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Planning plant operating procedures for chemical plant

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Abstract

All industrial plants require an extensive set of operating procedures. This paper discusses the use of hierarchical nonlinear leastcommitment AI planning technology to generate plant operating procedures for chemical process plant. It considers the handling of flow through the interfacing of a valve sequencing subplanner, the handling of safety through the mechanism of goals of prevention, and the use of *pairs* as a way of mutually constraining planning variables and increasing planning efficiency. It concludes with some results and discussion of the advantages of the approach. © 2001 Published by Elsevier Science Ltd.

25 Keywords: Plant operating procedures; AI planning; Chemical process plant

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29 1. Introduction

All industrial plants require an extensive set of operating procedures which define the steps required—
for example—to start the plant up, to shut the plant down, to isolate pieces of equipment for maintenance or

- 35 to deal with emergency situations. Steps may be carried out manually by human operators, or some of them may
- 37 be embodied in the plant control system, depending on the level of automation. It is clearly vital for reasons
- 39 both of safety and efficiency that procedures are of a high quality.

In the chemical process industry, a multi-disciplinary commissioning team containing skilled engineers is normally responsible for defining sets of procedures, taking of the order of two man-years of effort. If operability problems are uncovered during this work, late changes to the design of the plant may result, sometimes while the plant is actually being constructed. These are the motivations for the development of

49 computer-based tools to aid in the authoring of

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operating procedures. In the INT-OP project,1 which57ran between 1996 and 1999, state-of-the-art hierarchical59non-linear partial-order AI Planning technology (Weld,591994) was applied to the task of operating procedure61synthesis (OPS) (Soutter, 1997), as far as we are aware,61for the first time. The project produced the chemical63described below in Section 2.63

Because of the importance of creating high-quality 65 operating procedures, and the amount of manual effort currently required to do this, the problem has been 67 studied by a number of workers in the field of chemical engineering. However, none of them were able to 69 produce a general purpose system since the algorithms used were either oriented towards solving the problem of 71 flow in a plant by organising the opening or shutting of valves, or were aimed at reasoning about the operation 73 of reaction vessels such as filling a tank or starting a heater. The work of the early 1980s (Ivanov et al., 1980; 75 Kinoshita et al., 1981) using state-graphs limited sample problems to plants containing a handful of valves 77 because of the number of states they generated: 20 valves each with 2 states produces 1,048,576 nodes in a 79 state graph. Other workers used larger plant (Rivas and Rudd, 1974) but only considered valves and not vessels. 81 A real-world nuclear fuel processing plant was used in (Crooks and Macchietto, 1992), but this work concen-83 trated on optimising a hand-generated plan. Only

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- 1 Aelion and Powers (Aelion and Powers, 1991) have seriously considered AI planning technology, and in this
- case a linear STRIPS type engine was used, dating back to the 1970s (Fikes et al., 1972), which was therefore
 unable to deal with unfavourable interactions between actions in the plan.
- AI planning is a technology that has developed representations and algorithms specifically to handle
 combinatorial sequencing problems. Its approach matches the requirements of OPS very closely. A
 planning problem is usually defined by a domain model and by two states of that model: the initial state and the
 goal state. The domain model describes the objects in a
- domain, the actions that can be performed with the objects and the constraints on these actions. Actions are
- normally defined by *planning operators*. The initial statedescribes the state of the domain immediately before any
- actions have been carried out, with the goal state 19 describing the facts which must be true after the plan has
- been completed. The output is a set of ordered steps
 (instantiated planning operators) which, if executed, take the domain model from its initial to its desired final
 state.
- The task of producing a plan can be split into two closely related and intertwining subtasks. The first subtask involves correctly selecting and instantiating
- 27 the planning operators needed to solve each goal in the final state. For example, consider three blocks A, B, and
- 29 C all on a table; a single planning operator which allows a robot to move any block from the table onto another
- 31 block if both blocks are clear; and a final goal of a tower with A on B on C. Of all the steps possible as the first in
- the plan, only that of moving B from the table onto C will meet the final goal state. All other planning
 operator instantiations, if chosen, require the planner to backtrack and undo them
- The second subtask involves detecting and resolving conflicts between the steps needed to achieve different
 objectives. For example, in the above problem, if A is
- first put onto B in order to solve one of the end-goals,
 then it will not be possible to move B onto C to solve the
- other goal. Resolving conflicts can be carried out by reordering the conflicting actions, inserting new actions,
- or by replanning, as discussed in Chapman, (1987). 45 During planning, the search space can become
- enormous if no techniques are used to limit its size,
 and it is here that modern AI planning techniques have made substantial advances. Least-commitment planning
- 49 (Penberthy and Weld, 1992) is an approach to reducing search spaces. It encompasses non-linear planning, in
- 51 which only essential ordering constraints between actions are introduced, leaving all others unordered (in
- 53 pseudo-parallel), allowing a whole set of plans to be represented at once. It also includes constraining the
- 55 possible instantiations of an object used in the plan rather than committing to a particular instantiation.

Hierarchical planning (Sacerdoti, 1974) also reduces the
search space by representing a problem as a hierarchy of
tasks that need to be achieved, allowing a plan or part of
a plan to be represented by a high level of abstraction,
with the lower levels, and more detailed part of the plan,
left for later expansion.57

AI planning, in its modern and relatively mature 63 form, is thus a set of representations and algorithms specifically intended to deal with exactly the type of 65 combinatorial sequencing problems that arise in OPS. Yet as the discussion above shows, it is a technology 67 that has rarely been applied outside its own research community, though this is beginning to change with a 69 number of successful real-world applications especially in Space (Aarup et al., 1992; Chien, 1994; Chien et al., 71 1997; Bernard et al., 1998). This paper discusses in detail what was required in order to apply AI planning 73 technology to OPS in the hope that this will encourage other workers to apply it to similar industrial problems. 75

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2. Planning characteristics of process plant and OPS

81 Fig. 1 shows an engineering line diagram (ELD) of the type that would be used by commissioning engineers generating operating procedures manually. The ELD 83 presented is that for a real piece of plant—the double effect evaporator (DEE)-located in Loughborough 85 University and used there for teaching purposes and 87 also as a case-study in the INT-OP project. Though it is not therefore a real-world industrial plant, it is of equivalent complexity to a 'chunk' of real-world 89 plant-that is a functional sub-division of a plant often considered by engineers in the field. Two such 91 'chunks'-the backend loop of an ammonia plant, and the metals extraction subsystem of an acetic acid 93 plant-were also made available to the project as case 95 studies by its industrial collaborators (ICI and BP, respectively) but industrial confidentiality prevents a 97 detailed consideration of their topology and procedures.

One basic characteristic of the domain is revealed by the ELD. This is the high degree of interconnection 99 (Aylett and Jones, 1996), obvious even if the particular symbols used are not familiar to the reader. This is not 101 merely a static topological consideration. In a robot blocks world such as that discussed above, removing 103 one block normally has no effect on the other blocks in the domain (as long as blocks are only taken from the 105 top of piles). In a process plant, the significant effect of opening or shutting a valve is not that the state of the 107 valve changes, but that, depending on the state of the rest of the plant at the time, one or more chemical flows 109 may be started or stopped. The interconnectedness of the domain is reflected dynamically in the particular 111 properties of flow.

