

A mathematical picture of basic aspects of the periodic table

Gero Friesecke

TU Munich and University of Warwick

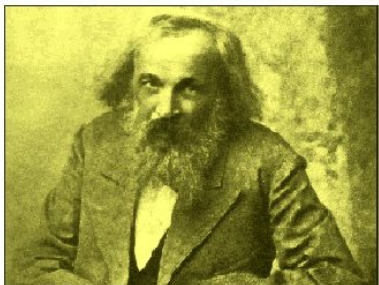
Edinburgh, 24 June, 2008

Joint work with Ben Goddard (Warwick)

Main reason why quantum mechanics (QM) is used in materials science and molecular modelling: it is **chemically specific**.

But rigorous mathematical work on QM to date has focused overwhelmingly on **universal** properties.

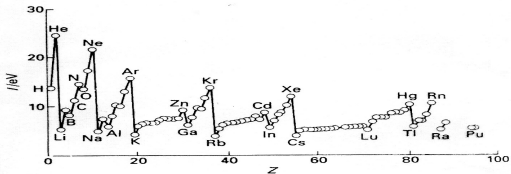
Goal: Begin to understand basic chemically specific properties of atoms mathematically from the Schrödinger equation.



1869: Periodic table of Lothar Meyer, Dimitri Mendeleev

Atoms can be grouped into a "periodic table", due to striking near-periodicities in physical and chemical behaviour

Example: Ionization energy as a function of atomic number (experiment)



1920s: Quantum mechanical explanation of Bohr, Hund, Slater

Chemical differences should come from different atoms having different electronic structure. Propose semi-empirical hydrogen orbital configurations

This talk:

Try to understand how some basic chemical differences between atoms emerge mathematically from the Schrödinger equation.

Schrödinger equation for atoms/ions

- ▶ N electrons, one nucleus of charge Z (neutral atoms: $N=Z$)
- ▶ Electronic structure described by $\Psi : (\mathbb{R}^3 \times \mathbb{Z}_2)^N \rightarrow \mathbb{C}$
- ▶ Governing PDE:
$$\underbrace{\left(-\frac{1}{2}\Delta + V(x)\right)}_{=:H} \Psi = E\Psi$$
- ▶ Potential: Coulomb attraction of electrons by nucleus, Coulomb repulsion between electrons

$$V(x) = -\sum_{i=1}^N \frac{Z}{|x_i|} + \sum_{1 \leq i < j \leq N} \frac{1}{|x_i - x_j|}$$

- ▶ Antisymmetry condition:

$$\Psi(\dots, x_i, s_i, \dots, x_j, x_j, \dots) = -\Psi(\dots, x_j, s_j, \dots, x_i, s_i, \dots)$$

Comments on Schrödinger equation

Comments on Schrödinger equation

1. Chemically specific parameters:

Z (nuclear charge) standard parameter

N (number of electrons) changes the dimension of the PDE

Comments on Schrödinger equation

1. Chemically specific parameters:

Z (nuclear charge) standard parameter

N (number of electrons) changes the dimension of the PDE

(Orbital free DFT: $N = \text{integral constraint on density}$).

Comments on Schrödinger equation

1. Chemically specific parameters:

Z (nuclear charge) standard parameter

N (number of electrons) changes the dimension of the PDE

(Orbital free DFT: N = integral constraint on density).

2. Numerics: Problem of exponential scaling

SE for single Carbon atom (N=6) is a PDE in $\mathbb{R}^{3N} = \mathbb{R}^{18}$.

Discretize: $\mathbb{R} \rightarrow 10$ gridpoints $\implies \mathbb{R}^{3N} \rightarrow 10^{18}$ gridpoints.

Comments on Schrödinger equation

1. Chemically specific parameters:

Z (nuclear charge) standard parameter

N (number of electrons) changes the dimension of the PDE

(Orbital free DFT: $N = \text{integral constraint on density}$).

2. Numerics: Problem of exponential scaling

SE for single Carbon atom ($N=6$) is a PDE in $\mathbb{R}^{3N} = \mathbb{R}^{18}$.

Discretize: $\mathbb{R} \rightarrow 10$ gridpoints $\implies \mathbb{R}^{3N} \rightarrow 10^{18}$ gridpoints.

3. Key ingredient for understanding chemistry: nodes

Competition between $-\Delta + V(x)$ (wants positive ground state), antisymmetry (forces more and more nodes as N increases), spin (allows to avoid some nodes), and electrostatic details of $V(x)$ (influences where the nodes go).

Without antisymmetry, every element would be like Hydrogen. Without spin, hydrogen would be like Helium, Helium like Beryllium, Beryllium like some sort of Carbon. Either way, all hell would break loose.

Function space for the Schrödinger equation

Kato's theorem: H is a self-adjoint, bounded below operator on $L^2_{anti}((\mathbb{R}^3 \times \mathbb{Z}_2)^N)$ with domain $H^2_{anti}((\mathbb{R}^3 \times \mathbb{Z}_2)^N)$.

