Automated Reasoning for Software Engineering

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Computation is deduction

- logic programming, relational data bases
- operational semantics of PLs

Proof theory

- mathematics on the computer
- constructive proofs and program synthesis

Axiomatized domains

- modelling in logic
- knowledge representation
- specification and verification
- rapid prototyping

Descriptive complexity theory

- formalizes fundamental mathematical concepts
- expressive (Turing-complete)
- not too expressive (not axiomatizable: natural numbers, uncountable sets)
- rich structure of decidable fragments
- rich model and proof theory

First-order logic is also called (first-order) predicate logic.

1.1 Syntax

- non-logical symbols (domain-specific) terms, atomic formulas
- logical symbols (domain-independent)
 Boolean combinations, quantifiers

Usage: fixing the alphabet of non-logical symbols

$$\Sigma = (\Omega, \Pi),$$

where

- Ω a set of function symbols f with arity $n \ge 0$, written f/n,
- Π a set of predicate symbols p with arity $m \ge 0$, written p/m.

If n = 0 then f is also called a constant (symbol). If m = 0 then p is also called a propositional variable. We use letters P, Q, R, S, to denote propositional variables.

Refined concept for practical applications: many-sorted signatures (corresponds to simple type systems in programming languages);

Predicate logic admits the formulation of abstract, schematic assertions. (Object) variables are the technical tool for schematization.

We assume that

X

is a given countably infinite set of symbols which we use for (the denotation of) variables.

Terms over Σ (resp., Σ -terms) are formed according to these syntactic rules:

s, t, u, v ::= x, $x \in X$ (variable) $| f(s_1, ..., s_n) , f/n \in \Omega$ (functional term)

By $T_{\Sigma}(X)$ we denote the set of Σ -terms (over X). A term not containing any variable is called a *ground term*. By T_{Σ} we denote the set of Σ -ground terms.

In other words, terms are formal expressions with well-balanced brackets which we may also view as marked, ordered trees. The markings are function symbols or variables. The nodes correspond to the *subterms* of the term. A node v that is marked with a function symbol f of arity n has exactly n subtrees representing the n immediate subterms of v.

Atoms (also called atomic formulas) over Σ are formed according to this syntax:

$$\begin{array}{cccc} A, B & ::= & p(s_1, ..., s_m) & , \ p/m \in \Pi \\ & \left[& | & (s \approx t) & (\text{equation}) \end{array} \right] \end{array}$$

Whenever we admit equations as atomic formulas we are in the realm of *first-order logic with equality*. Admitting equality does not really increase the expressiveness of first-order logic. But deductive systems where equality is treated specifically can be much more efficient.

Literals

- $\begin{array}{rcl} L & ::= & A & (\text{positive literal}) \\ & & | & \neg A & (\text{negative literal}) \end{array}$

Clauses

 $\begin{array}{cccc} C, D & ::= & \bot & (empty clause) \\ & & | & L_1 \lor \ldots \lor L_k, \ k \ge 1 & (non-empty clause) \end{array}$

 $F_{\Sigma}(X)$ is the set of first-order formulas over Σ defined as follows:

F, G, H	::=	\perp	(falsum)
		Т	(verum)
		A	(atomic formula)
		$\neg F$	(negation)
		$(F \wedge G)$	(conjunction)
		$(F \lor G)$	(disjunction)
		$(F \implies G)$	(implication)
		$(F \equiv G)$	(equivalence)
		$\forall x F$	(universal quantification)
		∃xF	(existential quantification)

- We omit brackets according to the following rules:
 - $\neg \neg >_{p} \lor >_{p} \land >_{p} \Longrightarrow >_{p} \equiv$ (binding precedences)
 - \lor and \land are associative and commutative
 - \implies is right-associative
- $Qx_1, \ldots, x_n F$ abbreviates $Qx_1 \ldots Qx_n F$.
- infix-, prefix-, postfix-, or mixfix-notation with the usual operator precedences; examples:

$$s + t * u \quad \text{for} \quad +(s, *(t, u))$$

$$s * u \leq t + v \quad \text{for} \quad \leq (*(s, u), +(t, v))$$

$$-s \quad \text{for} \quad -(s)$$

$$0 \quad \text{for} \quad 0()$$

$$\begin{split} \Sigma_{PA} &= (\Omega_{PA}, \ \Pi_{PA}) \\ \Omega_{PA} &= \{0/0, \ +/2, \ */2, \ s/1\} \\ \Pi_{PA} &= \{\leq /2, \ _p \ + \ >_p \ < \ >_p \ \leq \end{split}$$