R.S. Aylett et al. | Engineering Applications of Artificial Intelligence 0 (2001) 1-16



Fig. 1. The double-effect evaporator.

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Flow plays as fundamental a role in a chemical plant
domain as movement does in a robotic domain. Just as a robot delivering a letter to an office can be decomposed
into a sequence of MOVE operations, so could the Haber process for making ammonia be decomposed into
FLOW of chemicals. Does this mean that FLOW should be handled in much the same way as robot

41 route planning?

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In fact FLOW differs from robot route planning in significant ways. While a MOVE action can be thought of as directly moving the robot along a portion of its route, FLOW is produced entirely as a side-effect of valve (and pump) operations. While a robot moves *only* along the route planned for it, flow will occur at all junctions off the chosen route not specifically blocked.

- 49 Thirdly, a flow continues once started until explicitly stopped. Fourthly, more than one chemical may pass
- 51 down the same route simultaneously: in the Haber process hydrogen and nitrogen are merged into one53 flow. Finally, a flow route is contaminated with chemical
- even after the flow has stopped. These differences areexpressions of interconnectedness and must be handledif planning is to be successful. While STRIPS operators

are capable of handling side-effects, the approach taken
in this project was to interface the planning mechanism
to a specific subsystem for valve sequencing, using a
specialised algorithm (Soutter and Chung, 1997; Aylett
et al., 1998). This will be discussed and justified in
Section 3.93

A second characteristic of the process plant domain is the importance of safety. A plan which moves the plant 97 to a desired end-state is unacceptable if-for example—along the way explosive gases have been mixed 99 together, poisonous gases have been vented to the atmosphere or corrosive liquids have been flushed down 101 a drain. In planning terms, intermediate states are important as well as end-states. The approach taken 103 here was the introduction of 'Goals of prevention' (Soutter and Chung, 1996) defining safety restrictions as 105 part of the overall description of the plant. These will be discussed in Section 4. 107

A third characteristic which is important from a planning point of view is the fact that flow delivers chemicals to components of the plant quite distant from where they originate as inputs. For example, in Fig. 1, at the top right corner the symbol PW shows process water

EAAI: 537 -

- 1 entering the system which will eventually flow through the two heat exchangers, HE1 and HE2, in the centre
- 3 portion of the ELD. It is important if the problem is to remain tractable that the planning operators used in
- 5 operating these heat exchangers should not have to try every possible path in the plant in order to establish the
- 7 source of this flow. At the same time, as we discuss below, it is also important that the specific topology of
 9 this plant is not encoded into the generic behaviour of a
- 9 this plant is not encoded into the generic behaviour of a heat exchanger (Petley et al., 1998) described by these
 11 planning operators. Section 5 shows how this problem

was tackled with an extension to the representation used known as *pairs*, with an associated modification of the

- 13 known as *pairs*, with an associated modification of the planning algorithm (Aylett et al., 1999).
- 15 This paper therefore demonstrates that by taking into account the specific characteristics of the domain, very
- 17 general mechanisms can be applied to OPS. Like all knowledge-based approaches, there are vital issues
- 19 concerning the acquisition, validation and maintenance of the knowledge required. These issues are not
- 21 discussed here (see Aylett et al., 1997), but lie behind the overall architecture of the whole system shown in
- 23 Fig. 2. CEP-Tool is an intelligent front-end which handles knowledge acquisition for a particular plant,
- and delivers a domain model to CEP-Run, the core of which is CEP itself, the chemical engineering planner
 discussed in this paper.

CEP-Run encompasses a number of other functions
not discussed in this paper. In particular, it includes some facilities allowing a user to interact with the
planning process if they so require. It also includes a process known as linearisation, which turns the partially
ordered plan net produced by the planner itself into a

sequence of instructions forming an operating procedure. These have been discussed elsewhere (Aylett et al.,

1997). At the heart of CEP-Run is the system shown 37



Fig. 2. The CEP architecture.



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in Fig. 3, forming CEP, the chemical engineering planner, itself. It can be visualised, as in this figure, as
a state-of-the-art hierarchical non-linear least commitment planner with specific facilities for handling flow
93 and safety.

CEP works with planning operators of the basic form 95 of the example shown in Fig. 4, the planning operator for toggling the state of a control valve. Here, all 97 quantities prefixed with '?' represent variables, which can be instantiated with specific names in a particular 99 plant. The achieve section shows what the effect of this action is when executed—to change the aperture of a 101 valve to ?state 2. The using section gives the preconditions for applying this action-in this case that the 103 initial aperture is in ?state1. Setting ?state1 != ?state2 constrains these states to be instantiated with 105 different values. We have used this planning operator as an example because it is valve operations which produce 107 flow-yet as we see in Fig. 4, the only direct result of operating a valve is to change its state from open to shut 109 or vice-versa. How CEP can reason about flow and decide which valve operations to include in its plan is the 111 subject of the next section.

FAAL: 537 -

1 3. Planning flow

- 3 It was argued above that flow-achieved by sequencing opening and closing valves-is fundamental to all
- 5 continuous process plant domains. Previous OPS work in chemical engineering either dealt with valve sequen-
- 7 cing alone (Foulkes et al., 1988), or planned the operation of reaction vessels to the exclusion of valve 9 sequencing (Fusillo and Powers, 1987). CEP is the first
- OPS system to combine both.
- 11 Several approaches are possible. Flow may be handled as a post-processing step to the allocation of
- 13 reaction vessels. Alternatively, it may be dealt with like other aspects of planning through planner operators.
- Thirdly, flow may be handled via a sub-planner 15 integrated into the planner. CEP has adopted this third 17 solution.

19 3.1. Flow as post-processing

21 This approach was adopted in Crooks and Macchietto (1992), who viewed OPS as a resource allocation 23 rather than a planning problem. The objective was to produce given quantities of given products by choosing 25 a process route (sequence of reactions) to produce each of the required products and then allocating resources in

27 the form of reaction vessels to each. In a second phase, steps were added to the procedure to create the

29 necessary flow paths between the reaction vessels used. The problem from a planning perspective is that the

31 pipes which carry a flow are themselves resources. If flow paths are chosen independently of each other then

- 33 two flow paths may end up sharing the same pipes, mixing chemicals in dangerous or undesirable ways. 35 Such flows need not overlap temporally: a flow can
- contaminate a pipe with a chemical which may have to 37 be removed before a second flow can be created with
- another chemical. Removal of a chemical often involves 39 washing a pipe out with some neutral substance like

water and in order to get this to the required location in 41 the plant, sometimes the substance will have to flow

through a vessel. This causes problems because the 43 system is designed to allocate vessels to tasks only in the first phase of procedure creation. Thus flow cannot be 45 treated as a post-processing step.

3.2. Flow using operators 47

49 While flow could be modelled using planner operators, because of the characteristics discussed above this 51 turns out to be clumsy and inefficient. While a MOVE operator with pre-conditions at (?Robot, ?X), next-53 to (?X, ?Y) and effect at (?Robot, ?Y) can be used to find a route for a robot between two locations, an

55 operator FLOW cannot take this form since not only must valves along the chosen flow-route be opened, valves off the flow-route must also be closed to stop flow 57 into other parts of the plant. With no explicit representation of the valves in the flow-route, it is hard 59 to close the correct valves.