Mathematical definition of basic chemical quantities

Energy level := eigenvalue of $H = -\frac{1}{2}\Delta + V(x)$

Zhislin's thm: For $N \leq Z$ (neutral atoms and positive ions), there exist exactly countably many eigenvalues, the corresponding eigenspaces being finite-dimensional. Variational pf: GF, ARMA, 2003

Mathematical definition of basic chemical quantities

Energy level := eigenvalue of $H = -\frac{1}{2}\Delta + V(x)$

Zhislin's thm: For $N \leq Z$ (neutral atoms and positive ions), there exist exactly countably many eigenvalues, the corresponding eigenspaces being finite-dimensional. Variational pf: GF, ARMA, 2003

Ground state energy / ground state := lowest e-value/e-space

Mathematical definition of basic chemical quantities

Energy level := eigenvalue of $H = -\frac{1}{2}\Delta + V(x)$

Zhislin's thm: For $N \leq Z$ (neutral atoms and positive ions), there exist exactly countably many eigenvalues, the corresponding eigenspaces being finite-dimensional. Variational pf: GF, ARMA, 2003

Ground state energy / ground state := lowest e-value/e-space

Ionization energy: $I(N, Z) := E(N, Z) - E(N - 1, Z)$

GS energy minus GS energy of system with one electron less

Mathematical definition of basic chemical quantities

Energy level := eigenvalue of $H = -\frac{1}{2}\Delta + V(x)$

Zhislin's thm: For $N \leq Z$ (neutral atoms and positive ions), there exist exactly countably many eigenvalues, the corresponding eigenspaces being finite-dimensional. Variational pf: GF, ARMA, 2003

Ground state energy / ground state := lowest e-value/e-space

Ionization energy: $I(N, Z) := E(N, Z) - E(N - 1, Z)$

GS energy minus GS energy of system with one electron less

Ground state spin and angular momentum quantum numbers

$L \in \{0, 1, 2, 3, \dots\}$, $S \in \{0, \frac{1}{2}, 1, \frac{3}{2}, \dots\}$

They measure the amount of symmetry of the functions in the GS under spatial and spin rotation. Of crucial chemical importance. Zero if and only if the functions in the GS are invariant.

Mathematical definition of the quantum numbers L and S

Mathematical definition of the quantum numbers L and S

SE for atoms and its domain invariant under $SO(3) \times SU(2) \times \mathbb{Z}_2$

- (i) simultaneous rotation of all electron positions about the origin,
 $\Psi(x_1, s_1, \dots, x_N, s_N) \mapsto \Psi(R^T x_1, s_1, \dots, R^T x_N, s_N), R \in SO(3)$
- (ii) simultaneous rotation of all electron spins (by $U \in SU(2)$)
- (iii) simultaneous inversion of all electron positions at the origin.

Mathematical definition of the quantum numbers L and S

SE for atoms and its domain invariant under $SO(3) \times SU(2) \times \mathbb{Z}_2$

- (i) simultaneous rotation of all electron positions about the origin,
 $\Psi(x_1, s_1, \dots, x_N, s_N) \mapsto \Psi(R^T x_1, s_1, \dots, R^T x_N, s_N), R \in SO(3)$
- (ii) simultaneous rotation of all electron spins (by $U \in SU(2)$)
- (iii) simultaneous inversion of all electron positions at the origin.

Conserved quantities (op's which commute with Hamiltonian):

- (i) $\underline{L} = \frac{1}{i} \sum_{j=1}^N x_j \wedge \nabla_{x_j}$ many-el.ang.mom.operator
- (ii) \underline{S} many-electron spin operator
- (iii) P parity operator

Mathematical definition of the quantum numbers L and S

SE for atoms and its domain invariant under $SO(3) \times SU(2) \times \mathbb{Z}_2$

- (i) simultaneous rotation of all electron positions about the origin,
 $\Psi(x_1, s_1, \dots, x_N, s_N) \mapsto \Psi(R^T x_1, s_1, \dots, R^T x_N, s_N), R \in SO(3)$
- (ii) simultaneous rotation of all electron spins (by $U \in SU(2)$)
- (iii) simultaneous inversion of all electron positions at the origin.

Conserved quantities (op's which commute with Hamiltonian):

- (i) $\underline{L} = \frac{1}{i} \sum_{j=1}^N x_j \wedge \nabla_{x_j}$ many-el.ang.mom.operator
- (ii) \underline{S} many-electron spin operator
- (iii) P parity operator

Representation theory for Lie algebra of symmetry group gives:

- $\underline{L}^2, \underline{L}_3, \underline{S}^2, \underline{S}_3, P$ commute with H and each other,
- the eigenvalues of \underline{L}^2 are $L(L+1), L=0,1,2,\dots,$
- on any irreducible e-space of H, \underline{L}^2 has only one eigenvalue.

The integer L parametrizing this \underline{L}^2 eigenvalue is called the ang.mom.quantum number of the eigenspace.

What to do to understand eigenstates, L , S ?

What to do to understand eigenstates, L , S ?

Have two parameters to play with (N and Z)

What to do to understand eigenstates, L , S ?

Have two parameters to play with (N and Z)

Asymptotics in N no good

(Thomas-Fermi limit; loses chem.specificity)

What to do to understand eigenstates, L, S?

Have two parameters to play with (N and Z)

Asymptotics in N no good

(Thomas-Fermi limit; loses chem.specificity)

$Z \rightarrow 0$ no good

(transition to non-existence of eigenstates at $Z_{crit} \geq N/2$)

What to do to understand eigenstates, L, S?

Have two parameters to play with (N and Z)

Asymptotics in N no good

(Thomas-Fermi limit; loses chem.specificity)

$Z \rightarrow 0$ no good

(transition to non-existence of eigenstates at $Z_{crit} \geq N/2$)

Only option left: $Z \rightarrow \infty$.

What to do to understand eigenstates, L, S?