Exampes of formulas over this signature are:

$$\begin{aligned} \forall x, y(x \leq y \equiv \exists z(x + z \approx y)) \\ \exists x \forall y(x + y \approx y) \\ \forall x, y(x * s(y) \approx x * y + x) \\ \forall x, y(s(x) \approx s(y) \implies x \approx y) \\ \forall x \exists y \ (x < y \land \neg \exists z(x < z \land z < y)) \end{aligned}$$

We observe that the symbols \leq , <, 0, s are redundant as they can be defined in first-order logic with equality just with the help of +. The first formula defines \leq , while the second defines zero. The last formula, respectively, defines s.

Eliminating the existential quantifiers by Skolemization (cf. below) reintroduces the "redundant" symbols.

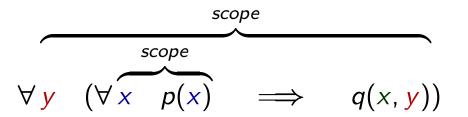
Consequently there is a *trade-off* between the complexity of the quantification structure and the complexity of the signature.

In $Q \times F$, $Q \in \{\exists, \forall\}$, we call F the scope of the quantifier $Q \times A$. An occurrence of a variable x is called bound, if it is inside the scope of a quantifier $Q \times A$. Any other occurrence of a variable is called free.

Formulas without free variables are also called closed formulas or sentential forms.

Formulas without variables are called ground.

Example



The occurrence of y is bound, as is the first occurrence of x. The second occurrence of x is a free occurrence.

Substitution is a fundamental operation on terms and formulas that occurs in all inference systems for first-order logic. In the presence of quantification it is surprisingly complex.

By F[s/x] we denote the result of substituting all *free occurrences* of x in F by the term s.

Formally we define F[s/x] by structural induction over the syntactic structure of F by the equations depicted on the next page.

$$\begin{aligned} x[s/x] &= s \\ x'[s/x] &= x'; \text{ if } x' \neq x \\ f(s_1, \dots, s_n)[s/x] &= f(s_1[s/x], \dots, s_n[s/x]) \\ \bot[s/x] &= \bot \\ \top[s/x] &= \top \\ p(s_1, \dots, s_n)[s/x] &= p(s_1[s/x], \dots, s_n[s/x]) \\ (u \approx v)[s/x] &= (u[s/x] \approx v[s/x]) \\ \neg F[s/x] &= \neg (F[s/x]) \\ (F\rho G)[s/x] &= (F[s/x]\rho G[s/x]); \text{ for each binary connective } \rho \\ (QyF)[s/x] &= Qz((F[z/y])[s/x]); \text{ with } z \text{ a "fresh" variable} \end{aligned}$$

We need to make sure that the (free) variables in s are not *captured* upon placing s into the scope of a quantifier, hence the renaming of the bound variable y into a "fresh", that is, previously unused, variable z.

Why this definition of substitution is well-defined will be discussed below.

In general, substitutions are mappings

$$\sigma: X \to T_{\Sigma}(X)$$

such that the domain of σ , that is, the set

$$dom({m \sigma}) = \{x \in X \mid {m \sigma}(x)
eq x\},$$

is finite. The set of variables introduced by σ , that is, the set of variables occurring in one of the terms $\sigma(x)$, with $x \in dom(\sigma)$, is denoted by $codom(\sigma)$. Substitutions are often written as $[s_1/x_1, \ldots, s_n/x_n]$, with x_i pairwise distinct, and then denote the mapping

$$[s_1/x_1,\ldots,s_n/x_n](y) = egin{cases} s_i, & ext{if } y = x_i \ y, & ext{otherwise} \end{cases}$$

We also write $x\sigma$ for $\sigma(x)$.