However, modelling flow through planning operators 61 OPEN and CLOSE for valves is also problematic. While in principle such operators can deal with side-effects, 63 flow is a side-effect which depends on the configuration of the plant, so that the standard assumption that all effects 65 of an action are declared in the planning operator is very hard to meet. The alternatives are: first, a separate 67 planning operator to describe the operation of each valve in each interesting plant state. Second, enhance the 69 planning operator representation to allow the effects of opening a valve to be a function of the state of the plant, 71 for example using conditional effects. Third, use planning operators to represent the opening of each 73 interesting flow route rather than representing each individual valve operation. 75

Each of these three strategies produces planning operators specific to a particular plant which then cannot be used in a different plant, a fundamental objection in a project trying to produce a system that 79 can be configured to a new plant by a non-expert. They differ only in the trade-off between complexity and 81 brevity. In the first and third cases each operator is simple but a huge number is required to describe a 83 complex plant. In the second case, each operator is very complex but only one is required for each valve in a 85 plant. In all cases, the valve sequencing problem must be 87 solved anew for each new plant.

The same objection can be raised against a planning operator which handles all the flows in a section of 89 plant. This operator would only work on that section of that plant—and due to its complexity and size would be 91 extremely difficult to formulate and test. Nor is it clear that flow patterns would support such sectioning on any 93 but the largest scale of granularity, with shared flows, circular flows and reversing flows giving particular 95 problems.

97 In conclusion, it does not appear possible to create a planning operator model of opening a valve that is independent of the specific valve to be opened. Similarly, 99 it does not appear possible to create a planning operator model to start a chemical flowing through a plant 101 independent of the process plant that the chemical will flow though. 103

3.3. Flow with a subplanner

Noticeably all three of the AI planning systems 107 currently used for real-world problems-OPLAN (Tate et al., 1994), SIPE (Wilkins, 1988), and PRODIGY 109 (Veloso et al., 1995)-provide support for subplanners, suggesting that domain dependent algorithms of this 111 type are not unusual. This section proposes a domain

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R.S. Aylett et al. | Engineering Applications of Artificial Intelligence 0 (2001) 1–16

FAAL: 537 -

- 1 dependent but plant independent mechanism for creating a flow using a specific flow reasoning algorithm. The
- 3 implication of this work is that some difficult problems are best solved through the development of domain
- 5 dependent modules that live within otherwise domain independent planners.
- 7 The algorithm used by the OPS community to create a flow of chemical is based on Foulkes et al. (1988). It
- 9 applies a maze searching algorithm; the valves around this route are closed and then the valves along the route
- 11 are opened. Thus each flow of chemical has an easily determined effect—the contamination of all the units
- 13 along the flow route by the chemical being transported. Clearly the subplanner should be called when the
- 15 planner has a goal to create a flow between two points. It will find a flow route which must be communicated
- 17 back to the planner in terms of valves to be opened and closed.

 All of OPLAN, SIPE and PRODIGY approach subplanning as a mechanism for performing mathema tical reasoning during planning. Hence it is not

surprising that their subplanners are constrained to behave as mathematical functions, taking a fixed length sequence of arguments as input and producing a result

- completely determined by these input parameters. The input parameters are planning objects, that are variables
- or constants. A planning variable is used to hold the return value. But these interfaces cannot be adopted fora flow subplanner which differs in significant ways from

a straightforward mathematical function:

- 31
 1. Flow subplanners are non-deterministic. There may be many flow routes for a particular chemical and any suitable one may be chosen arbitrarily. All possible routes should be considered during backtracking to ensure completeness.
- 2. Flow subplanners may implement partial functions. There may be no feasible flow paths between two points or all feasible paths may be blocked by other flows of chemical. Hence it may not be possible to find a route for a particular flow of chemical at a particular point in a plan.
- 43 3. Unbound input parameters can be important. For example, if the destination point for a flow is unbound but constrained to the set of possible drains for a particular chemical, then the subplanner could opportunistically choose a suitable drain when looking for a flow route.
- 4. Flow subplanners return partial plans, not a single variable. The interface must support their incorporation into planning.

A principled way of creating fragments of plan can be created through the use of a macro—a piece of code which itself creates a piece of code when it runs. CEP had already included macro planning operators as a facility since this allows a user to specify sequencing

macro Flow{	57
valve *?opened, *?closed;	
unit *?contaminated, ?source, ?destination;	59
call /* subplanner call */	
TIOW (: SOULCE, : describation)	(1
[*?opened, *?closed, *?contaminated];	61
solve /* Use this operator for */	
<pre>flow(?source, ?destination, ?chem);</pre>	62
nodes /* Node definitions */	05
1 instant close;	
order /* Temporal ordering of nodes */	65
1, @;	
require /* preconditions */	67
1, @ aperture of *?closed is closed;	07
@aperture of *?opened is open;	
@aperture of *?numps is open:	69
e apereure or .pumps is open,	0)
achieve /* effects */	
lcontains(*?unit, *?port, ?chem);}	71
	<i>,</i> 1

Fig. 5. The flow macro planning operator.

information about the order in which goals should be 75 met and is very useful where at some higher level of abstraction, 'chunks' of plant are to be started up one 77 after the other.

Thus a specific CEP macro operator, flow, seen in79Fig. 5 was used to implement the interface between the
valve sequencer and CEP's general planning mechanism.81One should note that this mechanism is general enough
to support other subplanners of like complexity (for
example, a computational geometry subplanner in the
case of component assembly planning) and is therefore
more powerful than the interfaces of SIPE, OPLAN and
PRODIGY.87

The call section of this macro specifies flow as the subplanner to be called from the CEP table relating 89 names to available subplanners. The arguments in round brackets are input parameters: question marks show 91 that they are variables rather than constraints. The arguments in square brackets are return parameters: the 93 star in front of them indicates they represent a set of objects rather than a single object. One should 95 remember here that the planning process is proceeding 'backwards', that is, from a goal requiring a flow to the 97 actions needed to produce the flow.

The call above will find a route between ?source 99 and ?destination and then constrain the variables *?opened, *?closed and *?contaminated with the 101 details of the flow route found. For example, *?opened will be constrained to the set of valves that are to be 103 opened. The call may as a side effect bind some of the input parameters—a particular flow must start from a 105 particular source and end up at a particular destination.

The rest of the macro describes the handling of the 107 variables constrained by the call. For example, at the start of the plan fragment being produced by the 109 subplanner, and shown in Fig. 6, the valves around the flow path must be closed. This "close point" (Fig. 6) is 111 referred to in the macro as node1. These valves must

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Fig. 6. Creating a plan fragment for CEP from the valve sequencer.

