_1 \dots x_k$  with  $x_i \in C$ , for each  $x_j$  we can choose any  $u_j \in f_i(x_j)$  and replace  $x_j$  by  $u_j$ .



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Eg:  $C = \{a, b, A, B, \#\}$ ,  $T = \{a, b\}$ , tables

$f_1 = \{(a, \{a\}), (b, \{b, AA\}), (A, \{aA, \epsilon\}), (B, \{AA, B\}), (\#, \{B, \#\})\}$

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context-free  $\subset$  ETOL  $\subset$  indexed:

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indexed:

- $S \notin C$ , make production  $S \rightarrow \#s$
- make productions  $S \rightarrow S_{f_i}$  for each table
- then each derivation starts with  $S \Rightarrow \#s_{f_{i_1} \dots f_{i_R}}$
- for each  $c \in C, f_i \in \Delta$  make productions  $c_{f_i} \rightarrow w$  where  $w \in f_i(c)$
- for each  $t \in T$  make production  $t_s \rightarrow t'$ .

An ETOL system is *deterministic* (called EDTOL) if  $|f_i(c)| = 1$  for each table and each  $c \in C$ , that is, there is no choice about how to rewrite different letters when you apply a table.

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A language  $L \subseteq X^*$  is *context-sensitive* if there is a linear space algorithm that decides which words are in  $L$ .

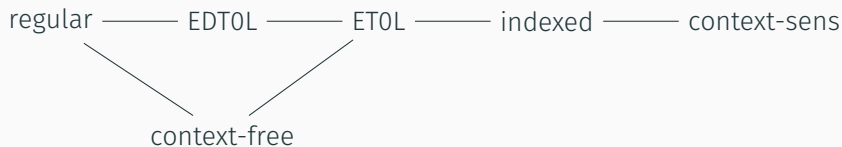
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Shapiro [22] showed that every finitely generated subgroup of an automatic group is context-sensitive

— ie its a big class (includes group with undecidable conjugacy problem, non finitely presented etc.)



## INVERSE HOMOMORPHISM

A formal language class  $\mathcal{C}$  is *closed under inverse homomorphism* if  $L \subseteq X^*$  in  $\mathcal{C}$  and  $\varphi : Y^* \longrightarrow X^*$  a letter homomorphism ( $\varphi(y) = u \in X^*$  for each  $y \in Y$ ) implies  $\varphi^{-1}(L)$  is in  $\mathcal{C}$ .

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Fact: if a language class  $\mathcal{C}$  is closed under inverse homomorphism and intersection with regular languages then  $(G, X)$  has word problem in  $\mathcal{C}$  if and only if  $(G, Y)$  does



- regular word problem if and only if finite (Anisimov [4, 5])

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### Open problems:

- indexed                      conjecture: virtually free
- ETOL                         conjecture: virtually free
- EDTOL                      conjecture: finite

Recall:  $L \subseteq T^*$  is EDTOL if there is  $T \subseteq C \ni \#$  and a finite set of tables  $f_i$  that rewrite each  $c \in C$  by  $u_c \in C^*$  in parallel.

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An alternative definition [6] restricts how the tables are applied.

A language  $L \subseteq A^*$  is EDTOL if  $\exists$

- $C \supseteq A$  an extended alphabet (finite)
- $\# \in C$
- $R$  regular set of endomorphisms  $h : C^* \longrightarrow C^*$

so that  $L = \{h(\#) \mid h \in R\}$ .

Problem: on input

$$aXXb = YYbX$$

find substitutions for  $X, Y$  by words in  $a, b, a^{-1}, b^{-1}$  so that both sides are equal in the free group on  $A_+ = \{a, b\}$ .