The modification of a substitution σ at x is defined as follows:

$$\sigma[x \mapsto t](y) = \begin{cases} t, & \text{if } y = x \\ \sigma(y), & \text{otherwise} \end{cases}$$

"Homomorphic" extension of σ to terms and formulas:

$$f(s_1, \ldots, s_n)\sigma = f(s_1\sigma, \ldots, s_n\sigma)$$

$$\perp \sigma = \perp$$

$$\top \sigma = \top$$

$$p(s_1, \ldots, s_n)\sigma = p(s_1\sigma, \ldots, s_n\sigma)$$

$$(u \approx v)\sigma = (u\sigma \approx v\sigma)$$

$$\neg F\sigma = \neg (F\sigma)$$

$$(F\rho G)\sigma = (F\sigma \rho G\sigma) ; \text{ for each binary connective } \rho$$

$$(Q \times F)\sigma = Qz (F \sigma[x \mapsto z]) ; \text{ with } z \text{ a fresh variable}$$

E: Convince yourself that for the special case $\sigma = [t/x]$ the new definition coincides with our previous definition (modulo the choice of fresh names for the bound variables).

Theorem:

Let G = (N, T, P, S) be a context-free grammar^a and let q be a property of T^* (the words over the alphabet T of terminal symbols of G).

q holds for all words $w \in L(G)$, whenever one can prove these 2 properties:

- 1. (base cases) q(w') holds for each $w' \in T^*$ such that X ::= w' is a rule in P.
- 2. (step cases) If $X ::= w_0 X_0 w_1 \dots w_n X_n w_{n+1}$ is in P with $X_i \in N$, $w_i \in T^*$, $n \ge 0$, then for all $w'_i \in L(G, X_i)$, whenever $q(w'_i)$ holds for $0 \le i \le n$, then also $q(w_0 w'_0 w_1 \dots w_n w'_n w_{n+1})$ holds.

Here $L(G, X_i) \subseteq T^*$ denotes the language generated by the grammar G from the nonterminal X_i .

^aInfinite grammars are also admitted.

Theorem:

Let G = (N, T, P, S) be a *unambiguous* context-free grammar. A function f is well-defined on L(G) (that is, unambiguously defined) whenever these 2 properties are satisfied:

1. (base cases)

f is well-defined on the words $w' \in \Sigma^*$ for each rule X ::= w' in P.

- 2. (step cases) If $X ::= w_0 X_0 w_1 \dots w_n X_n w_{n+1}$ is a rule in P then $f(w_0 w'_0 w_1 \dots w_n w'_n w_{n+1})$ is well-defined, assuming that each of the $f(w'_i)$ is well-defined.
- *Q:* Why should *G* be unambiguous?

Q: Does Theorem 24 justify that our homomorphic extension

apply :
$$F_{\Sigma}(X) \times (X \to T_{\Sigma}(X)) \to F_{\Sigma}(X)$$
,

with $apply(F, \sigma)$ denoted by $F\sigma$, of a substitution is well-defined?

A: We have two problems here. One is that *"fresh"* is (deliberately) left unspecified. That can be easily fixed by adding an extra variable counter argument to the apply function.

The second problem is that Theorem 24 applies to unary functions only. The standard solution to this problem is to curryfy, that is, to consider the binary function as a unary function producing a unary (residual) function as a result:

apply :
$$F_{\Sigma}(X) \rightarrow ((X \rightarrow T_{\Sigma}(X)) \rightarrow F_{\Sigma}(X))$$

where we have denoted $(apply(F))(\sigma)$ as $F\sigma$.

E: Convince yourself that this does the trick.

To give semantics to a logical system means to define a notion of truth for the formulas. The concept of truth that we will now define for first-order logic goes back to Tarski.

In classical logic (dating back to Aristoteles) there are "only" two truth values "true" and "false" which we shall denote, respectively, by 1 and 0.

There are multi-valued logics having more than two truth values.

A Σ -algebra (also called Σ -interpretation or Σ -structure) is a triple

$$\mathcal{A} = (U, (f_{\mathcal{A}} : U^n \to U)_{f/n \in \Omega}, (p_{\mathcal{A}} \subseteq U^m)_{p/m \in \Pi})$$

where $U \neq \emptyset$ is a set, called the universe of \mathcal{A} .

Normally, by abuse of notation, we will have \mathcal{A} denote both the algebra and its universe.

By Σ -Alg we denote the class of all Σ -algebras.

A variable has no intrinsic meaning. The meaning of a variable has to be defined externally (explicitly or implicitly in a given context) by an assignment.