- 17 remain closed until the point in the CEP plan which produced the flow goal in the first place, which is given
 19 the special symbol @. CEP can say then that the flow
- 19 the special symbol @. CEP can say then that the flow operator has the precondition shown, amounting to "all
- 21 the *?closed valves must be closed at node1 and remain closed until @". Thus the macro now performs the
 23 translation of the subplanner output into a plan fragment.
- 25 The following steps are required within CEP in order to apply this macro:
- 27

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- (1) A new set of variables are created according to the type definitions at the macro head.
- (2) These variables are constrained so the solve31 section matches the goal to be solved.
- (3) The flow subplanner is called, further constraining33 the opened, closed and contaminated variables.
 - (4) The domain of all starred variables is fixed.
- 35 (5) New nodes are added as described in nodes and their order is constrained as in order.
- 37 (6) Preconditions, effects and causal links are added as described in achieve and require.
- 39 (7) On backtracking, steps 6, 5 and 4 are undone and the subplanner is asked for an alternative solution.
- 41

The use of this macro interface gives CEP a neat and
generic interface for allowing a specialised subplanner to
solve problems for which a standard AI planning
algorithm is not the best solution and has successfully
allowed CEP to cope correctly with all the problems
posed by flows outlined above.

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4. Dealing with safety through goals of prevention

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CEP applies a method for generating safe plans by
53 actively monitoring and protecting the safety of a plan during planning. Its approach may be described as an
55 explicit strategy for planning with goals of prevention.

The strategy is 'explicit' because the responsibility for

plan safety is taken from the planner and given to a 57 separate algorithm explicitly designed to maintain it.

A goal of prevention describes a set of states that must 59 not occur during a plan. Expressed in predicate calculus, a goal of prevention has the general form shown in 61 statement (1).

$$\forall (?v_1 \in \mathfrak{R}_1, \ldots, ?v_n \in \mathfrak{R}_n, [var constraints].$$

$$\neg (p_1 \land p_2 \land \cdots \land p_n). \tag{1}$$

In statement (1), $v_1 \dots v_n$ represent planning variables; $\Re_1 \dots \Re_n$ represent the sets of possible values for each of the variables; and $p_1 \dots p_n$ represent literals. The only variables in $p_1 \dots p_n$ are $v_1 \dots v_n$.

For example, the goal of prevention shown in statement (2) represents the blocks world constraint 71 "each block can have no more than one other block stacked on top of it". Note that $?b_1 \neq ?b_2$ should be read as "?b1 does not codesignate (that is, become instantiated to the same value) with ?b2". 75

$$\forall (?b_1, ?b_2, ?b_3 \in \text{Blocks}, ?b_1 \neq ?b_2). \neg (\text{on}(?b_1, ?b_3) \land \text{ on}(?b_2, ?b_3)).$$
(2)

We will say that a goal of prevention is violated if it becomes false during a plan. A plan is considered safe if every goal of prevention in the domain remains unviolated at every point in the plan.

4.1. Implicit and explicit strategies

The concept of goals of prevention is not new in the planning literature. Similar concepts include: 'hazardous 87 conditions' in Rivas and Rudd (1974), 'preservation goals' in Schank and Abelson (1977), 'goals of main-89 tenance' and 'goals of prevention' in Georgeff (1987), 'local constraints' and 'global constraints' in Fusillo and 91 Powers (1987), and 'don't-disturb' goals in Weld and Etzioni (1994). However only two papers were found 93 that discussed planning with such goals. On the one 95 hand, Weld and Etzioni (1994) look at encoding goals of prevention into the planning operators of a domain so that the safety of the plan is maintained implicitly by the 97 planner. On the other, the paper of Fusillo and Powers (1987) examines the explicit maintenance of goals of 99 prevention during planning though only in the context of very simple state space planners much less powerful 101 than CEP.

The implicit strategy used by Weld and Etzioni (1994) 103 encodes the goals of prevention into the planning operator set of the domain. In a basic implementation 105 of this strategy, whenever each new action is added to the plan, the action is made safe against all the goals of 107 prevention by adding new preconditions and by constraining the variables within it. The planner must consider all possible ways of making each action safe. This can be done through backtracking or through the use of disjunctive preconditions. This is efficient and easy

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R.S. Aylett et al. | Engineering Applications of Artificial Intelligence 0 (2001) 1–16

FAAL: 537 -

- 1 to implement but suffers from inflexibility. In this approach, the way that goals of prevention are handled 3 is defined by the way that the planner works.
- An explicit strategy is based on a safety maintenance 5 algorithm that is run at regular intervals during
- planning. The method the safety maintenance algorithm 7 uses to resolve a violation is similar to the algorithm used in the implicit strategy to make an action safe but 9 has two major advantages which have led to its adoption
- in CEP. 11 Firstly, the planner is better able to explain a plan if the explicit strategy is used since the planner knows
- 13 which possible violation each modification is added to protect against. The ability to explain a plan is
- important if a person and a computer are to cooperate 15 to create a plan. It is of particular significance in a 17 domain such as chemical process plant where the
- engineer using the software must always be able to justify the choices made, for example in the formal 19 hazard assessment procedure known as HAZ-OP.
- 21 Secondly, the explicit strategy is flexible and can support a more sophisticated handling of goals of 23 prevention. For some plant, goals of prevention must be constrained to a region of time and the planner must allow new goals of prevention to be added during 25 planning. For example, consider a plant in which a
- 27 chemical h needs to be continuously added to a reaction vessel during a reaction lasting 10 h. There are six routes
- 29 that h can flow along from its supply tank to the reaction vessel. At all times during the reaction at least 31 one route must be open to the flow of h. However, the
- route that is used during the first 5 h may be different 33 from the route that is used in the last 5h. This may
- happen if one of the pipes in the original flow route is 35 needed in the achievement of some other objective of the
- planner. Protecting the flow of h during the reaction is 37 the same as maintaining a goal of prevention which guards against closing all six flow routes during the 39 reaction.
- The explicit strategy provides access to the algorithm 41 which detects a goal of prevention violation. It is possible to change this algorithm so that some goals of
- 43 prevention are only noticed in certain periods of time during a plan. It is also possible to have the algorithm
- look for all the violations of a new goal of prevention 45 rather than just the violations resulting from the newest 47
- action. CEP follows the approach taken by Fusillo and Powers (1987) but extends it to least commitment 49 planning.
- An explicit strategy for planning with goals of prevention has two parts: an algorithm to monitor the 51 safety of a plan and detect violations of goals of 53 prevention, and an algorithm to restore the safety of a
- plan after a goal of prevention violation is found. In 55 CEP these two algorithms are run at the end of each
- planning cycle.

4.2. Detecting violations and repairing them

The safety of a plan can be evaluated incrementally by 59 examining each action as it is added to the plan. This strategy was first suggested by Rivas and Rudd (1974). 61 This evaluation is based on the idea of an action causing a goal of prevention violation. In CEP, a new action is 63 said to necessarily cause the violation of a goal of prevention $\neg (p_1 \land p_2 \land \cdots \land p_n)$ if the new action 65 necessarily achieves $p_i \in p_1 \dots p_n$ at some point *s* and the goal of prevention is violated at s. This is not quite 67 the intuitive idea of causation because, by the definition, an action can cause a violation that already existed 69 before that action was added to the plan.

A new action is said to possibly cause a goal of 71 prevention violation if there is some completion of the plan in which the action necessarily causes a goal of 73 prevention violation. This definition is sufficient for our needs. If the initial state of a plan is safe and each action 75 does not *possibly cause* a goal of prevention violation then the plan as a whole is safe for two reasons. Firstly, 77 if no action causes a violation then the plan cannot contain a violation to which any action contributes. 79 Secondly, part of the STRIPS assumption is that the 81 world state will not change except as the result of an action. If a goal of prevention is violated by a plan then at least one of the actions must contribute to this 83 violation.