Have two parameters to play with (N and Z)

Asymptotics in N no good

(Thomas-Fermi limit; loses chem.specificity)

$Z \rightarrow 0$ no good

(transition to non-existence of eigenstates at $Z_{crit} \geq N/2$)

Only option left: $Z \rightarrow \infty$.

Our results are rigorous asymptotic results in this limit.

Results, 1: Spin and angular momentum quantum numbers

Theorem

(GF/Goddard) For $N = 1, \dots, 10$, and sufficiently large Z , the angular momentum and spin quantum numbers, and the dimension, of the Schrödinger ground state are:

Atom	H	He	Li	Be	B	C	N	O	F	Ne
# electrons	1	2	3	4	5	6	7	8	9	10
L	0	0	0	0	1	1	0	1	1	0
S	$\frac{1}{2}$	0	$\frac{1}{2}$	0	$\frac{1}{2}$	1	$\frac{3}{2}$	1	$\frac{1}{2}$	0
Chemist's notation	2S	1S	2S	1S	2P	3P	4S	3P	2P	1S
dim	2	1	2	1	6	9	4	9	6	1

Results, 1: Spin and angular momentum quantum numbers

Theorem

(GF/Goddard) For $N = 1, \dots, 10$, and sufficiently large Z , the angular momentum and spin quantum numbers, and the dimension, of the Schrödinger ground state are:

<i>Atom</i>	<i>H</i>	<i>He</i>	<i>Li</i>	<i>Be</i>	<i>B</i>	<i>C</i>	<i>N</i>	<i>O</i>	<i>F</i>	<i>Ne</i>
<i># electrons</i>	1	2	3	4	5	6	7	8	9	10
<i>L</i>	0	0	0	0	1	1	0	1	1	0
<i>S</i>	$\frac{1}{2}$	0	$\frac{1}{2}$	0	$\frac{1}{2}$	1	$\frac{3}{2}$	1	$\frac{1}{2}$	0
<i>Chemist's notation</i>	2S	1S	2S	1S	2P	3P	4S	3P	2P	1S
<i>dim</i>	2	1	2	1	6	9	4	9	6	1

All numbers agree with experiment even for neutral atoms ($Z=N$).

Results, 1: Spin and angular momentum quantum numbers

Theorem

(GF/Goddard) For $N = 1, \dots, 10$, and sufficiently large Z , the angular momentum and spin quantum numbers, and the dimension, of the Schrödinger ground state are:

Atom	H	He	Li	Be	B	C	N	O	F	Ne
# electrons	1	2	3	4	5	6	7	8	9	10
L	0	0	0	0	1	1	0	1	1	0
S	$\frac{1}{2}$	0	$\frac{1}{2}$	0	$\frac{1}{2}$	1	$\frac{3}{2}$	1	$\frac{1}{2}$	0
Chemist's notation	2S	1S	2S	1S	2P	3P	4S	3P	2P	1S
dim	2	1	2	1	6	9	4	9	6	1

All numbers agree with experiment even for neutral atoms ($Z=N$).

Open problem: prove that these numbers do not change as Z is decreased down to $Z = N$.

Results, 2: Ground states

Theorem

(GF/Goddard) For $N = 1, \dots, 10$, and large Z , the Schrödinger ground state is asymptotic to the explicit vector space given on the next slide, in the sense that the projection operators P_0, \tilde{P}_0 onto these spaces satisfy $\lim_{Z \rightarrow \infty} \|P_0 - \tilde{P}_0\| = 0$, the norm being the operator norm on L^2 .