A (variable) assignment, also called a valuation (over a given Σ -algebra \mathcal{A}), is a map $\beta : X \to \mathcal{A}$.

Variable assignments are the semantic counterparts of substitutions.

By structural induction we define

$$\mathcal{A}(\beta): T_{\Sigma}(X) \to \mathcal{A}$$

as follows:

$$\mathcal{A}(\beta)(x) = \beta(x), \qquad x \in X$$
$$\mathcal{A}(\beta)(f(s_1, \dots, s_n)) = f_{\mathcal{A}}(\mathcal{A}(\beta)(s_1), \dots, \mathcal{A}(\beta)(s_n)), \qquad f/n \in \Omega$$

In the scope of a quantifier we need to evaluate terms with respect to modified assignments. To that end, let $\beta[x \rightarrow a] : X \rightarrow A$, for $x \in X$ and $a \in A$, denote the assignment

$$eta[x\mapsto a](y):=egin{cases} a & ext{if } x=y\ eta(y) & ext{otherwise} \end{cases}$$

The set of *truth values* is given as $\{0, 1\}$. $\mathcal{A}(\beta) : \Sigma$ -formulas $\rightarrow \{0, 1\}$ is defined inductively over the structure of F as follows:

$$\mathcal{A}(\beta)(\perp) = 0$$

$$\mathcal{A}(\beta)(\top) = 1$$

$$\mathcal{A}(\beta)(p(s_1, \dots, s_n)) = 1(\mathcal{A}(\beta)(s_1), \dots, \mathcal{A}(\beta)(s_n)) \in p_{\mathcal{A}}$$

$$\mathcal{A}(\beta)(s \approx t) = 1\mathcal{A}(\beta)(s) = \mathcal{A}(\beta)(t)$$

$$\mathcal{A}(\beta)(\neg F) = 1\mathcal{A}(\beta)(F) = 0$$

$$\mathcal{A}(\beta)(F\rho G) =_{\rho} (\mathcal{A}(\beta)(F), \mathcal{A}(\beta)(G))$$

with $_{\rho}$ the Boolean function associated with ρ

$$\mathcal{A}(\beta)(\forall xF) = \min_{a \in U} \{\mathcal{A}(\beta[x \mapsto a])(F)\}$$

$$\mathcal{A}(\beta)(\exists xF) = \max_{a \in U} \{\mathcal{A}(\beta[x \mapsto a])(F)\}$$

Ex: "Standard" Interpretation *N* **for Peano Arithmetic**

$$U_N = \{0, 1, 2, \ldots\}$$

$$0_N = 0$$

$$s_N : n \mapsto n + 1$$

$$+_N : (n, m) \mapsto n + m$$

$$*_N : (n, m) \mapsto n * m$$

$$\leq_N = \{(n, m) \mid n \text{ less than or equal to } m\}$$

$$<_N = \{(n, m) \mid n \text{ less than } m\}$$

Note that N is just one out of many possible Σ_{PA} -interpretations.

Values over *N* for Sample Terms and Formulas

Under the assignment $\beta : x \mapsto 1, y \mapsto 3$ we obtain

$$N(\beta)(s(x) + s(0)) = 3$$

$$N(\beta)(x+y \approx s(y)) = 1$$

- $N(\beta)(\forall x, y(x+y \approx y+x)) = 1$
- $N(\beta)(\forall z \ z \leq y) \qquad = 0$
- $N(\beta)(\forall x \exists y \ x < y) = 1$

Part 2: Higher Order Logic and Sequent Calculus

- In order to formally reason about mathematical objects, or programs we need a formal language PVS uses higher order logic.
- Constructions in higher order logic used in PVS:
 - − ¬ not
 - \wedge and
 - \lor or
 - \rightarrow if \ldots then
 - \leftrightarrow if and only if
 - $\forall x : XP(x)$
 - $\exists x : xP(x)$
 - = is equal to
 - $p(t_1, \ldots, t_n)$, t_1, \ldots, t_n are in relationship with each other: (t_1, \ldots, t_n) are called atoms;