DetectViolation () (Fig. 7) is the procedure in CEP 85 to find whether a new action possibly causes a goal of 87 prevention violation. The heart of this procedure is a routine to examine a point s in a partial plan and decide 89 whether a goal of prevention is violated at that point. This routine can be implemented using a simplified 91 planner which cannot add new actions to the plan. The simplified planner is given the task of achieving all the terms in the goal of prevention at the point s. Each term 93 is treated as an end goal. We reason that the simplified 95 planner can achieve its end goals if and only if the goal of prevention is violated at s in some completion of the 97 plan.

At worst the simplified planner will have to consider every completion of a partial plan in order to achieve the 99 goals that it has. In CEP each partial plan has a finite number of completions because all planning variables 101 are constrained to sets of possible values and because a plan can be ordered only in a finite number of ways. Hence the simplified planner has a finite search space. The search space does not contain loops, mainly because 105 solving a goal does not create new subgoals, and so planning is deterministic. 107

For this implementation a set of sensible values for s was needed. The possible values were limited to the set 109 of actions achieving literals in the goal of prevention. The reasoning is that one of these achievers must come 111 last, or at least no latter than any other achiever. At this

57

	R.S. Aylett et al. Engineering Applications of Artificial Intelligence 0 (2001) 1-16	9		
1	 C = choose a condition from the set of conditions achieved by new- action. 	57		
3	2. p = choose a goal of prevention from the domain description.	59		
	3. p_j = choose a term from p such that p_j possibly codesignates with $c.$			
5	4. Create a new set of plan variables to represent the variables in $p.$	61		
7	5. Constrain the plan variables so that p_j codesignates with $c.$	63		
7	6. p_z = choose some term from the goal of prevention p .	0.		
9	7. If $z \neq j$ then s = choose some action in plan such that possibly s achieves p_z and possibly s comes before new-action.	65		
11	8. If $z = j$ then s = new-action.	67		
13	9. Constrain the plan variables so that s necessarily achieves $p_z.$ 10. Order new-action at or before $S.$	69		
15	11. Add a causal link to protect c between new-action and S . Resolve any conflicts with this new causal link.	71		
17	12. For each term p_i in p such that $i \neq j$ and $i \neq z$:	73		
1,	(a) X = choose an action in plan which possibly achieves p_i and which	/-		
19	is possibly before S.	75		
	(b) a = choose an effect of X which possibly codesignates with p_i .			
21	(c) Constrain the plan variables so that a codesignates with $p_i.$	77		
23	(d) Order X at or before s .	70		
25	(e) Add a causal link to protect p_i between X and $s.$ Resolve any	1.		
25	conflicts with this new causal link.	81		
	13. Remove all the constraints and causal links that have been added during DetectViolation(). This will restore the original plan.			
27	Return that a violation was found.			
29	Fig. 7. The algorithm DetectViolation ().	85		

latest point, all terms in the goal of prevention must be
true and so this point is a candidate value for *s*. It is not clear how to predict which achievers will come last and
so each achiever must be tried as a possible value of *s*.

31

The implementation also required a strategy for handling the variables in the goal of prevention. Any such variables will be implicitly universally quantified (a goal of prevention holds for any binding of its variables). CEP represents the variables in a goal of prevention by creating a set of plan variables to associate with the goal of prevention variables. The simplified planner is allowed to constrain these new variables as normal. In effect, the simplified planner is directed to find the violation of any instance of a goal of

47 uncerted to find the violation of any instance of a goal of prevention.47 When a goal of prevention violation is found in a

47 when a goal of prevention violation is found in a plan, the planner must either resolve the violation or
 49 backtrack. The plan cannot ignore the violation and

produce an unsafe plan. For completeness, all nonredundant methods of resolving each violation must be considered. A goal of prevention violation occurs if for

each term in the goal of prevention violation occurs in forwhich asserts that term (the *achiever* of the term) and no

55 action which possibly denies (or *clobbers*) the term between the point at which it is achieved and the point s

where the goal of prevention is violated. A goal of prevention violation is said to have been resolved if the DetectViolation() algorithm will not signal the same violation again. Intuitively, two violations are the same if they involve the same achievers and the same goal of prevention. 93

There are exactly two ways that a goal of prevention 95 violation may be resolved. First, one of the literals in the goal of prevention can be denied between the point it is 97 achieved and s. This will prevent the success of step 11 or step 12e in the DetectViolation() algorithm. Second, variables can be constrained to prevent some 99 achiever from matching the proper term in the goal of prevention, and thus prevent the success of step 3 or step 101 7 or step 12b in the algorithm. No other steps in the algorithm can be prevented from succeeding without 103 erasing part of the current plan structure.

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5. Using pairs to reduce planner search space

Having looked at how flow and valve sequencing is 109 handled in Section 3, and the use of goals of prevention to deal with safety in Section 4, we finally consider how 111 CEP can represent knowledge about where flows 1 originate without tying its domain representation too tightly to the topology of individual plants. First *pairs*

are defined in terms of how CEP functions, and then their use as a knowledge representation in process pant
 is considered.

7 5.1. What pairs are

Pairs can be seen as a straightforward but useful extension to the expressiveness of the STRIPS formalism still widely used in planning. Recent work (Long and Fox, 1998) distinguishes between three types of
extensions to STRIPS: purely syntactic extensions, which make domain description easier but do not
change its scope; extensions which allow new domain

properties to be represented (Erol, 1995); and extensions which allow extra domain-specific knowledge to be represented so as to reduce the search problem for the

19 planner in some way. Pairs are an example of this third type of extension, which, like the second type, requires21 associated changes to the planning algorithms used.

The earliest planners, including STRIPS, represent planning variables as a receptacle that can hold a value

or another variable or nothing. A useful aspect of STRIPS variables is that they support *co-designation*. A goal on(?x-5,table) can be solved by a goal achieving

27 on(?z-6,table) without binding ?x-5 or ?z-6 to particular values. This is important if the solving action
29 has preconditions which also involve ?z-6 because the planner is still free to solve the precondition for any

31 value of ?z-6.

Later planners also allowed *non-codesignation* con-33 straints between variables and values. Examples are Chapman's TWEAK (Chapman, 1987) and Weld's POP

- 35 (Weld, 1994). Non-codesignation constraints occur when the planner seeks to prevent an action from
- 37 *clobbering* (that is, negating) the achievement of a goal. For example, to prevent an action with the effect on (?x-
- 3, blue-block) from clobbering the achiever of on (?z-6,table) the planner must ensure that ?z-6 and ?x-3

41 are separate objects by applying a non-codesignation constraint. This is the second method referred to above43 for handling the violation of a goal of prevention.

As an extension of this idea, some planners allow 45 variables to be constrained to a set of possible values.