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*How hard* is the problem of finding all solutions to some equation:

- the complexity of the *Turing machine* (algorithm)
- the complexity of the solution set in terms of *formal language theory*

Context-sensitive: input  $(u_1, \dots, u_k)$  of length  $n = \sum |u_i|$ , can decide

- $u_i$  reduced words in  $A_{\pm}$
- $X_i \longrightarrow u_i$  is a solution

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But this does not tell us much — the *emptiness problem* for context-sensitive languages is undecidable [18]

**Theorem (Ciobanu-Diekert-E [8])**

*For any equation over a free group, the set*

$$\{(u_1, \dots, u_k) \mid u_i \text{ reduced}, X_i \longrightarrow u_i \text{ is a solution}\}$$

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We construct in  $\text{NSPACE}(n \log n)$  a finite direct labeled graph where

- nodes are modified versions of the equation
- edges are labeled by letter homomorphisms
- every solution in reduced words is encoded by some path from an initial to final node in the graph.

Here is a naïve first attempt.

- Input:  $XaYbaXa = bYb^3ZP$  equation in a free monoid.
- Guess the first letter of some variable, and replace. Eg:  $Y \longrightarrow \bar{a}Y$ .
- Guess  $Y \longrightarrow 1$ .
- Repeat. If there is a solution, this method will find it!

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- $Y \longrightarrow \bar{a}Y$  increases the length of the equation (there is no cancellation) — can get arbitrarily long.
- to ensure solutions are reduced words, need to keep track of letters popped out of variables

To keep the equation length bounded, we can try to *compress* constants using new constants. Eg:  $ab \rightarrow c$ ,  $aa \rightarrow d$ ,  $aa \rightarrow a$ .

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- might need many new constants.
- $aa \rightarrow d$  means that  $ad = da$ , so we are no longer in a free monoid.
- $aa \rightarrow a$  only works if all blocks of  $a$  have *even* length

Edges correspond to making one of the following moves on an equation

pop

- $X \longrightarrow aX, \bar{X} \longrightarrow \bar{X}\bar{a}$
- $X \longrightarrow 1, \bar{X} \longrightarrow 1$

split

- $X \longrightarrow X'X, \bar{X} \longrightarrow \bar{X}\bar{X}'$

compress

- $aa \longrightarrow a, \bar{a}\bar{a} \longrightarrow \bar{a}$
- $aa \longrightarrow c, \bar{a}\bar{a} \longrightarrow \bar{c}$
- $ab \longrightarrow c, \bar{b}\bar{a} \longrightarrow \bar{c}$

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## CONSTRAINTS

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Let  $N = A_{\pm} \times A_{\pm} \cup \{0, 1\}$  with multiplication

$$\begin{aligned} 0 \cdot x &= 0 = x \cdot 0 \\ 1 \cdot x &= x = x \cdot 1 \end{aligned} \quad \text{and} \quad (a, b) \cdot (c, d) = \begin{cases} (a, d) & b \neq \bar{c} \\ 0 & b = \bar{c} \end{cases}$$

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Then define the morphism  $\mu : (A_{\pm} \cup \{\#\})^* \rightarrow N$  by  $\mu(a) = (a, a)$ ,  $\mu(1) = 1$  and  $\mu(\#) = 0$ .

If  $u \in (A_{\pm} \cup \{\#\})^*$  then  $\mu(u) = 0$  if  $u$  contains  $\#$  or is not reduced, is 1 if and only if  $u = 1$ , and otherwise  $\mu(u) = (a, b)$  where  $a, b$  are the first and last letters of  $u$ .



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In this way, if there is a way to consistently find a solution following our procedure, then it is guaranteed to be in reduced words not using  $\#$ .

A key difference between our result and Makanin-Razborov diagrams is that we are able to ensure that solutions are in reduced words, ie or maps are simply letter homomorphisms (so EDT0L) not group homomorphisms, where controlling the form of solutions seems difficult,

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The result is ETOL (and not EDTOL for free groups of rank two or more).

THANK YOU



<http://www.math.utah.edu/~bestvina/eprints/questions.pdf>,  
<http://mathoverflow.net/questions/110208/understanding-groups-that-are-not-linear>.



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