Atom	Symmetry	Ground state	Dimension
H	2S	$ 1\rangle, \bar{1}\rangle$	2
He	1S	$ \bar{1}\bar{1}\rangle$	1
Li	2S	$ \bar{1}\bar{1}2\rangle, \bar{1}\bar{1}\bar{2}\rangle$	2
Be	1S	$\frac{1}{\sqrt{1+c^2}} (\bar{1}\bar{1}2\bar{2}\rangle + c\frac{1}{\sqrt{3}} (\bar{1}\bar{1}3\bar{3}\rangle + \bar{1}\bar{1}4\bar{4}\rangle + \bar{1}\bar{1}5\bar{5}\rangle))$ $c = -\frac{\sqrt{3}}{59049} (2\sqrt{1509308377} - 69821) = -0.2310996 \dots$	1
B	$^2P^o$	$\frac{1}{\sqrt{1+c^2}} (\bar{1}\bar{1}2\bar{2}i\rangle + c\frac{1}{\sqrt{2}} (\bar{1}\bar{1}i\bar{j}\bar{j}\rangle + \bar{1}\bar{1}i\bar{k}\bar{k}\rangle))$ $\frac{1}{\sqrt{1+c^2}} (\bar{1}\bar{1}2\bar{2}\bar{i}\rangle + c\frac{1}{\sqrt{2}} (\bar{1}\bar{1}i\bar{j}j\rangle + \bar{1}\bar{1}i\bar{k}k\rangle))$ $(i, j, k) = (3, 4, 5), (4, 5, 3), (5, 3, 4)$ $c = -\frac{\sqrt{2}}{393660} (\sqrt{733174301809} - 809747) = -0.1670823 \dots$	6
C	3P	$\frac{1}{\sqrt{1+c^2}} (\bar{1}\bar{1}2\bar{2}ij\rangle + c \bar{1}\bar{1}kkij\rangle)$ $\frac{1}{\sqrt{1+c^2}} (\frac{1}{\sqrt{2}} (\bar{1}\bar{1}2\bar{2}i\bar{j}\rangle + \bar{1}\bar{1}2\bar{2}i\bar{j}\rangle) + c\frac{1}{\sqrt{2}} (\bar{1}\bar{1}k\bar{k}i\bar{j}\rangle + \bar{1}\bar{1}k\bar{k}i\bar{j}\rangle))$ $\frac{1}{\sqrt{1+c^2}} (\bar{1}\bar{1}2\bar{2}i\bar{j}\rangle + c \bar{1}\bar{1}k\bar{k}i\bar{j}\rangle)$ $(i, j, k) = (3, 4, 5), (4, 5, 3), (5, 3, 4)$ $c = -\frac{1}{98415} (\sqrt{221876564389} - 460642) = -0.1056318 \dots$	9
N	$^4S^o$	$ \bar{1}\bar{1}2\bar{2}345\rangle$ $\frac{1}{\sqrt{3}} (\bar{1}\bar{1}2\bar{2}345\rangle + \bar{1}\bar{1}2\bar{2}3\bar{4}\bar{5}\rangle + \bar{1}\bar{1}2\bar{2}3\bar{4}\bar{5}\rangle)$ $\frac{1}{\sqrt{3}} (\bar{1}\bar{1}2\bar{2}345\rangle + \bar{1}\bar{1}2\bar{2}34\bar{5}\rangle + \bar{1}\bar{1}2\bar{2}34\bar{5}\rangle)$ $ \bar{1}\bar{1}2\bar{2}345\rangle$	4
O	3P	$ \bar{1}\bar{1}2\bar{2}iijk\rangle$ $\frac{1}{\sqrt{2}} (\bar{1}\bar{1}2\bar{2}i\bar{i}j\bar{k}\rangle + \bar{1}\bar{1}2\bar{2}i\bar{i}j\bar{k}\rangle)$ $ \bar{1}\bar{1}2\bar{2}iijk\rangle$ $(i, j, k) = (3, 4, 5), (4, 5, 3), (5, 3, 4)$	9
F	$^2P^o$	$ \bar{1}\bar{1}2\bar{2}iijk\rangle$ $ \bar{1}\bar{1}2\bar{2}iijk\rangle$ $(i, j, k) = (3, 4, 5), (4, 5, 3), (5, 3, 4)$	6
Ne	1S	$ \bar{1}\bar{1}2\bar{2}34455\rangle$	1

Schrödinger ground states in the limit $Z \rightarrow \infty$.

Notation

Hypergeometric functions (low hydrogen eigenstates)

$$\phi_{1s}(x) = \frac{Z^{3/2}}{\sqrt{\pi}} e^{-Z|x|}, \quad \phi_{1s} : \mathbb{R}^3 \rightarrow \mathbb{C} \text{ (1s-orbital)}$$

$$\phi_{2s}(x) = \left(1 - \frac{Z|x|}{2}\right) e^{-Z|x|/2} \text{ (2s-orbital)}$$

$$\phi_{2p_i}(x) = \frac{Z^{5/2}}{\sqrt{32\pi}} x_i e^{-Z\frac{|x|}{2}}, \quad i = 1, 2, 3 \text{ (2p-orbital)}$$

Spin functions

$$\uparrow : \{\pm\frac{1}{2}\} \rightarrow \mathbb{C}, \quad \uparrow(s) = \delta_{1/2}(s) \text{ (Spin-up-orbital)}$$

$$\downarrow : \{\pm\frac{1}{2}\} \rightarrow \mathbb{C}, \quad \downarrow(s) = \delta_{-1/2}(s) \text{ (Spin-down-orbital)}$$

Joint space/spin functions in $L^2(\mathbb{R}^3 \times \mathbb{Z}_2)$

1, 2, 3, 4, 5 = $\phi_{1s}\uparrow, \phi_{2s}\uparrow, \phi_{2p_3}\uparrow, \phi_{2p_1}\uparrow, \phi_{2p_2}\uparrow$, where

$$(\phi_{1s}\uparrow)(x, s) = \phi_{1s}(x)\uparrow(s)$$

$\bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{5}$ = same with spin down

Antisymmetrised tensor products, alias Slater determinants

(of N orthonormal functions $\psi_1, \dots, \psi_N \in L^2(\mathbb{R}^3 \times \{\pm\frac{1}{2}\})$):

$$|\psi_1 \dots \psi_N\rangle(x_1, s_1, \dots, x_N, s_N) = \frac{1}{\sqrt{N!}} \det \begin{pmatrix} \psi_1(x_1, s_1) & \dots & \psi_1(x_N, s_N) \\ \vdots & & \vdots \\ \psi_N(x_1, s_1) & \dots & \psi_N(x_N, s_N) \end{pmatrix}$$

Results, 3: Excited states

Theorem

(GF/Goddard) Let $N = 1, \dots, 10$, and let $n(N)$ be equal to, respectively, 1, 1, 2, 6, 8, 12, 8, 6, 2, 1.

(i) For Z suff. large, the lowest $n(N)$ Schrödinger energy levels $E_1(N, Z) < \dots < E_{n(N)}(N, Z)$ have exactly the spin quantum no., angular momentum quantum number, and dimension given on the next slide.