Inconsistencies can then be discovered more quickly
because the planner can watch for variables that have exhausted their set of possible values. In a finite domain,
associating a variable with a set of possible values is the

- associating a variable with a set of possible values is the same as giving that variable a type. For example,
 associating ?p with the type "person" is the same as restricting ?p to the finite set of people that are known to
 the planner.
- However variables may also be constrained by their relationship with other variables. For example, if a person ?*p* is to perform a job ?*j* then we could require ?*p*

to be qualified to perform ?j. Often these relationships 57 are represented using predicates, for example qualied_for(Mary, Java). A relationship between a set of 59 variables may be *static* or *dynamic* in a given domain. Dynamic relationships can change over the length of a 61 plan-it might be possible for John to qualify for software testing-while static relationships are not 63 affected by any of the operators in the domain and so cannot change over the plan. For example, it may be the 65 case that none of the operators in the domain cause a person to become qualified or disqualified. In this case 67 we can describe them as *invariants* (McLuskey and Porteous, 1997). 69

Planning theory has been developed with dynamic predicates in mind. Most planners use a complex modal 71 truth criterion just so they can reason about the state of dynamic relationships in a changing plan. Such a 73 criterion is not needed to reason about static relationships, which can be handled as a special case, yet most 75 planners treat static relationships in the same way that they treat dynamic relationships in spite of the 77 inefficiency this introduces. An exception is the use of typing just mentioned, as a type can be seen as a static 79 relationship with an arity of one. Pairs represent a 81 generalisation of typing so that all static relationships are treated as variable constraints, with a resulting gain in efficiency that turns out to be very important in a 83 heavily interconnected domain such as a process plant.

CEP's implementation is a simple one. It assumes that all the variables in a relationship are unbounded. If Mary is the only Java programmer then it is possible to reason that non-codesignating ?p with Mary also noncodesignates ?j with Java. However it is more common to reason that binding ?p to John, or any other value except Mary, non-codesignates ?j with Java. 91

Thus pairs represent the possible values that related variables can take. For example, the pair <Mary, Java> 93 represents that Mary is one of the people that can 95 program in Java. One can also represent negative knowledge-for example, in a negative relationship, 97 < John, Drive> would represent that John is one of the few people who cannot drive. For simplicity, CEP assumes that most relationships between variables are 99 binary-hence the term pairs. So, while CEP can reason about a person being qualified for a job it cannot 101 directly reason about the safety of storing a chemical at a particular temperature in a particular container. 103 However, separate pairs linking the storage of the chemical and the temperature and the temperature and 105 the container can be set up.

In the data structure for a variable, a record is kept of the pairing constraints which apply to that variable. The record includes the list of pairs in the relationship, whether this relationship is positive or negative and which side of the pair should match the variable. Pairing constraints, like typing constraints, are applied when a

- 1 variable is created. When a variable is bound to a value, the pairs on that variable are simply translated into non-
- 3 codesignation constraints. In the negative relationship above, if ?p binds to John then ?j is non-codesignated
- 5 with Drive and all other jobs mentioned against John. In the positive relationship above, if ?p binds to Mary
- 7 then ?*j* is non-codesignated with all jobs except Java and the other jobs mentioned against Mary. When two
- 9 variables co-designate, the list of pairing constraints is simply unified. Pairing constraints are simply ignored

11 when non-codesignation constraints are applied.

13 5.2. Using pairs to represent plant knowledge

15 From a declarative perspective, a chemical plant consists of a (usually very large) set of components and

- 17 connections. Each component can be represented as a frame in an equipment hierarchy, while the topology is
- 19 represented by specifying for each component to which other components it is immediately connected. It should
- 21 be noted that components are instances of generic pieces of equipment such as valves, pumps or vessels, but
- 23 topology is specific to a particular plant. Knowledge of both components and topology is easy to acquire
 25 through the same CAD system which is used to design
- the plant (Aylett et al., 1997).27 It is much more difficult to acquire the procedural
- knowledge for the domain, the behaviour of the plant,
 which is embodied in planning operators such as that seen in Fig. 4. Planning operators not only have to
- 31 accurately model the behaviour of plant components, but also take into account the way in which the planning
- 33 system itself functions. This dual role can make them hard to define successfully even for experts in a
- 35 particular planning system. In the INT-OP project the assumption was made that the majority of the planning
- 37 operators required for a particular plant related to generic components found as instances in the plant.39 Thus all valves or pumps of a particular type in the
- 39 Thus all valves or pumps of a particular type in the equipment hierarchy can be modelled in the same way.
- 41 This may be summarised as the position 'function is independent of structure'.
- 43 It then becomes possible to develop a library of generic planning operators attached to the equipment
 45 hierarchy. The appropriate planning operators can simply be loaded for a domain containing the components with which they are associated without the
- 47 nents with which they are associated without the constructor of the domain needing to understand their49 internal structure, thus making the system accessible to
- chemical engineers rather than to AI experts. The library 51 currently contains 36 such generic operators (Petley
- et al., 1998). 53 However in AI planning, there is always a risk that
- making planning operators more general will multiply 55 the search effort required to instantiate them for a particular domain. In particular, every use of a planning

operator which is concerned with a flow of chemicals,
and therefore invokes the valve-sequencing component
discussed above, must instantiate variables for the start
and end points of the required flow. For example, the
vessels known as *formers* in an ammonia plant require a
supply of natural gas, which comes into the plant from
an external source and flows through a number of pipes
and valves to reach these vessels. A planning operator to
start up a former in an ammonia plant must establish
this flow of natural gas.57

Back-tracking across chosen start or end-points for a 67 flow is particularly expensive because each time the valve-sequencer must be called to establish the new 69 route. The interconnectedness of the plant means that there are always, in the absence of knowledge of the 71 specific topology of the plant, many such choices. On the other hand, if knowledge of the specific topology of 73 the plant is incorporated into planning operators, they cease to be generic. Thus a mechanism is required which 75 allows knowledge dependent on topology to be represented in generic planning operators. Pairs offer just 77 such a mechanism.

In order to represent topological knowledge inside 79 planning operators, generic pairs are declared. These are then matched to plant-specific pairs declared in a 81 domain file as a part of the description of a particular plant. Fig. 8 gives an example of the use of generic pairs 83 (in bold) in a CEP planning operator. The first pair in Fig. 8 means that when the operator instantiates the 85 variables ?pilot and ?source the only possibilities will be 87 the values used in the definitions for the plant-specific pair unitSource, an example of which is given in Fig. 9. In the operator of Fig. 8, a flow of fuel is required from 89 a source into the pilot, and without the pair feature CEP would have to try all the possible sources during 91 planning until one was found that was suitable. However, by using pairs, only the sources defined in a 93 unitSource pair would be tried, and for the operator in 95 Fig. 8 only unitSource pairs whose first part was a piece of equipment of type pilot.

97 In order to demonstrate the efficiency gains of the pairs mechanism, a small experiment was conducted using the DEE domain shown in Fig. 1. In summary, the 99 plant takes an input of brine and extracts the salt by means of evaporation, with steam generated in the first 101 evaporation process used to drive a second. The toplevel operator for this domain, a macro operator which 103 generates the procedure to start the whole plant, was implemented in three versions: firstly as an operator 105 including specific plant knowledge (Fig. 10); secondly as a generic operator without the use of pairs (Fig. 11); and 107 finally as a generic operator with pairs (Fig. 12).