Examples

- The atoms $p(t_1, \ldots, t_n)$ can have the form:
 - a < b
 - -1 < 1 + 1
 - even(4), odd(5);
- Examples of formulae are:
 - $\forall x, y : Nat \leftrightarrow x + 1 < y + 1$
 - $\forall x, y : Nat \leftrightarrow x < y \rightarrow x < y + 1$
 - $-\forall \text{prime}(p) : \text{Nat} \leftrightarrow \neg \exists x : \text{Nat} 1 < x \text{ and } x < p \land \text{divides}(x, p)$
 - $\forall x, y : \text{Real square}(x + y) = \text{square}(x) + \text{square}(y) + 2 * x * y$

Predicate versus higher order logic: what is an order

- Predicates that speak of domain objects are of first-order.
- Predicates that speak of objects of at most *i*th order are themselves *i* + 1th order.
- Functions that take and return domain objects are of first-order.
- Functions that take and return objects of at most *i*-th order are themselves *i* + 1-th order.

Example: The induction principle is second order.

 $\forall P : Nat \rightarrow BoolP0 \land \forall n : Nat(P(n) \rightarrow P(n+1)) \rightarrow Nat : P(n)$

- When reasoning about physical objects the following principles are considered valid:
 - law of excluded middle: $A \lor \neg A$
 - law of double negation: $\neg \neg A \implies A$

Example: Either there are errors in the code, or there are no errors in the code.

- Mathematical objects.
- The law of excluded middle is not observed.
- To prove ∃x : Xp(x) in Intuitionistic logic means to find a witness t for which p(t) holds.

The most important types of deduction systems are:

- natural deduction
 - models the natural style of reasoning;
 - principle of forward reasoning: deriving conclusions, deriving conclusions from the conclusions, etc.
- sequent calculus;
 - conclusions and premises are treated in the same way.
 - the proof consists o judgments rather than conclusions;
- PVS is based on a sequent calculus for higher order classical logic.
- COQ is based on higher order intuitionistic logic with inductive types.

Definition: A multiset is a set that can distinguish how often en element occurs in it. Alternatively: a list that cannot see the order of its elements.

Examples

- 1. $A \lor B \land A \land B \land A \land B$
- 2. $A \lor B \land A \land B \land C \implies D$
- 3. $A \land B \land A \lor B \land A \land B$

The first and the last multiset are equal.

A sequent is an object of the form:

$$\Gamma \Vdash \Delta$$

where:

• Both Γ and Δ are multisets of formulae.

Meaning: Whenever all of the Γ are true then at least one Δ is true.

Propositional rules

• Axiom:

• The cut rule:

$$\overline{\Gamma, A \Vdash \Delta, A}$$

$$\overline{\Gamma, A \Vdash B} \qquad \Gamma \Vdash \Delta, A$$

$$\overline{\Gamma \Vdash \Delta}$$

• Weakening (left):

• Weakening (right):

${\sf \Gamma}\Vdash \Delta$
Γ , <i>A</i> ⊩ Δ
Γ - Δ
$\overline{\Gamma \Vdash \Delta, A}$

Structural rules (Cntd)

• Contraction (left):

Γ , A , $A \Vdash \Delta$
Г, А ⊩ ∆
Г ⊩ ∆, А, А
Г ⊩ ∆, А

Contraction (right):

Rules for the constants

• (⊤ left):	$\frac{\Gamma \Vdash \Delta}{\Gamma - T \parallel - \Delta}$
• (⊥-left):	$\Gamma, \top \Vdash \Delta$
	$\overline{\Gamma, \bot \Vdash \Delta}$
• (\top right):	
 (⊥-right): 	Г ⊩ ∆, ⊤
	$\frac{\Gamma \Vdash \Delta}{\Gamma \Vdash \Delta, \bot}$

Rules for negation

• Negation (left):

Г ⊩ ∆, А
$\overline{\Gamma}, \neg A \Vdash \Delta$
Г, А ⊩ ∆
$\Gamma \Vdash \Delta, \neg A$

• Negation (right):

• (\land left):

- (∨-left):
- (\land right):

• (V-right):

- $\frac{\Gamma, A, B \Vdash \Delta}{\Gamma, A \land B \Vdash \Delta}$
- $\frac{\Gamma, A \Vdash \Delta \quad \Gamma, B \Vdash \Delta}{\Gamma, A \lor B \Vdash \Delta}$
- $\frac{\Gamma \Vdash \Delta, A \quad \Gamma \Vdash \Delta, B}{\Gamma \Vdash \Delta, A \land B}$

 $\frac{\Gamma \Vdash \Delta, A, B}{\Gamma \Vdash \Delta, A \lor B}$

Premises and conclusions are treated in the same way.