(ii) For $Z \rightarrow \infty$, these energy levels have the asymptotic expansion

$$\frac{E_j(N, Z)}{Z^2} = a^{(0)}(N) + \frac{1}{Z}a_j^{(1)} + O\left(\frac{1}{Z^2}\right),$$

with $a^{(0)}$, $a_j^{(1)}$ as given on the next slide. Moreover all other energy levels are bounded away from $a^{(0)}$ as $Z \rightarrow \infty$.

Also, have determined the asymptotic eigenspaces. A bit too complicated to record here.

	Symm.	Ψ	E	c	E (num.)	c (num.)
Li	$2S$	Ψ_1	$-Z^2 + \frac{5995}{84288} Z$		-7.0566	
	$2P^o$	Ψ_2	$-Z^2 + \frac{57387}{84288} Z$		-6.8444	
Be	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-Z^2 + \frac{1}{1679616}(2813231 - 5\sqrt{1509308377})Z$	$-\frac{1}{59049}(2\sqrt{1509308377} - 6981)\sqrt{3}$	-13.7629	-0.2311
	$3P^o$	Ψ_4	$-Z^2 + \frac{1376369}{839680} Z$		-13.5034	
	$1P^o$	Ψ_3	$-Z^2 + \frac{2630351}{1679616} Z$		-13.2690	
	$3P$	Ψ_5	$-Z^2 + \frac{1415005}{839680} Z$		-13.0955	
	$1D$	Ψ_6	$-Z^2 + \frac{14673197}{839680} Z$		-13.0112	
	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-Z^2 + \frac{1}{1679616}(2813231 + 5\sqrt{1509308377})Z$	$\frac{1}{59049}(2\sqrt{1509308377} + 6981)\sqrt{3}$	-12.8377	4.3271
	$2P^o$	$\frac{1}{\sqrt{1+c}}(\Psi_3 + c\Psi_4)$	$-\frac{11}{8}Z^2 + \frac{1}{6718464}(16493659 - \sqrt{733174301809})Z$	$-\frac{1}{393660}(\sqrt{733174301809} - 809747)\sqrt{2}$	-22.7374	-0.1671
	$4P$	Ψ_6	$-\frac{11}{8}Z^2 + \frac{2006759}{839680} Z$		-22.4273	
$2D$	Ψ_7	$-\frac{11}{8}Z^2 + \frac{40961549}{16796160} Z$		-22.1753		
$2S$	Ψ_1	$-\frac{11}{8}Z^2 + \frac{4151239}{1679616} Z$		-22.0171		
$2P$	Ψ_5	$-\frac{11}{8}Z^2 + \frac{1610610}{3359232} Z$		-21.9878		
$4S^o$	Ψ_2	$-\frac{11}{8}Z^2 + \frac{706213}{1679616} Z$		-21.7612		
$2D^o$	Ψ_8	$-\frac{11}{8}Z^2 + \frac{14301407}{5598720} Z$		-21.6030		
$2P^o$	$\frac{1}{\sqrt{1+c}}(\Psi_3 + c\Psi_4)$	$-\frac{11}{8}Z^2 + \frac{1}{6718464}(16493659 + \sqrt{733174301809})Z$	$\frac{1}{393660}(\sqrt{733174301809} + 809747)\sqrt{2}$	-21.4629	5.9851	
C	$3P$	$\frac{1}{\sqrt{1+c}}(\Psi_6 + c\Psi_7)$	$-Z^2 + \frac{3606107}{1119744} - \frac{1}{3359232}\sqrt{221876564389} Z$	$\frac{1}{98415}(\sqrt{221876564389} - 460642)$	-34.4468	-0.1056
	$1D$	$\frac{1}{\sqrt{1+c}}(\Psi_9 + c\Psi_{10})$	$-Z^2 + \frac{19148633}{5598720} - \frac{1}{3359232}\sqrt{221876564389} Z$	$\frac{1}{98415}(\sqrt{221876564389} - 460642)$	-34.3202	0.1056
	$5S^o$	Ψ_4	$-Z^2 + \frac{464555}{139960} Z$		-34.0859	
	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-Z^2 + \frac{1}{1679616}\sqrt{62733275266} Z$	$-\frac{1}{98415}(\sqrt{62733275266} - 230321)$	-34.1838	-0.2047
	$3D^o$	Ψ_{12}	$-Z^2 + \frac{4730843}{139960} Z$		-33.7203	
	$3P^o$	Ψ_8	$-Z^2 + \frac{1504147}{3598720} Z$		-33.5938	
	$1D^o$	Ψ_{11}	$-Z^2 + \frac{550871}{862311} Z$		-33.3688	
	$3S^o$	Ψ_3	$-Z^2 + \frac{6176260}{279936} Z$		-33.3828	
	$1P^o$	Ψ_5	$-Z^2 + \frac{242110}{65664} Z$		-33.2422	
	$3P$	$\frac{1}{\sqrt{1+c}}(\Psi_6 + c\Psi_7)$	$-Z^2 + \frac{3806107}{1119744} + \frac{1}{3359232}\sqrt{221876564389} Z$	$\frac{1}{98415}(\sqrt{221876564389} + 460642)$	-32.7641	9.4668
	$1D$	$\frac{1}{\sqrt{1+c}}(\Psi_9 + c\Psi_{10})$	$-Z^2 + \frac{19148633}{5598720} + \frac{1}{3359232}\sqrt{221876564389} Z$	$\frac{1}{98415}(-\sqrt{221876564389} - 460642)$	-32.6376	-9.4668
	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-Z^2 + \frac{1}{1679616}\sqrt{62733275266} Z$	$\frac{1}{98415}(\sqrt{62733275266} + 230321)$	-32.3943	4.8853