Note the differences between these three versions: in 109 the first, specific plant components are referenced—thus HE1 is the particular heat exchanger in this plant. In the 111 second version, specific references to actual plant

- FAAL: 537 -12 R.S. Aylett et al. | Engineering Applications of Artificial Intelligence 0 (2001) 1-16 1 macro SupplyFueltoPilot 57 { inlet ?source; vent ?vent; pilot ?pilot; chemical ?fuel; 3 59 pair unitSource, ?pilot : ?source; pair chemSupply, ?source : ?fuel; 5 61 solve supplyFuel(?fuel, ?pilot) is true; 7 63 nodes 1 instant flowOfChemical; 2 instant pressureControl; 9 65 3 instant stopVenting; order 11 67 1, 2, 3, \$; require 1, 2 flow(?source, out, ?vent, in, ?fuel, fill); 13 69 2, \$ > flow(?source, out, ?pilot, in, ?fuel, fill); З, \$ noFlowUpstream(?fuel, ?vent, in); 15 end } 71 Fig. 8. Generic pairs in a planning operator. 17 73 19 macro StartDoubleEvaporator 75 pair (unitSource, PI1 : Input4). chemical ?chem; 21 77 pair (chemSupply, Input4 : NaturalGas). solve 23 Fig. 9. Pairs declared for a specific plant. 79 startDouble(E2) is true;
- 25 components have been replaced by generic variables for the classes of components found in a plant of this type.
- 27 These are now declared using types in the macro header (differences and additions in bold). In the third version,
 29 the first two pairs establish, together with the inequalities above them, that two different heaters are required,
- one for each evaporator. Pair 2 then identifies a catchpot as linked to the first heater and a condenser as linked to
 the second heater.
- In order to even out variations on the Sun Sparc5 being used to run this domain, the problem was run 10 times for each version of the planning operator. The
- 37 results can be seen in Table 1. It can be seen that a high penalty of an over 35% increase in planning time39 is paid for the generic operator without pairs. This
- is striking given that 20 planning operators are needed in all for this problem and the final plan contains
- 236 steps. However, once the four generic pairs areincluded in the operator, the increase in planning time is cut to less than 1%. The use of pairs is thus shown to
- 45 make a substantial contribution to planning efficiency. In other plant domains, the use of pairs has allowed the
 47 planning system to find a solution where previously it failed.
- 49

51 6. Results and conclusions

Table 2 shows some comparative times for the generation of operating procedures in some of the case
studies carried out. It can be seen that these times are quite acceptable for interactive use. The metals extrac-

- nodes 81 1 instant createChemical; 2 instant activateEvaporator1; 83 3 instant heatPlant; 4 instant activateSprayCondensor; 85 5 instant activateEvaporator2; 6 instant activateHeater2; 87 require 1, \$ create(MT1, ?chem, ls) is true; 89 2, \$ > active(E1) is true; 3, \$ > active(HE1) is true; 91 4, \$ active(SC1) is true; 5, \$ active(E2) is true; 93 6, \$ active(HE2) is true; 6, \$ active(CP1) is true; 95 order 1,2,3,4,5,6,\$; 97 achieve \$, @ startDouble(E2) is true; 99 end } 101 Fig. 10. A plant specific planning operator.
 - 103

tion system is the one case study in which planning was relatively slow—this reflected a temporal complexity of flow in which a particular route supported a flow in one direction followed by a later flow in the opposite direction. The flow interface had not been designed with this in mind and it is likely that further work to extend it would reduce the planning effort required.

Appendix A gives an example of the output of the 111 system—the plant operating procedure for starting up

EAAI:	537 -
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R.S. Aylett et al.	Engineering	Applications	of Artificial	Intelligence 0	(2001)	1–16
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1	macro StartDoubleEvaporator	57
	<pre>{ mixingTank ?mixer; tubularTempChanger ?temp1, ?temp2;</pre>	
3	glassTubularTempChanger ?glassTubularTempChanger;	59
	evaporator ?evap1, ?evap2; condensor ?condensor;	
5	<pre>catchpot ?catchpot; chemical ?chem;</pre>	61
7	<pre>?evap1 != ?evap2;?temp1 != ?temp2;</pre>	63
	<pre>?temp2 != ?glassTubularTempChanger;</pre>	
9		65
	solve	
11	<pre>startDouble(?evap2) is true;</pre>	67
	nodes	
13	1 instant createChemical;	69
	2 instant activateEvaporator1;	
15	3 instant heatPlant;	71
	4 instant activateSprayCondensor;	
17	5 instant activateEvaporator2;	73
	6 Instant activateneaterz;	
19	require	75
	2 \$ > active(2even1) is true:	
21	$2, \varphi > active((:cvap1))$ is true:	77
	4. S active(?condensor) is true:	
23	5. \$ active(?evap2) is true;	79
	6, \$ active(?temp2) is true;	
25	6, \$ active(?catchpot) is true;	81
	order	
27	1,2,3,4,5,6,\$;	83
	achieve	
29	\$, @ startDouble(?evap2) is true;	85
	end }	
31		87

	Fig.	11.	А	generic	planning	operator	without	pairsI
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33

the DEE plant. This procedure was checked by an
expert in the operation of the plant and found to be correct, though initially not quite the same as the one a
human would have produced (Aylett et al., 1997). The aim of the project was to produce correct procedures in
a reasonable time, and this has been met—however issues of clarity, justifying each step and spatial
influences on the ordering of steps—all of which are important to end-users—would require further work.

43 This paper has argued that AI planning technology is an appropriate choice for the combinatorial sequencing 45 problems involved in generating plant operating procedures because of its specialist algorithms and represen-47 tations. AI planning provides generic mechanisms which allow it to be applied to this problem in a variety of 49 process plant-for example the DEE shown in Fig. 2, as well as the back-end loop of an ammonia plant and the 51 metals extraction unit of an acetic acid plant. By providing the appropriate domain knowledge, CEP 53 could be applied to any other continuous process plant (for example, the making of cottage cheese). With 55 suitable extension it could also be applied to batch plant.

The system does not aim at optimisation of the 91 resulting plant operating procedures, but this could be carried out as a back-end operation of the type discussed in Crooks and Macchietto (1992). It is not designed to 93 perform calculations nor to reason explicitly about 95 time-sequencing handles time implicitly (in terms of before, after and unordered) but does not allocate time to 97 processes. This has not been found to be a problem in the plant considered and may be more properly seen as a process design consideration than one that is central to 99 operability.

13

89

101 While CEP is based on an entirely generic approach to planning (essentially the STRIPS formalism men-103 tioned in a number of places above) it has nevertheless incorporated mechanisms specifically aimed at dealing with process plant, though in each case it has done this 105 in a very general way. Thus the valve sequencing component discussed in Section 3 is specific to the 107 routing of chemical flows, but the macro interface used to implement it could be used to incorporate any other 109 subplanner that returned a fragment of plan. The handling of safety is a major preoccupation of this 111 particular domain, but the goals of prevention discussed