• (\rightarrow -left):

 $\frac{\Gamma \Vdash \Delta, A \quad \Gamma, B \Vdash \Delta}{\Gamma, A \to B \Vdash \Delta}$

• $(\rightarrow$ -right):

 $\frac{\Gamma, A \Vdash \Delta, B}{\Gamma \Vdash \Delta, A \to B}$

• (\leftrightarrow -left):

$$\frac{\Gamma, A \Vdash B, A \quad B \to A, \Vdash \Delta}{\Gamma, A \leftrightarrow B \Vdash \Delta}$$

• (\leftrightarrow -right):

$$\frac{\Gamma \Vdash \Delta, A \longrightarrow B \quad \Gamma \Vdash \Delta, B \longrightarrow A}{\Gamma \Vdash \Delta, A \leftrightarrow B}$$

Rules for the quantifiers

• (\forall -left):

• (∃-left):

• (\forall -right):

- $\frac{\Gamma, P[x := t] \Vdash \Delta}{\Gamma, \forall x : XP(x) \Vdash \Delta}$
- $\frac{\Gamma, P[x := y] \Vdash \Delta}{\Gamma, \exists x : XP(x) \Vdash \Delta}$
- $\frac{\Gamma \Vdash \Delta, P[x := y]}{\Gamma \Vdash \Delta, \forall x : XP(x)}$

• $(\exists -right)$:

$$\frac{\Gamma \Vdash \Delta, P[x := t]}{\Gamma \Vdash \Delta, \exists x : XP(x)}$$

The t is an arbitrary term of type X and X is not free in Γ , Δ

• Reflection:

$$\Gamma \Vdash \Delta, t = t$$

• Replication:

$$rac{t_1 = t_2, \, {\sf \Gamma}[t_2] \Vdash \Delta[t_2]}{{\sf \Gamma}[t_1] \Vdash \Delta[t_1]}$$

Rules for IF

- PVS has an IF operator:
- The operator is defined as $(A \land B) \lor \neg A \land C$
- IF-left

 $\frac{\Gamma, A, B \Vdash \Delta \quad \Gamma, \neg A, C \Vdash \Delta}{\Gamma, IF(A, B, C) \Vdash \Delta}$

• IF-right

 $\frac{\Gamma, A \Vdash \Delta, B \quad \Gamma, \neg A \Vdash \Delta, C}{\Gamma \Vdash \Delta IF(A, B, C)}$

Types in programming languages:

- Provide structure;
- Provide specification with documents;
- Are naturally allocated with functions;
- Ensure certain correctness properties (e.g. strong normalisation of typed Λ calculus.

- Many logics are untyped (e.g. propositional logic);
- Type specification is convenient (automatically checkable);
- Type specification is expressible in the logic itself;
- In type theory there is a close link between types and logic (PVS is not based on type theory).

- A type in PVS is a set of values.
- Questions:
 - Which values contain a given type?
 - How can one construct these values?
 - What purpose do the types serve?

Basic built in types:

- **bool**: two element set of true values TRUTH and FALSE;
- **nat**: countable set of natural numbers 0, 1, 2 ...;
- **int**: countable set of integers -2, -1, 0, 1, 2 ...;
- rat: countable set of rational numbers 1, 0.5 $\frac{1}{3}$,...;
- real: uncountable set of real numbers 1, 0.33, $\frac{1}{\sqrt{3}}$, π ;

Tuples:

- Type $[T_1, \ldots, T_n]$, $n \geq 1$
- Meaning: Cartesian product $T_1 \times \ldots \times T_n$.
- Constructor: ()
- Destructors 1', ..., *n*'
- Examples:
 - [int] = int
 - (7, TRUE)= [int, bool]
 - (7, TRUE)'1=7
 - (7, TRUE)'2=TRUE

We will study:

- Interactive proof tools (proof assistants):
 - offer to prove theorems step by step;
 - user has to select an appropriate command;
 - each step that the prover offers is logically sound;
 - granularity varies;
- Theory behind higher order theorem provers:
 - deductive calculi
 - data types;
 - typed lambda calculus versus higher order logic;
- Applications of PVS to small functional programs.