Asymptotic Schrödinger energy levels, Li-C

	Symm.	Ψ	E	c	E (num.)	c (num.)
N	$4S^{\circ}$	Ψ_2	$-\frac{13}{8}Z^2 + \frac{4317424}{500972}Z$		-49.1503	
	$2D^{\circ}$	Ψ_7	$-\frac{13}{8}Z^2 + \frac{25511507}{5597207}Z$		-48.9288	
	$2P^{\circ}$	$\frac{1}{\sqrt{1+c}}(\Psi_3 + c\Psi_4)$	$-\frac{13}{8}Z^2 + \frac{8718664}{5597207}(30636167 - \sqrt{1144203315841})Z$	$-\frac{1}{393660}(\sqrt{1144203315841} - 1032821)\sqrt{2}$	-48.8195	-0.1324
	$4P$	Ψ_6	$-\frac{13}{8}Z^2 + \frac{7549145}{1679616}Z$		-48.1630	
	$2D$	Ψ_8	$-\frac{13}{8}Z^2 + \frac{79317919}{1679616}Z$		-47.8103	
	$2S$	Ψ_1	$-\frac{13}{8}Z^2 + \frac{543445}{830908}Z$		-47.5888	
	$2P$	Ψ_5	$-\frac{13}{8}Z^2 + \frac{15393535}{830908}Z$		-47.5478	
	$2P^{\circ}$	$\frac{1}{\sqrt{1+c}}(\Psi_3 + c\Psi_4)$	$-\frac{13}{8}Z^2 + \frac{8718664}{5597207}(30636167 + \sqrt{1144203315841})Z$	$\frac{1}{393660}(\sqrt{1144203315841} + 1032821)\sqrt{2}$	-46.5905	7.5532
O	$3P$	Ψ_5	$-\frac{7}{4}Z^2 + \frac{4754911}{896908}Z$		-66.7048	
	$1D$	Ψ_6	$-\frac{7}{4}Z^2 + \frac{47745053}{896908}Z$		-66.5360	
	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-\frac{7}{4}Z^2 + \frac{1}{1679616}(9884485 - \sqrt{89111336179})Z$	$-\frac{1}{295285}(2\sqrt{89111336179} - 572179)\sqrt{3}$	-66.3421	-0.1458
	$3P^{\circ}$	Ψ_4	$-\frac{7}{4}Z^2 + \frac{1224899}{1679616}Z$		-65.3265	
	$1P^{\circ}$	Ψ_3	$-\frac{7}{4}Z^2 + \frac{6977607}{1679616}Z$		-64.8578	
	$1S$	$\frac{1}{\sqrt{1+c}}(\Psi_1 + c\Psi_2)$	$-\frac{7}{4}Z^2 + \frac{1}{1679616}(9884485 + \sqrt{89111336179})Z$	$\frac{1}{295285}(2\sqrt{89111336179} + 572179)\sqrt{3}$	-63.4984	6.8592
F	$2P^{\circ}$	Ψ_2	$-\frac{15}{8}Z^2 + \frac{11952943}{4597616}Z$		-87.6660	
	$2S$	Ψ_1	$-\frac{15}{8}Z^2 + \frac{4108267}{4597616}Z$		-85.8342	
Ne	$1S$	Ψ_1	$-2Z^2 + \frac{2455211}{279936}Z$		-112.2917	

Asymptotic Schrödinger energy levels, N–Ne

Some proof ingredients

- ▶ Scaling: $\tilde{\Psi}(x) = Z^{3N/2}\Psi(Zx)$, $\tilde{E} = Z^{-2}E$
Preserves L^2 norm
Equation becomes $(-\frac{1}{2}\Delta - \sum_{j=1}^N \frac{1}{|x_j|} + \frac{1}{Z}\sum_{i<j} \frac{1}{|x_i-x_j|})\tilde{\Psi} = \tilde{E}\tilde{\Psi}$
- ▶ Perturbation theory: For large Z , all the action is in a finite dim subspace. More precisely: asymptotics governed by $PHP\Psi = E\Psi$, P =projector onto non-interacting GS. ("PT model")
- ▶ Hydrogen atom theory + theory of non-interacting fermions: Can determine non-interacting GS explicitly. Dimension for He, Li, Be, B, C, N, O, F, Ne: 1, 8, 28, 56, 70, 56, 28, 8, 1

Some proof ingredients, ctd

Difficulties:

- ▶ Non-interacting GS (i.e. state space of PT model) of somewhat daunting looking dimension
- ▶ PT Hamiltonian PHP easy to write down abstractly, but need method to determine it explicitly
- ▶ PT model is a strongly interacting many-body model.

Some proof ingredients, ctd

Difficulties:

- ▶ Non-interacting GS (i.e. state space of PT model) of somewhat daunting looking dimension
- ▶ PT Hamiltonian PHP easy to write down abstractly, but need method to determine it explicitly
- ▶ PT model is a strongly interacting many-body model.