Operator	Planning time (s)	Plant	Proc. steps Time take	n (s)
Table 1 Results of using pairs		Table 2 Generated procedures		
	rig. 12. A generic pla	and operator with pairs.		
	Fig 12 A generic plat	nning operator with pairs		
	end }	1, 10 01 de (
	Ś. @ startDouble(?evan	2) is true:		
	1,2,3,4,3,0,9; achieve			
	6, \$ active(?catchpot)	is true;		
	6, \$ active(?temp2) is	true;		
	5, \$ active(?evap2) is	true;		
	4, \$ active(?condensor) is true;		
	3, \$ > active(?temp1) :	is true;		
	2, \$ > active(?evap1)	is true;		
	1, \$ create(?mixer, ?c)	hem, ls) is true;		
	require			
	6 instant activateHeat	er2;		
	5 instant activateEvap	orator2;		
	4 instant activateSprav	yCondensor;		
	3 instant heatPlant:			
	2 instant activateEvap	orator1;		
	1 instant createChemic	al:		
	nodes	LIUC;		
	solve	t 700 -		
	pair evaporatorCondensor, ?e	evap2 : ?condensor;		
	pair heaterCatchpot, ?temp2	: ?catchpot;		
	pair evaporatorHeater, ?evap	p2 : ?temp2;		
	pair evaporatorHeater, ?evar	ol : ?temp1;		
	· · · · ·			
	?temp2 != ?glassTubularTempC	Changer;		
	<pre>?evap1 != ?evap2; ?temp1 !=</pre>	?temp2;		
	catempot : catempot,	chemical ; chem,		
	evaporator (evap1, (evap2;	condensor (condensor;		
	glassTubularTempChanger ?gla	issTubularTempChanger;		
	{ mixingTank ?mixer;	tubularTempChanger ?temp1	L, ?temp2;	
	macro StartDoubleEvaporator			
		, , , , , , , , , , , , , , , , , , ,	,	
14	R.S. Aylett et al. Engineering Applicat	tions of Artificial Intelligence 0 (200	01) 1–16	

1	Operator	Planning time (s
.3	Specific	20.2
	Generic, no pairs	27.4
.5	Generic plus pairs	20.35

Plant	Proc. steps	Time taken (s)
Cottage cheese	20	<1
Pulsed column rig	17	<1
Double-effect evaporator	238	4
Ammonia back-end loop	168	3
Acetic acid metals extraction	351	348

47

49 in Section 4 are also a very general mechanism which can be used in any domain for which there are forbidden
51 intermediate states. Finally, the pairs mechanism of Section 5 is of particular use in allowing generic
53 planning operators to take account of the topology of particular plants, but is a general facility for mutual
55 constraints between variables which could be applied to quite different domains.

In 1996, when the work reported here began, the most current techniques in use in those AI planning systems which were being applied to real-world problems were used as a starting point. In the following years, new algorithms have been developed by AI planning theoreticians looking for ways of producing more efficient planners. The GraphPlan algorithm (Blum and Fust, 1997) is the best known of these

- 1 developments and has resulted in a great deal of subsequent work, for example Kambhampati et al.
- 3 (1997), Weld et al. (1998), Cayrol et al. (2000) to name only a few. If one were to start in the year 2000,
 5 would this approach be better as a way of generating
- plant operating procedures than the ones discussed 7 above?

One can only answer such a question provisionally, 9 since future work might meet some of the objections, but

- as things stand the answer is a definite no. The first reason for saying so is that it is clear that this approach as of now does not scale up to a problem the size of a
- 13 real chemical plant. GraphPlan in its pure form builds a propositional version of the domain before planning,
- 15 only feasible if the number of schemas and constants is small. Currently, although some initial work has been
- 17 carried out, there is no hierarchical version of Graph-Plan, while hierarchy is fundamental to the way plant
- 19 operating procedures are constructed in the real world as well as to the way designers conceive of process21 plants.
- Indeed, at this point, nobody to our knowledge has applied a GraphPlan planner to a real-world problem,
- while in 1996 the existence of substantial applications of 25 planners like OPLAN, SIPE and PRODIGY was an existence proof that these technologies could cope with
- 27 the necessary scale.
 A second set of problems is associated with how a
 29 user would interact with a GraphPlan planner. The use

of planning operators in CEP allows the user to understand what subtask the planner is working on as

well as the causal links involved. The propositional decomposition needed for the GraphPlan algorithm is

much harder to interact with. Thus the technology lacks

the necessary maturity at this point to be a suitable candidate for the domain so successfully tackled byCEP.

In conclusion, it appears that the combination of mature AI planning mechanisms with appropriate extensions has allowed the INT-OP project to solve

41 the OPS problem for real-world plant in a way that was not possible for previous systems. It is hoped43 that this will pave the way for further applications

- of the technology—as for example recent work in 45 developing control sequences at a lower level (Castillo
- et al., 1999). If this paper causes other groups working in
 engineering applications of AI to consider AI planning
 as a possible approach, then it will have met its
 objective.
- 51

Appendix A Operating procedure generated by CEP to 53 start-up the DEE (part)

- 55 1. Set controller LRC_5 and turn on.(46)
 - 2. Set controller FRC_6 and turn on.(45)

3.	Set controller LRC_7 and turn on.(44)	57
4.	Set controller FRC_8 and turn on.(43)	
5.	Set controller TRC_9 and turn on.(42)	59
6.	Open valve HV7.(185)	
7.	Open valve HV25.(232)	61
8.	Achieved: Flow route from Input2 to MT1 for	
	processWater.(228)	63
9.	Open valve HV6.(211)	
10.	Turn on pump P3.(210)	65
11.	Achieved: Flow route from MT1 to MT1 for	
	brine.(206)	67
12.	Mixing in MT1.(194)	
13.	Open valve HV32.(202)	69
14.	Achieved: Flow route from Input8 to MT1 for	
	salt.(198)	71
15.	Close valve HV32.(203)	
16.	Achieved: Stopped flow of salt to MT1.(197)	73
17.	Warning: Make sure that all the salt needed has	
	entered system before stoppingflow.(192)	75
18.	Mixing brine in MT1.(190)	
19.	Open valve HV16.(59)	77
20.	Open valve HV20.(60)	
21.	Achieved: Flow route from E1 to Output10 for	79
	steam.(55)	
22.	Open valve HV4.(183)	81
23.	Open valve HV5.(182)	
24.	Turn on pump P3.(180)	83
25.	Turn on pump P1.(181)	
26.	Achieved: Flow route from MT1 to E1 for	85
	brine.(176)	
27.	Open valve HV11.(187)	87
28.	Open valve HV2.(189)	
29.	Open valve HV10.(188)	89
30.	Turn on pump $P2_{2}(186)$	0,7
31.	Achieved: Flow route from E1 to MT1 for	91
011	brine (172)	
32	Open valve $HV26$ (104)	93
33	Achieved: Flow route from GP1 to Output 100 for	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
55.	steam (100)	95
34	Open valve $HV31$ (111)	,,,
35	Open valve $HV24$ (112)	97
36	Achieved: Flow route from Input3 to Output4 for	71
50.	coolingWater (107)	99
37	Open value $HV22$ (90)	,,,
38	Achieved: Flow route from Input5 to GP1 for	101
50.	steam (86)	101
30	Open valve $HV28$ (97)	103
<i>4</i> 0	Achieved: Flow route from Input5 to TD1 for	105
40.	steam (93)	105
<i>1</i> 1	Wait until air flushed out of $CP1$ (77)	105
41. 42	Close value $HV26$ (146)	107
-⊤∠. ∕\?	Achieved: Flow route for steam (heat source)	107
чэ.	through GP1 established (76)	100
<u>1</u> 1	Achieved: Flow route from TD2 to Output [®] for	109
	steam (161)	111
	swann.(101)	111

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