Overcome these by

- ▶ decomposition of PHP into small invariant blocks via
 - careful use of symmetry group and its repr.theory
 - Introduction of a Hodge operator (El.-hole duality)
- ▶ explicit determination of PHP via
 - identities from quantum chemistry ('Slater's rules')
 - Fourier calculus (e.g. need FT of products of hydr.orbitals)
 - Residue calculus (evaluation of 1D rational integrals)

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

- ▶ Only five different (L, S) pairs occur mathematically for the first 10 atoms (and experimentally for the first 20)

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

- ▶ Only five different (L, S) pairs occur mathematically for the first 10 atoms (and experimentally for the first 20)
- ▶ This yields a classification into 5 classes

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

- ▶ Only five different (L, S) pairs occur mathematically for the first 10 atoms (and experimentally for the first 20)
- ▶ This yields a classification into 5 classes
- ▶ Each class is either 'group', or a union of 2 'groups'

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

- ▶ Only five different (L, S) pairs occur mathematically for the first 10 atoms (and experimentally for the first 20)
- ▶ This yields a classification into 5 classes
- ▶ Each class is either 'group', or a union of 2 'groups'

H							He
Li	Be	B	C	N	O	F	Ne
Na	Mg	Al	Si	P	S	Cl	Ar

L=0
S=½

L=0
S=0

L=1
S=½

L=1
S=1

L=0
S=3/2

Math. picture of periodic table, 1: L and S values

Key point: These are closely related to the notion of 'group' in the periodic table

- ▶ Only five different (L, S) pairs occur mathematically for the first 10 atoms (and experimentally for the first 20)
- ▶ This yields a classification into 5 classes
- ▶ Each class is either 'group', or a union of 2 'groups'

H							He
Li	Be	B	C	N	O	F	Ne
Na	Mg	Al	Si	P	S	Cl	Ar

L=0
S=½

L=0
S=0

L=1
S=½

L=1
S=1

L=0
S=3/2

- ▶ Gradients of (L, S) with respect to atomic number would separate the group 3 metals from the halogens, and the Carbon group from the oxygen group

L and S values and crystal lattice structures

L and S values and crystal lattice structures

The first element with a non-radially-symmetric ground state is Boron.

L and S values and crystal lattice structures

The first element with a non-radially-symmetric ground state is Boron.

Unlike the first three elements (H, He, Li), which are fcc, liquid, fcc at low temperature, it has a very complicated ground state.

L and S values and crystal lattice structures

The first element with a non-radially-symmetric ground state is Boron.

Unlike the first three elements (H, He, Li), which are fcc, liquid, fcc at low temperature, it has a very complicated ground state.

It is clear from the lack of radial symmetry that even a minimal model would have to account for the internal rotational degrees of freedom; a potential depending only on the positional degrees of freedom is no good.

Math. picture of periodic table, 2: Electronic structure

Our asymptotic ground states provide for the first time a mathematical explanation of the semi-empirical "hydrogen orbital configurations" developed by Bohr, Hund and Slater to explain the periodic table.

These configurations are based on 3 semi-empirical postulates:

(a) Each electron in an atom occupies a hydrogenic orbital.

(b) *Sub-shell ordering* The orbitals in each hydrogen energy level, or shell, form sub-shells which are occupied in the order

$1s$ $2s$ $2p$ $3s$ $3p$ $4s$ $3d$...

(c) *Hund's rule* Within any partially filled sub-shell, the electrons adopt a configuration with the greatest possible number of aligned spins.

Example In Carbon the six electrons would occupy the orbitals

$1s \uparrow 1s \downarrow 2s \uparrow 2s \downarrow 2p_1 \uparrow 2p_2 \uparrow$.

Alternative choices $2p_1 \downarrow$ or $2p_2 \downarrow$ for the last orbital obey (b) but not (c)

Math. picture of periodic table, 2: Electronic structure

Math. picture of periodic table, 2: Electronic structure

- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS

Math. picture of periodic table, 2: Electronic structure

- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS
- For the remaining three elements (Be, B, C) the Bohr/Hund/Slater configuration is the dominant part of the asymptotic GS

Math. picture of periodic table, 2: Electronic structure

- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS
- For the remaining three elements (Be, B, C) the Bohr/Hund/Slater configuration is the dominant part of the asymptotic GS

Corrections to semi-empirical rules:

Math. picture of periodic table, 2: Electronic structure

- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS
- For the remaining three elements (Be, B, C) the Bohr/Hund/Slater configuration is the dominant part of the asymptotic GS

Corrections to semi-empirical rules:

- ▶ Alongside any Slater determinant, the asymptotic GS contains its orbit under the many-electron symmetry group

Math. picture of periodic table, 2: Electronic structure

- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS
- For the remaining three elements (Be, B, C) the Bohr/Hund/Slater configuration is the dominant part of the asymptotic GS

Corrections to semi-empirical rules:

- ▶ Alongside any Slater determinant, the asymptotic GS contains its orbit under the many-electron symmetry group
- ▶ sub-shell ordering not strictly obeyed: for Be, B, C, 10 to 20 percent corrections from "higher" sub-shells also present

Math. picture of periodic table, 2: Electronic structure

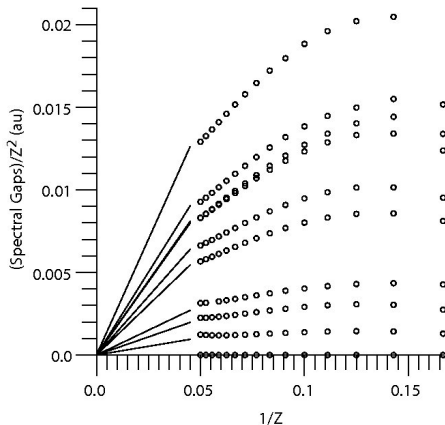
- For seven out of ten elements (H, He, Li, N, O, F, Ne) the Bohr/Hund/Slater configuration (when interpreted not as individual electronic states but a Slater-determinantal many-electron wavefunction) is an element of the asymptotic GS
- For the remaining three elements (Be, B, C) the Bohr/Hund/Slater configuration is the dominant part of the asymptotic GS

Corrections to semi-empirical rules:

- ▶ Alongside any Slater determinant, the asymptotic GS contains its orbit under the many-electron symmetry group
- ▶ sub-shell ordering not strictly obeyed: for Be, B, C, 10 to 20 percent corrections from "higher" sub-shells also present
- ▶ In rare cases (such as the lowest 1D and 5S states of Carbon) ordering of excited states disagrees with Hund's rules (with experiment confirming our ordering).

Math. picture of the periodic table, 3: Excited levels

Math. picture of the periodic table, 3: Excited levels



Lines: Asymptotic Schrödinger levels [GF/Goddard]

Circles: experiment [NIST atomic spectra database].

Use of scaled axes suggested by our analysis, and to our knowledge new.

For the highest level at $Z = 6$ and the fourth level at $Z = 18$, we were unable to find experimental data.

Math. picture of the periodic table, 4: ionization energies

For very positive ions, agreement with experiment is good, but for neutral atoms, it is poor.

Math. picture of the periodic table, 4: ionization energies

For very positive ions, agreement with experiment is good, but for neutral atoms, it is poor.

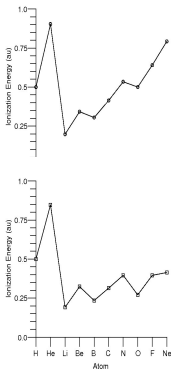
Tough problem, due to multiscale effect: smaller by a factor of about 200 than total energy (!)

Math. picture of the periodic table, 4: ionization energies

For very positive ions, agreement with experiment is good, but for neutral atoms, it is poor.

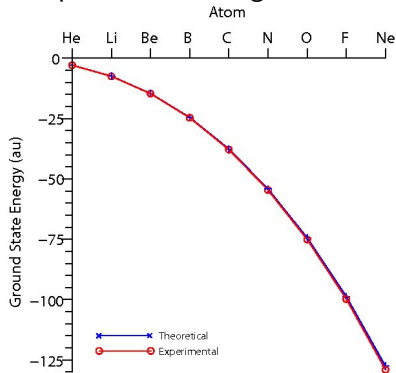
Tough problem, due to multiscale effect: smaller by a factor of about 200 than total energy (!)

Asymptotics-based low-dimensional numerical method: captures, at least qualitatively, the bizarre experimental graph



More about asymptotics-based low-dimensional numerics

Total energies extremely well captured; our about 50 DOF's competitive with large-scale studies with about 10^8 DOF's



Summary

The semi-empirical hydrogen orbital configurations of Bohr, Hund and Slater have a **precise mathematical meaning**, as asymptotic limits of true Schrödinger ground states for large nuclear charge.

Summary

The semi-empirical hydrogen orbital configurations of Bohr, Hund and Slater have a **precise mathematical meaning**, as asymptotic limits of true Schrödinger ground states for large nuclear charge.

(Up to certain small but interesting corrections.)

Summary

The semi-empirical hydrogen orbital configurations of Bohr, Hund and Slater have a **precise mathematical meaning**, as asymptotic limits of true Schrödinger ground states for large nuclear charge.

(Up to certain small but interesting corrections.)

This provides a theoretical alternative to semi-empirical discussions of the periodic table in the literature, and a rare benchmark for the design and validation of computational methods.

Lessons from John Ball

Lessons from John Ball

MSc course notes, An introduction to variational methods for PDE's, 16 January, 1991

Lessons from John Ball

MSc course notes, An introduction to variational methods for PDE's, 16 January, 1991

" [This course] takes certain philosophical positions.

First, *the problem is the key*; it is no use spending a lot of time and energy learning new methods if in the end you apply them to a poor problem. This has the corollary that *mathematicians should take responsibility for the models they analyse*. They should know where they come from, how to derive them, what the starting assumptions are, and why they are of interest to science. It is not sufficient to accept the word of a physicist, biologist, or another mathematician, or the author, that such and such a model or problem is a good one. This is not just an insurance policy, since the underlying science of a model is often crucial for its analysis..."

Lessons from John Ball, ctd

"Second, that *the analysis of the problem be rigorous* (that is, right and proved to be so). Whatever approximations we made in deriving the model, we make no approximations in its analysis except those justified by theorems. Thus, any results obtained are a true test of the model. This is an aim, not a fetish. The problems posed by the PDE's of pure and applied science can be of immense difficulty and beyond the reach of known rigorous techniques. In this case, it is common sense to use approximation methods and numerical studies (which are crucial tools for discovering new phenomena), or to consider simplified equations, isolating some key difficulty of the original problem. But we should distinguish carefully between conjectures based on these methods and proved theorems."

Lessons from John Ball, ctd

"Third, that *no artificial distinction be drawn between pure and applied mathematics*. If you like, this is a combination of the two preceding positions. We maximize the chances of success by moving as freely as possible between the science of the model and any branch of mathematics appropriate for its analysis. ... The unity of the modelling/analysis process was second nature to many of the greatest mathematicians of previous generations, such as Newton, Euler, Riemann, and Cauchy. For example, Cauchy made fundamental advances both in developing continuum models of solids and fluids and in inventing numerous techniques for their analysis, including complex integration theory and many basic tools of real analysis..."

Happy birthday John!